

# REASONABLE BASIC CALCULUS



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# REASONABLE BASIC CALCULUS

ACCORDING TO . . . THE REAL WORLD,  
FROM . . . MERE SIGNED DECIMAL NUMBERS.

*In other words, for people  
who believe calculus ought to  
make sense.*



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To Françoise.

*Mathematician and pianist  
of my life!*



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What is important is the **real world**, that is physics, but it can be explained only in mathematical terms.

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Dennis Serre<sup>1</sup> **real world**

# Preface You Don't *Need* To Read

*Standard prefaces are never for you but to convince teachers that the text is just what they want their students to buy for that class they are to teach. In contrast, this preface you don't need to read is for you.*

For Whom The Standard Texts Toll, xv • For Whom *This Text?*, xvii • Calculus Language Vs. Everyday Language, xvii • Proof vs. Belief, xxii • Reason Vs. Rigor, xxiv • The Way To Go?, xxv .

Of course, every textbook is different from every other textbook—at least so claim their authors. And of course, so claims *this* author! But exactly *how* is this text different? First, though, how about the standard texts?

*Why else would they ever have wanted to write it?*

## For Whom The Standard Texts Toll

Back in 1988, Underwood Dudley ([https://en.wikipedia.org/wiki/Underwood\\_Dudley](https://en.wikipedia.org/wiki/Underwood_Dudley)) published in the American Mathematical Monthly a wonderful article about calculus books—camouflaged as a Book Review!<sup>2</sup>—which he said he wrote after having “*examined 85 separate and distinct calculus books*”. (<https://www.maa.org/sites/default/files/0002989051112.di991736.99p03667.pdf>)

Dudley's first point was that “*Calculus books should be written for students*”. As an example of one such, Dudley gives Elias Loomis' ([https://en.wikipedia.org/wiki/Elias\\_Loomis](https://en.wikipedia.org/wiki/Elias_Loomis)) *Elements of the Differential and Integral Calculus* from 1851.<sup>3</sup> He points out that Loomis' “*proof of L'Hospital's*

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<sup>1</sup>Bulletin of the American Mathematical Society, Vol 47 Number 1 Pages 139-144

<sup>2</sup>Here is *all* of the review: “*The book by Simmons is a fine one. It was written with care and intelligence. It has good problems, and the historical material is almost a course in the history of mathematics. It is nicely printed, well bound, and expensive. Future historians of mathematics will look back on it and say, ‘Yes, that is an excellent example of a late twentieth-century calculus book.’*”).

<sup>3</sup>Free from <https://archive.org/details/elementsofdiffer00loom/page/n4/>

*That's the spirit!*

*E.g. G. Strang in his Calculus (p151): "I regard the discussion below as optional in a calculus course (but required in a calculus book)."*

*Which goes to show that universality can have a steep price.*

*At less than \$10!*

*Which, these days, would be an unspeakable horror!*

*Well, this one sure wasn't!*

*Rule was short, simple, and clear, and also one which does not appear in modern texts because it fails for certain pathological examples."* A bit later, Dudley continues: "*It is a still better idea to strive for clarity and let students see what is really going on, which is what Loomis did, rather than putting 'rigor' first. But nowadays, authors cannot do that. They must protect against some colleague snootily writing to the publisher "Evidently Professor Blank is unaware that his so-called proof of L'Hospital Rule is faulty, as the following well-known example shows. I could not possibly adopt a text with such a serious error."*

As another example of a book written for students, Dudley gives Silvanus Thompson's ([https://en.wikipedia.org/wiki/Silvanus\\_P.\\_Thompson](https://en.wikipedia.org/wiki/Silvanus_P._Thompson)) *Calculus Made Easy*<sup>2</sup> from 1910 which was very successful and is in fact still in print. Dudley is visibly enchanted to report that "*Chapter 1, whose title is 'To Deliver You From The Preliminary Terrors' forthrightly says that dx means 'a little bit of x'.*" (Significantly enough, Thompson was a professor of physics and an electrical engineer.)

Another point Dudley made was that "*First-semester calculus has no application.*" Of course there is no question about CALCULUS being about the Real World. Absolutely none. The only thing is, the Real World is in the eye of the beholder and the beholder is, here again, the teacher. And so, of course, Dudley riffs on "*Applications being so phony*".

Dudley concluded that "*It is a shame, and probably inevitable that calculus books are written for calculus teachers.*"

And, indeed, as he predicted, nothing has changed to this day. For instance, and even though it is about "*school math*", see the American Mathematical Society's 2015 Response (<http://www.ams.org/notices/201505/rnoti-p508.pdf>) to Elizabeth Green's New York Times article *Why Do Americans Stink at Math?* (<https://www.nytimes.com/2014/07/27/magazine/why-do-americans-stink-at-math.html>). In that response, Hung-Hsi Wu (<https://math.berkeley.edu/~wu/>) wrote "*If Americans do "stink" at math, clearly it is because they find the math in school to be unlearnable. [...] For the past four decades or so the mathematics contained in standard textbooks has played havoc with the teaching and learning of school mathematics.*" Why should it be any different with CALCULUS?

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mode/2up

<sup>2</sup>Free from <https://archive.org/details/CalculusMadeEasy/page/n4/mode/2up>.

## For Whom *This* Text?

The short answer is that, while standard texts usually do a good job of showing how CALCULUS proceeds from the *mathematician's* viewpoint, *this* text wants to do it from *your* point of view and since, as **Leonardo da Vinci** (1452-1619) once put it, "*Learning is the only thing the mind never exhausts, never fears, and never regrets.*" ([https://www.azquotes.com/author/15101-Leonardo\\_da\\_Vinci](https://www.azquotes.com/author/15101-Leonardo_da_Vinci)), *this* text was written for people who want to read, ponder, wonder, and . . . *learn*.

Da Vinci  
language  
paper world  
word  
meaning  
entity

*In short, for people allergic to "just teach them how to do it".*

**EXAMPLE 0.1.** In *this* text, CALCULUS starts with **Functions Given By Data** (Part I, Page 63) with **Functions Given By Rules** appearing only in Part II, Page 207 and **(Laurent) Polynomial Functions** in Part III, Page 337 .

*On the other hand, should you prefer to skip all that and go see for yourself, if only for now, just click on Chapter 0 - Numbers For Calculating (Page 1) or Chapter 1 - The Name Of The Game (Page 63).*

Unfortunately, if this short answer may look good, it doesn't really say very much and a rather long answer follows for those who, before deciding whether or not to get into something, want to know *precisely* what it is they would be getting into and *why* they would want to do that in the first place.

Before that, though, and just in case you missed the subtitle of the book: as long as you can compare, add/subtract, multiply/divide *signed decimal* numbers, you don't have to worry about being "prepared".

*In any case, if and when you want, there will always be Appendix A - Dealing With Numbers (Page 501).*

## Calculus Language Vs Everyday Language

The long answer starts with the fact that, to communicate about the **real world**, we need to use a **language** which is something that belongs to what's sometimes called the **paper world** and, at least from a theoretical standpoint, one should indeed distinguish **words** in the **paper world** from their **meaning**, that is from the **entities** in the **real world** that the **words** refer to. (See <https://en.wikipedia.org/wiki/Language>, <https://en.wikipedia.org/wiki/Semantics>, <https://en.wikipedia.org/wiki/Entity>)

*Veeeeeery long answer!*

**EXAMPLE 0.2.** The word tree in the English *language*—as well as the words arbre in French, Baum in German, árbol in Spanish, albero in Italian, etc, refers to the *real world* entity whose picture is

model theory  
precise  
sentence  
situation  
define



*Veery advanced.*

This distinction between **paper world** and **real world** is at the core of a relatively new part of MATHEMATICS called **MODEL THEORY**. See [https://en.wikipedia.org/wiki/Model\\_theory](https://en.wikipedia.org/wiki/Model_theory) but the article does not mention the recent applications of **MODEL THEORY** to other branches of (advanced) mathematics. Readers of this text might want to look at the author's [https://www.researchgate.net/publication/346528673\\_A\\_Model\\_Theoretic\\_Introduction\\_To\\_Mathematics\\_4th\\_edition](https://www.researchgate.net/publication/346528673_A_Model_Theoretic_Introduction_To_Mathematics_4th_edition).

However, while being aware of the distinction between **paper world** and **real world** is fundamental, using two sets of words, one for the **real world** and another for the **paper world** would not serve any purpose in this text. So:

**AGREEMENT 0.1** In *this* text, we will *not* distinguish **words** from their **meaning**.

**EXAMPLE 0.3.** We shall use the *word* tree to refer to the *entity* tree.

*In STEM, the only suitable response to “You know what I mean” is a flat “No!”*

On the other hand, just like “*Law depends on the precise meaning of words*”, as Chief Inspector Kan reminds Inspector Van der Valk in Nicolas Freeling's ([https://en.wikipedia.org/wiki/Nicolas\\_Freeling](https://en.wikipedia.org/wiki/Nicolas_Freeling)) thriller, *Criminal Conversation*<sup>3</sup>, so do **S**cience, **T**echnology, **E**ngineering and . . . **M**athematics.

So the first way this text claims to be different is the extreme attention paid to **words**. (<https://plainlanguagenetwork.org/plain-language/what-is-plain-language/>) Because, like in court, to be able to agree on what **sentences** are saying about **real world situations**, we need to use **words** that have been **defined precisely**. Only then will we have a chance, ultimately, to *deal* intelligently with the **real world**.

<sup>3</sup>A legal term! ([https://en.wikipedia.org/wiki/Criminal\\_conversation](https://en.wikipedia.org/wiki/Criminal_conversation))

Concerning the relevance of MATHEMATICS to the **real world**, here are two articles very much to the point:

- ▶ A very famous, if somewhat dense, article on "*The miracle of the appropriateness of the language of mathematics for the formulation of the laws of physics*", <https://www.maths.ed.ac.uk/~v1ranick/papers/wigner.pdf> by Eugene Wigner ([https://en.wikipedia.org/wiki/Eugene\\_Wigner](https://en.wikipedia.org/wiki/Eugene_Wigner)),

which eventually started a lively discussion on *natural law* and *mathematics*:

- ▶ <https://www.quantamagazine.org/puzzle-solution-natural-law-and-elegant-math-20200117/> Notice their use of “*real-world situation*”, *practically a mantra* in this text.

**1. Calculus language.** Contrary to what most people think, CALCULUS is, *before anything else*, a **language**. But CALCULUS is a **language** that is *systematic* and thus lends itself to **calculating**—aka **computing**—how the **real world changes**. (<https://en.wikipedia.org/wiki/Calculation>)

i. In order to communicate *precisely* we will need **Calculus words**. So, to help you get a **precise** idea of what a **calculus word means**, each and every **calculus word** will be introduced using: (i) **everyday words** together with *already defined calculus words*, and (ii) an **EXAMPLE** to *illustrate* what the **calculus word** refers to in the **real world**.

Most of the time, that will be enough for you to keep on trucking safely but, occasionally, a **formal**, that is a dictionary-like, **definition** of a **calculus word** in terms of only previously **defined calculus words** will be necessary and will then appear in a special format:

**EXAMPLE 0.4.** just to show the special format:

**DEFINITION 0.1** **Meaningless** means the same as without **meaning**.

ii. However, a major obstacle to learning the **language** of CALCULUS is that many **calculus words** are just **everyday words** to which a very **precise** CALCULUS **meaning** has been assigned. The danger then is for the reader later to forget they are facing a **calculus word** and go by the **everyday word**. Which, unfortunately, is exactly when CALCULUS will stop making sense.

*And therefore to understanding CALCULUS,*

*But why pseudo philosophers brandish mathematics words like space, catastrophe, field, category, ...*

iii. And, to make things even worse, we will have to use these **calculus words** alongside **everyday words** because it is of course with **everyday words** that we will describe and discuss *what* we will be doing with the **calculus words** and explain *why* we are doing what we are doing.

symbol  
iff  
□

iv. However, we will *not* use **words** that *mathematicians* often use but never really **define**. ([https://en.wikipedia.org/wiki/List\\_of\\_mathematical\\_jargon](https://en.wikipedia.org/wiki/List_of_mathematical_jargon))

v. And, because it is extremely easy to overlook for which previous noun in a sentence a *pronoun* stands, this text tries never to use *pronouns* even though it means repeating the noun itself.

*Pace English teachers!*

vi. **Symbols** are necessary to carry out **computations**.

**EXAMPLE 0.5.** Figuring in *everyday language* the difference between three thousand seventy nine Dollars and eight Cents and six hundred forty seven Dollars and twenty six Cents would be a lot harder than *computing* the difference in the **BASE TEN language**:

$$\begin{array}{r} \$3\,079.08 \\ -\$647.26 \\ \hline \end{array}$$

([https://en.wikipedia.org/wiki/Hindu%E2%80%93Arabic\\_numerals\\_system](https://en.wikipedia.org/wiki/Hindu%E2%80%93Arabic_numerals_system))

Not all **symbols**, though, are for **computational** purposes and a few are just like abbreviations. For instance, we will use the following two **symbols** which are completely standard, if relatively recent inventions, but with which you may not be acquainted:

*Not to be confused with [https://en.wikipedia.org/wiki/Identification\\_friend\\_or\\_foe](https://en.wikipedia.org/wiki/Identification_friend_or_foe)*

**LANGUAGE NOTE 0.1** iff , read “if and only if”, is the **symbol** that indicates of two **sentences** that neither one can be ‘true’ without the other one also being ‘true’. ([https://en.wikipedia.org/wiki/If\\_and\\_only\\_if](https://en.wikipedia.org/wiki/If_and_only_if))

*But why is it that “Jack sits to the right of Jill iff Jill sits to the left of Jack” is false?*

**EXAMPLE 0.6.** The sentence “Jack is to the right of Jill iff Jill is to the left of Jack” is true.

**LANGUAGE NOTE 0.2** □ , read “Q.E.D.”, is the **symbol** that indicates the end of a proof. (<https://www.urbandictionary.com/define.php?term=QED>)

**2. Click to recall.** Because you will have to concentrate on *what’s going on*, the **language** has to be *transparent*. But, as pointed out above, it is not easy to keep in mind **precisely** what **calculus words** refer to. So:

i. Like any scientific book, this text will help you retrieve what **calculus words** and **symbols precisely** refer to by having every single one of these **calculus words** and **symbols** in the INDEX at the end of the book along with the page where the **calculus word** or **symbol** is **defined**—and appears in bold black characters in the text as well as in red characters in the margin of that page.

ii. Using the INDEX more than occasionally, though, even onscreen, is a huge pain which makes it extremely likely you will put off looking up what the **calculus word** refers to **precisely** and rely instead on the *everyday word*, ... and then be left facing text that makes no sense.

And so, **calculus word** will always appear in red-black characters to remind you that *clicking* on that **calculus word** will instantly get you back to the page where what the **calculus word** refers to was **precisely** explained. In fact, more generally,

**AGREEMENT 0.2** Anything, anywhere, that appears in red-black characters is a click away from what that thing refers to:

- ▶ *Titles* in all tables of contents,
- ▶ *Page numbers* in all references,
- ▶ *References* as in DEFINITION 0.1 or ?? or as in the *Blue Note* just to the right.

*Onscreen, a click on the page number will get you there.*

*But you may have to scroll a bit if you want to see the word in the margin. And what to click on to return to where you were will depend on your pdf reader.*

*To take a break from this Preface You Don't Need To Read, would you like to go see the actual beginning of CALCULUS in Chapter 1 - The Name Of The Game (Page 63)?*

**3. Reading calculus.** One thing has to be emphasized, though, which is that, no matter how much attention has been given to language difficulties, it is impossible to get from a *single* reading of a piece of text everything that's in that piece of text. This is because it is impossible for *any* piece of text to say it *all* so that any piece of text will have to rely on some things having been said *earlier* to prepare the ground and there will be some things that can only be said *later*, when everything has been made ready to make the point.

So, here are a couple of maneuvers used by *mathematicians* when they are reading a text in a subject they are not familiar with and, like you will too, run into something they don't get:

- ▶ If, even after you have made sure you know what every single **calculus word** in the piece of text denotes you are having trouble with, you still don't really get the message or something still does not connect, then try going back to a place in the text with which you have made your peace and reread it anyway. You will probably discover things you had not thought of when reading it before. Now read forward till you reach that

*Like the CALCULUS in this book needs Chapter 0 - Numbers For Calculating (Page 1).*

*Back & Forth maneuver!*



We need to let others know on what *basis* we believe a sentence to be true and the standard way to do that in mathematics is to create a **theory**, that is a **collection** of sentences called **theorems**, by proceeding as follows:

- i. **Postulate** (<https://www.thefreedictionary.com/postulate>) a few sentences *believed* to be true—to be referred to as **axioms** (<https://en.wikipedia.org/wiki/Axiom>)—to be **theorems**,
- ii. Use **deductive rules** ([https://en.wikipedia.org/wiki/Natural\\_deduction](https://en.wikipedia.org/wiki/Natural_deduction)) to get new **theorems** from old **theorems**.

Then, because the **deductive rules** *preserve truth*, the use of **deductive rules** reduces the question of truth from that of a huge number of **sentences** to that of just a few **axioms** because the **truth** of the **theorems** will then derive from the **truth** of the **theorems** which were used with the **deductive rules** and so, ultimately, from the truth of just the **axioms**. ([https://en.wikipedia.org/wiki/G%C3%B6del%27s\\_completeness\\_theorem](https://en.wikipedia.org/wiki/G%C3%B6del%27s_completeness_theorem))

On what *basis* do we choose **axioms** is a totally separate issue. It could be on the basis of direct reference to the **real world**, or because the **axioms** are *conjectured* to be **true** on the basis of indirect or incomplete evidence (<https://en.wikipedia.org/wiki/Conjecture>) or maybe just out of curiosity, just to see what would ensue if we were to **postulate these axioms** instead of *those axioms*.

However, we must always keep in mind that the **deductive rules** can spread **falsehood** like wildfire.

**EXAMPLE 0.9.** One of the deductive rules in ALGEBRA is that “*adding equals to equals yields equals*”. Now:

- If we start from a *true* sentence like “ $4 + 5$  and  $6 + 3$  are equal”, then the rule will force us to believe that, say, “ $4 + 5 + 7$  and  $6 + 3 + 7$  are equal” which is fine,

*But:*

- If we start from “ $9$  and  $8$  are equal”, then:
  - adding  $9$  and  $8$  to, say,  $7$  and  $7$  will force us to believe that  $16$  and  $15$  are equal.
  - adding  $16$  and  $15$  to  $9$  and  $8$  will force us to believe that  $25$  and  $23$  are equal,
  - adding  $16$  and  $15$  to  $25$  and  $23$  will force us to believe that  $41$  and  $38$  are equal,
  - Etc

Finally, just to clarify,

theorem  
theorem  
postulate  
axiom  
deductive rule

*This is not a scientific, ~~called~~ model-theoretic or semantic theory of truth, is due to Alfred Tarski who, however, “[would not] claim [it was] the ‘right’ one [other than in mathematics].”*

rigor

**CAUTIONARY NOTE 0.1** A *scientific* theory is a much more complicated thing than a *mathematical theory*. See for instance <https://en.wikipedia.org/wiki/Theory#Scientific>, [https://en.wikipedia.org/wiki/Theory#Philosophical\\_views](https://en.wikipedia.org/wiki/Theory#Philosophical_views), [https://en.wikipedia.org/wiki/Scientific\\_theory#Theories\\_as\\_axioms](https://en.wikipedia.org/wiki/Scientific_theory#Theories_as_axioms), etc.

## Reason Vs Rigor

So, since the foremost fear in MATHEMATICS is the fear of making a mistake in the use of the **deductive rules** after which every sentence risks to be **false**, the name of the game is to proceed as **rigorously** as possible, that is to provide as many steps in the use of the **deductive rules**—as possible while remaining “*readable*”—and always to be able and ready to provide missing steps on demand.

*That's what referreing is all about.*

**EXAMPLE 0.10.** While ‘Delta functions’ ([https://en.wikipedia.org/wiki/Dirac\\_delta\\_function](https://en.wikipedia.org/wiki/Dirac_delta_function)) had been used since the early eighteen hundreds, it was only in 1950 that Laurent Schwartz ([https://en.wikipedia.org/wiki/Laurent\\_Schwartz](https://en.wikipedia.org/wiki/Laurent_Schwartz)) was awarded the Fields Medal<sup>4</sup> for having *defined* them rigorously.

*The single quotes, ‘ ’, say that, at this time, you are not supposed to know what the word means.*

Indeed, CALCULUS has been extraordinarily difficult to develop *rigorously* ([https://en.wikipedia.org/wiki/Nonstandard\\_analysis](https://en.wikipedia.org/wiki/Nonstandard_analysis)) and, as a result, the number-one worry for authors of CALCULUS texts is how much **rigorous** to be? A few texts, called REAL ANALYSIS ([https://en.wikipedia.org/wiki/Real\\_analysis](https://en.wikipedia.org/wiki/Real_analysis)), are completely **rigorous** and the rest, just called CALCULUS, skip whatever the authors think will be too much for the prospective buyers of their book.

But the reason *this* text isn’t **rigorous** is totally different: in contrast with textbooks that retain, however **un-rigorously**, the point of view of *mathematicians*, this text aims at the CALCULUS that *hard scientists* ([https://en.wikipedia.org/wiki/Hard\\_and\\_soft\\_science](https://en.wikipedia.org/wiki/Hard_and_soft_science)) and *engineers* have been *using* forever—without worrying one bit about **rigor**. So, the mathematical conformists ought to be warned that, instead of being based on the use of ‘limits’ or ‘infinitesimals’, as it seems all current CALCULUS textbooks are doing,

<sup>2</sup>Just about the very highest honors for a *mathematician*. ([https://en.wikipedia.org/wiki/Fields\\_Medal](https://en.wikipedia.org/wiki/Fields_Medal))

**CAUTIONARY NOTE 0.2** In this text, CALCULUS will be by way of ‘polynomial approximations’ which are the algebraic equivalent of the ‘decimal approximations’ used by *scientists* and *engineers* in **ap-plications** of CALCULUS to the **real world**.  
(As well as by *mathematicians* . . . in pure research!)

*As physicist David Hestenes of GEOMETRIC ALGEBRA **reason** Jame said at the outset of his 2002 Oersted lecture:*  
That “course content is taken [by many] as given [...] ignores the possibility of improving pedagogy by reconstructing course content.”

## Way To Learn?

Yet another way this text claims to be different has to do with the fact that “*Math Anxiety*” invariably originates with the standard textbooks, in the best cases because the textbook leaves so much “*going without saying*” that **reason** has become invisible, in the worst cases because the textbook has been gutted down to the disconnected “*facts and skills*” deemed necessary to pass some exam so that no **reason** remains.

In contrast, this text wants to do three things:

- As Einar Hille ([https://en.wikipedia.org/wiki/Einar\\_Hille](https://en.wikipedia.org/wiki/Einar_Hille)) wrote, “*Mathematics is neither accounting nor the theory of relativity. Mathematics is much more than the sum total of its **applications** no matter how important and diversified these may be. It is a way of thinking.*”<sup>3</sup> (Emphasis added.)

Of course, a way of thinking cannot be taught or even described and can only be *learned* from *experience*. Fortunately, as George Sarton ([https://en.wikipedia.org/wiki/George\\_Sarton](https://en.wikipedia.org/wiki/George_Sarton)) wrote, “*It is only a matter of perseverance and of good will. Only thus will you acquire a method of thought. And if one cannot reproach anyone for being ignorant of this or that—for ignorance is not a sin—it is legitimate to reproach one with poor reasoning. [...] [T]his scientific sincerity is only achieved by the attentive study of a specific subject.*”<sup>4</sup>

So, one thing this text wants to do is to facilitate your “*attentive study*” of CALCULUS by presenting and discussing matters in a way that will make *reasonable* sense to you.

*In other words, here, zero “show and tell”.*

- As John Holt ([https://en.wikipedia.org/wiki/John\\_Holt\\_\(educator\)](https://en.wikipedia.org/wiki/John_Holt_(educator))) wrote, “*Human beings are born intelligent. We are by nature question-asking, answer-making, problem-solving animals, and we are extremely good at it, above all when we are little. But under certain conditions,*

<sup>3</sup>Einar Hille, *Analysis*, 1964

<sup>4</sup>As quoted from his letters by his daughter, May Sarton, in her book *I Knew a Phoenix*

*which may exist anywhere and certainly exist almost all of the time in almost all schools, we stop using our greatest intellectual powers, stop wanting to use them, even stop believing that we have them.*"<sup>5</sup>

So, zero “drill and test” too.

The hope is you will.

That, you surely won't like one bit! (At least for now.)

That's because nobody is perfect. Not even authors.

And this, Ladies and Gentlemen, is where (Not Your Usual) Preface comes to an end.

Which is why this text does *not* have **Exercises**: the important questions are those *you* will be asking yourself. All this text wants to do is to raise **reasonable** issues and deal with these issues up to a point where you will be equipped to look deeper into at least some of these issues.

Of course, you would be quite right asking how then will you know if you have learned CALCULUS but the answer still is: when *you* will have become able to answer most of *your* own questions. Better yet, though, is for two or three people to confront their understanding of this text.

- As Etienne Ghys ([https://en.wikipedia.org/wiki/%C3%89tienne\\_Ghys](https://en.wikipedia.org/wiki/%C3%89tienne_Ghys)) wrote, “*I have now learned that **precision** and details are frequently necessary in mathematics, but I am still very fond of promenades. [...] You have to be prepared to get lost from time to time, like in many promenades. [...] You will have to accept half-baked **definitions**. [...] I am convinced that mathematical ideas and examples precede proofs and **definitions**.*”<sup>6</sup> (Emphasis added.)

And *that* really says it all.



Or, if you can get hold of Simmons' book, the historical material Dudley praised.

Veery, very short!

Those curious about the history of CALCULUS might want to look up [https://en.wikipedia.org/wiki/History\\_of\\_calculus](https://en.wikipedia.org/wiki/History_of_calculus) or the shorter <https://en.wikipedia.org/wiki/Calculus#History> but, for those only a *little bit* curious, here is a very short version:

CALCULUS was invented in the late 1600s independently by Newton (initially by way of ‘infinitesimals’ but ultimately by way of ‘limits’) and Leibniz (solely by way of ‘infinitesimals’).

The first of the many editions of the first calculus text, *Infinitesimal Calculus with Applications to Curved Lines*, by L'Hospital, is from 1696. ([https://en.wikipedia.org/wiki/Guillaume\\_de\\_l%27H%C3%B4pital](https://en.wikipedia.org/wiki/Guillaume_de_l%27H%C3%B4pital)).

Right away, *scientists*, *engineers* and *mathematicians* started using ‘infinitesimals’ routinely even though it was almost immediately realized that ‘infinitesimals’—as well as ‘limits’—were not **rigorous**.

If only because ‘limits’ can't be computed but only guessed and then checked to see if they are the ‘limit’.

Guess what: ‘infinitesimals’ are still mostly avoided like the plague!

Arnold would have had the Fields Medal except his public opposition to the persecution of dissidents had led him into direct conflict with influential Soviet officials—and he suffered persecution himself, including not being

<sup>5</sup>John Holt *How Children Fail* A classic, first published in the 60s. Free download from <https://archive.org/download/HowChildrenFail/HCF.pdf>

<sup>6</sup>Etienne Ghys, *A singular mathematical promenade*. 2017. Free download from <https://arxiv.org/abs/1612.06373>

And when, over a century later, most *mathematicians* switched to ‘limits’ which had finally been made **rigorous**, *scientists*—and for a long time even *differential geometers*—stayed with ‘infinitesimals’. ([https://en.wikipedia.org/wiki/Calculus#Limits\\_and\\_infinitesimals](https://en.wikipedia.org/wiki/Calculus#Limits_and_infinitesimals)).

Eventually, in 1961, Abraham Robinson ([https://en.wikipedia.org/wiki/Abraham\\_Robinson](https://en.wikipedia.org/wiki/Abraham_Robinson)), three years over the age limit for the Fields Medal, finally made “infinitesimals’ **rigorous** .

Yet, as Vladimir Arnold ([https://en.wikipedia.org/wiki/Vladimir\\_Arnold](https://en.wikipedia.org/wiki/Vladimir_Arnold)) wrote already in 1990: “*Nowadays, when teaching analysis, it is not very popular to talk about infinitesimal quantities. Consequently present-day students are not fully in command of this language. Nevertheless, it is still necessary to have command of it.*” (<https://en.wikipedia.org/wiki/Infinitesimal>).

In any case, a long time before all that, around 1800, Joseph-Louis Lagrange ([https://en.wikipedia.org/wiki/Joseph-Louis\\_Lagrange](https://en.wikipedia.org/wiki/Joseph-Louis_Lagrange)), one of the greatest mathematicians ever, who explicitly wanted to free CALCULUS from “*any consideration of infinitesimals, vanishing quantities, limits and fluxions*”, had developed the approach to CALCULUS by way of the ‘polynomial approximations’ to be used in this text. ([https://en.wikipedia.org/wiki/Charles\\_Babbage#British\\_Lagrangian\\_School](https://en.wikipedia.org/wiki/Charles_Babbage#British_Lagrangian_School)) Eventually, though, Lagrange realized that ‘polynomial approximations’ could not deal with certain *pathological* cases and reverted to ‘infinitesimals’.

However, starting around 1880, yet another all time great mathematician, Henri Poincaré ([https://en.wikipedia.org/wiki/Henri\\_Poincar%C3%A9](https://en.wikipedia.org/wiki/Henri_Poincar%C3%A9)), used ‘polynomial approximations’ to solve a very large number of problems so that Lagrange’s ‘polynomial approximations’ are now known as ‘Poincaré expansions’ as well as ‘asymptotic expansions’ . . . by mathematicians. ([https://en.wikipedia.org/wiki/Asymptotic\\_expansion](https://en.wikipedia.org/wiki/Asymptotic_expansion).)

*But ‘polynomial approximations’ are still often confused by teachers with ‘Taylor series’. And that is most regrettable.*



When you have mastered numbers, you will in fact no longer be reading numbers, any more than you read **words** when reading books. You will be reading **meanings**.

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Harold Geneen <sup>1</sup>

## Chapter 0

# Numbers For Calculating

The Numbers We Will Use, 2 • Zero and Infinity, 4 • Numbers In General, 7 • Real-world Numbers, 13 • Picturing Real World Numbers, 17 • Computing with Real World Numbers, 19 • Size-comparing Real World Numbers, 23 • Qualitative Sizes, 26 • Computing with Qualitative Sizes, 33 • Computing with Extended Numbers., 39 • Neighborhoods, 40 • Real Numbers, 55 • Approximating Real Numbers, 58 • Conclusion, 59 .

Before considering CALCULUS according to the **real world**, it will be useful to consider a few aspects of ARITHMETIC according to the **real world** that are usually not granted much attention in ARITHMETIC textbooks.

To begin with, from the **model theoretic** standpoint,

**CAUTIONARY NOTE 0.1** The word 'number' refers to the **real world** while a 'numeral' is the name of a 'number'. belongs to the paper world

See (<https://en.wikipedia.org/wiki/Number>) and <https://en.wikipedia.org/wiki/Numerical>

*No, no, this is not going to be your usual Review Of Basic Stuff in disguise!*

*You should read this Chapter 0 to have an idea of what's in there but don't panic: as you go on with the later chapters, there will always be clickable references and it will then make perfect sense.*

This being said, in accordance with **Interpolation** (AGREEMENT 3.1, Page 187),

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<sup>1</sup>As discussed, very thoroughly, in <https://history.stackexchange.com/questions/45470/source-of-quote-attributed-to-w-e-b-du-bois-when-you-have-mastered-numbers?rq=1>, this famous quote is indeed from Geneen's book, *Managing*, Chapter Nine - The Numbers, p. 151, rather than from W.E.B. Dubois, as often asserted—with no reference.

qualifier  
thing  
qualifier

**AGREEMENT 0.1** This text will *not* use the word 'numeral' but only the word 'number', leaving it to the reader which is actually intended.

## 1 The Numbers We Will Use

By itself, that is without **qualifier**, the word 'number' cannot be **defined** because *mathematicians*, *scientists*, and *engineers* all use many different kinds of 'numbers' for many different purposes. (<https://en.wikipedia.org/wiki/Number>)

**1. Signed decimal numbers.** In fact, even "the rest of us" use different kinds of 'numbers' depending on:

**A.** Whether the **real world entity** we want to deal with is:

▶ A **collection** of items

or

▶ An **amount** of stuff

and also on:

**B.** Whether the **information** we want about the **real world entity** is:

▶ The **size** of the real world **entity alone**,

or

▶ The **size together with** the **orientation** of the real world **entity**,

So, the word **number** should always be used with one of the following **qualifiers**

	Collection	Amount
Size <i>only</i>	plain whole number	plain decimal number
Size <i>and</i> orientation	signed whole number	signed decimal number

### EXAMPLE 0.1.

- ▶ 783043 is a plain whole numeral which may refer to a collection of *people*,
- ▶ 648.07 is a plain decimal numeral which may refer to an amount of *money*,
- ▶  $-547048308$  and  $+956481$  are signed whole numerals,
- ▶  $-137.0488$  and  $+0.048178$  are signed decimal numerals.

### EXAMPLE 0.2.

- ▶ By "Numbers are beautiful", what will be intended is "*Signed decimal numerals* are beautiful",
- ▶ By "*Plain numbers* are weird", what will be intended is "*Plain numerals*, whether whole or decimal, are weird".

- ▶ By "*Decimal numbers* are handy", what will be intended is "*Decimal numerals, whether plain or signed, are handy*". number changeable set

However, in contradistinction with DISCRETE MATHEMATICS which deals exclusively with **collections of items** and therefore uses only **whole numbers** ([https://en.wikipedia.org/wiki/Discrete\\_mathematics](https://en.wikipedia.org/wiki/Discrete_mathematics)), CALCULUS deals only with **amounts of stuff** and we will use **whole numbers** only occasionally, mostly as explanatory backdrop for **decimal numbers**.

But since constantly having to use **qualifiers** would be unbearably burdensome,

**AGREEMENT 0.2** In the absence of **qualifier**, **number** will be short for *signed decimal number*.

**EXAMPLE 0.3.** What will be intended by:

- ▶ "Numbers are beautiful" is "*Signed decimal numbers* are beautiful",
- ▶ "*Plain numbers* are weird" is "*Plain numbers, whether whole or decimal, are weird*".
- ▶ "*Decimal numbers* are handy" is "*Decimal numbers, whether plain or signed, are handy*".

**2. Changeable number vs. set number.** In the **real world**, **numbers** can occur in different ways:

- ▶ A **number** may be **changeable** in a situation, that is in that situation the **number** *can* be changed to any other **number**.

**EXAMPLE 0.4.** The people of Jacksville are considering paving part of the parking lot next to Township Hall and since both the *length* and the *width* of the area to be paved are *changeable*, people are discussing the pro and con of 20ft long by 100 feet wide versus 60ft long by 60 feet wide versus 100ft long by 30 feet wide versus etc, etc.

- ▶ A **number** may be **set** in a situation that is in that situation the **number** *cannot* be changed to any other **number**.

**EXAMPLE 0.5.** The people in Jillsburg are considering paving part of the road from City Hall down to the river but since the *width* is *set* by the County, only the *length* of the area to be paved is *changeable* and people are discussing the pro and con of 300 ft long versus 1000 ft long versus 500 ft long versus etc, etc.

0  
 syntactic  
 semantic  
 nothingness

**EXAMPLE 0.6.** The circumference of a circle of diameter 702.36 is equal to  $3.14159 \times 702.36$  (<https://en.wikipedia.org/wiki/Circumference#Circle>), In that sentence, the number 702.36 is *changeable* to any other number to get the circumference of another circle but the number 3.14159 is *set*. (<https://en.wikipedia.org/wiki/Pi>)

## 2 Zero and Infinity

Zero and Infinity both play most important roles, different but *reciprocal*.

**1. Zero.** Already “*the ancient Greeks [...] seemed unsure about the status of zero as a number.*” ([https://en.wikipedia.org/wiki/0#Classical\\_antiquity](https://en.wikipedia.org/wiki/0#Classical_antiquity)).

There are two difficulties with **0** that separate **0** from other *numbers*

**i.** The **syntactic** difficulty is that, at least to an extent, mathematicians use **0** only for convenience.

**EXAMPLE 0.7.** When dealing with addition of signed numbers, not having **0** would create a difficulty when explaining what happens with adding *opposite* sign numbers.

On the other hand, as we will discuss in ?? ?? - ?? (??), the presence of **0** causes serious difficulties when dealing with *division*.

**ii.** The **semantic** difficulty, actually the more important one, is that **nothingness** does not exist in the *real world* in that there is no such thing as a *zero amount* of *stuff*.

**EXAMPLE 0.8.**

- ▶ There is no such thing as a perfect vacuum. (<https://en.wikipedia.org/wiki/Vacuum>).
- ▶ There is no such thing as an absolute zero temperature. ([https://en.wikipedia.org/wiki/Absolute\\_zero](https://en.wikipedia.org/wiki/Absolute_zero))

*Of course, to say that the size of 0 is 0 merely moves the issue to plain numbers. After months of waffling!*

So the second difficulty is that since **0** does not denote *any amount* in the *real world*, **0** has no *size* and no *sign*.

Since it is standard practice, though, we will have to accept that

**CAUTIONARY NOTE 0.2**  $0$  is considered to be a *number* in spite of the fact that  $0$  does *not* denote *anything* in the real world.

non-zero number  
infinity  
end of the line

So, what we will do is to distinguish **non-zero numbers**, that is all numbers except  $0$ , from just **numbers** which include  $0$ . So, all *non-zero numbers* have both a *size* and a *sign*.

*Isn't playing it both ways convenient?*

**2. Infinity.** Like *zero*, *infinity* is an ancient issue: “*Since the time of the ancient Greeks, the philosophical nature of infinity was the subject of many discussions among philosophers.*” (<https://en.wikipedia.org/wiki/Infinity>)

*If only as “And so on.”*

i. There are indeed at least two difficulties with *infinity*:

- ▶ The *semantic* difficulty with *infinity* is that there is no such thing in the *real world* as an *infinite collection* of items or an *infinite amount* of stuff

**EXAMPLE 0.9.** Neither the number of stars in the universe, nor the amount of energy, is infinite. Hugely huge, yes, beyond our ability even to imagine, yes, infinite, no.

- ▶ Another difficulty with *infinity* is that, while *numbers* are endless, in the real world, sooner or later, we always get to the **end of the line**. ([https://en.wikipedia.org/wiki/End\\_\(topology\)](https://en.wikipedia.org/wiki/End_(topology))) If we try to go farther and farther away, we have the feeling that the longer we go, the farther away we will get and that there is nothing to keep us from getting as far away as we want. But this is not *really* the case, nothing is endless .

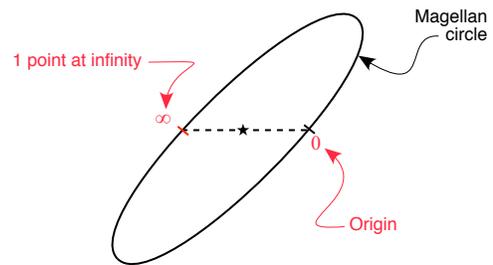
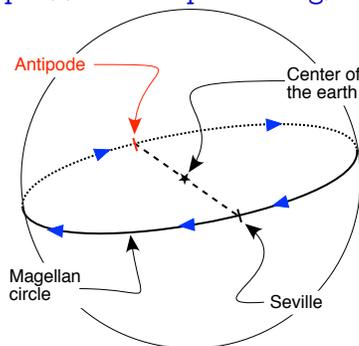
**EXAMPLE 0.10.** Even though Magellan died in 1521 while trying to go as far away from Seville as he could, his ships kept on going west. And one of them eventually reached . . . home, bearing witness that there was no going around the fact that the earth is round. ([https://en.wikipedia.org/wiki/Ferdinand\\_Magellan#Voyage](https://en.wikipedia.org/wiki/Ferdinand_Magellan#Voyage))

compact  
 one-point compactification  
 Magellan  
 circle  
 $\infty$   
 origin  
 two-points  
 compactification  
 $+\infty$   
 $-\infty$



ii. As with **zero**, mathematicians tend to ignore the first difficulty but do acknowledge the second difficulty by occasionally **compactifying numbers** in either one of two ways:

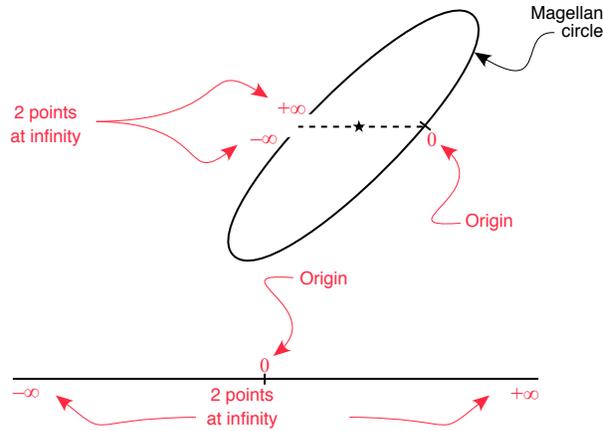
- **One-point compactification:** Since what looks to us like a *straight line* is in **reality** just a piece of a **Magellan circle**, (<https://www.quantamagazine.org/what-shape-is-the-universe-individual-or-flat-20191104/>), we can **compactify** a *straight line* into a Magellan circle by adding  $\infty$ , that is the point “down under” from the **origin**, as **end of the line**. ([https://en.wikipedia.org/wiki/Projectively\\_extended\\_real\\_line](https://en.wikipedia.org/wiki/Projectively_extended_real_line))



However,

**CAUTIONARY NOTE 0.3**  $\infty$  is *not* a *number*

- **Two-points compactification:** The other way mathematicians use to prevent **numbers** from being endless is to **end** the **positive numbers** and the **negative numbers** *separately*: the **positive numbers** with  $+\infty$  and the **negative numbers** with  $-\infty$ . ([https://en.wikipedia.org/wiki/Extended\\_real\\_number\\_line](https://en.wikipedia.org/wiki/Extended_real_number_line))



extended number  
point  
point

However,

**CAUTIONARY NOTE 0.4**  $+\infty$  and  $-\infty$  are *not numbers* but what are often called **extended numbers**.

### 3 Numbers In General

If only for the sake of economy, other than in **EXAMPLES**, we won't deal with *given numbers* but with what applies to *any number* one could give.

**1. Points.** However, and in spite of CAUTIONARY NOTE 0.2 - 0 is a *dangerous number* (Page 5) and CAUTIONARY NOTE 0.3 -  $\infty$  is *not a number* (Page 6), and because, for all their differences, we will be *using* 0,  $\infty$ , and *non-zero numbers* pretty much in the same way, it will be extremely convenient to use

**DEFINITION 0.1** By the **word point**, we will mean any of the following:

- ▶ Any *non-zero number*,
- ▶ 0, (Even though 0 has no sign.)
- ▶  $\infty$ . (Even though  $\infty$  is *not a number*.)

In particular, it will be extremely convenient to see the *points*  $\infty$  and 0 as *points* that are *reciprocal* of each other.

Nevertheless:

variable  
formula  
declare  
global variable  
 $x$   
 $y$   
 $z$

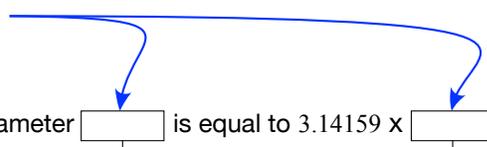
**CAUTIONARY NOTE 0.5** One cannot *compute* with *points* because the rules for *computing* with *non-zero* numbers and with  $0$  are different and we cannot *compute* with  $\infty$  very much at all.

**2. Global variables.** A **variable** is a *symbol* that does *not* denote any particular *number* but which works like an *empty box* in a **formula** awaiting whatever *number* we will **declare** for the **formula** to become a **sentence**. ([https://en.wikipedia.org/wiki/Variable\\_\(mathematics\)](https://en.wikipedia.org/wiki/Variable_(mathematics)))

**EXAMPLE 0.11.**

Declare 702.36

The circumference of a circle of diameter  is equal to  $3.14159 \times$



yields the sentence at the beginning of **EXAMPLE A.9** (Page 506)

Because *numbers* can occur in different ways, we will be using different *kinds* of *variables*:

- i. When we want to deal with *all numbers* (Including  $0$ ) we will use

**DEFINITION 0.2**  $x$ ,  $y$ , and  $z$  are (symbols for) **global variables** which can thus be replaced by *any number* we **declare**.

**EXAMPLE 0.12.** In **EXAMPLE A.7** (Page 505), until the people in Jacksonville *declare* what they want, we can denote the length and the width of the area to be paved with the global variables  $x$  and  $y$ .

People against paving can vote for declaring 0.

**LANGUAGE NOTE 0.1** We are using the *qualifier* “global” to distinguish from another kind of standard *variable* which we will introduce in Section 12\* - **Real Numbers** (Page 55) for which we will use the *qualifier* “local”.

**CAUTIONARY NOTE 0.6** Global variables can be replaced by  $0$  in view of **CAUTIONARY NOTE 0.2** -  $0$  is a *dangerous* number (Page 5) but *not* by  $\infty$  in view of **CAUTIONARY NOTE 0.3** -  $\infty$  is *not* a *number* (Page 6)

ii. **Non-zero global variables.** We mentioned in Subsection 3.1 - Points (Page 7) that there are situations in which we cannot use 0 and so, in these situations, we cannot use **global variables** either and we will use

non-zero global variable

$x \neq 0$

$y \neq 0$

$z \neq 0$

set variable

$x_0$

$y_0$

**DEFINITION 0.3**  $x \neq 0$ ,  $y \neq 0$ ,  $z \neq 0$  are (symbols for) **non-zero global variables** which can thus be replaced by any *non-zero number* we declare.

**LANGUAGE NOTE 0.2** **Non-zero variables** are *not* standard symbols and, instead, symbols for global **variables** are used together with a separate stipulation that only non-zero **numbers** can be declared. But we will find non-zero variables convenient because there is then no need to interrupt the flow.

**EXAMPLE 0.13.** One cannot write  $\frac{1}{x}$  without writing somewhere not too far something like “( $x \neq 0$ )” to prevent replacement by 0. So, we will write  $\frac{1}{x \neq 0}$ .

iii. **Set variable.** There are situations where there is a particular number playing a particular role.

**EXAMPLE 0.14.** In a mystery novel, there usually is someone "who did it" and the detective has to use words like "the perpetrator" to say things like "the perpetrator wasn't looking for money", "the perpetrator's weapon", "Someone must have seen the perpetrator because ...". etc

So we will use

**DEFINITION 0.4**  $x_0$ ,  $y_0$ ,  $z_0$  are (symbols for) **set variables** which can thus be replaced by any *set numbers*.

**EXAMPLE 0.15.** In EXAMPLE A.8 (Page 506), until the people in Jillsburg declare what *length* they want to be paved, we can can symbolize the length *length* to be paved by  $y$  but we have to symbolize the width by  $x_0$  because it *set* by the County.

**LANGUAGE NOTE 0.3** There is no standard name for the **symbols**  $x_0$ ,  $y_0$ ,  $z_0$  even though the **symbols** themselves are completely standard.

We use “**set**” because the **set numbers** by which these **variables** can be **replaced** will be reset in each new situation. (<https://en>.

expression  
 generic expression  
 evaluate  
 at  
 declare  
 replace  
 individual expression  
 execute

wiktionary.org/wiki/set#Adjective)

**EXAMPLE 0.16.** In EXAMPLE A.8 (Page 506), while the County in which Jacksonville and Jillsburg are set the width of paved roads at, say, 20 Ft, another County could set it at, say, 24 Ft.

**3. Generic expression.** In MATHEMATICS, an **expression** is formed according to rules with **symbols** that can be **numbers**, **variables**, operations, and ‘functions’, together with **symbols** to determine the order of operations. (Rephrased from [https://en.wikipedia.org/wiki/Expression\\_\(mathematics\)](https://en.wikipedia.org/wiki/Expression_(mathematics)))

You may also want to look at an old, classic game: [https://en.wikipedia.org/wiki/WFF\\_%27N\\_PROOF](https://en.wikipedia.org/wiki/WFF_%27N_PROOF) or [https://americanhistory.si.edu/collections/search/object/nmah\\_694594/](https://americanhistory.si.edu/collections/search/object/nmah_694594/)

For our purpose, though, it will be enough to define a **generic expression** as an **expression** involving at least one **global variable**.

**EXAMPLE 0.17.** In EXAMPLE 0.49 (Page 28), the cost to Jackstown for paving an  $x$  Ft. by  $y$  Ft. rectangular area at  $z$  Dollars per Sq.Ft. would be given by the generic expression  $\text{Cost}(x, y, z) = x \times y \times z$ .

**EXAMPLE 0.18.**

- ▶ To express that one times *any* number is equal to that number, we write the generic expression:

$$1 \times x = x$$

- ▶ To express that the order in which we add any two *numbers* does not matter, we write the generic expression:

$$x + y = y + x$$

- ▶ To express that the order in which we *add* any three **wholenumbers** does not matter, we write the generic expression:

$$(x + y) + z = x + (y + z)$$

**4. Evaluation at a given number.** We can usually **evaluate** a **generic expression at** a given number:

**PROCEDURE 0.1** To evaluate a given **generic expression** in terms of  $x$  at a given number  $x_0$ :

i. **Declare** the given number  $x_0$  by writing the declaration  $x \leftarrow x_0$ , read “ $x$  to be replaced by  $x_0$ ”, to the right of the generic expression:

$$\text{generic expression in terms of } x \mid x \leftarrow x_0$$

ii. **Replace** every occurrence of  $x$  in the generic expression in terms of  $x$  by the declared number  $x_0$  to get the **individual expression** in terms of the given number  $x_0$ .

$$\text{individual expression in terms of } x_0$$

iii. **Execute** the individual expression in terms of  $x_0$ , that is carry out the operations according to the rules.

*In standard CALCULUS texts, step i. is usually omitted.*

**DEMO 0.1a** To evaluate the generic expression  $\frac{x^{+2} \ominus +7}{x \oplus +3}$  at  $+5$

i. We **declare** the given  $+5$  by writing the declaration  $x \leftarrow +5$ , read “ $x$  to be replaced by  $+5$ ”, to the right of the generic expression:

$$\frac{x^{+2} \ominus +7}{x \oplus +3} \mid x \leftarrow +5$$

ii. We get the **individual expression** for the given  $+5$  by replacing every occurrence of  $x$  in the generic expression by the given  $+5$ :

$$\frac{+5^{+2} \ominus +7}{+5 \oplus +3}$$

iii. We **execute** the individual expression in terms of  $+5$ , that is we carry out the operations according to the rules:

$$\frac{+25 \ominus +7}{+5 \oplus +3}$$

$$\frac{+18}{+8}$$

$$+4.5$$

Unfortunately, there are three issues:

**A.** Generic expressions cannot be evaluated *at*  $\infty$  in view of CAUTIONARY NOTE 0.3 -  $\infty$  is *not a number* (Page 6),

**B.** Generic expressions cannot always be necessarily evaluated *at* a number  $x_0$ ,

**DEMO 0.1b** To evaluate the generic expression  $\frac{x^{+2} \ominus +7}{x \oplus +3}$  at  $-3$

i. We declare the given  $-3$  by writing the declaration  $x \leftarrow -3$ , read " $x$  to be replaced by  $-3$ ", to the right of the generic expression:

$$\frac{x^{+2} \ominus +7}{x \oplus +3} \quad \left| \quad x \leftarrow -3$$

ii. We get the individual expression for the given  $-3$  by replacing every occurrence of  $x$  in the generic expression by the given  $-3$ :

$$\frac{-3^{+2} \ominus +7}{-3 \oplus +3}$$

iii. We try to execute the individual expression in terms of  $+5$ , that is we carry out the operations according to the rules but the execution comes immediately to a halt

$$\frac{+9 \ominus +7}{0}$$

$$\frac{+2}{0}$$

because when dividing, say \$2 among 0 people we can promise any share.

And things can easily turn out even more complicated. For instance:

**DEMO 0.1c** To evaluate the generic expression  $\frac{x^{+2} \ominus +9}{x \oplus -3}$  at  $+3$

digit  
specify

i. We declare the given  $+3$  by writing the declaration  $x \leftarrow +3$ , read " $x$  to be replaced by  $+3$ ", to the right of the generic expression:

$$\frac{x^{+2} \ominus +9}{x \oplus -3} \quad \left| \quad x \leftarrow +3 \right.$$

ii. We get the individual expression for the given  $+3$  by replacing every occurrence of  $x$  in the general expression by the given  $+3$ :

$$\frac{+3^{+2} \ominus +9}{+3 \oplus -3}$$

iii. We try to execute the individual expression in terms of  $+3$ , that is we carry out the operations according to the rules but the execution comes immediately to a halt:

$$\frac{+9 \ominus +9}{0}$$

$$\frac{0}{0}$$

because dividing, say \$0 among 0 people, allows for any share.

C. Last but not least and as we will see in Section 1 - Global Input-Output Rules (Page 207), inasmuch as CALCULUS is intended to deal with 'change', evaluating a generic expression at a number  $x_0$  will not provide enough information for us to figure out changes near  $x_0$ .

**EXAMPLE 0.19.** We cannot tell the speed of a car from just a *still* picture.

We *will* be able to overcome all these difficulties but only in Section 11 - Neighborhoods (Page 40) because we will first have to look at several things and then build the necessary machinery.

## 4 Real-world Numbers

An important feature of a decimal number is how many digits the number has. ([https://en.wikipedia.org/wiki/Numerical\\_digit](https://en.wikipedia.org/wiki/Numerical_digit))

Other than the digit 0!

And then, when we want to *specify*, that is when we want to denote in order to bring about a *specific* result (<https://www.thefreedictionary>.

figure  
significant

[com/specify](#)), there is a difference between what is necessary to **specify** a **collection** of items and what is necessary to **specify** an **amount** of stuff.

**1. Non-zero digits** The more non-zero **digits** (whether left or right or both sides of the decimal point) a **number** has, the less likely the **number** is to denote anything in the **real world**.

**EXAMPLE 0.20.** None of the following numbers

+602 528 403 339 145 485 295 666 038 294 891 392 775 987 378 000 261 234 386 384  
558 003 000 384 203 771 790 349 303 000 000 000 809 234 329 262 234 085 108 500  
000 002 891 038 456 666 ■

-0. ■ 602 528 403 339 145 485 295 666 038 294 891 392 775 987 378 000 261 234 386 384  
558 003 000 384 203 771 790 349 303 000 000 000 809 234 329 262 234 085 108 500  
000 002 891 038 456 666

+602 528 403 339 145 485 295 666 038 294 891 392 775 987 378 000 261 234 386 384  
558 003 000 384 203 771 790 349 303 000 000 000 809 234 329. ■ 262 234 085 108 500  
000 002 891 038 456 666

is likely to denote anything in the real world.

**LANGUAGE NOTE 0.4** **Figure** is the name often used instead of **digit** but In this text we will stick to **digit**,

**2. Significant digits.** Indeed, only so many **digits** can be **signifi-**  
**cant**, that is can be describing anything in the **real world**. ([https://en.wikipedia.org/wiki/Significant\\_figures](https://en.wikipedia.org/wiki/Significant_figures))

**EXAMPLE 0.21.** To say that “*the estimated population of the US was “328 285 992 as of January 12, 2019”* ([https://en.wikipedia.org/wiki/DEMOgraphy\\_of\\_the\\_United\\_States](https://en.wikipedia.org/wiki/DEMOgraphy_of_the_United_States) on 2019/02/06) is not reasonable because at least the rightmost digit, 2, is certainly *not* significant: on that day, some people died and some babies were born so the population could just as well been denoted as, say, 328 285 991 or 328 285 994.

**EXAMPLE 0.22.** The **numbers** given in [https://en.wikipedia.org/wiki/Iron\\_and\\_steel\\_industry\\_in\\_the\\_United\\_States](https://en.wikipedia.org/wiki/Iron_and_steel_industry_in_the_United_States) are much more reasonable: ‘*In 2014, the United States [...] produced ‘ 29 million metric tons of pig iron and 88 million tons of steel.*’ Similarly, “*Employment as of 2014 was 149,000 people employed in iron and steel mills, and 69,000 in foundries. The value of iron and steel produced in 2014 was 113 billion.*”

Identifying **significant digits**, however, is not a simple matter ([https://en.wikipedia.org/wiki/Significant\\_figures#Identifying\\_significant\\_figures](https://en.wikipedia.org/wiki/Significant_figures#Identifying_significant_figures)) and neither is determining in the result of a **calculation** which **digits** will be **significant** ([https://en.wikipedia.org/wiki/Significant\\_figures#Arithmetic](https://en.wikipedia.org/wiki/Significant_figures#Arithmetic)).

measure  
uncertainty  
differ  
Neither of which will be considered in this text!

**3. Size of a collection of items.** In the case of a **collection** of items, things are simple because:

- ▶ the **whole number** *counted* to denote how many items we get will *never* differ from
- ▶ the **whole number** *given* to denote how many items we wanted, so that, in order to **specify** a **collection** of items we need only **specify** how many items we want in the **collection**.

Which, of course, is not to say that people—deliberately or not— will never miscount.

**4. Size of an amount of stuff.** While, in order to denote the **amount** of **stuff** we *want* we need only **give** a **number**, in order to denote what we *get* is another matter because we have to **measure** this **amount** of **stuff** and there will always be some **uncertainty** in the **measurement** because of such things as the quality of the equipment used to **measure** the **amount**, the ability of the person doing the **measurement**, etc. Therefore, the **measured number** will always **differ** from the given number by some **error**.

**EXAMPLE 0.23.** We cannot really say “we *have* 2.3 quarts of milk” because what we really have depends on the care with which the milk was measured. The *uncertainty* may of course be too small to matter . . . but then may not.

As Gowers (Fields Medal 1998) put it (6<sup>th</sup> paragraph of <https://www.dpmms.cam.ac.uk/~wtg10/continuity.html>), “[...] a *measurement of a physical quantity will not be an exactly accurate infinite decimal. Rather, it will usually be given in the form of a finite decimal together with some error estimate:  $x = 3.14 \pm 0.02$  or something like that.*” [Where  $3.14 \pm 0.02$  is to be read as  $3.14 \pm$  some **number smaller-size** than 0.02]

At this point, you probably won't be able to make much of the rest of Gowers' paper but even a cursory glance will show his concern with the real world. Rare for a mathematician!

**5. Specifying an amount of stuff.** In Section 1 - The Numbers We Will Use (Page 2), we pointed out that we use different ‘numbers’ to denote what we *have* or what we *want*. But while using **numbers** is *sufficient* to denote what we *have*, in the case of an **amount** of **stuff** using **numbers** is *not sufficient* to **specify** what we *want*

- ▶ the **decimal number** *measured* to denote how much stuff we get

tolerance  
significant

will *always* differ by some error from

- ▶ the decimal number *given* to denote how much stuff we wanted,

**CAUTIONARY NOTE 0.7** A number cannot specify an amount just by *itself*.

So, *scientists* and *engineers* use **specifications** that consist of *two* numbers:

- ▶ a **number** to denote **how much stuff** they *want*,
- ▶ a **number** to denote the **tolerance** that is the **size** of at most **how much** the **measured number** is allowed to **differ** from the given number ([https://en.wikipedia.org/wiki/Engineering\\_tolerance](https://en.wikipedia.org/wiki/Engineering_tolerance)).

**EXAMPLE 0.24.** We *can* order “6.4 quarts of milk” with an error of at most 0.02 quarts of milk.

But, rather unfortunately, it is standard to write, as Gowers did above:

$$x = x_0 \pm T$$

that is that the measured **number** is *equal* to the given number  $\pm$  the **tolerance**!

**EXAMPLE 0.25.** Strictly speaking, it makes no sense to specify  $+6.4 \pm 0.02$  because that would specify  $+6.42$  or  $+6.38$ . But what is meant by that is that we are specifying  $+6.4 \oplus$  a signed error whose size is smaller than 0.02 where 0.02 is the given *tolerance*.

We can then restate CAUTIONARY NOTE 0.7 - A number cannot specify an amount just by *itself* (Page 16) in a more constructive manner:

**CAUTIONARY NOTE 0.7 (Restated)** A number cannot specify an amount just by *itself*

**EXAMPLE 0.26.** While we cannot *specify* an amount of 6.4 quarts of milk (?? (??), we *can* specify an amount of  $6.4$  quarts of milk with a *tolerance* of 0.02 quarts of milk: what *can* then be poured will be  $6.4 \pm$  a plain decimal number of quarts of milk *smaller than* 0.02.

Of course, not all **differences** are **significant**, that is carry **information** that is relevant to the **real world** situation.

**EXAMPLE 0.27.** The difference between \$3. and \$8. is the same as the difference between \$1 000 000 003. and \$1 000 000 008., namely \$5.. However, while the difference between \$3. and \$8. is *significant* because \$5. is in the same range as \$3. and \$8., the difference between \$1 000 000 003. and \$1 000 000 008.

is ... *insignificant* because \$5. is *much smaller* than both \$1 000 000 003. and \$1 000 000 008..

real world number  
real world number  
give  
quantitative ruler  
tickmark  
scale

**6. Real world numbers.** Like all *scientists* and *engineers*,

**DEFINITION 0.5** By **real world numbers**, we will mean (signed decimal) numbers all whose digits are significant.

And real world numbers are not at all the same as 'Real Numbers' which will be discussed in ?? ?? - ?? (??)

And so, from now on,

**AGREEMENT 3.1 (Restated) Interpolation** will be short for real world number.

**7. Giving a number.** There are at least three ways to **give** a **number**:

- The number *itself* can be given

**EXAMPLE 0.28.** Consider the number  $-107.53$

- The number can be given as the result of a calculation

**EXAMPLE 0.29.** Consider the number that results from multiplying  $+41.06$  and  $+0.0317$

- The number can be given as the solution of an equation.

**EXAMPLE 0.30.** Consider the number 3 copies of which multiply to  $+27$ .

So, **given** numbers, in particular the numbers we will use in **EXAMPLES** and **DEMOS**, will of course be **real world numbers**.

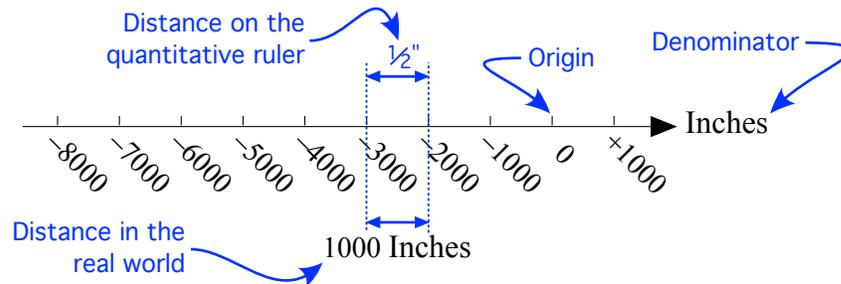
## 5 Picturing Real World Numbers

**1. Quantitative rulers.** So, to picture **numbers**, we will use **quantitative rulers** which are essentially just what goes by the name of “ruler” in the **real world**, that is an **oriented** straight line with *equidistant* **tickmarks** together with a **denominator**.

The **scale** of a **quantitative ruler** is the ratio of *any* distance on the **quantitative ruler** to the corresponding distance in the **real world** ([https://en.wikipedia.org/wiki/Scale\\_\(represent\)](https://en.wikipedia.org/wiki/Scale_(represent)))

number line  
axis  
side

**EXAMPLE 0.31.** The following :

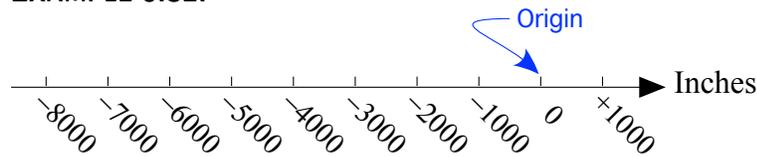


is a *quantitative ruler* whose scale is  $\frac{\frac{1}{2}\text{inch}}{1000\text{inch}} = \frac{1}{2000}$

**LANGUAGE NOTE 0.5** **Number line** is the name most often used instead of **quantitative ruler** but another often used name is **axis**. However, in this text we will stick to **quantitative ruler**.

**2. Origin.** **Quantitative rulers** must have a **tickmark** labeled **0** as an **origin**,

**EXAMPLE 0.32.**



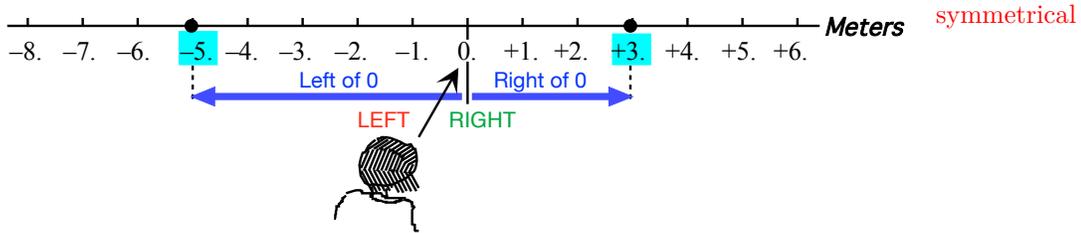
To know where the **origin** is is necessary because:

- The **sign** of a **number** says which **side** of the **origin** the **number** is—as seen when facing **0**—and we will agree that

**AGREEMENT 0.3** When facing **0**,

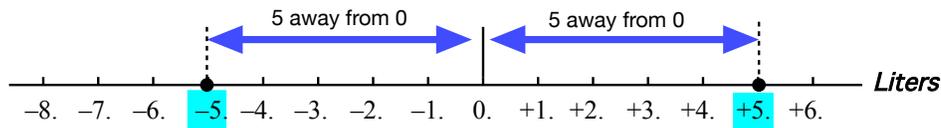
- ▶ Positive **numbers** (**+** sign) will be to the *right* of the **origin 0**,
- ▶ Negative **numbers** (**-** sign) will be to the *left* of the **origin 0**.

**EXAMPLE 0.33.** On a quantitative ruler,  
 Since Sign  $-5 = -$ , the number  $-5$  is **left** of  $0$ .  
 Since Sign  $+3 = +$ , the number  $+3$  is **right** of  $0$ .



- The **size** of a **number** says *how far* from **0** the **number** is on a **quantitative ruler**. Since opposite **numbers** have the same **size**, opposite **numbers** are **symmetrical** relative to the **origin**.

**EXAMPLE 0.34.** The numbers **-5.0** and **+5.0** have the same size, namely 5.0, so they are equally far from 0:



## 6 Computing with Real World Numbers

There are also several issues we need to bring up that all have to do with the fact that **computing** with *signed numbers* automatically involves **computing** with *plain numbers*, thereby creating a risk of confusion.

**1. Comparing given numbers.** The most important thing to keep in mind is that :

- i. Comparing *signed numbers* (?? ?? - ?? (??)) is quite different from **comparing plain numbers**—even though we use the same **symbols**,  $<$ ,  $>$ , and  $=$ , with both **plain numbers** and **signed numbers**:
  - ▶ *Positive numbers* compare the *same* way as their **sizes**,
  - ▶ *Negative numbers* compare the *opposite* way from their **sizes**,
 and:
  - ▶ given numbers with opposite **signs** compare *regardless* of their **sizes**.

and

- ii. The everyday use of *plain numbers* with *words* instead of *symbols* to indicate the **orientations** can make using the **words** *larger than*, *smaller than* and *equal to* quite confusing.

⊕  
⊖  
addition  
subtraction

**EXAMPLE 0.35.** In everyday language, we say that  
A \$700 *expense* is *larger* than a \$300 *expense*  
because 700 is larger than 300 but the word *expense* cannot be seen as just  
meaning – because  
–700 is *smaller* than –300.

**CAUTIONARY NOTE 0.8** Larger than, smaller than, equal to have different meanings depending on whether we are comparing *signed numbers* or comparing *plain numbers*.

**2. Adding and subtracting given numbers.** Notice that we have been using + and – not only as symbols for *addition* and *subtraction* of *plain numbers*, both *whole* and *decimal*, in spite of these being already quite different *sets of numbers*, but now also as *symbols* to distinguish *positive numbers* from *negative numbers*.

So, to avoid confusion as much as possible,

?? ?? - ?? (??) uses the symbols ⊕ and ⊖.

**DEFINITION 0.6** ⊕ and ⊖, read “oplus” and “ominus”, will be the symbols we will use for *addition* and *subtraction* of *signed numbers*.

Which is presumably why, say, +13.73 and –78.02 used to be written as +13.73 and –78.02 since  
+13.73 – 78.02  
has the same advantages as  
+13.73 ⊖ –78.02.

While the main point of the ○ around the *symbol* is to remind us to take care of the *signs*, another benefit is that using ⊕ and ⊖ lets us avoid having to use lots of parentheses.

**EXAMPLE 0.36.** Instead of the standard  
–23.87 + (–3.03), –44, 29 – (+22.78), +12.04 – (–41.38)  
we will write:  
–23.87 ⊕ –3.03, –44, 29 ⊖ +22.78, +12.04 ⊖ –41.38  
which makes it clear without using parentheses which are *symbols* for *calculations* and which are *symbols* for *signs*.

**THEOREM 0.1** Opposite *numbers* add to 0:

$$x = \text{Opposite } y \quad \text{iff} \quad x \oplus y = 0$$

**3. Multiplying and dividing real world numbers.**

- i. While we could use the symbol  $\otimes$  for the multiplication of *signed* given numbers, we will use the symbol  $\odot$  because the symbol  $\cdot$  is the usual practice in CALCULUS texts.
- ii. Similarly, while we could use the symbol  $\oplus$  for the division of *signed* given numbers, we will use the fraction bar  $\frac{\quad}{\quad}$  because it is the usual practice in CALCULUS texts.

$\odot$   


---

*?? ?? - ?? (??) uses the symbols  $\odot$  and  $\frac{\quad}{\quad}$ .*

*For good reasons as you will see. And no circle around that one either!*

**EXAMPLE 0.37.**

$$\begin{array}{cccc}
 +2 \odot +5 = +10, & +2 \odot -5 = -10, & -2 \odot +5 = -10, & -2 \odot -5 = +10 \\
 \frac{+12}{+3} = +4, & \frac{+12}{-3} = -4, & \frac{-12}{+3} = -4, & \frac{-12}{-3} = +4,
 \end{array}$$

**THEOREM 0.2 Reciprocal *non-zero* numbers multiply to +1**

$$x_{\neq 0} = \text{Reciprocal } y_{\neq 0} \quad \text{iff} \quad x_{\neq 0} \oplus y_{\neq 0} = +1$$

=====Begin WORK ZONE=====

**4. Operating with 0.** As warned in CAUTIONARY NOTE 0.2 - 0 is a *dangerous* number (Page 5), the behavior of 0 with multiplication and division causes difficulties.

- i. Both 0 multiplied by any number and any number multiplied by 0 result in 0:

$$0 \otimes x = 0 \text{ and } x \otimes 0 = 0$$

The difficulty is that, while we are used to conclude from, say  $x \otimes -7 = y \otimes -7$ , that  $x = y$ , we cannot do so from  $x \otimes 0 = y \otimes 0$

- ii. We cannot divide a number, any number, by 0 because what would be the share in the real-world if we could divide 7 apples among 0 people?

And then, while we can say that  $12 \div 4 = 3$  because when we share in the real-world 12 apples among 4 people each person gets 3 apples, to say that  $12 \div 0 = \text{some number}$  would mean that  $0 \times \text{that number} = 12$  as mentioned in i.

- iii. Since:

- ▶ We cannot divide 1 by 0,
- and
- ▶  $\infty$  is not even a number,

we cannot say, as much as we would want to, that 0 and  $\infty$  are reciprocal of each other.

*But wait, don't despair, we will, we will. Just be a bit patient.*

=====End WORK ZONE=====

**5. Operating with more than two given numbers** Given three numbers, let's call them Number One, Number Two, Number Three (which



$$(-3 \ominus +5) \ominus -7 = \underbrace{(-8)}_{\text{Step can be skipped}} \ominus -7 = -8 \ominus -7 = -1$$

size-compare

b. With  $-3 \ominus (+5 \oplus -7)$ ,

- We cannot perform  $\ominus$  as the expression  $-3 \ominus (+5)$  breaks **Rule A**.
- We can perform  $\oplus$  as the expression  $(+5 \oplus -7)$  complies with **Rule A** and **Rule B**. The computation would thus be written:

$$-3 \ominus (+5 \oplus -7) = -3 \ominus \underbrace{(+12)}_{\text{Step can be skipped}} = -3 \ominus +12 = -15$$

**EXAMPLE 0.41.** In **EXAMPLE 0.17** (Page 10) **0.17**, using **AGREEMENT 0.3 - Sides of the origin** (Page 18),

a. With  $(-3 \odot +5) \oplus -7$ :

- We cannot perform  $\oplus$  as the expression  $+5) \oplus -7$  breaks **Rule A**.
- We can perform  $\odot$  as the expression  $(-3 \odot +5)$  complies with **Rule B**.

The computation would thus be written:

$$\underbrace{(-3 \odot +5)}_{\text{Step can be skipped}} \oplus -7 = (-15) \oplus -7 = -15 \oplus -7 = -22$$

b. With  $-3 \odot (+5 \oplus -7)$ :

- We cannot perform  $\odot$  as the expression  $-3 \odot (+5)$  breaks **Rule A**.
- We can perform  $\oplus$  as the expression  $(+5 \oplus -7)$  complies with **Rule B**.

The computation would thus be written:

$$-3 \odot (+5 \oplus -7) = -3 \odot \underbrace{(-2)}_{\text{Step can be skipped}} = -3 \odot -2 = +6$$

## 7 Size-comparing Real World Numbers

Aside from comparing signed numbers as we did in Subsection 7.1 - **Size-comparing vs. comparing sizes** (Page 24), we can also **size-compare** (signed) given numbers, that is we can compare the (signed) numbers in terms of *only* their **sizes** and *regardless* of their **sigs**:

**DEFINITION 0.7** Given two (signed) numbers  $x$  and  $y$ ,

- ▶  $x$  is **smaller-size** than  $y$  iff **Size  $x$**  is *smaller* than **Size  $y$** ,
- ▶  $x$  is **larger-size** than  $y$  iff **Size  $x$**  is *larger* than **Size  $y$** ,

smaller-size  
larger-size  
equal-in-size

►  $x$  is **equal-size** to  $y$  iff Size  $x$  is *equal* to Size  $y$ , (So, iff  $x$  and  $y$  are either equal or opposite.)

**EXAMPLE 0.42.**

- $-234$  is larger-size than  $+32$  (Even though  $-234$  is smaller than  $+32$ )
- $+71$  is smaller-size than  $-728$  (Even though  $+71$  is larger than  $-728$ )
- $-35$  is equal-size to  $+35$ . (Even though  $-35$  and  $+35$  are *opposite*.)

In particular:

**THEOREM 0.3** Sizes of *reciprocal* numbers:

- The larger-size a non-zero number is, the smaller-size its reciprocal, and
- The smaller-size a non-zero number is, the larger-size its reciprocal.

Getting there, eh?

*Proof.* zzzzz

□

**1. Size-comparing vs. comparing sizes.** There is a big difference between:

- size-comparing two signed given numbers
- and
- comparing the *sizes* of two signed numbers

In the first case, *what* we are talking about are *signed* numbers—as it happens from the point of view of their *sizes*, while, in the second case, *what* we are talking about are *plain* numbers—which happen to be *sizes* of *signed* numbers.

**EXAMPLE 0.43.** There is a big difference between

- Age-comparing two people,
- and

- Comparing the ages of two people.

In the first case, *what* we are talking about are *people* while, in the second case, *what* we are talking about are *numbers*.

**EXAMPLE 0.44.** Since:

- i. The size of  $-7$  is 7
- ii. The size of  $-3$  is 3

Then,

The size of  $-7$  is larger than the size of  $+3$

is a statement about the *sizes* of  $-7$  and  $+3$  but

$-7$  is larger-size than  $+3$ ,

is a statement about the *numbers*  $-7$  and  $+3$  *themselves*.

**2. Procedure.** But *size-comparing* is almost invariably confused with “*comparing sizes*”. And, because we always want to know *what* we are talking about and to avoid any confusion in the matter, it will be convenient to use:

**PROCEDURE 0.2** To *size-compare* two (*signed*) numbers

- i. Get the *plain numbers* that are the *sizes* of the given numbers,
- ii. Compare the *plain numbers*,
- iii. Use DEFINITION 0.4 -  $x_0, y_0, z_0$  (Page 9).

**DEMO 0.2**

To *size-compare* the *signed numbers*  $-7.5$  and  $+3.2$

- i. We get their *sizes*: the size of  $-7.5$  is 7.5 and the size of  $+3.2$  is 3.2
- ii. We compare their *sizes*:

Since  $7.5 > 3.2$

size  $-7.5$  is-larger-than size  $+3.2$

- iii. Using DEFINITION 0.4 -  $x_0, y_0, z_0$  (Page 9), we conclude that

$-7.5$  is-larger-size-than  $+3.2$

The trouble in most textbooks, though, is that the first step is the only one that is explicated while the rest is supposed to “*go without saying*”, perhaps because, unfortunately,

**CAUTIONARY NOTE 0.9** There are *no symbols* for *size-comparisons* of numbers.

so that, in view of CAUTIONARY NOTE 0.4 -  $+\infty$  and  $-\infty$  are *not numbers* (Page 7), we will have to say *larger-size* and *smaller-size*, in so many words, as in DEFINITION 0.3 - **Non-zero global variables** (Page 9).

**3. Picturing size-comparisons of given numbers** Given two *num-*  
*bers*,

individualr  
farther

- ▶ The smaller-size number is **individualr** to 0 than the larger-size number,
- ▶ The larger-size number is **farther** from 0 than the smaller-size number.

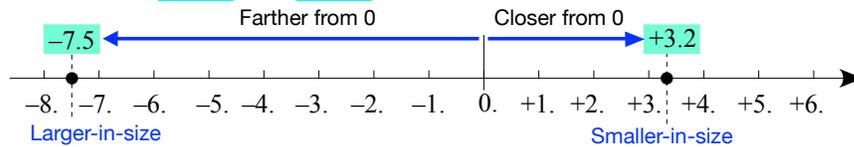
**EXAMPLE 0.45.** Given the numbers  $-7.5$  and  $+3.2$ , we saw in EXAMPLE 1.27 (Page 93) that

- ▶  $-7.5$  is *larger-size-than*  $+3.2$ ,

and therefore that

- ▶  $+3.2$  is *smaller-size-than*  $-7.5$ ,

After picturing  $-7.5$  and  $+3.2$



we see that

- ▶  $-7.5$  is *farther from* 0 than  $+3.2$ ,
- ▶  $+3.2$  is *individualr to* 0 than  $-7.5$ ,

## 8 Qualitative Sizes

*Mathematicians calculate* in exactly the same way with *all* (signed decimal) numbers, regardless of their *size*.

**EXAMPLE 0.46.**  $+0.3642$  and  $-105.71$  are added, subtracted, multiplied and divided by exactly the same rules as  $-41\,008\,333\,836\,092.017$  and  $-0.000001607$ .

*And, of course, one a bit more complicated.* In theory, we can of course *give any* (signed decimal) numbers we want but, in the *real world*, things work in a different way.

**1. Sizes beyond belief.** To begin with, there are unbelievably many numbers that are unbelievably *larger-size* than any number you care to imagine as well as unbelievably many numbers that are unbelievably *smaller-size* than any number you care to imagine:

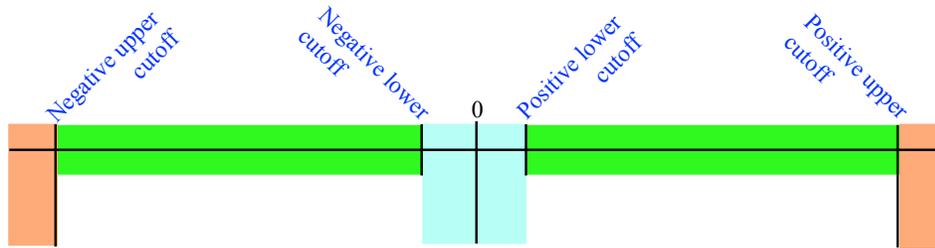
- ▶ We all went through a stage as children when we would *count*, say, “*one, two, three, twelve, seven, fourteen, . . .*” but soon after that we were able to *count* properly and then we discovered that there was no largest number: we could *always count* one more. (Of course, *counting* backwards into the *negative numbers* has no end either so there is no *largest-size number*.) But that was only the tip of the iceberg.







qualitative size  
 small-size  
 small variable  
 $h$   
 large-size



**6. Qualitative sizes.** We can define the following qualitative sizes for numbers:

- i. The numbers whose size is too small for us to give:

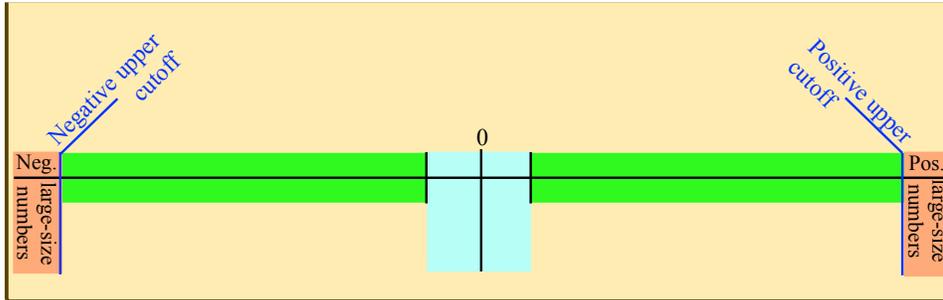
**DEFINITION 0.8** Small-size numbers are number that are smaller-size than the lower cutoff size

**DEFINITION 0.9** The small variables  $h, k, \dots$  will be the (standard) symbols for generic small-size numbers.

**CAUTIONARY NOTE 0.10** because 0 has no size to begin with. (CAUTIONARY NOTE 0.2 - 0 is a dangerous number (Page 5))

- ii. The numbers whose size is too large for us to give:

**DEFINITION 0.10** Large-size numbers are numbers that are larger-size than the upper cutoff size,

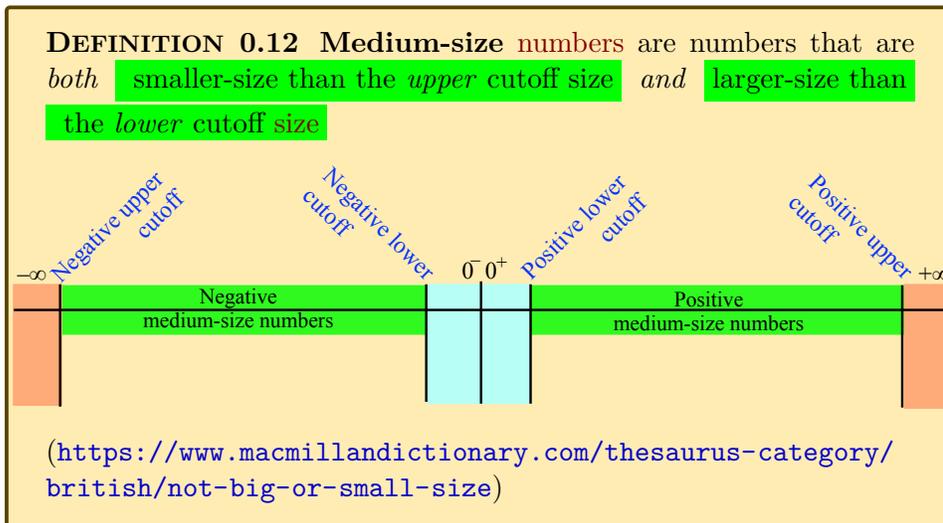


large variable  
 $L$   
 medium-size  
 appreciable number  
 sizable number

**DEFINITION 0.11** The large variables  $L, M, \dots$  will be the (non-standard) symbols for generic *large-size* numbers.

**CAUTIONARY NOTE 0.11** because  $\infty$  is *not* a number to begin with. (CAUTIONARY NOTE 0.3 -  $\infty$  is *not* a number (Page 6))

iii. The numbers whose size is just right for us to *give*:



Appreciable numbers or sizable numbers might have been better words.

**THEOREM 0.4** Mid-size numbers are *non-zero* numbers. (But non-zero numbers are *not necessarily* medium-size numbers.)

*Proof.* According to ?? ?? - ?? (??) and as the represent illustrates,

ordinary numbers

- ▶ The upper cutoff size keeps **medium-size numbers** away from  $-\infty$  and  $+\infty$ .
- ▶ The lower cutoff size keeps **medium-size numbers** away from  $0^-$  and  $0^+$ .

□

=====Begin WORK ZONE=====

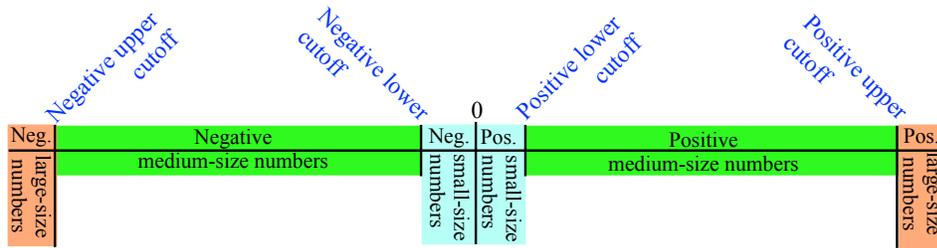
Ordinary numbers are medium-size real world numbers

**AGREEMENT 3.1 (Restated) Interpolation** will be short for ordinary number.

In view of ?? ?? - ?? (??), both +1 and -1 are medium-size.

=====End WORK ZONE=====

While the variables  $x, y, z$  can stand for numbers of any qualitative sizes, Altogether, then, these qualitative sizes are illustrated by:



7. About the language.

- We all have an *intuitive* idea of what the everyday words *large*, *small* and *medium* mean and these words have the same meaning for everybody even though *large*, *small* and *medium* are relative concepts.

*Of course, in some countries, a dollar an hour is actually a large amount of money.*

**EXAMPLE 0.53.** Nobody likes to work for a *small* amount of money: billionaires would no more dream of working for, say, a thousand dollars an hour than the rest of us would like to work for a dollar an hour.

*Veery, veery carefully!*

However, we needed to **define** *large*, *small* and *medium* as **calculus words** so we had to proceed carefully.

- Here are a few dictionary **definitions** of *large*:

“*bigger than usual in size*”. ([https://www.macmillandictionary.com/dictionary/british/large\\_1](https://www.macmillandictionary.com/dictionary/british/large_1))  
 “*exceeding most other things of like kind especially in quantity or size*”

(<https://www.merriam-webster.com/dictionary/large>)  
 “Of greater than average size” (<https://www.thefreedictionary.com/Large>)  
 “of more than average size” (<https://www.dictionary.com/browse/large>)  
 “greater in size than usual or average” (<https://www.collinsdictionary.com/dictionary/english/large>)

finite  
 infinite  
 infinitesimal

Notice that *all* these dictionary definitions use, essentially, *larger-size* and that they also use “*than most other*”, “*than average*”, “*than usual*” as some sort of *upper cutoff size*.

- The words *large* and *small*—even though it is very tempting to use them, if only as shorts for *large-size* and *small-size*—are too close to the *everyday words* *larger* and *smaller* which are used to *compare plain numbers* in the everyday language while in *any* mathematical language *larger* and *smaller* are used to *compare signed numbers*.
- The meanings of the words *medium-size*, *small-size*, and *large-size*, are very close to the meanings of

#### LANGUAGE NOTE 0.6 The mathematical words

- ▶ **Finite** (For *medium-size*)  
 ([https://en.wikipedia.org/wiki/Finite\\_number](https://en.wikipedia.org/wiki/Finite_number)),
- ▶ **Infinitesimal** (For *small-size*)  
 (<https://en.wikipedia.org/wiki/Infinitesimal>),
- ▶ **Infinite** (For *large-size*)  
 (<https://www.dictionary.com/browse/infinite>),

But since *mathematicians* understand the words *finite*, *infinitesimal*, and *infinite* much more strictly than we would, we will stay with the words *medium-size*, *small-size*, and *large-size*.

## 9 Computing with Qualitative Sizes

REWRITE ALL THIS SECTION USING  $h$  and  $L$

=====Begin WORK ZONE=====

While 0 does not exist in the real world, small-size numbers do exist in the real world

$$h^n$$

So, while  $5 \oplus 0$  does not exist in the real world so that we do not want to

write  $5 \oplus 0 = \infty$ , small-size number does exist in the real world and there is no problem writing  $5 \oplus h = L$  /Users/alainschremmer/Desktop/untitled folder small-size number  $\oplus$  small-size number

=====**End WORK ZONE**=====

For *calculating* purposes, **qualitative sizes** make up a rather crude system because **qualitative sizes** carry no **information** whatsoever about *where* the cutoffs are.

Nevertheless, as we will see, the **calculations** we *can* do with **qualitative sizes** will be plenty enough to help us simplify **calculations** by separating what is **qualitatively** the right **size** to be relevant to the point we are interested in from what is **qualitatively** the wrong **size** and therefore irrelevant to that point.

We will now discuss to what extent we can **calculate** with *numbers* of which all we know is their **qualitative size**: **large-size**, or **small-size**, or **medium-size**.

In each case, it is most important that you develop a good feeling for what is happening and so it is important for you to experiment by setting **cutoff sizes** and then picking **numbers** with the **qualitative sizes** you want. A good rule of thumb for picking:

- ▶ **medium-size numbers** is to try  $\pm 1$ ,
- ▶ **large-size numbers** is to try  $\pm 10.0$  or  $\pm 100.0$  or  $\pm 1000.0$  etc
- ▶ **small-size numbers** is to try  $\pm 0.1$  or  $\pm 0.01$  or  $\pm 0.001$  etc

### 1. Adding and subtracting qualitative sizes.

**THEOREM 0.5** Oplusing **qualitative sizes** numbers

$\oplus$	large-size	medium-size	small-size
large-size	?	large-size	large-size
medium-size	large-size	?	medium-size
small-size	large-size	medium-size	small-size

*Proof.* i. The non-highlighted entries are as might be expected.

**EXAMPLE 0.54.**  $-100\,000 \oplus +1\,000 = -99\,000$   
 $-100\,000 \oplus -0.001 = 100\,000.001$

So, the reader is invited to decide on **cutoff sizes**, experiment a bit, and then prove the non-highlighted entries using these **cutoff sizes**.

*And if you're worried about rigor, you'll be glad to know qualitative sizes lead straight to Bachmann-Landau's little o's and big O's ([https://en.wikipedia.org/wiki/Big\\_O\\_notation](https://en.wikipedia.org/wiki/Big_O_notation)).*

*You don't need extreme cutoff sizes but do pick your numbers far from the cutoffs.*

ii. When the two large-size numbers have opposite signs, the addition is **undetermined** because the result could then be large-size, or small-size, or medium-size, depending on “how much” large-size the two large-size numbers are compared to each other.

**EXAMPLE 0.55.** Here are two additions of large-size numbers whose results are different in qualitative sizes:

$$+1\,000\,000\,000\,000.7 \oplus -1\,000\,000\,000.4 = +999\,000\,000\,000.3,$$

but

$$-1\,000\,000\,000\,000.5 \oplus +1\,000\,000\,000\,000.2 = -0.3.$$

□

=====**Begin WORK ZONE**=====

Since  $\ominus = \oplus$  Opposite

=====**End WORK ZONE**=====

**2. Multiplying qualitative sizes.**

**THEOREM 0.6** Otiming qualitative sizes

$\odot$	large-size	medium-size	small-size
large-size	large-size	large-size	?
medium-size	large-size	medium-size	small-size
small-size	?	small-size	small-size

The generic symbols have different subscripts because, even when they have the same qualitative size, they stand for different numbers.

*Proof.* i. The non-highlighted entries are as might be expected.

**EXAMPLE 0.56.**  $-10\,000 \odot -1\,000 = +10\,000\,000$   
 $+0.01 \odot -0.001 = -0.00001$

So, the reader is invited to decide on cutoff sizes, experiment a bit, and then prove the non-highlighted entries using these cutoff sizes.

ii. large-size  $\odot$  small-size is undetermined because the result could be large-size, or small-size, or medium-size, depending on “how much large-size” large-size is compared to “how much small-size” small-size is.

**EXAMPLE 0.57.** Here are different instances of large-size  $\odot$  small-size that result in different qualitative sizes:

$-1\,000 \odot -0.1 = +100$	$-100\,000\,000 \odot -0.00\,001 = +100$
$+1\,000 \odot -0.001 = -1$	$+1\,000\,000 \odot -0.00\,001 = -1$
$+1\,000 \odot +0.00\,001 = +0.01$	$+1\,000 \odot +0.00\,001 = +0.01$

Similarly for  $\text{small-size} \odot \text{large-size}$ .

□

### 3. Dividing qualitative sizes.

#### THEOREM 0.7 Odividing qualitative sizes

—	large-size	medium-size	small-size
large-size	?	large-size	large-size
medium-size	small-size	medium-size	large-size
small-size	small-size	small-size	?

The generic symbols have different subscripts because, even when they have the same qualitative size, they stand for different numbers.

*Proof.* i. The non-highlighted entries are as might be expected.

**EXAMPLE 0.58.**  $\frac{-10\,000\,000}{+50} = -200\,000$   
 $\frac{+0.03}{+6\,000\,000} = +0.000\,000\,005$

So, the reader is invited to decide on **cutoff sizes**, experiment a bit, and then prove the non-highlighted entries using these **cutoff sizes**.

ii.  $\frac{\text{large-size}}{\text{large-size}}$  is **undetermined** because the result could be **large-size**, or **small-size**, or **medium-size**, depending on “how much **large-size**” **large-size** and **large-size** are compared to each other..

**EXAMPLE 0.59.** Here are three instances of  $\frac{\text{large-size}}{\text{large-size}}$  that result in different *qualitative sizes*:

$$\frac{-1\,000\,000}{-1\,000} = +1\,000, \quad \frac{-1\,000\,000}{-100\,000} = -10, \quad \frac{-100\,000}{-1\,000\,000\,000} = +0.000\,1.$$

And  $\frac{\text{small-size}}{\text{small-size}}$  is similarly undetermined.

**EXAMPLE 0.60.** Here are three instances of  $\text{small-size} \oplus \text{small-size}$  that result in different *qualitative size*:

$$-0.001 \oplus +0.1 = -0.01, \quad +0.001 \oplus +0.001 = +1, \quad -0.01 \oplus -0.001 = +10$$

□

**4. Reciprocal of a qualitative size.** We really would like the **reciprocal** of a **small-size number** to be a **large-size number** and, the other way round, the **reciprocal** of a **large-size number** to be a **small-size number**.

- i. Unfortunately, because we defined qualitative sizes in terms of cutoff sizes which we set independently of each other, this is *not necessarily* the case and the reciprocal of a small-size number need *not* be a large-size number and, the other way round, the reciprocal of a large-size number need *not* be a small-size number because the upper cutoff size and the lower cutoff size are *not necessarily reciprocal* of each other.

**EXAMPLE 0.61.** The following cutoff sizes are probably suitable for the accounting system of a small business:

	-1000 000.0		-0.01		+0.01		+1000 000.0		
Neg.	Negative			Neg.	Pos.	Positive			Pos.
large-size numbers	medium--size numbers			small-size numbers	small-size numbers	medium-size numbers			large-size numbers

- i. +0.009 is below the positive lower cutoff ( $+0.009 < +0.01 = +0.010$ ) and is therefore a **small-size** number,
  - ii. The reciprocal of +0.009 is +111.1 (Use a calculator.)
  - iii. +111.1 is below the positive upper cutoff and is therefore *not* a **large-size** number.
- ii. Fortunately, it is always possible to take the **cutoff sizes** so that
    - ▶ the **upper cutoff size** is the **reciprocal** of the **lower cutoff size** and, the other way round,
    - ▶ the **lower cutoff size** is the **reciprocal** of the **upper cutoff size**
 because all that will happen is that with the adjusted **cutoff sizes** there will now be more **numbers** that will be **medium-size** than is really needed.

**EXAMPLE 0.62.** We can change the lower cutoff size in ?? (??) to 0.000 001:

	-1000 000.0		-0.000 001		+0.000 001		+1000 000.0		
Neg.	Negative			Neg.	Pos.	Positive			Pos.
large-size numbers	medium--size numbers			small-size numbers	small-size numbers	medium--size numbers			large-size numbers

so that now the lower cutoffs and the upper cutoffs are reciprocal of each other:

- i. +0.000 9 is below the positive lower cutoff ( $+0.0009 < +0.001 = +0.0010$ ) and is therefore a **small-size** number,
- ii. The reciprocal of +0.0009 is +1 111.1 (Use a calculator.)

iii. +1111.1 is above the positive upper cutoff and is therefore a **large-size** number.

The price is just that numbers whose size is between 0.01 and 0.000001 will now also be medium-size—but most probably will never be used.

iii. So then, from now on,

**AGREEMENT 0.6** The lower cutoff size and the upper cutoff size will be **reciprocal** of each other.

iv. We then have:

**THEOREM 0.8 Reciprocity of qualitative sizes**

- ▶ **Reciprocal of large-size number** =  $\frac{+1}{\text{large-size number}}$   
= **small-size number**
- ▶ **Reciprocal of small-size number** =  $\frac{+1}{\text{small-size number}}$   
= **large-size number**
- ▶ **Reciprocal of medium-size number** =  $\frac{+1}{\text{medium-size number}}$   
= **medium-size number**

*Proof.*

- ▶ If a given number is **large-size**,
  - By DEFINITION 0.5 - Real world numbers (Page 17), the given number is **larger-size** than the upper cutoff size
  - By THEOREM 0.1 - Opposite numbers add to 0: (Page 20), the **reciprocal** of the given number is then **smaller-size** than the reciprocal of the upper cutoff size.
  - But by AGREEMENT 0.5 - (Page 28), the **reciprocal** of the upper cutoff size is the lower cutoff size.
  - So, the **reciprocal** of the given number is **smaller-size** than the lower cutoff size.
  - And so, by DEFINITION 0.5 - Real world numbers (Page 17), the **reciprocal** of the given large-size number is a **small-size** number
- ▶ The reader is invited to make the case for the **reciprocal** of a **small-size** given.
- ▶ The reader is invited to make the case for the **reciprocal** of a **medium-size** given number that is **medium-size**

□  $x_+$   
 $y_+$   
 A star next to a Section Number is the standard way to say you can skip the section. But, eventually, ...  
 restricted

## 10\* Computing with Extended Numbers.

As it happens, we will *not compute* with extended numbers so this section can be safely skipped. On the other hand, it is interesting to see how it goes.

### 1. Positive and negative variables.

To denote the operations for extended numbers, we need a few more kinds of variables:

**DEFINITION 0.13** are restricted variables:

- For *positive variables*, only *positive numbers* can be substituted, and
- For *negative variables*, only *negative numbers* can be substituted,

**CAUTIONARY NOTE 0.12**

- ▶  $x_{<0}, y_{<0}, z_{<0}, x_{>0}, y_{>0}, z_{>0}$  are *restricted variables*, while
- ▶  $x_{<0}, y_{<0}, z_{<0}, x_{>0}, y_{>0}, z_{>0}$ , are *inequations* involving the global variables  $x, y, z$ .

### 2. Operation tables.

$\oplus$	$-\infty$	$y_{<0}$	$0^-$	$0^+$	$y_{>0}$	$+\infty$
$-\infty$	$-\infty$	$-\infty$	$-\infty$	$-\infty$	$-\infty$	?
$x_{<0}$	$-\infty$	$z$	$x_{<0}$	$x_{<0}$	$z?$	$+\infty$
$0^-$	$-\infty$	$z_{<0}$	$0^-$	$0?$	$z_{>0}$	$+\infty$
$0^+$	$-\infty$	$z_{<0}$	$0?$	$0^+$	$0^+$	$+\infty$
$x_{>0}$	$-\infty$	$z?$	$x_{>0}$	$x_{>0}$	$z_{>0}$	$+\infty$
$+\infty$	?	$+\infty$	$+\infty$	$+\infty$	$+\infty$	$+\infty$

$\ominus$	$-\infty$	$y_{<0}$	$0^-$	$0^+$	$y_{>0}$	$+\infty$
$-\infty$	?	$-\infty$	$-\infty$	$-\infty$	$-\infty$	$-\infty$
$x_{<0}$	$+\infty$	$z?$	$x_{<0}$	$x_{<0}$	$z_{<0}$	$-\infty$
$0^-$	$+\infty$	$y_{>0}$	$0?$	$0^-$	$y_{<0}$	$-\infty$
$0^+$	$+\infty$	$y_{>0}$	$0^+$	$0?$	$y_{<0}$	$-\infty$
$x_{>0}$	$+\infty$	$z_{>0}$	$x_{>0}$	$x_{>0}$	$z?$	$-\infty$
$+\infty$	$+\infty$	$+\infty$	$+\infty$	$+\infty$	$+\infty$	?

$\odot$	$-\infty$	$y_{<0}$	$0^-$	$0^+$	$y_{>0}$	$+\infty$
$-\infty$	$+\infty$	$+\infty$	$+\text{?}$	$-\text{?}$	$-\infty$	$-\infty$
$x_{<0}$	$+\infty$	$z_{>0}$	$0^+$	$0^-$	$z_{<0}$	$-\infty$
$0^-$	$+\text{?}$	$0^+$	$0^+$	$0^-$	$0^-$	$-\text{?}$
$0^+$	$-\text{?}$	$0^-$	$0^-$	$0^+$	$0^+$	$+\text{?}$
$x_{>0}$	$-\infty$	$z_{<0}$	$0^-$	$0^+$	$z_{>0}$	$+\infty$
$+\infty$	$-\infty$	$-\infty$	$-\text{?}$	$+\text{?}$	$+\infty$	$+\infty$

$\oplus$	$-\infty$	$y_{<0}$	$0^-$	$0^+$	$y_{>0}$	$+\infty$
$-\infty$	$+\text{?}$	$+\infty$	$+\infty$	$-\infty$	$-\infty$	$-\text{?}$
$x_{<0}$	$0_{>0}$	$z_{>0}$	$+\infty$	$-\infty$	$z_{<0}$	$0_{<0}$
$0^-$	$0^+$	$0^+$	$+\text{?}$	$-\text{?}$	$0^-$	$0^-$
$0^+$	$0^-$	$0^-$	$-\text{?}$	$+\text{?}$	$0^+$	$0^+$
$x_{>0}$	$0^-$	$z_{<0}$	$-\infty$	$+\infty$	$z_{>0}$	$0^+$
$+\infty$	$-\text{?}$	$-\infty$	$-\infty$	$+\infty$	$+\infty$	$+\text{?}$

One reason we will *not* compute with extended numbers is of course the yellow boxes in the above operation tables.

**3. Are  $\infty$  and 0 reciprocal?** Another reason for *not* computing with extended numbers is that,

- From the *division* table, we get that  $\frac{x_{>0}}{-\infty} = 0^-$  and therefore, in particular, that  $\frac{+1}{-\infty} = 0^-$  so that, as would be expected, the reciprocal of  $-\infty$  is  $0^-$  and, similarly, we get that the reciprocal of  $+\infty$  is  $0^+$ ,
- However, from the *multiplication* table we get only that  $-\infty \odot 0^- = +\text{?}$  and that  $+\infty \odot 0^+ = +\text{?}$

While not contradictory, this would be annoying and, as we will see in THEOREM 0.6 - *Otining qualitative sizes* (Page 35), we will have a much more satisfying way to compute whether or not 0 and  $\infty$  are reciprocal.

## 11 Neighborhoods

As we saw in *Size of an amount of stuff* (Subsection 4.4, Page 15), while

- We certainly cannot evaluate generic expressions at  $\infty$  because  $\infty$  is *not a number* (CAUTIONARY NOTE 0.3, Page 6),

more generally,

- We cannot even always evaluate generic expressions at a given number  $x_0$  because of the difficulties with 0 and division: 0 is a *dangerous number* (CAUTIONARY NOTE 0.2, Page 5)



nearby number  
near 0  
near  $\infty$

**1. Nearby numbers.** Evaluating a generic expression *at* a point, though, is to ignore the *real world* and, in fact, since, as we will see in Subsection 3.4 - Sparseness of sets of plot dots (Page 80), CALCULUS deals with ‘change’, instead of wanting to investigate what happens *at* a given point, we will investigate what happens *at nearby numbers*.

*In a crime novel, the victim is never the story. The story is always around the victim. (Anonymous crime writer.)*

**EXAMPLE 0.63.** As opposed to EXAMPLE 0.19 (Page 13), we can tell a car is moving from a *movie*, that is from still pictures during a short time span.

More precisely:

i. As we saw in Section 2 - Zero and Infinity (Page 4), *nothingness* does not exist in the *real world*,

**EXAMPLE 0.64.** We use 0 quart of milk to denote the amount of milk that appears to be in an empty bottle but it might just be that the amount of milk in the bottle is just too small for us to see.

*Just how clean is clean?*

So, in accordance with the *real world*, we will use *nearby numbers* that is, in this case, *numbers near 0*, that is *small-size numbers*,

**EXAMPLE 0.65.**  $-0.002.078$  and  $+0.000.928$  are both near 0.

ii. As we saw in Subsection 0.3 - (Page xxii), *infinity* does not exist in the *real world*,

**EXAMPLE 0.66.** We may say that the number of molecules in a spoonful of milk is infinite, but of course it’s just that the number of molecules is too large for us to count under a microscope.

So, in accordance with the *real world*, we will use *nearby numbers*, that is, in this case, *numbers near  $\infty$* , that is *large-size numbers*,

**EXAMPLE 0.67.**  $-12\,729\,000\,307$  and  $+647\,809\,010\,374$  are both near  $\infty$ .

iii. As we saw in ?? ?? - ?? (??), measured *numbers* will always *differ* from a given number  $x_0$  by some *error*

**EXAMPLE 0.68.** I can give you 3 apples but I cannot give you a 3 foot long stick as it will always be a bit too long or a bit too short.

So, in accordance with the *real world*, we will use *nearby numbers* that is, in this case, *numbers near  $x_0$* , that is *numbers that differ from  $x_0$  by only small-size numbers*.

neighborhood  
thicken  
center  
indeterminate number  
circa variable

**EXAMPLE 0.69.**  $-87.36 \oplus -0.000.032 = -87.360032$  and  $-87.36 \oplus +0.000.164 = -87.359836$  are both near  $-87.36$

Actually, it is completely standard to speak of a

**DEFINITION 0.14** Neighborhood of a point:

- ▶ A neighborhood of  $0$  consists of the numbers near  $0$ .
  - ▶ A neighborhood of  $\infty$  consists of the numbers near  $\infty$ ,
  - ▶ A neighborhood of  $x_0$  consists of the numbers near  $x_0$ .
- ([https://en.wikipedia.org/wiki/Neighbourhood\\_\(mathematics\)](https://en.wikipedia.org/wiki/Neighbourhood_(mathematics)))

And, in fact, we will often speak of **thickening** a given point, that is we will be looking at that point as just the center of a neighborhood of that point.

**2. Evaluation near a given point.** So, in order to evaluate a generic expression near a given point, we will evaluate the generic expression at an indeterminate number near the given point. In other words:

- ▶ Instead of declaring  $0$ , we will declare the small variable  $h$ ,
- ▶ Instead of declaring  $\infty$ , we will declare the large variable  $L$ ,
- ▶ Instead of declaring  $x_0$ , we will declare:

**DEFINITION 0.15** The circa variables  $x_0 \oplus h$ ,  $x_0 \oplus k$  are the (standard) symbols for numbers near  $x_0$ .

“Circa” because the numbers are “around”  $h$ , “variable” because of  $h$ .

Why “circa”? Because nearby is already used.

In other words, we will use PROCEDURE 0.1 - Evaluate a generic expression at a given number (Page 10) but with an indeterminate number instead of a given number.

**PROCEDURE 0.3** To evaluate a given generic expression in terms of  $x$  near a given point:

- i. Declare an indeterminate numbers *near* the given **point**, that is:
- ▶ If the given **point** is **0**, declare the small variable  **$h$**  by writing the declaration  $\left. \vphantom{\text{generic expression}} \right|_{x \leftarrow h}$ , read “ **$x$**  to be replaced by  **$h$** ”, to the right of the generic expression:

generic expression in terms of  **$x$**   $\left. \vphantom{\text{generic expression}} \right|_{x \leftarrow h}$

- ▶ If the given **point** is  **$\infty$** , declare the large variable  **$L$**  by writing the declaration  $\left. \vphantom{\text{generic expression}} \right|_{x \leftarrow L}$ , read “ **$x$**  to be replaced by  **$L$** ”, to the right of the generic expression:

generic expression in terms of  **$x$**   $\left. \vphantom{\text{generic expression}} \right|_{x \leftarrow L}$

- ▶ If the given **point** is  **$x_0$** , declare the local variable  **$x_0 \oplus h$**  by writing the declaration  $\left. \vphantom{\text{generic expression}} \right|_{x \leftarrow x_0 \oplus h}$ , read “ **$x$**  to be replaced by  **$x_0 \oplus h$** ”, to the right of the generic expression:

generic expression in terms of  **$x$**   $\left. \vphantom{\text{generic expression}} \right|_{x \leftarrow x_0 \oplus h}$

- ii. Replace every occurrence of  **$x$**  in the generic expression in terms of  **$x$**  by the declared variable to get the generic expression for numbers near the given **point** :

- ▶ generic expression in terms of  **$h$**  for numbers near **0**
- ▶ generic expression in terms of  **$L$**  for numbers near  **$\infty$**
- ▶ generic expression in terms of  **$x_0 \oplus h$**  for numbers near  **$x_0$**

- iii. Execute the general expression in terms of the declared variable according to the relevant rules in Section 9 - **Computing with Qualitative Sizes** (Page 33)

In contradistinction with DEMO 0.1a - From  **$x$**  to  **$+5$**  (Page 11), we have:

**DEMO 0.3a** To evaluate the generic expression  $\frac{x^{+2} \ominus +7}{x \oplus +3}$  near  **$+5$**

- i. We declare that the numbers are to be near  $+5$  by writing the declaration  $x \leftarrow +5 \oplus h$ , read “ $x$  to be replaced by  $+5 \oplus h$ ”, to the right of the generic expression:

$$\frac{x^{+2} \ominus +7}{x \oplus +3} \quad \left| \quad x \leftarrow +5 \oplus h \right.$$

- ii. We replace every occurrence of  $x$  in the generic expression in terms of  $x$  by the local variable  $+5 \oplus h$  to get the generic expression for numbers near  $+5$ :

$$\frac{+5 \oplus h^{+2} \ominus +7}{+5 \oplus h \oplus +3}$$

- iii. We execute the generic expression in terms of  $+5 \oplus h$ :

$$\frac{+25 \oplus +10h \oplus +h^2 \ominus +7}{+5 \oplus +h \oplus +3}$$

$$\frac{+18 \oplus +10h \oplus +h^2}{+8 \oplus +h}$$

Since the division probably won't stop by itself and since where we will stop the division will depend on the information we will want, the last expression just above is not an executed expression.

In contradistinction with DEMO 0.1b - From  $x$  to  $-3$  (Page 12), we have:

**DEMO 0.3b** To evaluate the generic expression  $\frac{x^{+2} \ominus +7}{x \oplus +3}$  near  $-3$

- i. We declare that the numbers are to be near  $-3$  by writing the declaration  $x \leftarrow -3 \oplus h$ , read “ $x$  to be replaced by  $-3 \oplus h$ ”, to the right of the generic expression:

$$\frac{x^{+2} \ominus +7}{x \oplus +3} \quad \left| \quad x \leftarrow -3 \oplus h \right.$$

- ii. We replace every occurrence of  $x$  in the generic expression in terms

of  $x$  by the local variable  $-3 \oplus h$  to get the generic expression for numbers near  $-3$ :

$$\frac{-3 \oplus h^{+2} \ominus +7}{-3 \oplus h \oplus +3}$$

iii. We execute the generic expression in terms of  $-3 \oplus h$ :

$$\frac{+9 \oplus -6h \oplus h^2 \ominus +7}{-3 \oplus +3 \oplus h}$$

$$\frac{+2 \oplus -6h \oplus h^2}{h}$$

$$+2h^{-1} \oplus -6 \oplus h$$

Since the division was by  $h$ , the last expression just above is an executed expression.

In contradistinction with DEMO 0.1c - From  $x$  to  $+3$  (Page 12), we have:

**DEMO 0.3c** To evaluate the generic expression  $\frac{x^{+2} \ominus +9}{x \oplus -3}$  near  $+3$

i. We declare that the numbers are to be near  $+3$  by writing the declaration  $x \leftarrow +3 \oplus h$ , read " $x$  to be replaced by  $+3 \oplus h$ ", to the right of the generic expression:

$$\left. \frac{x^{+2} \ominus +9}{x \oplus -3} \right| x \leftarrow +3 \oplus h$$

ii. We replace every occurrence of  $x$  in the generic expression in terms of  $x$  by the local variable  $+3 \oplus h$  to get the generic expression for numbers near  $+3$ :

$$\frac{+3 \oplus h^{+2} \ominus +9}{+3 \oplus h \oplus -3}$$

iii. We execute the generic expression in terms of  $+3 \oplus h$ :

$$\frac{+9 \oplus +6h \oplus h^2 \ominus +9}{+3 \oplus -3 \oplus h}$$

$$\frac{+6h \oplus h^2}{h}$$

$$+6 \oplus h$$

Note that, here, the division being by  $h$ , we just did it and the expression just above is an executed expression.

And here is how it goes near  $\infty$ :

**DEMO 0.3d** To evaluate the generic expression  $\frac{x^{+2} \ominus +9}{x \oplus -3}$  near  $\infty$

i. We declare that the numbers are to be near  $\infty$  by writing the declaration  $x \leftarrow L$ , read " $x$  to be replaced by  $L$ ", to the right of the generic expression:

$$\frac{x^{+2} \ominus +9}{x \oplus -3} \quad x \leftarrow L$$

ii. We replace every occurrence of  $x$  in the generic expression in terms of  $x$  by the local variable  $L$  to get the generic expression for numbers near  $\infty$ :

$$\frac{L^{+2} \ominus +9}{L \oplus -3}$$

iii. We execute the generic expression in terms of  $L$ :

$$\frac{L^2 \ominus +9}{L \ominus -3}$$

$$\frac{L^2 \ominus [\dots]}{L \ominus [\dots]}$$

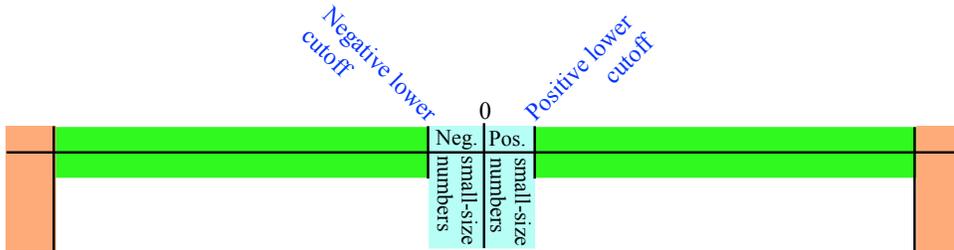
$$\frac{L^2}{L} \oplus [\dots]$$

$$L \oplus [\dots]$$

magnifier

The last expression just above is the executed expression.

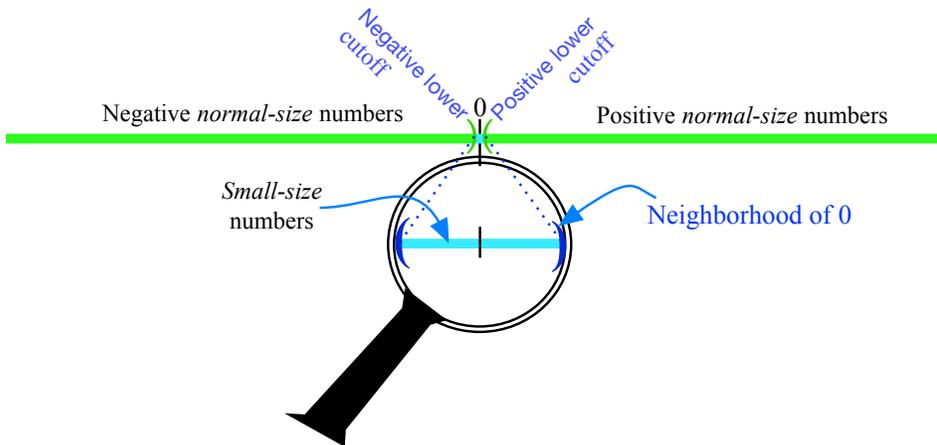
**3. Picturing a neighborhood of 0.** In DEFINITION 0.8 - *Small-size numbers* (Page 30), *small-size numbers* were pictured with



which is *not* really a representation because the three qualitative sizes are represented at different scales. ([https://en.wikipedia.org/wiki/Scale\\_\(represent\)#Large\\_scale,\\_medium\\_scale,\\_small\\_scale](https://en.wikipedia.org/wiki/Scale_(represent)#Large_scale,_medium_scale,_small_scale)).

- i. On a quantitative ruler, at just about any scale ([https://en.wikipedia.org/wiki/Scale\\_\(represent\)#Large\\_scale,\\_medium\\_scale,\\_small\\_scale](https://en.wikipedia.org/wiki/Scale_(represent)#Large_scale,_medium_scale,_small_scale)), the negative lower cutoff for medium-size numbers and the positive lower cutoff for medium-size numbers will both be on top of 0 and we won't be able to see small-size numbers.

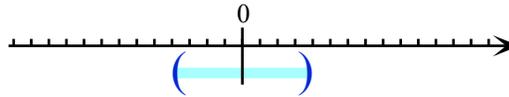
So, in order to see a neighborhood of 0, we would need some kind of magnifier:



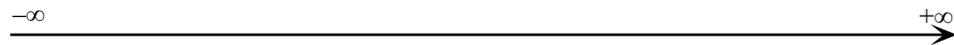
The fact though, that, the neighborhood needs to be representd at a scale larger than the scale of the quantitative ruler creates a problem. One way

qualitative ruler  
compactor

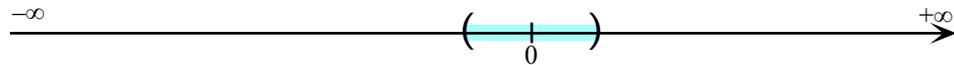
out, of course, would be to draw the neighborhood of 0 just *under* the quantitative ruler:



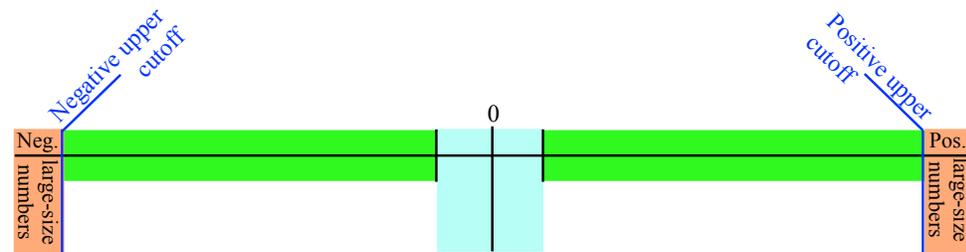
ii. But on a **qualitative ruler**, that is on a ruler *without* scale therefore without **tickmarks**—not even for 0— but with  $-\infty$  and  $+\infty$  as end of the line symbols in accordance with AGREEMENT 0.3 - Sides of the origin (Page 18):



we can draw a neighborhood of 0 as



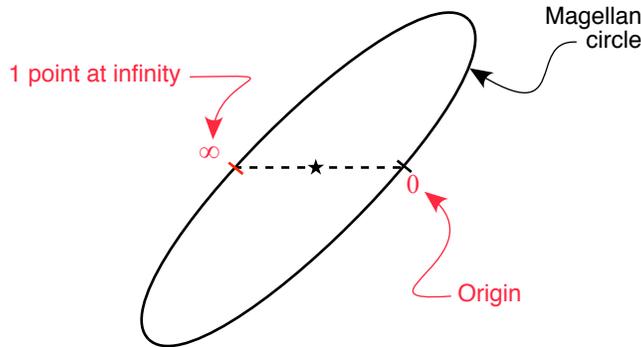
**4. Picturing a neighborhood of  $\infty$ .** In DEFINITION 0.10 - Large-size numbers (Page 30) large-size numbers were pictured with



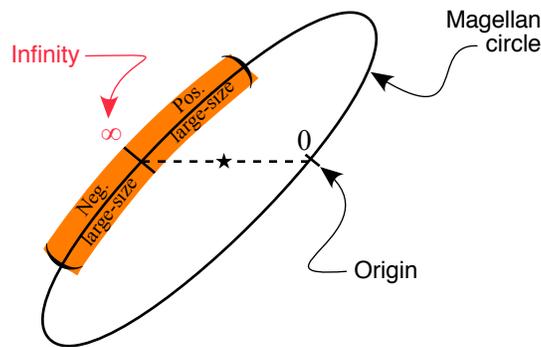
which, again, is *not* a representation because the three qualitative sizes are representd at different scales. ([https://en.wikipedia.org/wiki/Scale\\_\(represent\)#Large\\_scale,\\_medium\\_scale,\\_small\\_scale](https://en.wikipedia.org/wiki/Scale_(represent)#Large_scale,_medium_scale,_small_scale))

i. On a *quantitative ruler*, at just about any *scale*, the *negative upper* cut-off for medium-size numbers and the *positive upper* cutoff for medium-size numbers will both be way off the represent so we would need some kind of **compactor**.

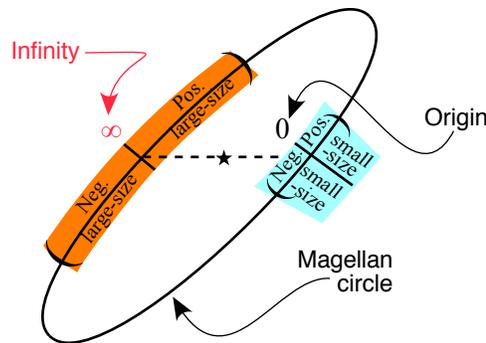
ii. In the spirit of one-point compactification, using a **Magellan circle**



on which large-size numbers are represented as

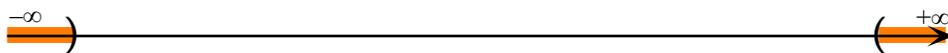


the advantage is that positive large-size numbers and negative large-size numbers are represented right next to each other the same way as positive small-size numbers and negative small-size numbers:



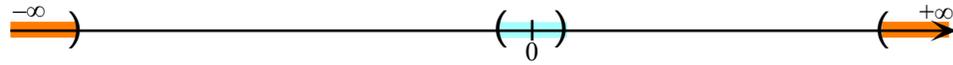
which represents large-size numbers as a neighborhood of  $\infty$  just the way *Nicely!* small-size numbers make up a neighborhood of 0.

iii. In the spirit of two-points compactification, we can also represent a neighborhood of  $\infty$ , that is large-size numbers, on a qualitative ruler as:



~~Mercator~~ After all, 0 is the center of our neighborhood.

Here, the advantage is that we are still facing 0 but the disadvantage is, as opposed to the Magellan represent, that positive large-size numbers and negative large-size numbers are separated from each other, the opposed way of positive small-size numbers and negative small-size numbers which are right next to each other:

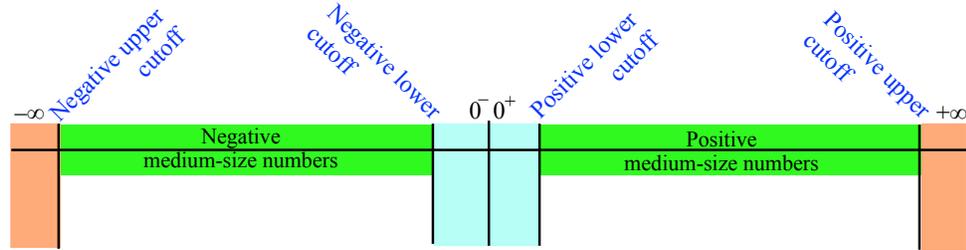


This is often referred to as a Mercator represent. ([https://en.wikipedia.org/wiki/Mercator\\_projection](https://en.wikipedia.org/wiki/Mercator_projection))

iv.

=====**End WORK ZONE**=====

**5. Picturing a neighborhood of  $x_0$ .** In DEFINITION 0.12 - Medium-size numbers (Page 31) medium-sized numbers were pictured wirh

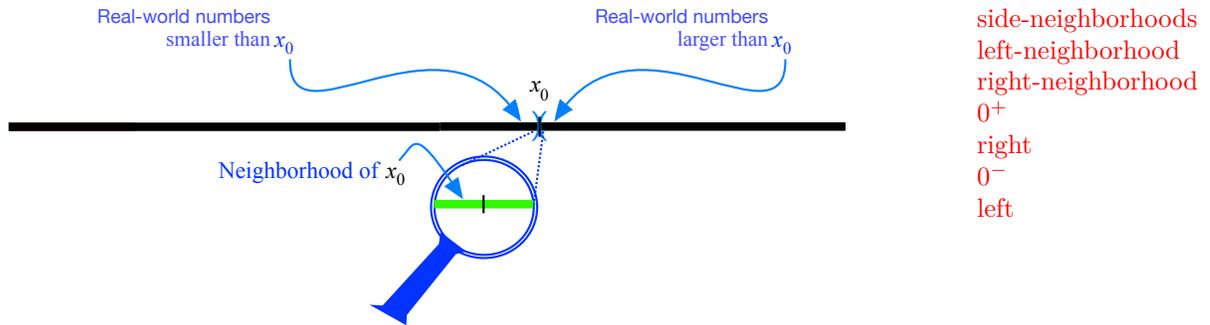


which, again, is *not* a represent because the three qualitative sizes are representd at different scales. ([https://en.wikipedia.org/wiki/Scale\\_\(represent\)#Large\\_scale,\\_medium\\_scale,\\_small\\_scale](https://en.wikipedia.org/wiki/Scale_(represent)#Large_scale,_medium_scale,_small_scale))

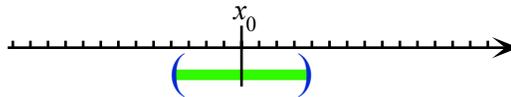
The situation with a neighborhood of  $x_0$  is similar to the situation with a neighborhood of 0:

i. On a quantitative ruler, at just about any scale ([https://en.wikipedia.org/wiki/Scale\\_\(represent\)#Large\\_scale,\\_medium\\_scale,\\_small\\_scale](https://en.wikipedia.org/wiki/Scale_(represent)#Large_scale,_medium_scale,_small_scale)), the medium-size numbers smaller than  $x_0$  and the medium-size numbers larger than  $x_0$  leave no room between them and we won't be able to see the numbers near  $x_0$

So, in order to see a neighborhood of  $x_0$ , that is numbers near  $x_0$ , that is numbers that differ from  $x_0$  by only small-size numbers, we would need to aim a magnifier at  $x_0$ , the center of the neighborhood.



Again, the fact that a neighborhood needs to be represented at a scale larger than the scale of the quantitative ruler creates a problem. And again, a way out would be to represent the neighborhood of  $x_0$  just under the quantitative ruler:



ii. But on a qualitative ruler we can represent a neighborhood of  $x_0$  as



**6. Side-neighborhoods.** In order to deal *separately* with each side of a neighborhood we will often have to distinguish the side-neighborhoods. Pinning down the left-neighborhood from the right-neighborhood, though, depends on the nature of the point:

- ▶ – A left-neighborhood of 0 consists of the *negative numbers near 0* (*negative small-size numbers*),
- A right-neighborhood of 0 consists of the *positive numbers near 0* (*positive small-size numbers*),

In order to deal *separately* with each side of a neighborhood of 0, we will use the symbols

- ▶  $0^+$  (namely 0 with a little + up and to the right) which is *standard expression for positive small-size numbers*.

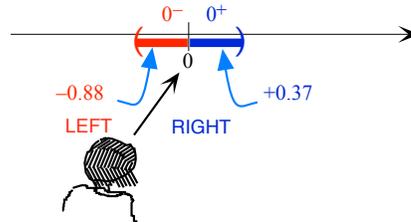
*Positive small-size numbers are right of 0, that is they are to our right when we are facing 0, the center of the neighborhood.*

- ▶  $0^-$  (namely 0 with a little - up and to the right) which is *standard expression for negative small-size numbers*.

*Negative small-size numbers are left of 0, that is they are to our left when we are facing 0, the center of the neighborhood.*

$+\infty$   
 $-\infty$ 

**EXAMPLE 0.70.**  $0^+$  refers to small-size numbers **right** of 0 (such as for instance  $+0.37$ ) and  $0^-$  refers to small-size numbers **left** of 0 (such as for instance  $-0.88$ ):



So, never forget that

**CAUTIONARY NOTE 0.13**  $^+$  or  $^-$  up to the right and *by itself* is *not* an ‘exponent’ but indicates which *side* of 0.

- ▶ – A left-neighborhood of  $\infty$  consists of the *positive numbers near  $\infty$*  (*positive large-size numbers*),
- A right-neighborhood of  $\infty$  consists of the *negative numbers near  $\infty$*  (*negative large-size numbers*),

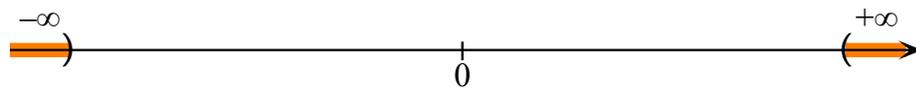
Just as we will often have to refer separately to each *side* of a *neighborhood* of 0, we will often have to refer separately to each *side* of a *neighborhood* of  $\infty$

So we will use:

- ▶  $+\infty$  as *symbol for positive large-size numbers*,
- ▶  $-\infty$  as *symbol for negative large-size numbers*,

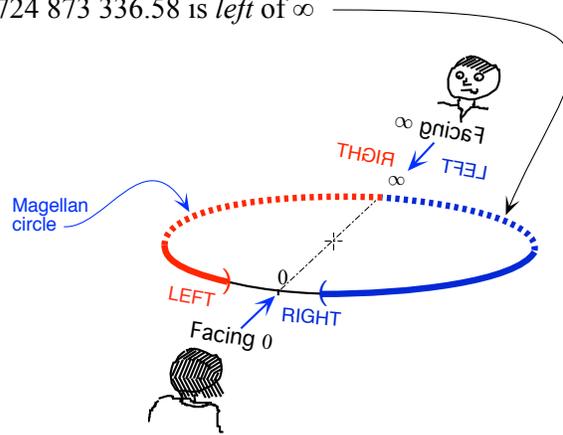
even though

We will then use as *qualitative ruler*:



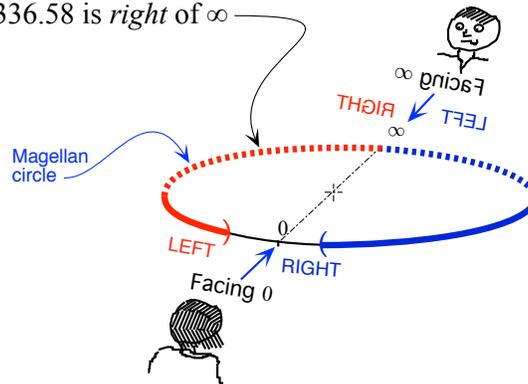
- ▶ Keep in mind that it is easy to forget which *side* is *left* of  $\infty$  and which *side* is *right* of  $\infty$  because it is easy to forget that one must *face* the *center* of the *neighborhood*, namely  $\infty$ :
  - ▶ *Positive large-size numbers* are *left* of  $\infty$  because, to face the *center* of the *neighborhood*, we have to imagine ourselves facing  $\infty$ , and then *positive numbers* will be to *our left*.

**EXAMPLE 0.71.**  $+724\ 873\ 336.58$  is left of  $\infty$



- ▶ *negative* large-size numbers are *right* of  $\infty$  because, to face the center of the neighborhood, we have to imagine ourselves facing  $\infty$ , then *negative* numbers would be to *our* right.

**EXAMPLE 0.72.**  $-724\ 873\ 336.58$  is right of  $\infty$



- ▶ – A left-neighborhood of  $x_0$  consists of the numbers near  $x_0$  that are smaller than  $x_0$ , (medium-size numbers that differ from  $x_0$  by only small-size numbers).
- A right-neighborhood of  $x_0$  consists of the numbers near  $x_0$  that are larger than  $x_0$ ,

**7. Interplay between 0 and  $\infty$ .** As already mentioned in Section 3 - Numbers In General (Page 7), both Numbers In General have intrigued people for a long time:

- i. While, as mentioned in Section 3 - Numbers In General (Page 7), both 0 and  $\infty$  are literally without meaning, both 0 and  $\infty$  are absolutely and

completely indispensable.

**EXAMPLE 0.73.** When we have eaten three apples out of five apples, we indicate that there are two apples left by writing:

$$5 \text{ apples} - 3 \text{ apples} = 2 \text{ apples}$$

But when we have eaten three apples out of three apples, how do we indicate that there is none left?

$$3 \text{ apples} - 3 \text{ apples} = ? \text{ apples}$$

**EXAMPLE 0.74.** When we count "eight, nine, ten, eleven" we use a rhythm as indicated by the commas, say:

eight 1sec nine 1sec ten 1sec eleven

And in fact, when we start counting *with* "eight", we think we are counting *from* "seven" and precede "eight" with the same silence:

1sec eight 1sec nine 1sec ten 1sec eleven

But *from* what number are we thinking we are starting *from* when we start counting *with* "one" and precede "one" by the same silence?

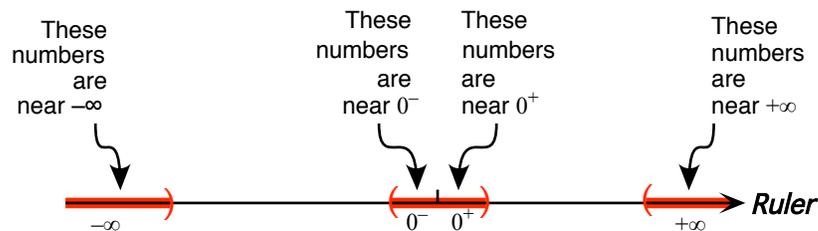
1sec one 1sec two 1sec three 1sec four

**EXAMPLE 0.75.** When we get impatient and want to stop counting, we probably end the counting with "etc"

**EXAMPLE 0.76.** When a number is so large that we cannot even begin to imagine it, we often use the word "infinite".

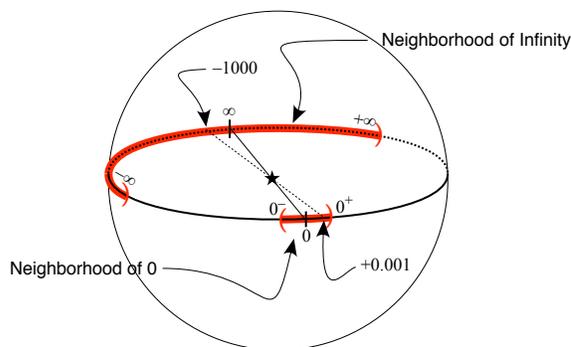
*As in "The number of people who want to teach you is infinite."*

ii. Even though, as an input, 0 is usually not particularly important, there is an intriguing "symmetry" between  $\infty$  and 0 namely:



More precisely, *small numbers* are some sort of inverted image of *large numbers* since the *reciprocal* of a *large number* is a *small number* and vice versa.

**EXAMPLE 0.77.** The opposite of the reciprocal of  $-0.001$  is  $+1000$ . In a Magellan view, we have



real number

iii. Moreover, since by DEFINITION 0.12 - Medium-size numbers (Page 31), small-size numbers are near 0 and large-size numbers are near  $\infty$ , THEOREM 0.8 - Reciprocity of qualitative sizes (Page 38) can be restated as

**THEOREM 0.8 (Restated) Reciprocity of qualitative sizes**

- The reciprocal of a number near  $\infty$  is a number near 0,
- The reciprocal of a number near 0 is a number near  $\infty$ .

It then seems somewhat artificial, even though CAUTIONARY NOTE 0.2 - 0 is a *dangerous* number (Page 5) and CAUTIONARY NOTE 0.3 -  $\infty$  is *not* a number (Page 6), not to extend the reciprocity of numbers near 0 (small-size numbers) and numbers near  $\infty$  (large-size numbers) to a reciprocity of 0 and  $\infty$  themselves. So,

**AGREEMENT 0.7** Since we will *not* compute with  $\infty$ , this will only be a shorthand for THEOREM (Restated) 0.8 - Reciprocity of qualitative sizes (Page 55).

*But what an extremely convenient shorthand!*

## 12\* Real Numbers

This section is only for those readers who want to know what are the **real numbers** used by most CALCULUS texts, and what using **real numbers** instead of real-world **numbers** would entail.

**1. What *are* real numbers?** Even though most college mathematics textbooks claim to *use* **real numbers**, the individualist they ever come to **defining** **real numbers** is something along the lines of “*a real number is a value of a continuous quantity that can represent a distance along a*

Which, one has to admit, isn't particularly enlightening. Moreover, the wording in Wikipedia keeps changing with time! A sign of unease?

Which, unless you are a mathematician, is not exactly enlightening either. In any case, a very, very tall order.

line.” ([https://en.wikipedia.org/wiki/Real\\_number](https://en.wikipedia.org/wiki/Real_number) or <https://math.vanderbilt.edu/schectex/courses/thereals/>)

And of course, there is a very good reason for this vagueness ([https://en.wikipedia.org/wiki/Vagueness\\_and\\_Degrees\\_of\\_Truth](https://en.wikipedia.org/wiki/Vagueness_and_Degrees_of_Truth)): in contrast with real-world numbers, **real numbers** are so *extremely* complicated to **define** that it is only done in REAL ANALYSIS, long after CALCULUS.

“The real number system  $(\mathbb{R}; +; \cdot; <)$  can be *defined axiomatically* [...] There are also many ways to construct "the" real number system, for example, starting from whole numbers, ([https://en.wikipedia.org/wiki/Natural\\_number](https://en.wikipedia.org/wiki/Natural_number)) then *defining rational numbers* algebraically ([https://en.wikipedia.org/wiki/Rational\\_number](https://en.wikipedia.org/wiki/Rational_number)), and finally *defining real numbers* as equivalence classes of their Cauchy sequences or (\*) as Dedekind cuts, which are certain subsets of rational numbers.” ([https://en.wikipedia.org/wiki/Real\\_number#Definition](https://en.wikipedia.org/wiki/Real_number#Definition))

(\*) One does *not* really have a *choice* between the Dedekind route and the Cauchy route and one should *both*:

- i. go the Dedekind route *and* extend the *metric* and then prove that the quotient is *metric*-complete, and
- ii. go the Cauchy route *and* extend the *order* and then prove that the quotient is *order*-complete, and finally
- iii. prove that the two quotients are both *metric*-isomorphic and *order*-isomorphic.

**2. Fractions and roots** In fact, *at best*, that is even when the **real number** is a **fraction** or a **root**, a **real number** is only like a Birth Certificate in that the **real number** is just a *name* that says where the **real number** is coming from. But this name certainly does *not* provide by itself any indication of what the **size** of the **real number** is.

**EXAMPLE 0.78.**

- The *fraction*  $\frac{4168}{703}$  is just a *name* for the solution of the equation  $703x = 4168$  (Assuming the equation *has* a solution!)
- The *root*  $\sqrt[3]{-17.3}$  is just a *name* for the solution of the equation  $x^3 = -17.3$ . (Assuming the equation *has* a solution!)

In textbooks it's of course the other way around,

However, this *best* case is actually extremely rare and most **real numbers** do not tell us by themselves where they are coming from which leaves us with no way to get even a rough idea of what the **size** of that **real number**

might be.

*You just have to find out from somewhere.*

**EXAMPLE 0.79.**

- $\pi$  is just a *name* that does *not* say by itself that  $\pi$  is “the ratio of a circle’s circumference to its diameter”. (<https://en.wikipedia.org/wiki/Pi>)
- $e$  is just a *name* that does *not* say by itself that  $e$  is “a mathematical constant which appears in many different settings throughout mathematics”. ([https://en.wikipedia.org/wiki/E\\_\(mathematical\\_constant\)](https://en.wikipedia.org/wiki/E_(mathematical_constant)))

**3. Calculating with real numbers.** This can be done directly from the names *only* with the same two kinds of **real numbers**, that is when the **real numbers** are **fractions** or **roots**:

i. When the **real numbers** are **fractions**, there are **procedure** to compare, add, subtract, multiply and divide directly from the **whole numbers** that make up the **fractions**. ([https://en.wikipedia.org/wiki/Rational\\_number#Arithmetic](https://en.wikipedia.org/wiki/Rational_number#Arithmetic))

**EXAMPLE 0.80.** To know which is the larger of  $\frac{4168}{703}$  and  $\frac{5167}{831}$  there is a procedure that involves only the **wholenumbers** 4168, 703, 5167 and 831.

ii. When the **real numbers** are **roots**, there are procedures to multiply and divide directly with the **whole numbers** that make up the **roots** but *not* to add or subtract. ([https://en.wikipedia.org/wiki/Nth\\_root#Identities\\_and\\_properties](https://en.wikipedia.org/wiki/Nth_root#Identities_and_properties))

**EXAMPLE 0.81.**

$$\sqrt[2]{5} \times \sqrt[3]{7} = \sqrt[2 \times 3]{5^3 \times 7^2}$$

iii. However, it is usually not possible to **calculate** with both kinds of **real numbers** at the same time.

**EXAMPLE 0.82.** Add  $e$  and  $\pi$  and/or figure out which of the two is larger. (Hint: you can’t do either from the names.)

And, even when the **real numbers** are **fractions** and **roots**, things can still be difficult.

**EXAMPLE 0.83.** Add  $\sqrt[3]{64}$  and  $\frac{876}{12}$  and/or figure out which of the two is larger. (Hint: in *this* case you *can* do both but *not* in the only slightly different case of  $\sqrt[3]{65}$  and  $\frac{875}{12}$ .)

iv. Of course, the examples in textbooks use mostly **fractions** and/or **roots** even though it is at the expense of being immensely misleading if only because *most* **real numbers** are *neither* **fractions** *nor* **roots**.

*And at the expense of forcing memorization of scattered recipes.*

approximate  
procedure

## 13\* Approximating Real Numbers

The reason *engineers* and *physicists*, *chemists*, *biologists*, don't worry about **real numbers** is because they **approximate real numbers** with ... **real-world numbers!!!**

**1. Approximation procedures.** To begin with, one way or the other, *all real numbers*, *including fractions* and *roots*, come with a **procedure** for **calculating approximations** by numbers.

i. To approximate fractions, we use the division procedure.

**EXAMPLE 0.84.** To approximate  $\frac{4168}{703}$ , we *divide* 703 into 4168.

Few divisions end by themselves. Fortunately, though, when they don't, the more we push the division, the better the approximation.

ii. To approximate roots, we essentially proceed by trial and error.

**EXAMPLE 0.85.** To approximate  $\sqrt[3]{17.3}$ , we go:

- ▶  $1.0^3 = 1.0$
- ▶  $2.0^3 = 8.0$
- ▶  $3.0^3 = 27.0$ ,

Since 17.3 is between 8.0 and 27.0,  $\sqrt[3]{17.3}$  *must* be somewhere between 2.0 and 3.0. (But *how* do we know that it *must*?) So now we go:

- ▶  $2.1^3 = 9.261$
- ...
- ▶  $2.5^3 = 15.620$
- ▶  $2.6^3 = 17.576$

Since 17.3 is between 15.620 and 17.576,  $\sqrt[3]{17.3}$  *must* be between 2.5 and 2.6. (But *how* do we know that it *must*?)

And so on. (The actual procedure is more *efficient* but that's the idea.)

Of course, the more "exotic" the **real number** is, the more complicated the **procedure** for **approximating** is going to be:

**EXAMPLE 0.86.** There are many ways to approximate  $\pi$ . The simplest one is the Gregory-Leibniz series whose first few terms are:

$$\frac{4}{1} - \frac{4}{3} + \frac{4}{5} - \frac{4}{7} + \frac{4}{9} - \frac{4}{11} + \frac{4}{13} \dots$$

However, even with "500,000 terms, it produces only five correct decimal digits of  $\pi$ " ([https://en.wikipedia.org/wiki/Pi#Approximate\\_value](https://en.wikipedia.org/wiki/Pi#Approximate_value)) But

there are shorter if more complicated ways to approximate  $\pi$ .

[...]  
largest permissible error

**EXAMPLE 0.87.** One of the very many ways to approximate  $e$  is:

$$1 + \frac{1}{1} + \frac{1}{1 \cdot 2} + \frac{1}{1 \cdot 2 \cdot 3} + \frac{1}{1 \cdot 2 \cdot 3 \cdot 4} \dots$$

([https://en.wikipedia.org/wiki/E\\_\(mathematical\\_constant\)](https://en.wikipedia.org/wiki/E_(mathematical_constant)))

#Asymptotics)

**2. Approximation error.** Since a **real number** is usually *not* equal to the real-world **numbers** used to **approximate** it, in order to write *equalities* we will have to use:

**DEFINITION 0.16** will be the **symbol** for “some **small-size number**, **positive** or **negative**, whose **size** is too small to matter here”.

In other **words**, [...] is a *signed* number about which the only thing we know is that the **size** of [...] is *less* than the **largest permissible error** which is the equivalent here of a **tolerance**.

**EXAMPLE 0.88.**

- $\frac{4168}{703} = 5.929 + [\dots]$  where [...] is less than 0.001 which is the largest permissible error. (Else the procedure would have generated 5.928 or 5.930 instead of 5.929.)
- $\sqrt[3]{17.3} = 2.586\,318\,666\,944\,673 + [\dots]$  where [...] is less than 0.000 000 000 000 001 which is the largest permissible error. (Else the procedure would have generated 2.586 318 666 944 672 or 2.586 318 666 944 674 instead of 2.586 318 666 944 673.)
- $\pi = 3.1415 + [\dots]$  where [...] is less than 0.000 01 which is the largest permissible error. (Else the procedure would have generated 3.141 4 or 3.141 6 instead of 3.141 5.)
- $e = 2.718\,281\,82 + [\dots]$  where [...] is less than 0.000 000 01 which is the largest permissible error. (Else the procedure would have generated 2.718 281 81 or 2.718 281 83 instead of 2.718 281 82.)

## Conclusion

So, “*the wheel is come full circle*” (King Lear), from the **real numbers** all the way back to the real-world numbers, with just one question left:

*And a good question it is.  
But then, it surely depends  
on what you mean by "learn"*

Why should people who want to *learn* CALCULUS have to use **real numbers** which they would then have to *approximate* with real-world numbers *anyhow*?

Well, since,

► To fully quote from Gowers in ?? ?? - ?? (??), “*Physical measurements are not real numbers. That is, a measurement of a physical quantity will ...*”

*And even if you wanted to become a mathematician, “REAL ANALYSIS becomes more intuitive when [one thinks of real numbers] as infinite decimals.” (Gowers’ <https://www.dpmms.cam.ac.uk/~wtg10/decimals.html>)*

and

► Just like people, “[m]ost calculators do not *operate on real numbers*. Instead, they work with finite-precision [decimal] approximations.”([https://en.wikipedia.org/wiki/Real\\_number#In\\_computation](https://en.wikipedia.org/wiki/Real_number#In_computation).)

the answer must surely be, as *Engineers* used to be fond of saying, that

“The *real real numbers* are the *decimal numbers*.”

*And now, Ladies and Gentlemen, let CALCULUS begin!*

# Part I

## Functions Given By Data

The simplest way to give a function is to give the relevant data, that is the numbers connected by the function.



Functions of various kinds are "the central things of investigation" in most fields of modern mathematics.

---

Michael Spivak<sup>0</sup>

connect  
pair  
2-tuple

## Chapter 1

# The Name Of The Game

...is 'function' of course!  
(<https://idioms.thefreedictionary.com/the+name+of+the+game>)

Relations, 63 • Picturing Relations, 73 • Relations Given By Sets Of Plot Dots, 77 • Functions, 87 • Functions Given by I-O Plots, 95 • Functions Given By Curves, 107 • "Simple" Functions?, 116 • Local graph near a point, 119 .

## 1 Relations

Leonardo da Vinci is often quoted as having said that *Everything Connects to Everything Else*.<sup>1</sup>(<https://medium.com/@nikitavoloboev/everything-connects-to-everything-else>) And, indeed, Da Vinci's statement is at the very heart of all SCIENCES.

Even if we can't always see the connections.

**EXAMPLE 1.1.** Everything sits on something else: people sit on chairs that sit on floors that sit on joists that sit on walls that sit on ...

**1. Ordered pairs.** An ordered pair of things is two things in a given order. ([https://en.wikipedia.org/wiki/Ordered\\_pair](https://en.wikipedia.org/wiki/Ordered_pair))

**LANGUAGE NOTE 1.1** An ordered pair is also called a 2-tuple but we will not use the word.

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<sup>0</sup>Calculus, 4th edition. Publish or Perish Press. [https://en.wikipedia.org/wiki/Michael\\_Spivak](https://en.wikipedia.org/wiki/Michael_Spivak)

<sup>1</sup>According to <https://quoteinvestigator.com/2022/03/31/connected/>. However, the earliest published version is from Gotthold Ephraim Lessing in 1769.

relation  
thing  
left thing  
right thing  
diagram

The standard format for writing an **ordered pair** is to write the two **things** in the **given** order, separated by a comma, between parentheses.

**EXAMPLE 1.2.** The ordered pair (Eiffel Tower, Empire State Building) is *not* the same as the ordered pair (Empire State Building, Eiffel Tower)

**CAUTIONARY NOTE 1.1** In MATHEMATICS:

- An *ordered pair* is not to be confused with
- A *pair*, which is just a **collection** of two things so that the order in which the two **things** are **given** is *irrelevant*.

Nevertheless, since, in this text, we will be using **ordered pairs** to record how **things** are **connected**, the order in which two **things** are **given** will *always* be relevant and so

*Just as in "a pair of gloves".*

**AGREEMENT 1.1** We will let the **qualifier** "ordered" *go without saying* and use the word pair as short for ordered pair. But, as usual, for a while we will write (ordered) pair as a reminder.

**2. Connected things.** The mathematical concept behind Da Vinci's **connections** is that of a **relation** ([https://en.wikipedia.org/wiki/Relation\\_\(mathematics\)](https://en.wikipedia.org/wiki/Relation_(mathematics))) which has two components:

- A.** The first component of a relation is two collections of **things** namely:
- A **collection** of things we will refer to as **left things**,
  - A **collection** of things we will refer to as **right things**

*As in Port and Starboard*  
(<https://aceboater.com/en/starboard-port-side-definition>)

**AGREEMENT 1.2**

To make it easier to distinguish **left things** from **right things**, we will use:

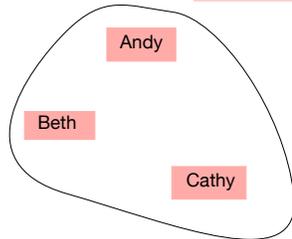
- Pink boxes for **left things** as in, for instance,  
Jill,  $x$ ,  $-0.053$ ,  $x_0$ ,  $0$ ,  $\infty$ , *small*, *large*,
- Green boxes for **right things** as in, for instance,  
Jack,  $y$ ,  $+32.14$ ,  $y_0$ ,  $0$ ,  $\infty$ , *small*, *large*,

There are essentially two ways to give the two **collections** of **things**:

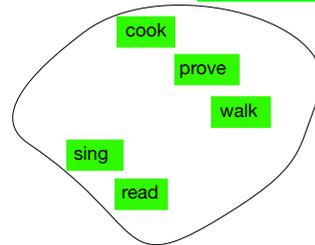
- One way is by way of **diagrams** which is the most immediately intuitive way, (<https://en.wikipedia.org/wiki/Diagram>)

**EXAMPLE 1.3.** Diagrams for:

A collection of **Persons** :



A collection of **activities**



connector  
related  
arrow connector  
arrow diagram

- The other way is by way of **lists**, which is the way that lends itself to PROCEDURES.

**EXAMPLE 1.3. (Continued)** Lists for:

A collection of **Persons** :

Andy , Beth , Cathy .

A collection of **activities**

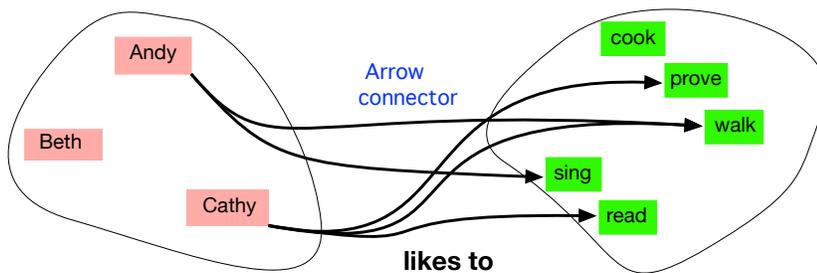
walk , sing , cook , prove , read .

**B.** The second component of a relation is the **connector**, that is anything that **pairs** some of the **left things** with some of the **right things**. When the **connector** pairs a **left thing** to a **right things**, we will say that the **left thing** is **related** to the **right things** and/or that the (ordered) pair (left thing , right thing) is a **related pair**.

*Why can't we use relator instead of connector?*

- When the **collections** of things are **given** with **diagrams**, the **connector** will be in the shape of an **arrow connector** which will give the **relation** as an **arrow diagram**.

**EXAMPLE 1.4.** The collections in **EXAMPLE 1.3** (Page 65) could for instance be connected into the arrow diagram:



which, for instance, says that (Andy , walk) and (Andy , sing) are both related pairs but (Cathy , cook) is not a related pair.

*In everyday language:  
Andy likes both walking and singing but Cathy doesn't like cooking.*

- When the **collections** in a **relation** are **given** by **lists**, the **connector** will

be given by the list of all related (ordered) pairs taken from among all possible (ordered) pairs

**EXAMPLE 1.4. (Continued)** The connector could also be given as the list of related pairs:

(Andy, walk) (Andy, sing)  
 (Cathy, prove) (Cathy, walk) (Cathy, read)  
 taken from from the list of all possible pairs  
 (Andy, cook) (Andy, prove) (Andy, walk) (Andy, sing) (Andy, read)  
 (Beth, cook) (Beth, prove) (Beth, walk) (Beth, sing) (Beth, read)  
 (Cathy, cook) (Cathy, prove) (Cathy, walk) (Cathy, sing) (Cathy, read)

3. (left thing, right thing) pairs. Since we will be dealing with relations, the (ordered) pairs we will be dealing with will always be the (left thing, right thing) pairs in some relation. But of course, given a relation, other (ordered) pairs could always be floating around that have little or nothing to do with the given relation.

**EXAMPLE 1.4. (Continued)**

- Since Jack is *not* in the collection of Persons, (Jack, prove) is an (ordered) pair but *not* a (Person, activity) pair
- Since swimming is *not* in the collection of activities, (Beth, swim) is an (ordered) pair but *not* a (Person, activity) pair.
- Since Cathy *does not* like to cook, (Cathy, cook) is a (Person, activity) pair but *not* a related (Person, activity) pair.
- Since Andy likes to walk, (Andy, walk) is not only a (Person, activity) pair but a related (Person, activity) pair.

Given a relation, the set of (left thing, right thing) pairs is the collection of all the related (left thing, right thing) pairs.

**EXAMPLE 1.4. (Continued)** The set of (Person, activity) pairs is:

(Andy, walk), (Andy, sing), (Cathy, walk),

(Cathy, read), (Cathy, prove)

table  
row  
column  
list table  
Cartesian table  
Descartes

**4. Tables.** Using lists to give a relation, though, is tedious and relations are often given in the shape of tables in which the collections of things are listed in rows and columns in a way that shows the (left thing, right thing) pairs. ([https://en.wikipedia.org/wiki/Table\\_\(information\)](https://en.wikipedia.org/wiki/Table_(information)))

Among other kinds of tables, there are:

- **List tables** in which the collection of left things is listed in the lefthand column and for each left thing the related right thing(s), if any, are listed horizontally in the righthand column.

**EXAMPLE 1.5.** The list table for the relation in EXAMPLE 1.4 (Page 65) is

Persons	activities, if any, that	Persons, if any, like
Andy	walk sing	
Beth		
Cathy	read walk prove	
	cook	

- **Cartesian table**—named after René Descartes ([https://en.wikipedia.org/wiki/Ren%C3%A9\\_Descartes](https://en.wikipedia.org/wiki/Ren%C3%A9_Descartes))<sup>1</sup>—which are much more systematic than list tables:

*Just a bit less obvious to read, though.*

- All the left things are listed in a vertical column on the left,
- All the right things are listed in a horizontal row on top,
- For each (left thing, right thing) the word yes or no at the intersection of the horizontal row of the left thing and the vertical column of the right thing indicates whether or not the left thing is related to the right thing.

**EXAMPLE 1.6.** The Cartesian table for the relation in EXAMPLE 1.4 (Page 65) is:

<sup>1</sup>Inventor of ANALYTIC GEOMETRY which links the previously separate fields of ALGEBRA and GEOMETRY ([https://en.wikipedia.org/wiki/Analytic\\_geometry](https://en.wikipedia.org/wiki/Analytic_geometry))

likes to	walk	sing	read	prove	cook
Andy	yes	yes	no	no	no
Beth	no	no	no	no	no
Cathy	yes	no	yes	yes	no

where, for instance,

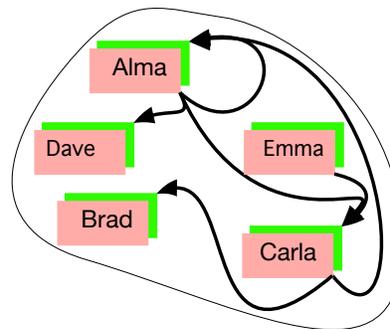
likes to	prove
Cathy	yes

says that Cathy likes to prove.

**5. Endorelations.** There is no reason why the collection of left things *Just for the sake of precision!* and the collection of right things cannot be one and the same.

**EXAMPLE 1.7.**

Arrow diagram:



List table:

Persons	Persons, if any, whom Persons like
Alma	Alma Carla Dave
Brad	
Carla	Alma Brad
Dave	
Emma	Carla
	Emma

Cartesian table:

likes	Alma	Brad	Carla	Dave	Emma
Alma	yes	yes	yes	no	no
Brad	no	no	no	no	no
Carla	yes	yes	no	no	no
Dave	no	no	no	no	no
Emma	no	no	yes	no	no

endorelation

**LANGUAGE NOTE 1.2** While *relations* in which *left things* and *right things* are from one and the same collection are called **endorelations**, we will just keep on using the word *relation*.  
[https://en.wikipedia.org/wiki/Homogeneous\\_relation](https://en.wikipedia.org/wiki/Homogeneous_relation)

**6. Relation problems.** Given a *relation*, there are of course many questions we can ask.

a. How we will *proceed* to answer these questions will depend on how the *relation* is given:

- *Arrow diagrams* are intuitive but only so long as there are very few *things* in the *collections* and so we will not use *arrow diagrams* very often.
- *List tables* are clear and allow for quite a few *things* in the *collections*—but still not too many,
- *Cartesian tables* allow for just about any number of *things* in the *collections*.

b. The simplest question we may ask is if a given (*left thing*, *right thing*) pair is or is not *related*.

*This question will in fact turn out to be basic for picturing relations.*

**EXAMPLE 1.8.** In EXAMPLE 1.4 (Page 65) we may ask:  
Does *Cathy* like to *sing*?

Answer: No, so the pair (*Cathy*, *sing*) is not a related pair

Does *Cathy* like to *prove*?

Answer: Yes, so the pair (*Cathy*, *prove*) is a related pair.

c. A consequence of *Da Vinci's* statement is that, in fact, any *given* thing is known only by what is known of the things that the *given* thing is *connected* to.

relation problem  
left problem

**EXAMPLE 1.9.** Variants of the idea that **things** are known by what is known of the **things** they are connected to are found in many cultures:

You tell me **the company you keep**, I will then tell you **what you are** (Dutch)  
 You tell me **who's your friend**, I will then tell you **who you are** (Russian)  
 You tell me **your company**, I will then tell you **who you are** (Irish)  
 You tell me **what you are eager to buy**, I will then tell you **what you are** (Mexican)  
 You tell me **with whom you go**, I will then tell you **what you do** (English)  
 You tell me **who your father is**, I will then tell you **who you are** (Philippine)  
 You tell me **what you eat**, I will then tell you **what you are** (French)

(<https://www.linkedin.com/pulse/show-me-your-friends-ill-tell-you-who-really-jan>)

So, the more consequential questions we may ask about a **given relation** fall into two general kinds of **relation problems**:

- **Left thing problems** in which we want to find **information** about a **given left thing** in terms of the **right thing(s)**, if any, that the **given left thing** is **related** to.

i. **List tables** make it particularly easy to solve **left thing** problems: look up the **given left thing** in the left **column** and you will see the **right things** that the **given left thing** is **related** to **listed** on that **row**.

**EXAMPLE 1.10.** If, for the relation given in **EXAMPLE 1.4** (Page 65), we ask for all the **activities** which **Cathy** likes, the list table in **EXAMPLE 1.5** (Page 67) shows:

Cathy		read	walk	prove
-------	--	------	------	-------

If we ask for all the **activities** which **Beth** likes, the list table in **EXAMPLE 1.5** (Page 67) shows:

Beth				
------	--	--	--	--

And, similarly, the list table in **EXAMPLE 1.5** (Page 67) even gives answers to questions such as:

Is there any activity **Beth** likes? (Answer: No)

Does **Cathy** like *all* **activities**? (Answer: No)

Does **Andy** like *at least one* **activity**? (Answer: Yes)

ii. **Cartesian tables** are just a bit harder to use: look up the **given left thing** in the left **column** and the **right things** that the **given left thing** is **related** to, if any, will be in the columns with the word **yes**.

**EXAMPLE 1.11.** If we ask for all the **activities** which **Cathy** likes, the Cartesian table in **EXAMPLE 16.16** (Page 490) shows: right problem

likes to	walk		read	prove	
<b>Cathy</b>	yes	no	yes	yes	no

And if we ask for all the **activities** which **Beth** likes, the Cartesian table in **EXAMPLE 16.16** (Page 490) shows:

likes to					
<b>Beth</b>	no	no	no	no	no

And, similarly, the Cartesian table in **EXAMPLE 16.16** (Page 490) even gives answers to questions such as:

- Is there any activity **Beth** likes? (Answer: No)
- Does **Cathy** like *all* **activities**? (Answer: No)
- Does **Andy** like *at least one* **activity**? (Answer: Yes)

- **Right thing** problems in which we want to find **information** about a **given right thing** in terms of the **left thing(s)**, if any, that are **related** to the **given right thing**.

i. **List tables** are fairly unsuited to solving **right thing** problems because you have to hunt for the **given right thing** in all the **rows** of the right hand column.

**EXAMPLE 1.12.** If, for the relation given in **EXAMPLE 1.4** (Page 65), we ask for all the **Persons** who like to **walk**, the list table in **EXAMPLE 1.5** (Page 67) shows:

Persons	<b>activities</b> , if any, that <b>Persons</b> like
<b>Andy</b>	walk sing
Beth	
<b>Cathy</b>	read walk prove
	cook

If we ask for all all the **Persons** who like to **cook**, the list table in EXAMPLE 1.5 (Page 67) shows:

Persons	activities, if any, that Persons like
Andy	walk sing
Beth	
Cathy	read walk prove cook

And similarly, the list table in EXAMPLE 1.5 (Page 67) even gives answers to questions such as:

Is there at least one **Person** who likes **cook**? (Answer: No)

Is there at least one **Person** who likes **walk**? (Answer: Yes)

Do *all* **Persons** like **walk**? (Answer: No)

ii. **Cartesian tables** make it just as easy to solve **right thing** problems as to solve **left thing** problems: look up the **given right thing** in the top **row** and the **left thing(s)** that are related to the **given right thing**, if any, will be in the **rows** with the word yes.

**EXAMPLE 1.13.** If we ask for all the **Persons** who like to **walk**, the Cartesian table in EXAMPLE 16.16 (Page 490) shows:

likes to	walk				
<b>Andy</b>	yes				
<b>Beth</b>	no				
<b>Cathy</b>	yes				

If we ask for all the **Persons** who like to **cook**, the Cartesian table in EXAMPLE 16.16 (Page 490) shows:

likes to					cook
<b>Andy</b>					no
<b>Beth</b>					no
<b>Cathy</b>					no

And, similarly, the Cartesian table in EXAMPLE 16.16 (Page 490) even gives answers to questions such as:

Is there at least one **Person** who likes to **cook**? (Answer: No)

Is there at least one **Person** who likes to **walk**? (Answer: Yes)

Do *all* **Persons** like to **walk**? (Answer: No)

## 2 Picturing Relations

left number  
right number

Arrow diagrams are a very natural and very visual way to picture relations but we will need ways to picture relations that are a lot more systematic.

**1. Basic picture.** A relation that involves only just a few related (left thing, right thing) pairs can be easily pictured with just a ruler for left things and a ruler for right things.

**PROCEDURE 1.1** To picture a (left thing, right thing) pair,

- i. Mark the given left thing as in Subsection 6.1 - Comparing given numbers (Page 19) on the ruler for left things,
- ii. Mark the given right thing as in Subsection 6.1 - Comparing given numbers (Page 19) on the ruler for right things,
- iii. If the given left thing is related to the given right thing draw a link from the given left thing marked on the ruler for left things to the given related right thing marked on the ruler for right things.

**DEMO 1.1** Picture the related pair (Andy, walk) in EXAMPLE 1.7 (Page 68)

where the link says that Andy likes to walk,

**2. Quantitative Cartesian setup.** Now, while relations can involve any kind of things, this text will deal only with (endo)relations involving numbers—hence left numbers and right numbers—and so the set of

quantitative Cartesian  
 setup  
 screen  
 quantitative ruler for left  
 numbers  
 quantitative ruler for right  
 numbers  
 left number level line  
 right number level line  
 plot dot

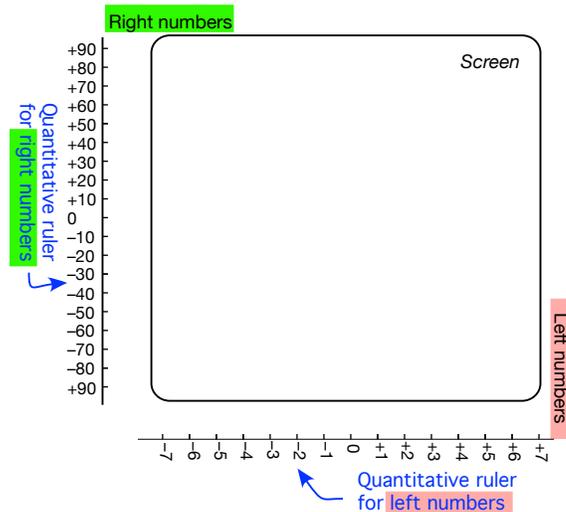
*Yeah, sure enough, Cartesian setups are upside down from Cartesian tables.*

related pairs will usually be large and so picturing those **relations** will require a more efficient setup than just two rulers.

A **quantitative Cartesian setup** consists of:

- A rectangular area which we will call the **screen**.
- A **quantitative ruler for left numbers** *below* the **screen** (horizontal)
- A **quantitative ruler for right numbers** *left* of the **screen** (vertical)

**EXAMPLE 1.14.**



### 3. Plotting pairs of numbers.

**PROCEDURE 1.2** To **plot** a given pair of numbers,

- i. Tickmark the given **left number** on the quantitative ruler for **left numbers** (horizontal),
- ii. Draw a **left number level line**, that is a *vertical* line through the tickmark for the given **left number**,
- iii. Tickmark the given **right number** on the quantitative ruler for **right numbers** (vertical),
- iv. Draw a **right number level line**, that is a *horizontal* line through the tickmark for the given **right number**,
- v. Then, at the intersection of the **left number level line** and the **right number level line**, mark the **plot dot** with:

- ▶ A **solid dot** if the (left number, right number) pair is related.
- or, as we will need occasionally,
- ▶ A **hollow dot** if the (left number, right number) pair is *not* related

solid dot  
hollow dot  
data point  
plot point

**DEMO 1.2**  
Plot the related pair  $(-3, +40)$ .

- i. We tickmark  $-3$  on the ruler for left numbers,
- ii. We draw a left number level line (vertical) through  $-3$ ,
- iii. We tickmark  $+40$  on the ruler for right numbers,
- iv. We draw a right number level line (horizontal) through  $+40$ ,
- v. Since the given pair is related, we use a solid dot to mark the intersection of the left number level line with the right number level.

Note that the plot dot is at the elbow of the link.

**LANGUAGE NOTE 1.3** The word usually used in MATHEMATICS instead of plot dot is **plot point** and, in the experimental sciences, **data point** but we cannot do that since we are already using the word **point** with a different meaning. Subsection 4.1 - Non-zero digits (Page 14)

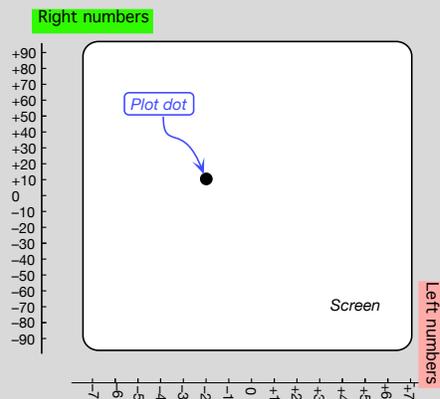
4. Reading plot dots. The other way round,

**PROCEDURE 1.3** To get the pair of numbers from a given plot dot,

- i. Draw a left number level line (vertical) through the given plot dot,
- ii. Read the left number where the left number level line intersects the ruler for left numbers,
- iii. Draw a right number level line (horizontal) through the given plot dot.
- iv. Read the right number where the right number level line intersects the ruler for right numbers,

### DEMO 1.3

Given the plot dot



get the pair of numbers.

set of plot dots

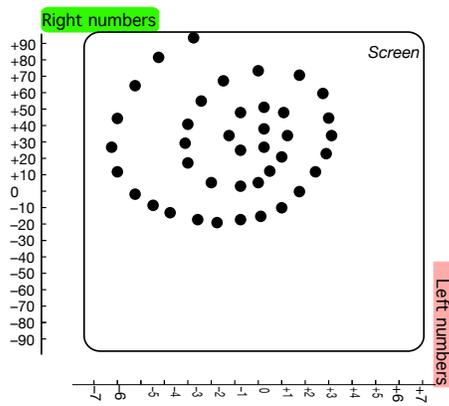
i. We draw a **left number** level line (vertical) through the plot dot,  
 ii. We read the **left number** where the **left number** level line intersects the ruler for  
**left number** :  $-2$   
 iii. We draw a **right number** level line (horizontal) through the plot dot,  
 iv. We read the **right number** where the **right number** level line intersects the ruler for  
**right numbers** :  $+10$

So the pair of numbers pictured by the given plot dot is  $(-2, +10)$

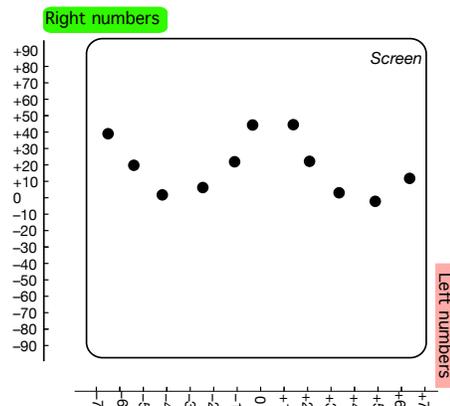
### 3 Relations Given By Sets Of Plot Dots

1. **Sets of plot dots.** Since quantitative Cartesian setups allow us to picture large sets of pairs, we can picture a **given relation** with a **set of plot dots**, that is with the **plot dots** for all the **related** **left number** **right number** pairs.

EXAMPLE 1.15.

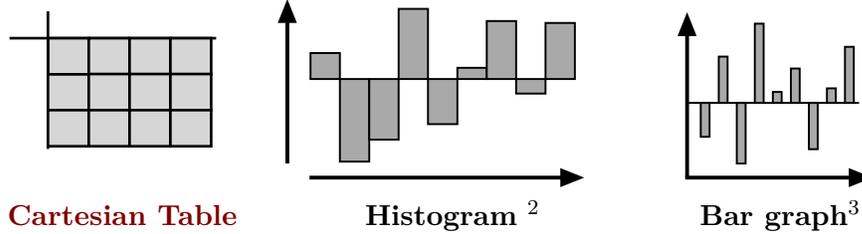


EXAMPLE 1.16.

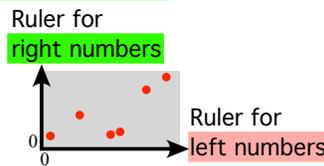


histogram  
bar graph  
*x*-axis  
*y*-axis  
axis

**2. Axes.** Keeping the ruler for left numbers and the ruler for right numbers away from the screen as we do in the Cartesian setup is not the usual practice in MATHEMATICS even though it is standard practice in the *real world*:



As indicated by the word Cartesian, the quantitative Cartesian setup is due to Descartes who, since he did not use *negative* numbers, had no problem using the 0 level line as ruler for left numbers and the 0 level line as ruler for right numbers since they were not in the way.



### Descartes

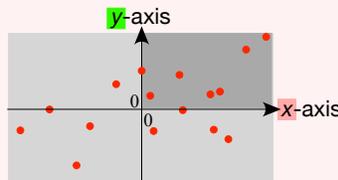
But when mathematicians eventually did accept *negative* numbers, they continued to use:

- the 0 level line as ruler for left numbers — which they then called *x*-axis and
- the 0 level line as ruler for right numbers — which they then called *y*-axis

even though :

#### CAUTIONARY NOTE 1.2

Since axes are in the middle of the picture



### Modern

using the *x*-axis as ruler for left numbers can be confusing because:

<sup>2</sup>See <https://en.wikipedia.org/wiki/Histogram>

<sup>3</sup>See [https://en.wikipedia.org/wiki/Bar\\_chart](https://en.wikipedia.org/wiki/Bar_chart)

quincunx

► The plot dot for the pair  $(x_0, 0)$  will then be on top of the tickmark for the left number  $x_0$  which makes it unclear which is intended,

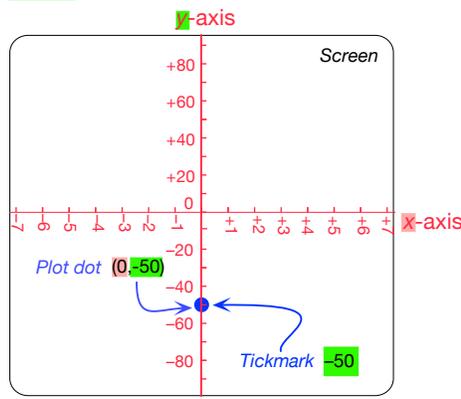
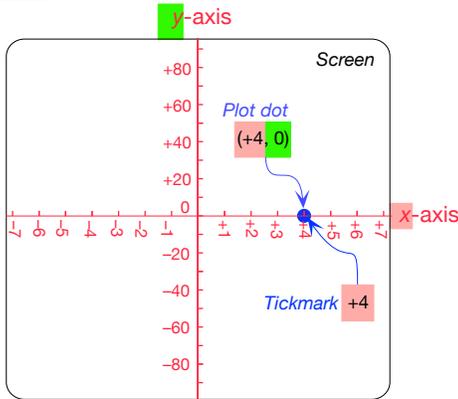
and, similarly, using the  $y$ -axis as ruler for right numbers can be confusing because:

► The plot dot for the pair  $(0, y_0)$  will then be on top of the tickmark for the right number  $y_0$  which makes it unclear which is intended.

**EXAMPLE 1.17.** When using the axes as rulers.

The plot dot for the pair  $(+4, 0)$  is on top of the tickmark for the left number  $+4$ :

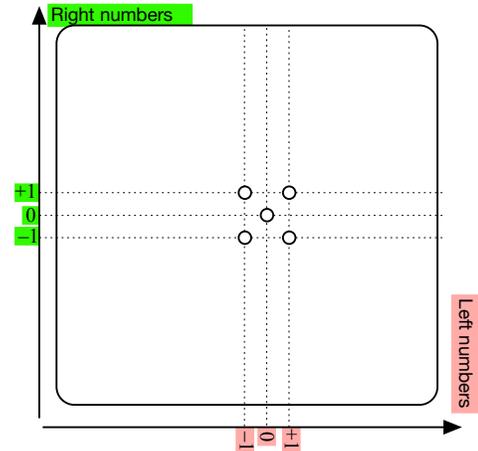
The plot dot for the pair  $(0, -50)$  is on top of the tickmark for the right number  $-50$ :



**3. The quincunx.** We will call **quincunx** (<https://en.wikipedia.org/wiki/Quincunx>) the set of plot dots for the following five pairs:

left number problem

$$\begin{array}{cc} (-1, +1) & (+1, +1) \\ & (0, 0) \\ (-1, -1) & (+1, -1) \end{array}$$



Note that here the plot dots are hollow dots because, at this time, we don't know which of the five left number right number pairs in the quincunx, if any, are *related*. In fact, we will see that which of the five left number right number pairs in the quincunx are *related* will play a central role with 'power functions'.

**4. Sparseness of sets of plot dots.** In *engineering* and the *experimental sciences*, aside from being given by Cartesian tables, relations are often given by a set of plot dots generated by some machinery (<https://en.wikipedia.org/wiki/Plotter>) on the screen of a quantitative Cartesian setup.

However, while, when the relation is given by a set of plot dots, the PROCEDURES for solving relation problems are fairly obvious as we will now see, what can complicate matters is that

**CAUTIONARY NOTE 1.3** Sets of plot dots are **sparse**, that is, there are only so many plot dots surrounded by a lot of empty space.

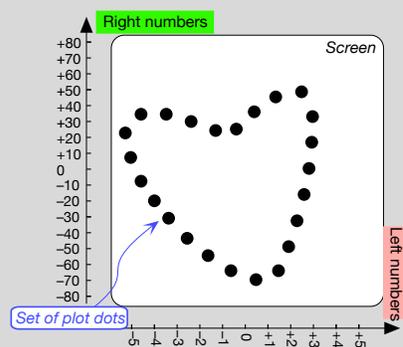
**5. Left number problems.** To solve a left number problem when the relation is given by a set of plot dots, we use

**PROCEDURE 1.4** To get the right number(s) (if any) related to a given left number when the relation is given by a set of plot dots,

- i. Tickmark the given left number on the ruler for left numbers,
- ii. Draw a left number level line through the tickmark for the given left number,
- iii. Mark the plot dot(s), if any, where the left number level line intersect the given set of plot dots, (This is where the fact that sets of plot dots are sparse can come in with a vengeance.)
- iv. Draw a right number level line through each plot dot,
- v. Read the right number(s) related to the given left number, if any, where the right number level line(s) intersect(s) the ruler for right numbers,

**DEMO 1.4a**

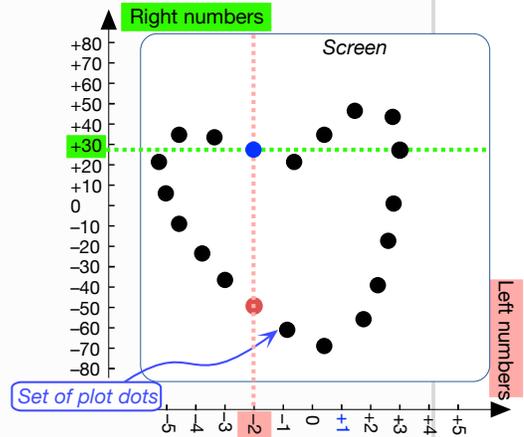
Given the set of plot dots



and given

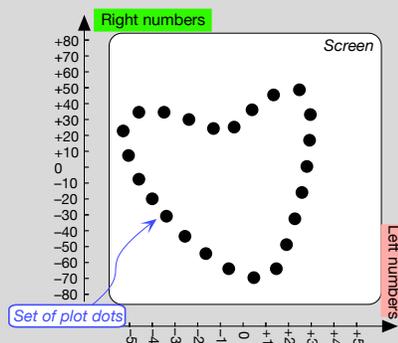
the left number  $-2$ , get the right number(s) related to  $-2$ , if any.

- i. We tickmark  $-2$  on the ruler for left numbers
- ii. We draw a left number level line through the tickmark for  $-2$ ,
- iii. We mark the plot dot(s) where the left number level line through  $-2$  intersects the set of plot dots, if at all
- iv. We draw the right number level line through the marked plot dot(s), if any,
- v. We read the right number related to  $-2$  where the right number level line intersect the ruler for right numbers:  $+30$ .



DEMO 1.4b

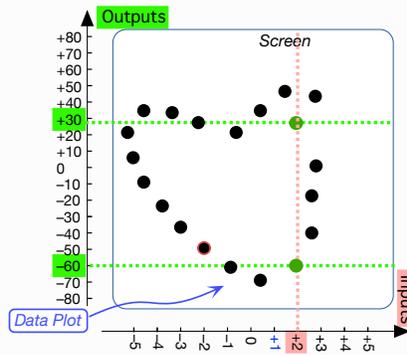
Given the set of plot dots



and given

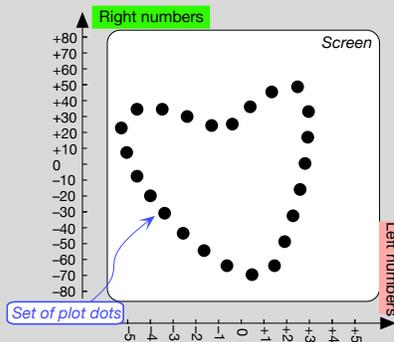
the left number  $+2$ , get the right number(s) related to  $+2$ , if any..

- i. We tickmark **+2** on the ruler for **left numbers**
- ii. We draw a **left number** level line through the tickmark for **+2**,
- iii. We mark the **plot dot(s)** where the **left number** level line through **+2** intersects the set of plot dots, if at all
- iv. We draw the **right number** level line through each of the marked plot dot(s), if any,
- v. We read the **right number(s)** related to **+2** where the **right number** level line intersect the ruler for **right numbers**: **+30** and **-60**..



**DEMO 1.4c**

Given the set of plot dots

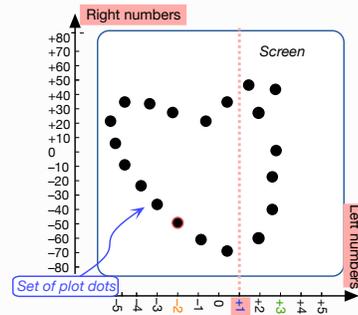


and given

the **left number** **+1**, get the **right number(s)** related to **+1**, if any.

right number problem

- i. We tickmark  $+1$  on the ruler for left numbers
- ii. We draw a left number level line through the tickmark for  $+1$ ,
- iii. There is no plot dot where the left number level line through  $+1$  intersects the set of plot dots. (This is where the fact that sets of plot dots are sparse comes in.)
- iv. We cannot draw any right number level line since there isn't any marked plot dot,
- v. There is no right number related to  $+1$ .



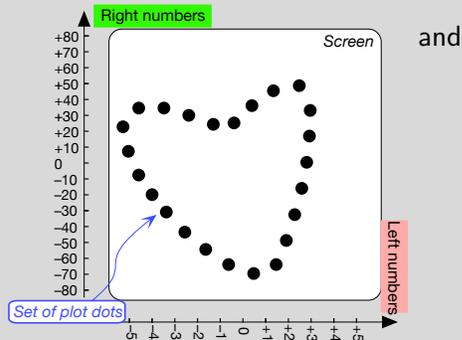
6. **Right number** problems. To solve a **right number** problem when the relation is given by a set of plot dots, we use

**PROCEDURE 1.5** To get the left number(s) (if any) related to a given right number when the relation is given by a set of plot dots,

- i. Tickmark the given right number on the ruler for right numbers,
- ii. Draw a right number level line through the tickmark for the given right number
- iii. Mark the plot dot(s), if any, where the right number level line intersect the given set of plot dots, (This is where the fact that sets of plot dots are sparse can come in with a vengeance.)
- iv. Draw a left number level line through each plot dot,
- v. Read the left number(s) related to the given right number, if any, where the left number level line(s) intersect(s) the ruler for left numbers,

**DEMO 1.5a**

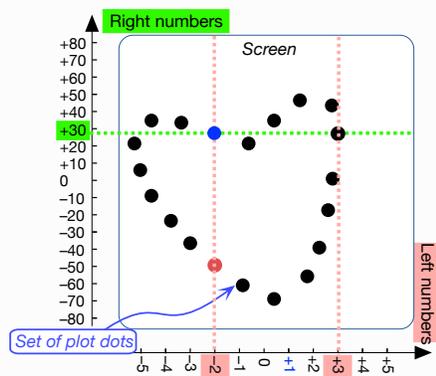
Given the set of plot dots

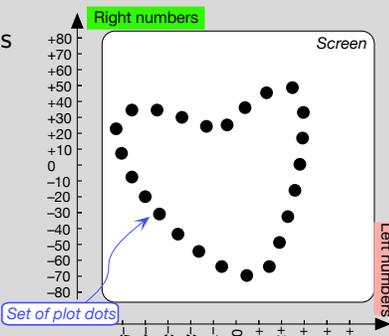


and

given the **right number**  $+30$ , get the **left number** related to  $+30$ , if any.

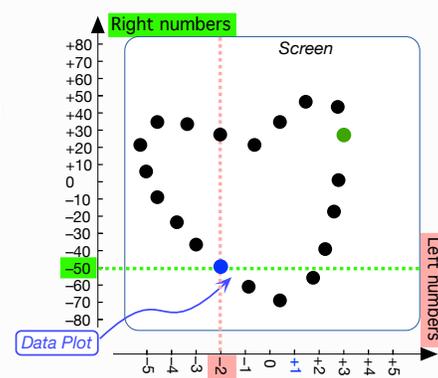
- i. We tickmark  $+30$  on the ruler for **right numbers**
- ii. We draw a **right number** level line through the tickmark for  $+30$ ,
- iii. We mark the two plot points where the **right number** level line intersects the set of plot dots,
- iv. We draw a **left number** level line through each of the two marked plot dots,
- v. We read the related **left numbers** where the **left number** level lines intersect the ruler for **left number** :  $-2$ ,  $+3$ .



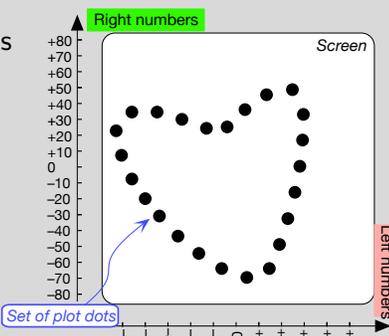
Given the set of plot dots  and given

the **right number**  $-50$ , get the **left number** related to  $-50$ , if any.

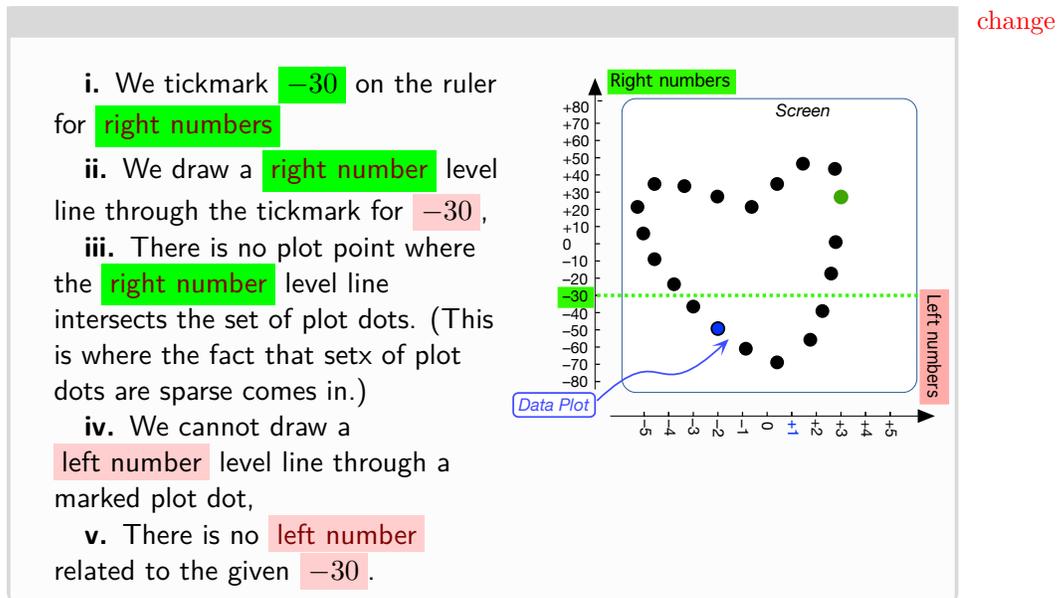
- i. We tickmark  $-50$  on the ruler for **right numbers**
- ii. We draw a **right number** level line through the tickmark for  $-50$ ,
- iii. We mark the single plot point where the **right number** level line intersects the set of plot dots,
- iv. We draw a **left number** level line through the marked plot dot,
- v. We read the related **left number** where the **left number** level line intersect the ruler for **left number** :  $-2$ .



**DEMO 1.5c**

Given the set of plot dots  and given

the **right number**  $-30$ , get the **left number** related to  $-30$ , if any.



## 4 Functions

To see that something is **changing**, and to record the **change**, we *must* look at that thing in **relation** to something else.

**EXAMPLE 1.18.** To realize that:

- ▶ The airplane we are sitting in is moving, we must look out the window.
- ▶ The tree we see out our window is growing, we must look at it in relation with somethings like a building..

This is even more the case for *quantitative changes*.

**EXAMPLE 1.19.** We might say that someone's **income tax** was **\$2 270** but, *by itself*, that wouldn't be much **information** because, for instance,

- **\$2 270** of **income tax** was a lot more money in **Year 1913**—the **year** **income tax** was first established, than, say, a century later, in **Year 2013**. So, for saying that someone's **income tax** is **\$2 270** to be information, we would have to have some relation pairing **years** with **Income Tax**,
- **\$2 270** of **income tax** is a lot more money for the **rest of us** than for **billionaires**. So, for saying that someone's income tax is **\$2 270** to be information, we would have to have some relation pairing **Incomes** with

function  
function requirement

Income Tax.

However, the fact that a relation can relate one same left number to many different right numbers can make differences difficult to see.

**EXAMPLE 1.20.** Consider the following:

- A slot machine can pair a number of coins with just about any number of coins which makes it quite hard to decide if *this* slot machine is better for gambling than *that* other slot machine.
- A parking meter can pair a number of coins with only one number of minutes which makes it easy to decide if *this* parking meter is better for parking than *that* other parking meter.

**1. Function requirement.** So, from now on we will restrict ourselves to functions, that is to relations that meet

**DEFINITION 1.1** The function requirement for a relation:

No left number can be related to more than one right number.

that is, in other words,

A left number can be related to no more than one right number.

that is, still in other words,

A left number can be related to at most one right number.

**EXAMPLE 1.21.** In EXAMPLE 1.14 (Page 74)

- The slot machine does *not* meet the Local behaviour coding format (DEFINITION 2.1, Page 135) because even when two Persons put the same amount of money in a given slot machine, the slot machine can give different amounts of money to the two Persons.
- The parking meter *does* meet the Local behaviour coding format (DEFINITION 2.1, Page 135) because whenever two Persons put the same amount of money into a given parking meter, the parking meter will always give the two Persons the same number of minutes.

**EXAMPLE 1.22.** As opposed to the relation in EXAMPLE 1.5 (Page 67), the relation given by the table

Persons :	Activities	if any, these Persons like:
Dave	skate	
Eddy		
Fran	sing	

input/output device  
I-O device  
input  
output  
return

satisfies the **Local behaviour coding format** (DEFINITION 2.1, Page 135)

**2. Inputs and outputs.** The **Local behaviour coding format** (DEFINITION 2.1, Page 135) actually makes a big **qualitative** difference among **relations** in that, while **relations** are essentially embodiments of **Da Vinci's** statement so that there is no precedence whatsoever between **left things** and **right things**, with **functions**, as we will see, **left things** somehow come "before" **right things** and, in fact, **functions** are seen as **input/output devices**, **I-O device** for short. (<https://en.wikipedia.org/wiki/Input/output>)

So, to acknowledge this precedence in the case of a **function**, we will use the word **input** instead of **left number** and the word **output** instead of **right number**. Then, instead of saying that an **output** is related to an **input** we will say that the **function returns** the **output** for the given **input**.

We can then rephrase the **Local behaviour coding format** (DEFINITION 2.1, Page 135) as follows:

**DEFINITION 2.1 (Restated) Local behaviour coding format**

Given an **input**, a function cannot return more than one **output**.

words

Given an **input**, a function can return no more than one **output**.

that is, still in other words,

Given an **input**, a function can return at most one **output**.

So, according to **DEFINITION (Restated) 2.1 - Local behaviour coding format** (Page 89):

domain

And even inside "casual" MATHEMATICS !

In other words, this text is trading rigor for expository simplicity. And this theoretical difficulty wouldn't come up any time soon anyhow.

Of course, you might say that no tax = \$0.00 so this may not a very good example for CAUTIONARY NOTE 1.4 - Inputs with no output (Page 90).

**A.** Given an **input**, a **function** may or may not **return** an **output**, that is there *may* or *may not* be an **output** **related** to the **given** **input**.

Now, while this is fairly standard practice *outside* of MATHEMATICS, strictly speaking, **functions** should *not* be allowed to return *no* **output** because that would eventually cause a *theoretical* difficulty and so one should introduce the word **domain** for the **collection** of **inputs** for which the function *does* return an **output**.

**EXAMPLE 1.22. (Continued)** For a mathematician, the given relation is not a function and only its restriction to its domain, that is **Dave**, **Fran**, is a function. satisfies the **Local behaviour coding format** (DEFINITION 2.1, Page 135)

However, inasmuch as *we* will not be anywhere near encountering this theoretical difficulty:

**CAUTIONARY NOTE 1.4** In *this* text, given an **input**, a **function** *may* return *no* **output**.

**EXAMPLE 1.23.** The relation given by the income tax tables of the IRS satisfies the **Local behaviour coding format** (DEFINITION 2.1, Page 135) and is thus, in the real world, a **function** even though incomes below the minimum income cause no income tax.

**B.** On the other hand, it is quite possible for a **function** to **pair** *many* different **inputs** to *one* and the same **output**. In other words, the very same **output** may be **returned** by a **function** for *many* different **inputs**.

**CAUTIONARY NOTE 1.5** A **function** *may* return the *same* **output** for several *different* given **insputs**

**EXAMPLE 1.24.** A business may be looked upon as the function given by the *input-output table* of its profits/losses over the years:

Fiscal Year	Profit/Loss
1998	+5 000
1999	-2 000
2000	
2001	+5 000
2002	-2 000
2003	-1 000
2004	
2005	+5 000

capital script letters

$f$

$f(x)$

In 1998, 2001, and 2005 the business returned the same profit/loss namely +5 000

**3. Language for functions.** Since functions “are widely used in science, and in most fields of mathematics.” ([https://en.wikipedia.org/wiki/Function\\_\(mathematics\)](https://en.wikipedia.org/wiki/Function_(mathematics))), just as with ARITHMETIC, a whole language was created as the development of CALCULUS proceeded, with variants depending on what aspect of CALCULUS was being developed, so we will now describe the particular variant we will use.

*In many ways, functions are to CALCULUS very much what numbers are to ARITHMETIC.*

**i. Individual symbols:** We will use capital script letters to write the names of given functions.

**EXAMPLE 1.25.** Say  $\mathcal{JOE}$  is the name of our favorite parking meter. Then 25 cents might be what we want to input in the function  $\mathcal{JOE}$  and 10 minutes might be the parking time that  $\mathcal{JOE}$  will return.

**ii. Generic symbols:** The following symbols are completely standard:

a. We will use  $f$  as symbol for a generic function.

b. We will use  $x$  as a global variable for input numbers, that is as a placeholder for inputs

c. We will then use  $f(x)$ , to be read  $f$  of  $x$ , as symbol for the output, if any, that the function  $f$  returns for the input  $x$

*And absolutely necessary if you don't happen to have color pens.*

**CAUTIONARY NOTE 1.6** Even though, because of the color boxes, we could write just  $f x$  instead of  $f(x)$ , we will still use parentheses because that's what is done by absolutely everybody.

By the way, there is an alternate, parenthesis-free, notation:

$\xrightarrow{f}$   
 arrow notation  
 send  
 function problem  
 direct problem

Try to find a CALCULUS text that does!

#### LANGUAGE NOTE 1.4 Reverse Polish Notation

The Reverse Polish Notation (RPN) is another way to write the *output* of a function  $f$  for an input  $x$ , namely  $x f$  instead of  $f(x)$ .

RPN is a much better notation, if only because  $x f$  is parenthesis *free*, but we shall *not* use it as, unfortunately, about no one in the *mathematical* world does.

([https://en.wikipedia.org/wiki/Reverse\\_Polish\\_notation](https://en.wikipedia.org/wiki/Reverse_Polish_notation))

Er,  $\xrightarrow{f}$  isn't standard in ...standard CALCULUS texts.

d. We will use the symbol  $\xrightarrow{f}$  to write functions in **arrow notation**:

$$x \xrightarrow{f} f(x)$$

Inasmuch as we read from left to right, though, the **arrow notation**

$$x \xrightarrow{f} f(x)$$

tends to place an emphasis on the **input** rather than on the **function**  $f$  and there is an

**LANGUAGE NOTE 1.5 Alternate arrow notation.** In order to place the emphasis on the **function** instead of on the **input**, a standard alternative is to write:

$$f : x \longrightarrow f(x)$$

which is read

$$f \text{ sends } x \text{ to } f(x)$$

While we will *not* use the *alternate arrow notation*, we *will* use the word **send** because it is symmetrical to the word **return**.

**4. Function problems.** Just as in the general case of **Relation problems** (Subsection 1.6, Page 69), there will be two kinds of **function problems** whose name acknowledge the fact that with functions, going from **input** to **output** is the privileged direction.

- A **direct problem** is a **function problem** in which we are given an **input** and we are looking for the **output** that the **function** may **return**.

**EXAMPLE 1.26.** In EXAMPLE 1.22 (Page 88), a *direct* problem might for instance be: What was the profit/loss in 1999?

Answer: **-2000**

**EXAMPLE 1.27.** In EXAMPLE A.18 (Page 511), a *direct* problem might for instance be:

$$75 \text{ cents} \xrightarrow{\mathcal{JOE}} \mathcal{JOE}(75 \text{ cents}) = y \text{ minutes}$$

that is, how many minutes parking time will  $\mathcal{JOE}$  return for 75 cents ?

reverse problem  
input-output pair  
I-O pair

We will see that *direct* problems are generally relatively easy to solve.

**EXAMPLE 1.28.** Solving *direct* problem in the real world like figuring how much parking time will three quarters buy you is easy: if nothing else, just put three quarters in the parking meter and see how much parking time you get!

- A **reverse problem** is a **function problem** in which we are given an **output** and are looking for the **input** for which the **function** returns the given **output**.

**EXAMPLE 1.29.** In EXAMPLE A.18 (Page 511), a *reverse* problem might for instance be:

$$x \text{ cents} \xrightarrow{\mathcal{JOE}} \mathcal{JOE}(x \text{ cents}) = 50 \text{ minutes}$$

that is, how many cents should we input for  $\mathcal{JOE}$  to return 50 minutes parking time?

**EXAMPLE 1.30.** In EXAMPLE 1.22 (Page 88), a *reverse* problem might for instance be: In what year(s) (if any) did the business return +5 000? Answer: 1998, 2001, 2005.

Of course, neither *direct* problems nor *reverse* problems *need* have a solution.

**EXAMPLE 1.31.** In EXAMPLE 1.22 (Page 88),

- ▶ There is no Profit/Loss for Year 2000.
- ▶ There is no Year for which the Profit/Loss is 6000.

**5. Input - Output pairs.** Given a function, an **input** and an **output**, we will use the word **input - output pair**, **I - O pair** for short, when the **function** returns the given **output** for the given **input**.

We will continue to use in the case of **input - output** pairs the format which we introduced in Subsection 1.6 - Relation problems (Page 69), par-

input-output function  
format  
I-O function format

"function" because of DEFINITION 2.1 - Local behaviour coding format (Page 135)

ticularly for plotting purposes, but in the case of **functions** we will also use another two formats:

**DEFINITION 1.2** The two **input-output formats** which say that  $x_0$  and  $y_0$  are related by a *function*  $f$ , are:

- For *computational* purposes, the equality  $f(x_0) = y_0$
- For *conceptual* purposes, the **arrow notation**  $x_0 \xrightarrow{f} y_0$

And of course, we can combine the two as:  $x_0 \xrightarrow{f} f(x_0) = y_0$

**EXAMPLE 1.32.** In EXAMPLE A.18 (Page 511), we could have written:

- For *computational* purposes, the equality  $\mathcal{JOE}(25 \text{ cents}) = 10 \text{ minutes}$  or
- For *conceptual* purposes, the arrow notation  $25 \text{ cents} \xrightarrow{\mathcal{JOE}} 10 \text{ minutes}$

Of course, we can combine the two:

$$25 \text{ cents} \xrightarrow{\mathcal{JOE}} \mathcal{JOE}(25 \text{ cents}) = 10 \text{ minutes}$$

Usually, though, we will *not* include **units** in either **inputs** or **outputs**.

**EXAMPLE 1.33.** To say that  $-5$  and  $+6.75$  are related by the function  $\mathcal{JILL}$ , we can write

- For *computational* purposes, the equality  $\mathcal{JILL}(-5) = +6.75$  or
- For *conceptual* purposes, the arrow notation  $-5 \xrightarrow{\mathcal{JILL}} +6.75$

Of course, we can combine the two:  $-5 \xrightarrow{\mathcal{JILL}} \mathcal{JILL}(-5) = +6.75$

**6. I-O pair problems.** In a **I-O pair** problem, we will be given both an **input** and an **output** and we will want information about the **input-output pair**, for instance whether or not the function returns the output or whether the input and the output have the same sign or whether the output is larger than the input, etc.

**EXAMPLE 1.34.** In EXAMPLE 1.22 (Page 88), we may ask In **2002**, did the business really return **+5000**?

As might perhaps have been expected, it is solving *reverse problems* (which, as we will see, is what 'solving equations' is all about) that matters

most in the real world.

**EXAMPLE 1.35.** What we usually need to solve in the real world is, for instance, figuring how many quarters we need to get, say, two hours parking time.

Input-Output plot  
I-O plot  
input level line  
output level line

## 5 Functions Given by I-O Plots

In keeping with our introduction in Subsection 4.2 of the words inputs and outputs instead of left number and right number in the case of functions,

- instead of the words set of plot dots which we introduced in Subsection 3.5 for relations, in the case of functions we will use the word **Input-Output plot, I-O plot** for short, .
- instead of the words left number level line and right number level line which we introduced in Subsection 2.1 for relations, in the case of functions we will use the words **input level line** and **output level line**

1. **Input - Output plots.** Since functions are a special kind of relation, Input-Output plots can give functions but we need to restate the ?? (?? ??, ??) in words of set of plot dots:

**DEFINITION 2.1 (Restated) Local behaviour coding format**

In order for a set of plot dots to give a function,

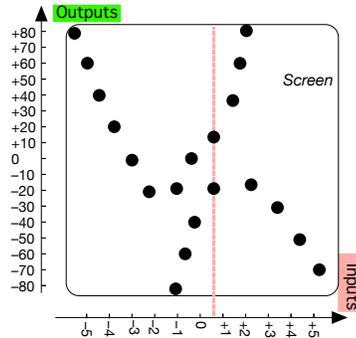
No input level line shall intersect the set of plot dots more than once.

that is, in other words,

Any input level line shall intersect the set of plot dots at most once.

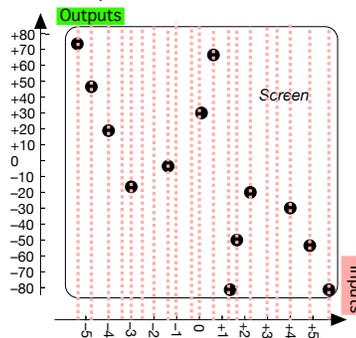
**EXAMPLE 1.36.** Given the set of plot dots

interpolate  
intermediate plot dot



since there is at least one input-level line that does intersect the set of plot dots more than *once*, the set of plot dots *does not* give a *function*

**EXAMPLE 1.37.** Given the I-O plot



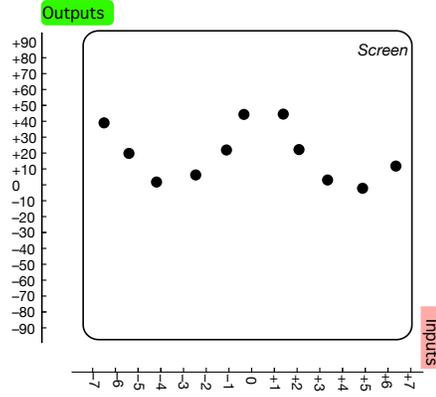
since *no* input-level line intersects the I-O plot more than *once*, the I-O plot *does* give a *function*

**2. Interpolation** As visual as I-O plot can be, a major difficulty with functions given by I-O plots is that sets of plot dots are sparse (CAUTIONARY NOTE 1.3, Page 80) so that functions given by an I-O plot cannot return any output for most inputs.

So, in many real world situations, one has to **interpolate** the I-O plot, that is somehow create **intermediate plot dots**. For instance, one can reset the plotter and make another run. The trouble, though, is that just about anything can happen with these **intermediate plot dots**:

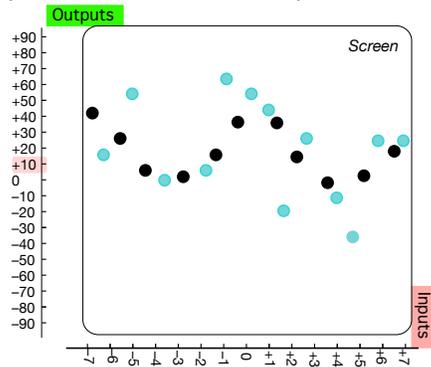
- i. There is not even any guarantee that the **interpolated I-O plot** will still meet the **Local behaviour coding format** (DEFINITION (Restated) 2.1, Page 95).

**EXAMPLE 1.38.** The I-O plot in EXAMPLE 1.16 (Page 77),



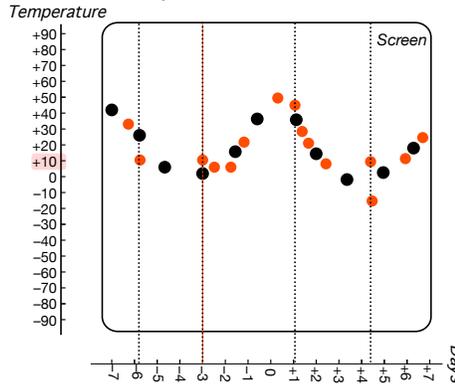
meets the **Local behaviour coding format** (DEFINITION (Restated) 2.1, Page 95) but:

while with the *blue* intermediate plot dots, the new I-Of plot



still gives a function,

with the *red* intermediate plot dots, the new I-O plot

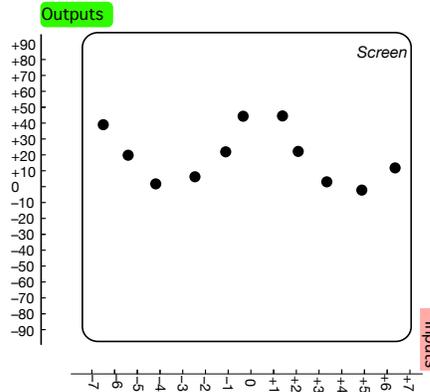


does *not* give a function.

- ii. Even when the **interpolated I-O plot** does give a function, that function can be just about *any* function

**EXAMPLE 1.39.** In the case of the I-O plot in EXAMPLE 1.16 (Page 77)

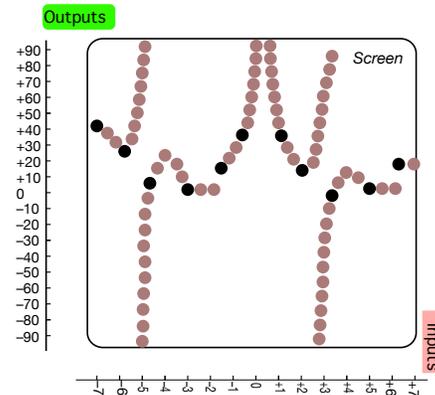
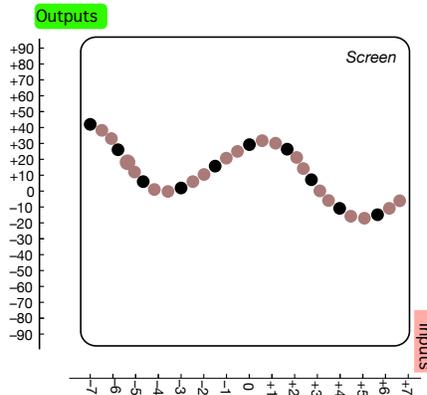
declare



the following two interpolations both give a function but

While the intermediate plot dots could of course be:

the intermediate plot dots could just as well be:



iii. In fact, *how to interpolate an I-O plot* is not at all a simple matter and there are many methods for coming up with likely **outputs** for missing intermediate **inputs**. (<https://en.wikipedia.org/wiki/Interpolation>)

**3. Direct function problems** Keeping in mind that I-O plots are sparse:

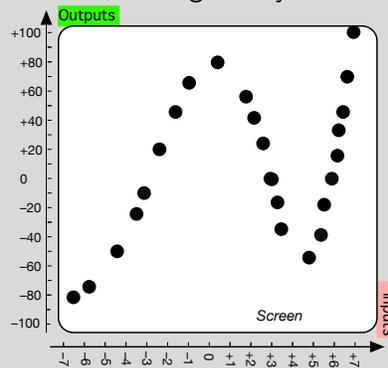
i. When the given input is a **number**, solving **direct problems** goes exactly as with a *relation* given by an I-O plot and we just use, suitably rephrased, PROCEDURE 1.3 - Read a Plot dot (Page 76):

**PROCEDURE 1.6** To get  $f(x_0)$  for a given  $x_0$  when  $f$  is given by

an I-O plot,

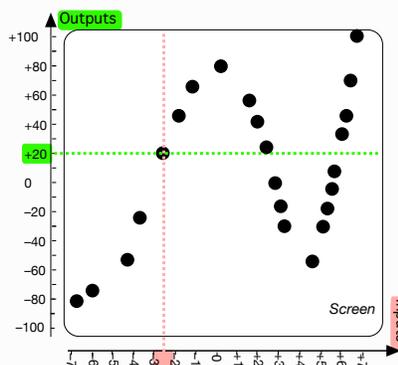
- i. Tickmark  $x_0$  on the input ruler,
- ii. Draw an input level line through  $x_0$ ,
- iii. Mark the plot dot at the intersection, if any, of the input level line with the I-O plot,
- iv. Draw an output level line through the plot dot (if any),
- v. Read  $f(x_0)$  where the output level line intersects the output ruler,

**DEMO 1.6a** With the function  $JIM$  given by the I-O plot



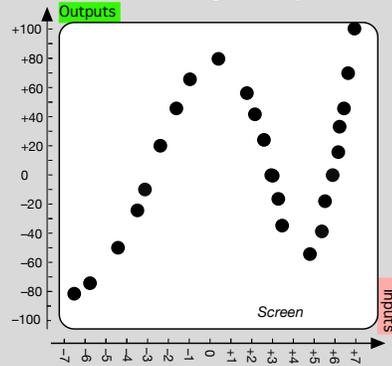
get  $JIM(-2.5)$

- i. We tickmark  $-2.5$  on the input ruler,
- ii. We draw an input level line through the tickmark,
- iii. We mark the plot dot at the intersection of the input level line with the I-O plot,
- iv. We draw an output level line through the plot dot,
- v. We read  $JIM(-2.5)$  where the output level line intersects the output ruler:  $+20$



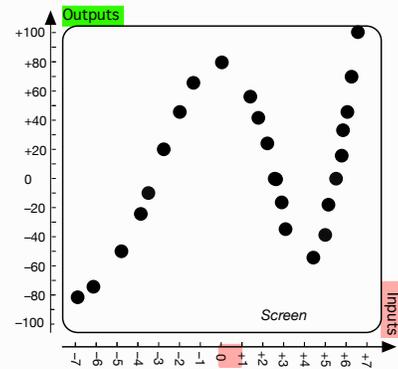
regular input

**DEMO 1.6b** With the function  $\mathcal{GWEN}$  given by the I-O plot



get  $\mathcal{GWEN}(+0.7)$

- i. We tickmark  $+0.7$  on the input ruler,
- ii. We draw an input level line through the tickmark,
- iii. There is no plot dot at the intersection of the input level line with the I-O plot,
- iv.  $\mathcal{GWEN}$  does not return any output for  $+0.7$



A function given by an I-O plot cannot of course return  $\infty$ .

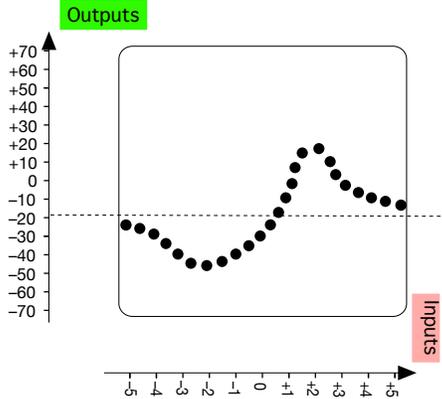
A **regular input** will be an **input number** for which the function returns an **output number**.

ii. When the given **input** is **infinity**, since **inputs** and **outputs** are mid-size numbers, an **I-O** plot cannot provide any information about the **outputs** for *large-size* input numbers.

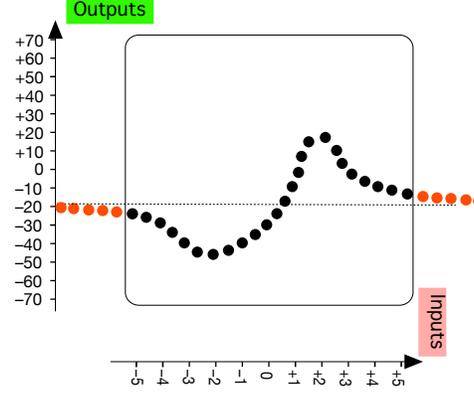
However, occasionally, the **I-O** plot can *hint* at what the function might return **near infinity**.

**EXAMPLE 1.40.** It might seem that

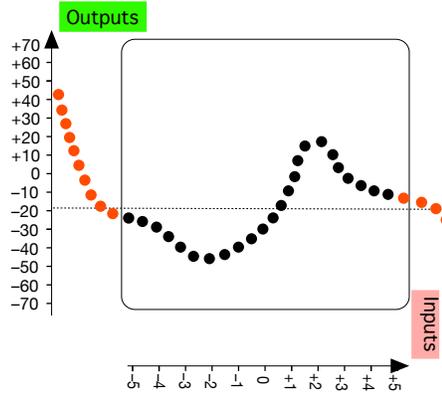
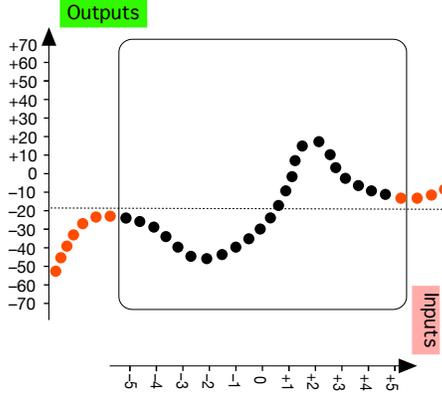
the I-O plot



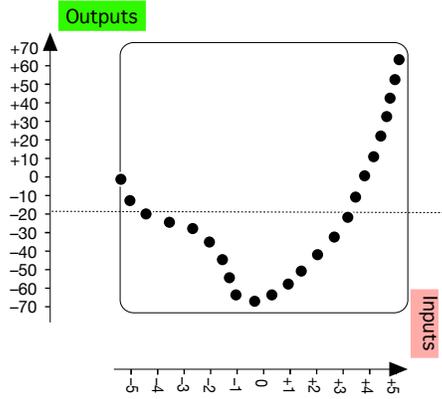
hints near  $\infty$  at



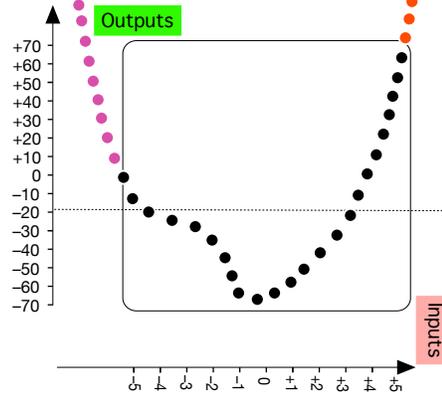
but of course the I-O plot could equally well be almost anything, for instance



**EXAMPLE 1.41.** It might seem that, near  $\infty$ , the I-O plot

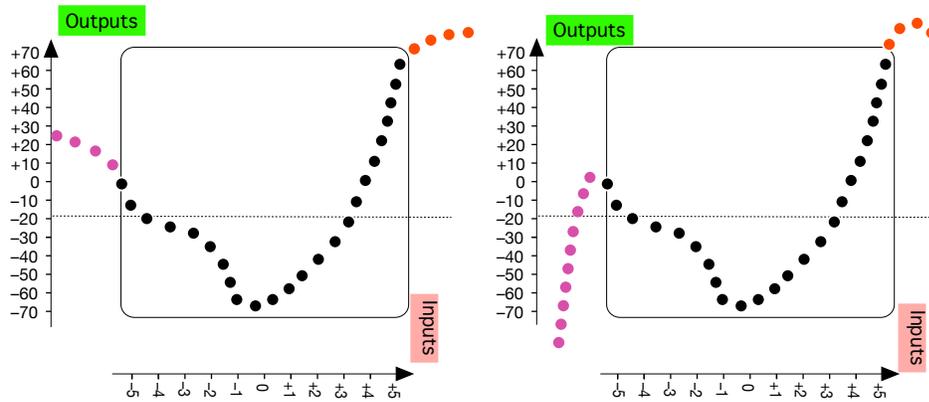


hints at



but of course the I-O plot could equally well be almost anything, for instance

locate



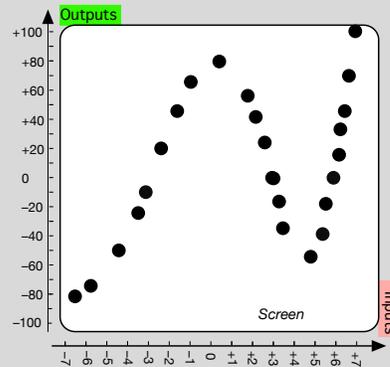
**4. Reverse function problems** For a given function, a reverse problem is to locate the input(s) if any for which the function returns a given output

When the given output is a number, we just use, suitably rephrased, PROCEDURE 1.5 - right number for a left number (set of plot dots (Page 84):

**PROCEDURE 1.7** To get  $x_0$  for a given  $y_0$  when  $f$  is given by an I-O plot

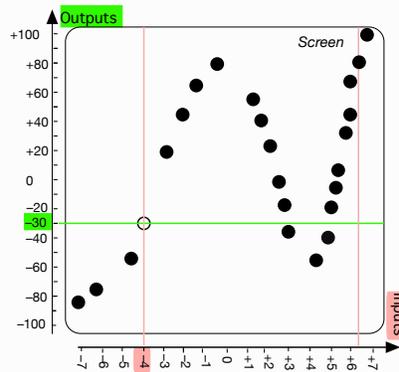
- i. Tickmark  $y_0$  on the output ruler,
- ii. Draw an output level line through  $y_0$ ,
- iii. Mark the plot dot(s), if any, where the output level line intersects the I-O plot
- iv. Draw an input level line through each marked plot dot,
- v. Read  $x_0$  where the input level line(s) intersect the input ruler.

**DEMO 1.7a** Get the input(s), if any, for which the function  $RON$  given by the I-O plot

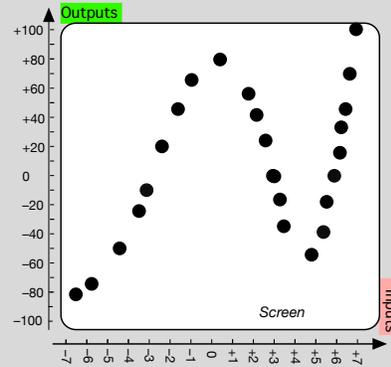


returns the output **-30**.

- i. We tickmark the output number **-30** on the *output ruler*,
- ii. We draw an output level line through the tickmark,
- iii. We mark the plot point(s), if any, at the intersection of the output level line with the I-O plot
- iv. We draw an *input level line* through the *plot dot(s)*, if any,
- v. The input number(s), if any, is/are at the intersection(s), if any, of the input level line(s), if any, with the input ruler: **-4**

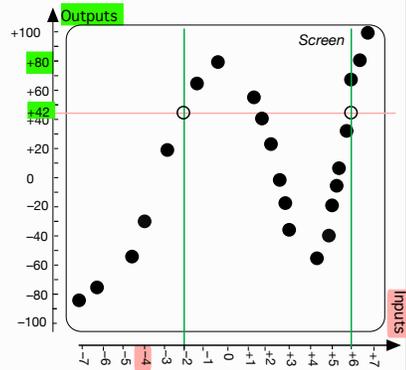


**DEMO 1.7b** Get the *input(s)*, if any, for which the function  $\mathcal{MAE}$  given by the I-O plot

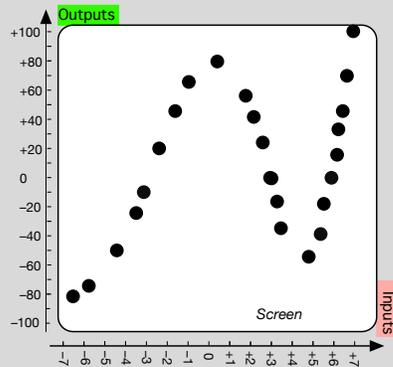


returns the output  $-30$ .

- i. We tickmark the output number  $-30$  on the *output ruler*,
- ii. We draw an output level line through the tickmark,
- iii. We mark the plot point(s), if any, at the intersection of the output level line with the I-O plot
- iv. We draw an *input level line* through each plot dot(s), if any,
- v. The input number(s), if any, is/are at the intersection(s), if any, of the input level line(s), if any, with the input ruler:  $-4$ ,  $+3$ ,  $+5$



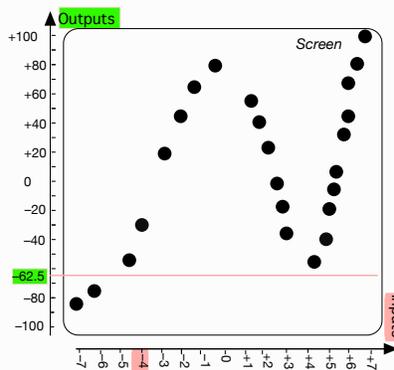
**DEMO 1.7c** Get the *input(s)*, if any, for which the function *SALLY* given by the I-O plot



zero

returns the output  $-62.5$ .

- i. We tickmark the output number  $-62.5$  on the *output ruler*,
- ii. We draw an output level line through the tickmark,
- iii. We mark the plot point(s), if any, at the intersection of the output level line with the I-O plot
- iv. There is no intersection therefore there is no *input level line* through the plot dot(s), if any,
- v. The input number(s), if any, is/are at the intersection(s), therefore there is no input number.



5. **Zeros**. The fact that *reverse problems* usually have in fact no solution because **I-O** plots are sparse is particularly unfortunate when we are looking for the **zero(s)** of a given function, that is for the **inputs** whose **output** is **0**.

And, even though **0** is a *dangerous number* (CAUTIONARY NOTE 0.2, Page 5), a **zero** is a *regular input*.

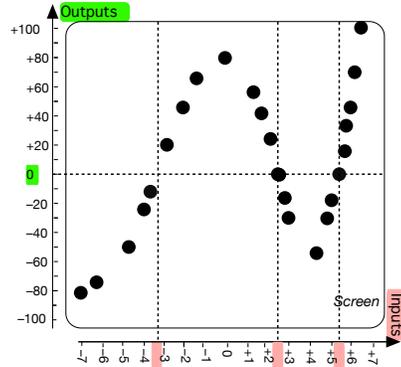
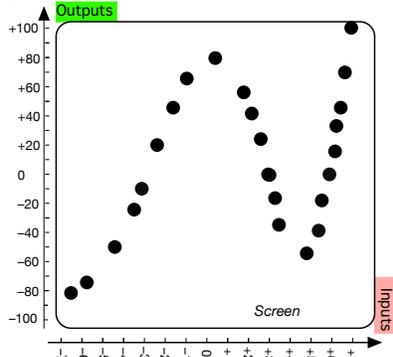
However, with *functions* given by **I-O** plots, we will have to keep even more seriously in mind that *?? (?? ??, ??)*.

*Zeros will be important because, as we will see, inputs whose output is 0 often separate inputs whose output is positive from inputs whose output is negative.*

**EXAMPLE 1.42.** The function  $\mathcal{EMM}\mathcal{Y}$

pole

given by the I-O plot



has two zeros

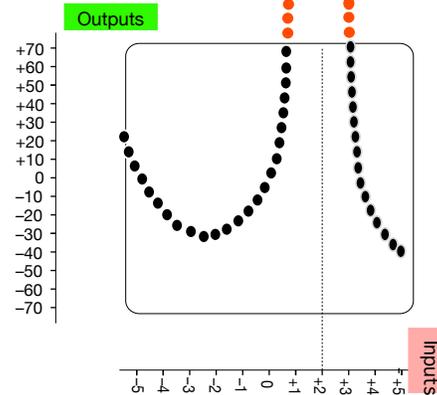
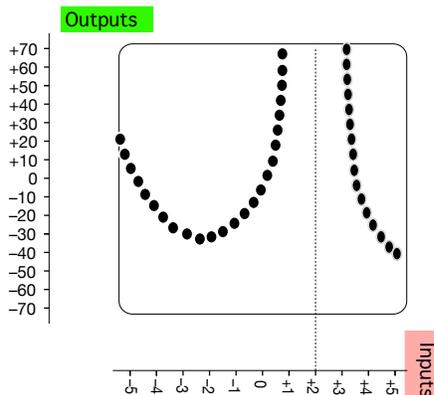
but it certainly looks like  $\mathcal{EMM}\mathcal{Y}$  also has a zero between  $-3$  and  $-4$

**6. Poles.** An actually even more important reverse problem will be locating the pole(s) if any, of a function, that is those inputs for which the function returns  $\infty$ .

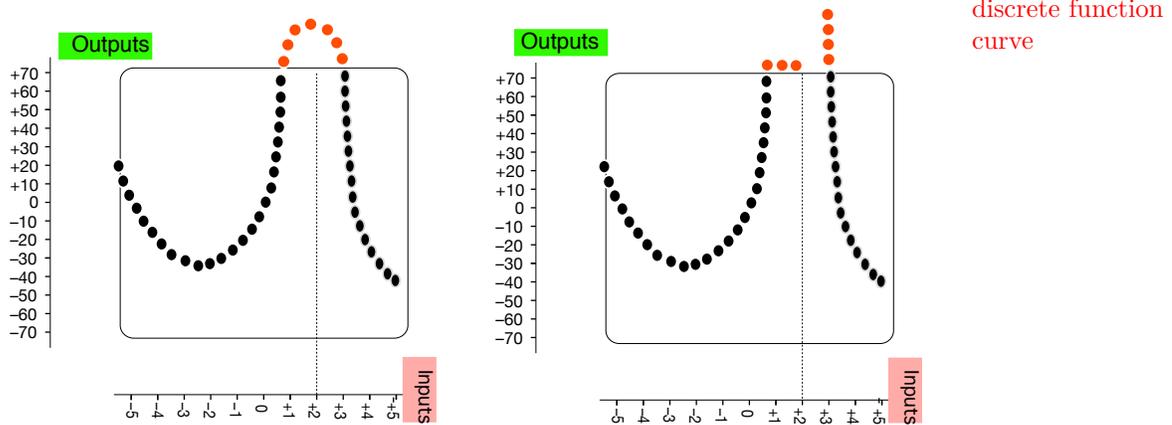
Of course, a pole is not a regular input since a function given by a I-O plot cannot have pole(s) since all the outputs are medium-size numbers. Yet, I-O plots can hint at possible pole(s).

**EXAMPLE 1.43.** It might seem that the I-O plot

hints at a possible pole at +2



but of course the I-O plot could equally well be almost anything, for instance



**7. Discrete Calculus.** In this text, though, we discussed **functions** given by **I-O** plots only for introductory purposes and, from now on, **functions** will *not* be given by **I-O** plots anymore. Nevertheless,

**LANGUAGE NOTE 1.6** **Functions** given by I-O plots are a particular kind of **functions** usually called **discrete functions**.

And in fact, the DISCRETE CALCULUS, that is the calculus of **discrete functions** is a very important piece of MATHEMATICS. ([https://en.wikipedia.org/wiki/Discrete\\_calculus](https://en.wikipedia.org/wiki/Discrete_calculus))

## 6 Functions Given By Curves

**Functions** given by **I-O** plot involved only *medium-size numbers* but **functions** given other than by **I-O** plots will also involve both

- small-size numbers.

and

- large-size numbers,

So, we will not be able to use *quantitative Cartesian setups* any more than we could use quantitative rulers back in Section 12\* - **Real Numbers** (Page 55).

**1. Qualitative Cartesian setup.** In order to use **large-size numbers** and **small-size numbers** as well as **medium-size numbers**, we will then:

- ▶ Give **functions** with **curves** instead of with **I-O** plot,

qualitative Cartesian  
setup and

offscreen  
global graph  
onscreen graph  
solid line  
dotted line  
graph dot  
Mercator view

*Don't worry, you don't have to know the calculus meaning of smooth curve and go by just the everyday meaning but you can always look-up <https://en.wikipedia.org/wiki/Curve>*

- Use **qualitative Cartesian setups** that is Cartesian set ups in which:
- The **screen** is within a surrounding area we will call **offscreen**,
  - The input ruler is a *qualitative* ruler,
  - The output ruler is a *qualitative* ruler.

Then, a **curve** that satisfies the ?? (?? ??, ??) will **give a function**

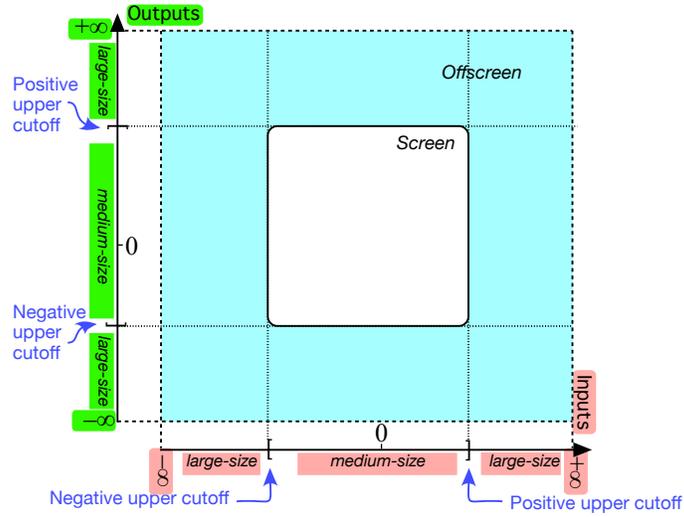
- whose **global graph** is the **given curve**,
- whose **onscreen graph** is the part of the **global graph** which is *on* the **screen**. We will picture the **onscreen graph** with a **solid line**.
- whose **offscreen graph** is the part of the **global graph** which is *not on* the **screen**. We will picture the **offscreen graph** with a **dotted line**.

Also, in the case of **functions given by curves**, we will use the word **graph dot** instead of the word **plot dot**.

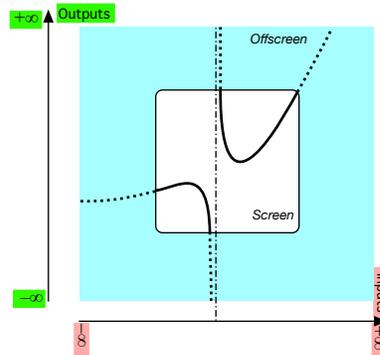
Since our purpose in this Part I - **Functions Given By Data** (Page 63) is *introductory*, we will use **curves** to *give* functions but eventually we will want **curves** to *picture* functions that will have been **given** otherwise in Part II - **Functions Given By Rules** (Page 207) and after. In any case,

**CAUTIONARY NOTE 1.7** Functions given by **curve** are not necessarily **simple** and certainly not as simple as those used here.

**2. Mercator view.** By far the simplest way to view a **qualitative Cartesian setup** is by way of a **Mercator view** which is a flat view that shows the 2 pt compactification of each ruler:

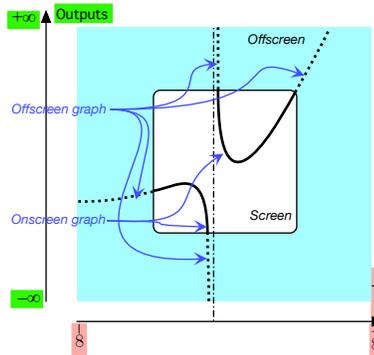
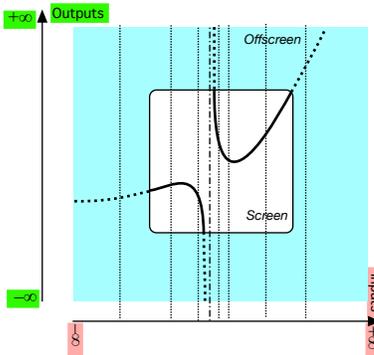


EXAMPLE 1.44. The curve



satisfies the ?? (?? ??, ??):

and so it is the global graph of a function:



conclusive

**3. Mercator views are *not* conclusive.** But even though the **Mercator view** is by far the most commonly used, it is important to be aware of the severe limitations to the **information** which **Mercator views** can provide about a **function** as the **Mercator view** shows mostly the **onscreen graph** and therefore depends *very much* on the **cutoff sizes** for **medium-size numbers**.

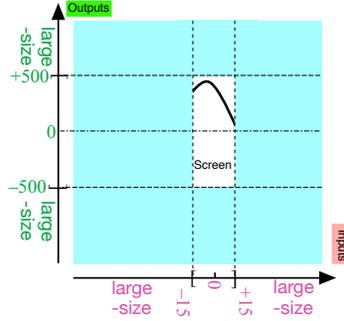
The problem is a difficult one and Mercator's solution, [https://en.wikipedia.org/wiki/Mercator\\_projection](https://en.wikipedia.org/wiki/Mercator_projection), was the first in a long list: [https://en.wikipedia.org/wiki/List\\_of\\_map\\_projections](https://en.wikipedia.org/wiki/List_of_map_projections)

i. How much an **onscreen graph** shows about a **function** depends *very much* on the **cutoff size** for **medium-size input numbers**.

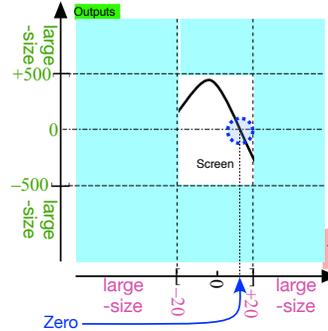
For instance, **Mercator views** do not necessarily show all the **zeros** of a **function**.

**EXAMPLE 1.45.** The following **onscreen graphs** of the function  $\mathcal{ZAN}\mathcal{Y}$  are all at the same scale and differ only by the **cutoff size** for **medium-size input numbers**:

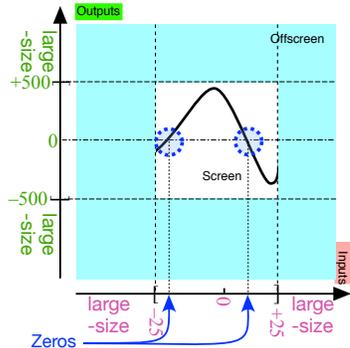
With medium-size **input** numbers cutoff at 15, the onscreen graph shows no zero



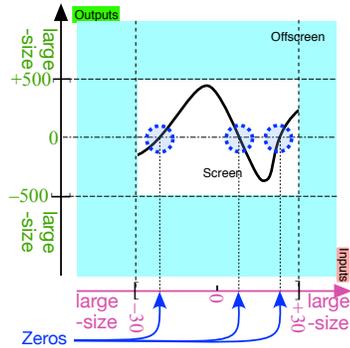
With medium-size **input** numbers cutoff at 20, the onscreen graph shows one zero:



With medium-size **input** numbers cutoff at 25, the onscreen graph shows two zeros:



With medium-size **input** numbers cutoff at 30, the onscreen graph shows three zeros:



In other words, the **Mercator views** of a **given function** are **not conclusive**

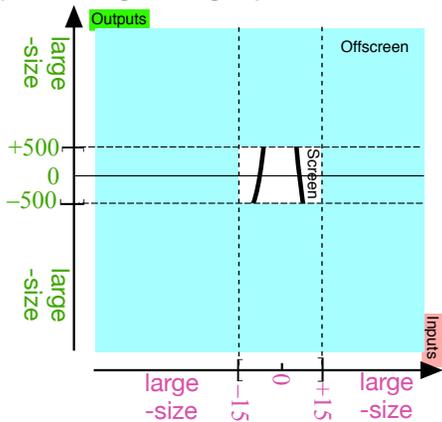
as to the **zeros** of that **function**.

- ii. How much an **onscreen** graph shows about a **function** depends also *very much* on the **cutoff size** for **medium-size output** numbers.

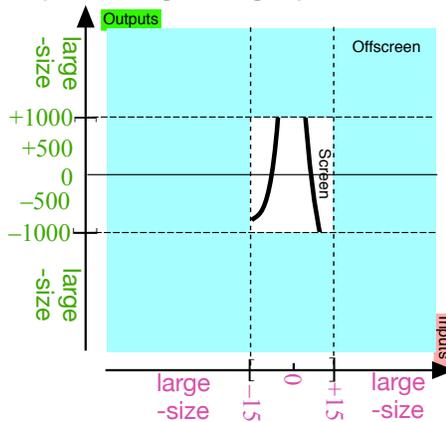
For instance, another very important reverse problem will be locating the **pole(s)**, if any, of a **function**, that is those **inputs** for which the **function** returns  $\infty$  but of course **Mercator views** cannot do that.

**EXAMPLE 1.46.** The following onscreen graphs of the function  $\mathcal{COT}\mathcal{Y}$  are all at the same scale and differ only by the **cutoff size** for **medium-size output** numbers:

With medium-size *output* numbers cutoff at 500, the onscreen graph does not show whether or not there is an input between  $-15$  and  $+15$  whose output is larger than the output of neighboring inputs:

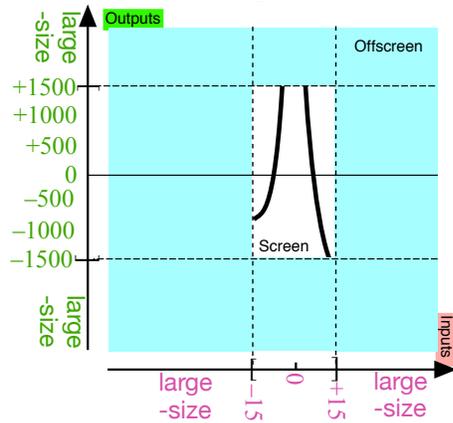


With medium-size *output* numbers cutoff at 1000, the onscreen graph still does not show whether or not there is an input between  $-15$  and  $+15$  whose output is larger than the output of neighboring inputs:

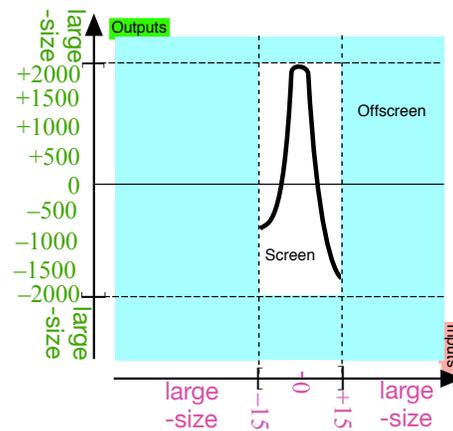


tube view

With medium-size *input* numbers cutoff at 1500, the onscreen graph still does not show whether or not there is an input between  $-15$  and  $+15$  whose output is larger than the output of neighboring inputs:



With medium-size *input* numbers cutoff at 2000, the onscreen graph does show there is an input between  $-15$  and  $+15$  whose output is larger than the output of neighboring inputs:



In other words, the Mercator views of a given function are *not* necessarily conclusive as to the inputs whose output is larger than the output of nearby inputs.

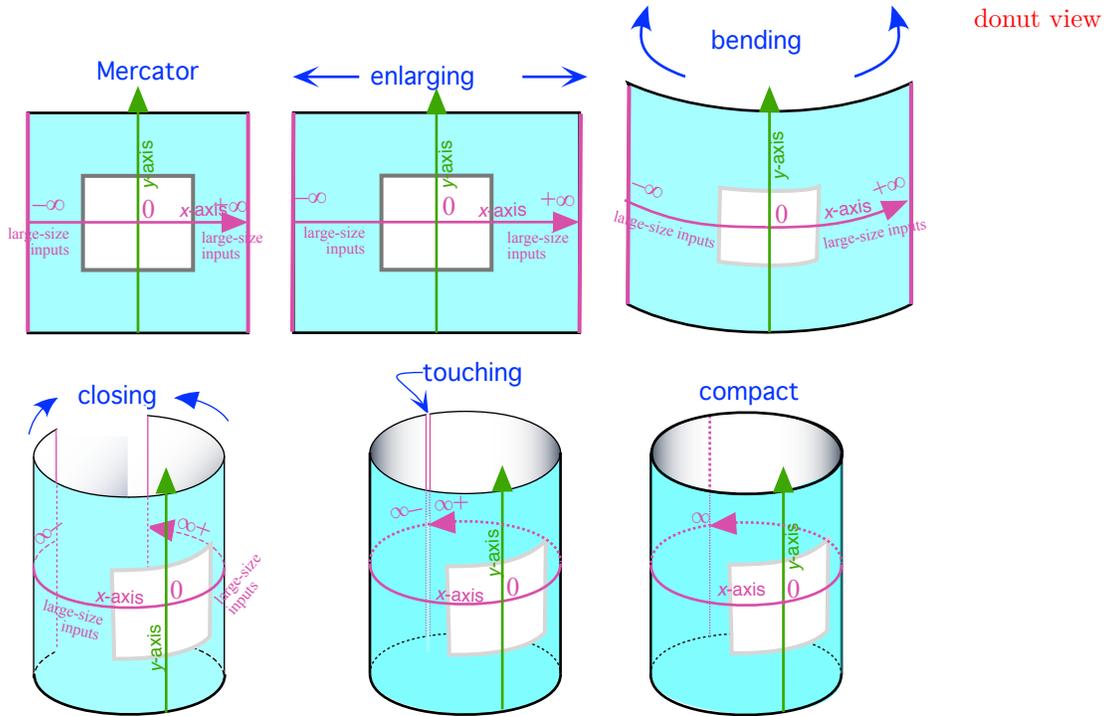
Altogether then:

**CAUTIONARY NOTE 1.8** On-screen graphs are *not necessarily conclusive* as to the output(s), if any, for medium-size inputs.

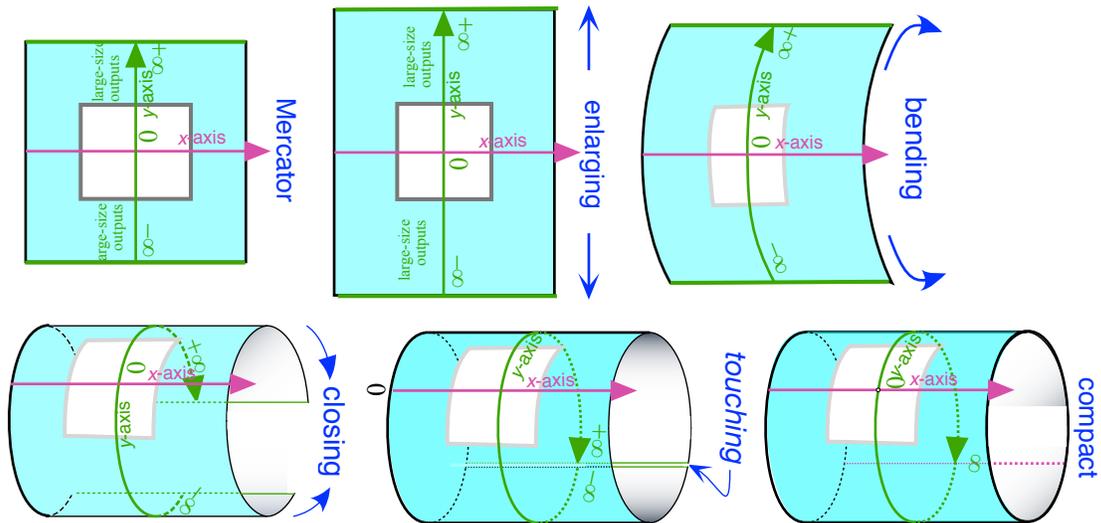
*To see why axes rather than rulers, just try to draw rulers in any of the following compact views!*

**4. Compact views.** In order to see the off-screen graph which shows the ‘behavior’ of a function for large-size inputs and for pole(s), if any, we need to use one-point compactifications of the axes instead of using rulers.

- i. We can get a **tube view** by compactifying the *input* axis:

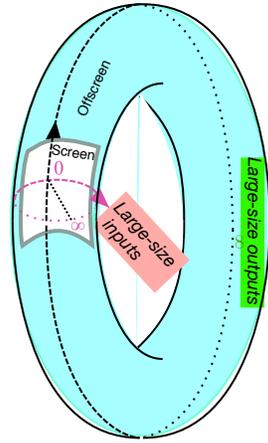


ii. We can another kind of **tube view** by compactifying the *output* axis:

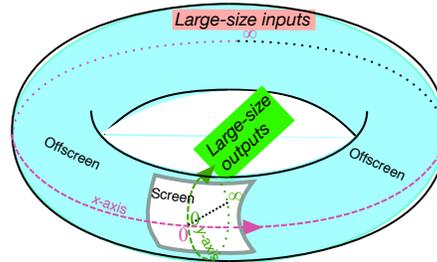


iii. We can get two kinds of **donut views** by compactifying the *input* axis and the *output* axis one after the other:

Magellan view

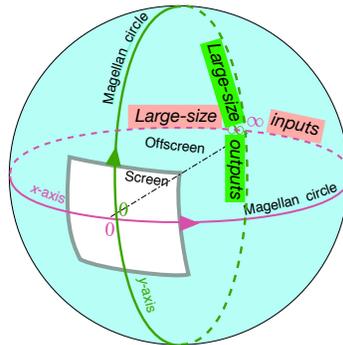


Input axis then output axis



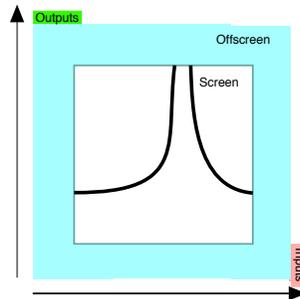
Output axis then input axis

iv. We can get a **Magellan view** by compactifying the **input axis** and the **output axis** *simultaneously*:



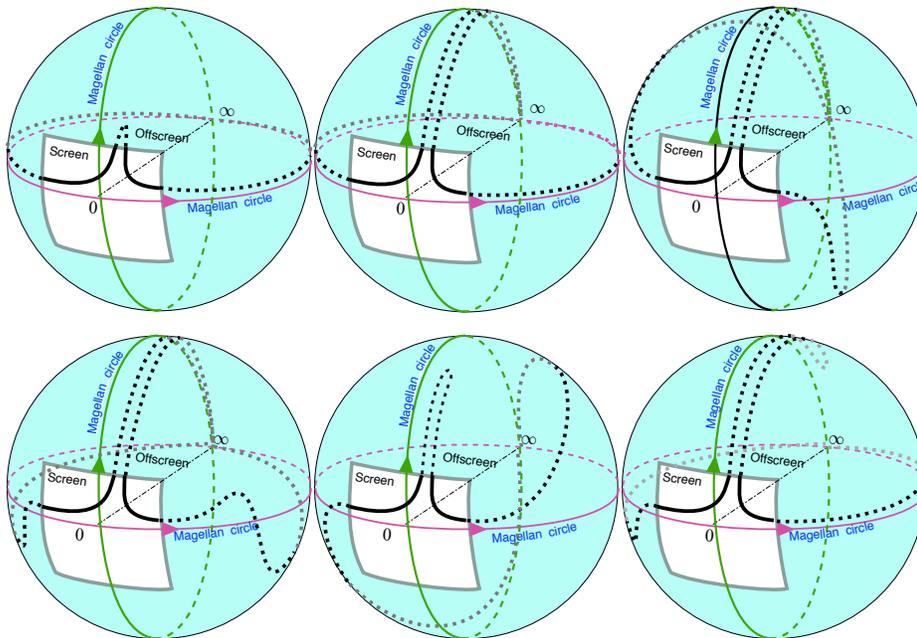
**5. Compact views are conclusive.** **Magellan views** are particularly good at showing why a **Mercator view** cannot *give* a function: different functions can have the same **onscreen graph** but different off-screen graphs.

**EXAMPLE 1.47.** The *onscreen graph*



smooth continuation

is the onscreen graph of any of the following functions viewed in Magellan view



as well as, in fact, many, many others.

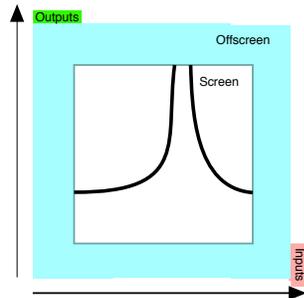
So, in order for an onscreen graph to be able to give a function, we will make the following

**AGREEMENT 1.3** With functions given by curve, the upper cutoff sizes for medium-size inputs and medium-size outputs will be such that the off-screen graph is simply a **smooth continuation** of the onscreen graph. (However, with other types of functions, there are different kinds of continuations as, for instance, with the ‘periodic’

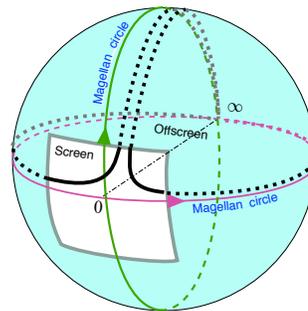
functions investigated in VOL. II.)

**EXAMPLE 1.48.**

Given the onscreen graph in EXAMPLE 2.37 (Page 160):



by AGREEMENT 1.3 - (Page 115), the global graph can only be



## 7 “Simple” Functions?

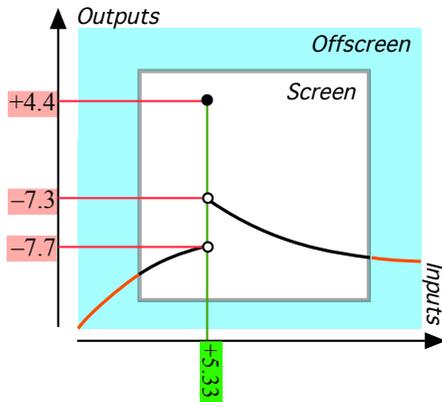
*Aaargh!*

=====Begin HOLDING=====

As we saw in Subsection 8.2 - **Output** level band (Page 121) and xxx, the information provided by the **plot dot** for an **input** need not *necessarily* extend to even just *nearby* **inputs**.

For instance, we might expect that the outputs for inputs near a given input will have outputs that are near the output for the given input but, while this is often the case, this is absolutely not *necessarily* the case.

**EXAMPLE 1.49.** The function given by the global graph



- ▶ Sends **+5.33** to a *positive* number, **+4.4**
- but
- ▶ Sends *all* other **numbers** to *negative* **numbers**.

=====End HOLDING =====

So far, the reader would have every right to think that **functions** are something essentially fairly “simple” but, not only are there many functions whose ‘behavior’ is unimaginably ‘complicated’, it is impossible to draw the line and define anything like “simple” functions.

Basically, the difficulty is that there is nothing in what we have said so far about functions, including the functional requirement, to prevent abrupt, even wild, changes in the outputs for nearby inputs,

The use of nearby inputs instead of the given input raises a most important question: To what extent are the nearby outputs (**outputs** for *nearby* inputs) *all* near the **output** at the given input? And, as it turns out, the question has no simple answer. So, as a backdrop to the functions which we will investigate in this text, we will just illustrate some of the many different possible answers.

[https://en.wikipedia.org/wiki/Extended\\_real\\_number\\_line](https://en.wikipedia.org/wiki/Extended_real_number_line). [https://math.stackexchange.com/questions/354319/can\\_a\\_function\\_be\\_considered\\_heightcontinuous\\_if\\_it\\_reaches-infinity-at-one-point](https://math.stackexchange.com/questions/354319/can_a_function_be_considered_heightcontinuous_if_it_reaches-infinity-at-one-point)

This poses a most vexing expository problem inasmuch as making general statements about functions becomes extremely difficult . . . and dangerous in that we may end up stating on the basis of something true for ‘nice’ functions something that would be false for these unimaginably ‘complicated’ functions. More precisely,

- ▶ If general statements about **functions** are worded so as to apply to really *all* functions, including ‘complicated’ functions—which the reader is not likely to encounter anytime soon, the reader is going to have a very hard time—for no good reason,

and

- ▶ If general statements about **functions** are worded so as to apply *only* to

*Mathematicians and scientists keep being amazed at the behavior of some of the functions which have recently come up in mathematics and the sciences.*

simple  
smooth

‘simple’ **functions**, how is the reader to know when the functions have become too ‘complicated’ for the general statement to height continue to apply?

**EXAMPLE 1.50.** It is fairly intuitive that plot dots should make up some probably curved line. But, while this is indeed the case for many functions, it is not *necessarily* the case and in fact *not* the case, for most functions.

One way out would be to define, say, Type A functions and then Type B functions and then Type C functions, etc and to make general statements for each type of functions as we go. This of course would work but would force us to restate a lot as we go from Type A functions to Type B functions, and then again as we go to Type C functions, etc. Another drawback aside from the hassle of having to keep restating, is that this tends to lose the bigger represent and there is a price to that too.

Nevertheless, one look at the TABLE OF CONTENTS of this text will show that this is indeed what we will do in the following chapters *but*, before that, if only for the sake of not having to repeat things and of the bigger represent, we will spend the rest of *this* chapter discussing the possible behaviors of ‘nice’ **functions**.

So, even though we cannot *define* ‘simple’ **functions** and we cannot even pin down some of the things it would mean for a **functions** to be ‘simple’ so as to prevent general statements from applying to complicated **functions**,

**AGREEMENT 1.4** will be, by the sole fact that they appear in this text, guaranteed to be **simple functions** and the general statements we will make in this text are guaranteed to apply to these **functions**. (Which does not imply that these general statements apply *only* to these **functions**.)

Roughly, **smoothness** extends to **slope** and **concavity** the requirements that height continuity made on the **height** namely that **slope** and **concavity** should not change abruptly. There is a big difference though:

- In the case of height continuity, we need to look at what happens *at* the given input and then to what happens *near* the given input but only to see if there is a **jump** and not even when there is a **gap** at  $x_0$ .
- In the case of **slope** and **concavity**, on the other hand, even with **local graphs**, neither **slope** nor **concavity** makes sense *at* the given input and what matters is only what happens *near* the given input.

**CAUTIONARY NOTE 1.9** Most unfortunately, the *usual* mathematical concept of **smoothness** implies height continuity which is not the way we think of **smoothness** in the real world.

isolated input  
input level band

**EXAMPLE 1.51.** A PVC sewer and drain pipe is usually perceived as being “smooth” regardless of whether or not it is solid or perforated and a smoothly bending copper pipe doesn’t stop being so if and when it develops a pinhole.

*For that matter, educologists well know that, in order to define smoothness at  $x_0$  in the usual way one needs room in which to have a limit.*

So, in this text and in trying to *represent smoothness*, we will go by  $f(x_0 + h)$  and not pay any attention to  $f(x_0)$ .

<https://en.wikipedia.org/wiki/Smoothness>.

[https://en.wikipedia.org/wiki/Analytic\\_function](https://en.wikipedia.org/wiki/Analytic_function)

[https://en.wikipedia.org/wiki/Singularity\\_\(mathematics\)](https://en.wikipedia.org/wiki/Singularity_(mathematics))

[https://en.wikipedia.org/wiki/Nowhere\\_heightcontinuous\\_function](https://en.wikipedia.org/wiki/Nowhere_heightcontinuous_function)

[https://en.wikipedia.org/wiki/Weierstrass\\_function](https://en.wikipedia.org/wiki/Weierstrass_function)

[https://en.wikipedia.org/wiki/Fractal\\_curve](https://en.wikipedia.org/wiki/Fractal_curve)

## 8 Local graph near a point

The main reason we will be dealing with **functions given by curves** is that, in contrast with **functions given by I-O plot**, in the case of **functions given by curves** we will be able to look at the *neighborhoods* of the **inputs** instead of having to deal with **isolated inputs** inasmuch as **sets of plot dots are sparse** (CAUTIONARY NOTE 1.3, Page 80).

But we will first need to do a bit of preparatory work, and, in this section, we will introduce in the case of **functions given by curves**, several different kinds of things that, in fact, we will also use later on with **functions given otherwise**.

*In other words, in the case of functions given by curves, we will have "elbow room"!*

**1. Input level band.** In order to observe the ‘behavior’ of a **function near** a given **point**, be it a **number  $x_0$**  or  **$\infty$** , we will of course need to fatten the **point** into a **neighborhood** of that **point** but we will also need to fatten the **input level line** into an **input level band**, that is a band centered on the **input level line** whose width is equal to the width of the neighborhood.

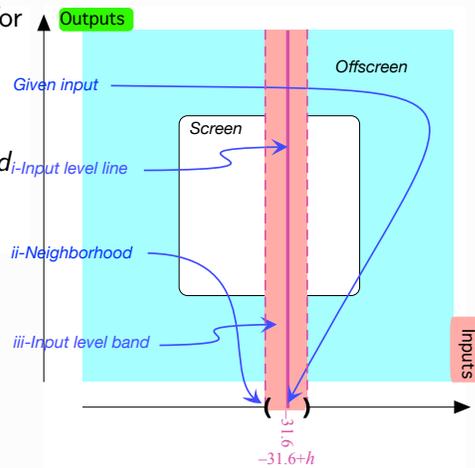
The details of the PROCEDURE, though, depend on whether the **given input point** is a **number  $x_0$**  or is  **$\infty$** :

**PROCEDURE 1.8** To get the Input level band for a neighborhood of a given point .

- ▶ When the given point is a number  $x_0$ :
  - i. Draw the **input** level line for  $x_0$ ,
  - ii. Fatten  $x_0$  into a **neighborhood** of  $x_0$ ,
  - iii. Fatten the **input** level line for  $x_0$  into an input level band for the **neighborhood** of  $x_0$
- ▶ When the given point is  $\infty$ :
  - i. Draw the **input** level lines for  $+\infty$  and  $-\infty$
  - ii. Fatten  $\infty$  into a **neighborhood** of  $\infty$  (In Mercator view),
  - iii. Fatten the **input** level lines for  $+\infty$  and  $-\infty$  into rectangles corresponding to the width of the **half neighborhoods** of  $+\infty$  and  $-\infty$

**DEMO 1.8a** To get the input level band for a neighborhood of the input number  $-31.6$

- i. We draw the input level line for  $-31.6$
- ii. We mark a **neighborhood** of  $-31.6$  on the **input** ruler,
- iii. We draw the **input level band** as a rectangle with the width of the **neighborhood** of  $-31.6$ ,



**DEMO 1.8b** To get the input level band for a neighborhood of the input  $\infty$

i. We draw the input level lines for  $+\infty$  and  $-\infty$

ii. We fatten  $\infty$  into a neighborhood of  $\infty$  (In Mercator view),

iii. We fatten the input level lines for  $+\infty$  and  $-\infty$  into rectangles corresponding to the width of the half neighborhoods of  $+\infty$  and  $-\infty$

In the above Mercator view, there appears to be two level bands for  $\infty$  but a tube view shows they are only the two sides of the input level band near  $\infty$ :

output level band

**2. Output level band.** When we fatten a given output point, be it a number  $y_0$  or  $\infty$ , into a neighborhood, we must also fatten the output level line for  $y_0$  or  $\infty$  into an output level band for the neighborhood of the point,  $y_0$  or  $\infty$ , that is a rectangle corresponding to the width of the neighborhood of the point.

The details of the PROCEDURE, though, depend on whether the given output point is a number  $y_0$  or  $\infty$ :

**PROCEDURE 1.9** To get the output level band for a given output point.

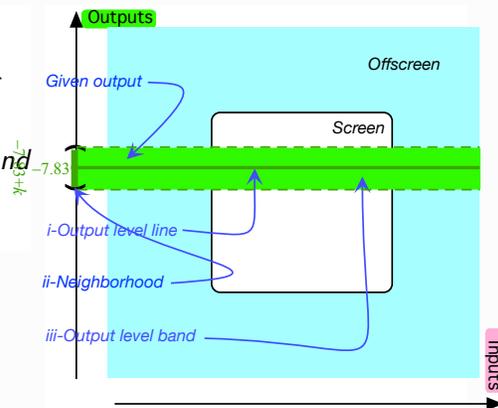
- ▶ When the given output point is a number  $y_0$ :
  - i. Draw the output level line for  $y_0$ ,

- ii. Fatten  $y_0$  into a *neighborhood* of  $y_0$ ,
  - iii. Fatten the *output* level line for  $y_0$  into an input level band for the *neighborhood* of  $y_0$
- When the given output *point* is  $\infty$ :
- i. Draw the *output* level lines for  $+\infty$  and  $-\infty$ ,
  - ii. Fatten  $\infty$  into a *neighborhood* of  $\infty$  (In Mercator view),
  - iii. Fatten the *output* level lines for  $+\infty$  and  $-\infty$  into rectangles corresponding to the width of the half *neighborhoods* of  $+\infty$  and  $-\infty$

**DEMO 1.9a**

To get the output level band for a neighborhood of the output number  $-7.83$

- i. We draw the output level *line* for  $-7.83$
- ii. We fatten a *neighborhood* of  $-7.83$  on the *output ruler*,
- iii. We draw the *output level band* as a rectangle with the width of the *neighborhood* of  $-7.83$ ,

**DEMO 1.9b**

To get the output level band for a neighborhood of the output point  $\infty$

i. We draw the output level lines for  $-\infty$  and  $+\infty$   
 ii. We fatten  $\infty$  into a neighborhood in Mercator view,  
 iii. We fatten the output level lines for  $-\infty$  and  $+\infty$  into rectangles the width of the half neighborhoods of  $-\infty$  and  $+\infty$

In the above Mercator view, there appears to be two level bands for  $\infty$  but a tube view shows they are only the two sides of the level band near  $\infty$ :

**3. A Few Words of Caution Though.** Starting with Part II - Functions Given By Rules (Page 207) though, functions will cease to be given by a global graph and will be given instead by an I-O rule

When a function will be given by an I-O rule instead of a global graph, though, we will have to be very careful before we use ?? because

In Subsection 8.4 - Frames (Page 124) we discussed how to get a local graph when the function is given by a curve. When the function is given by an I-O rule, though, we start out with no global graph, though, and getting a local graph is much more complicated and will require the knowledge of the global graphs of ‘power functions’.

Since  $x_0 \oplus h$  is a fattening of  $x_0$ , it is most tempting and natural to think of  $f(x_0 \oplus h)$  as a fattening of  $f(x_0)$  but, even though it is “often” the case, unfortunately

mostly the case in CALCULUS ACCORDING TO THE REAL WORLD texts that  $f(x_0 \oplus h)$  is a neighborhood of some output number, be it  $f(x_0)$  or some other output number  $y_0$  so that one can fatten the output level line

frame

into an **output level band***Not even in the privacy of the reader's mind!*

**CAUTIONARY NOTE 1.10** One should absolutely *never* use the words “neighboring outputs” as a short for **outputs** for neighboring **inputs** because the **output numbers**  $f(x_0 \oplus h)$  returned by the function  $f$  for  $x_0 \oplus h$ , that is for the **input numbers** in a neighborhood of  $x_0$ , need *not* make up a **neighborhood** of *any* output number  $y_0$ , let alone make up a **neighborhood** of the output number  $f(x_0)$

**EXAMPLE 1.52.** In **EXAMPLE 1.29**, even though the inputs  $27.2$  and  $27.4$  can be considered to be near, their outputs, respectively around  $+70$  and  $-25$ , certainly cannot be considered anywhere near each other.

**4. Frames.** However, just like the **plot dot** for an *ordinary* input  $x_0$ , that is for an input-output pair of *numbers*  $(x_0, y_0)$ , is at the intersection of:

- the **input level line** for the input *number*  $x_0$
- the **output level line** for the output *number*  $y_0$ ,

similarly, the **local graph** for a neighborhood of a point will be within the **frame** which is the border of the intersection of the **input level band** and the **output level band**:

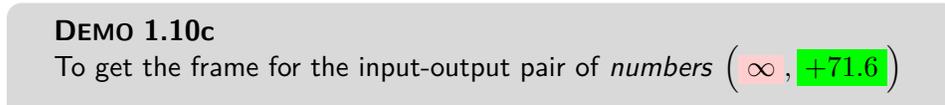
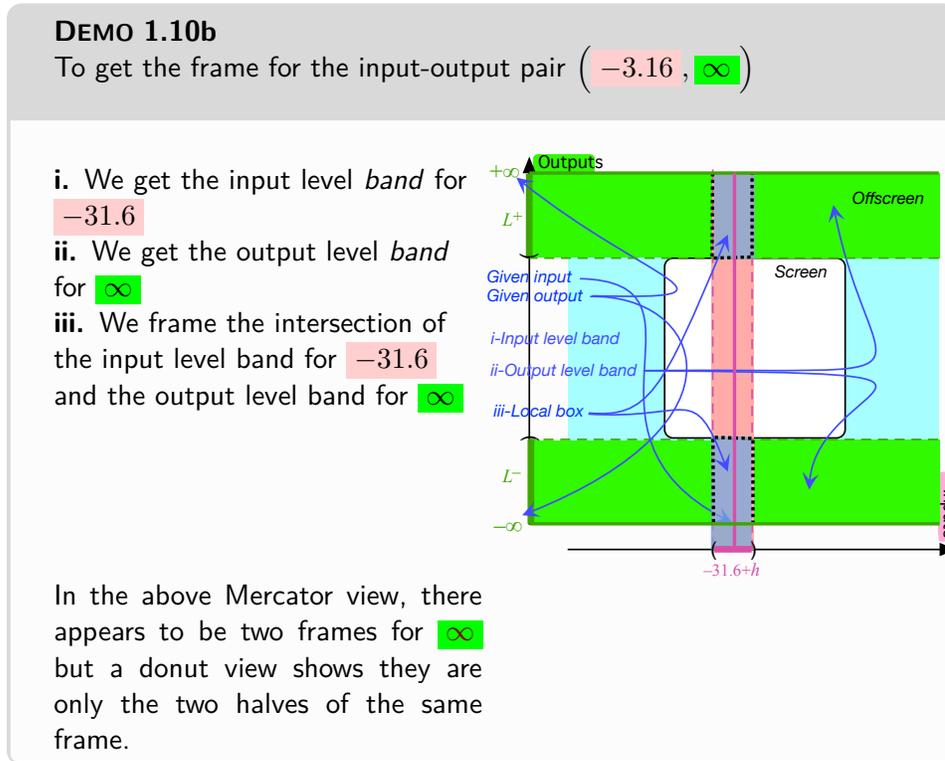
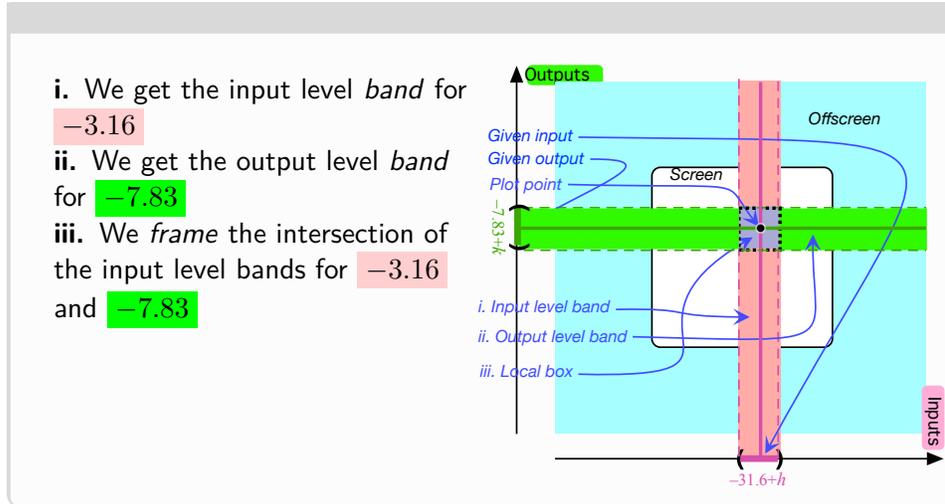
**PROCEDURE 1.10** To get the **frame** for an **(point, point)**:

$(x_0, y_0)$  or  $(x_0, \infty)$  or  $(\infty, y_0)$  or  $(\infty, \infty)$

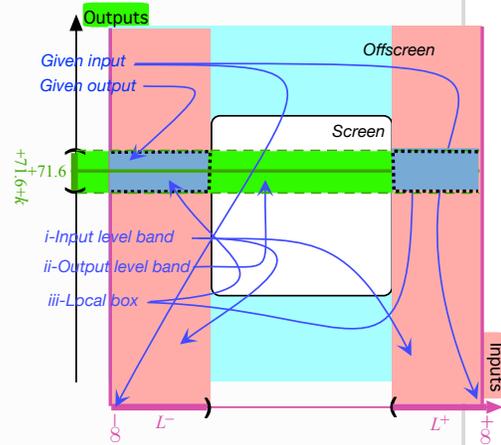
- Get the **input level band** for  $x_0$  or  $\infty$
- Get the **output level band** for  $y_0$  or  $\infty$
- Frame the intersection of the **input level band** and the **output level band**

**DEMO 1.10a**

To get the frame for the input-output pair  $(-3.16, -7.83)$



- i. We get the input level *band* for  $\infty$
- ii. We get the output level *band* for  $+71.6$
- iii. We *frame* the intersection of the input level band for  $\infty$  and the output level band for  $+71.6$

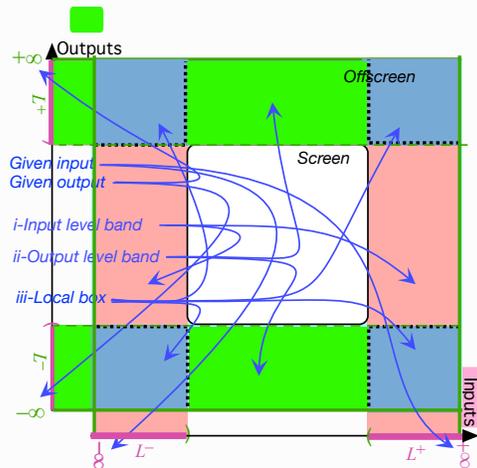


In the above Mercator view, there appears to be two frames for  $\infty$  but a donut view shows they are only the two halves of the same frame.

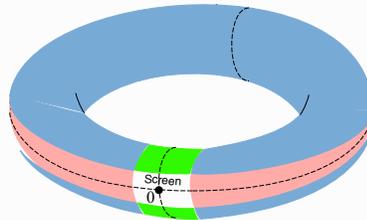
**DEMO 1.10d**

To get the frame for  $(\infty, \infty)$

- i. We get the input level *band* for  $\infty$
- ii. We get the output level *band* for  $\infty$
- iii. We *frame* the intersection of the half input level bands for  $\infty$  and the half input level bands for  $\infty$



What appears to be four frames are actually parts of *the* frame because we are using a Mercator view instead of a Magellan view in which they would appear as the four quarters of a single frame.



local graph

5. zzzzzzzzzzzzzzzzzzz Just the way a plot dot shows the input-output pair for a given input number, a local graph will show the input-output pairs for the input numbers in a neighborhood of a given input point:

**PROCEDURE 1.11** To get the local graph for inputs in a neighborhood of a given point when the function is given by a global graph

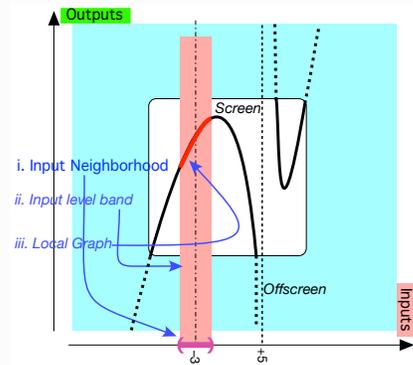
- i. Mark a neighborhood of the point on the input ruler,
- ii. Draw the input level band for the neighborhood of the point using ?? ?? - ?? (??),
- iii. The local graph near the point is at the intersection of the input level band and the global graph.

While the procedure is the same regardless of the nature of the point, we will look at the difference cases separately

6. Local graph near  $x_0$ .

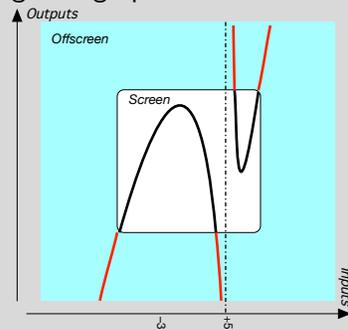
**DEMO 1.11a**  
 To get the local graph near  $-3$  of the function  $MARE$  whose global graph is

- i. We mark a *neighborhood* of  $-3$  on the *input ruler*,
- ii. We draw the *input level band* for the *neighborhood* of  $-3$ ,
- iii. The *local graph* of  $\mathcal{MARE}$  near  $-3$  is at the intersection of the *input level band* with the *global graph*,

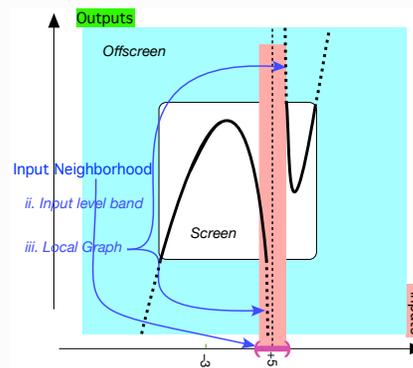


### DEMO 1.11b

To get the local graph near the pole  $+5$  of the function  $\mathcal{JEN}$  whose global graph is



- i. We mark a *neighborhood* of  $+5$  on the *input ruler*,
- ii. We draw the *input level band* through the *neighborhood* of  $+5$ ,
- iii. The *local graph* of  $\mathcal{JEN}$  near  $+5$  is the intersection of the *input level band* with the *global graph*,

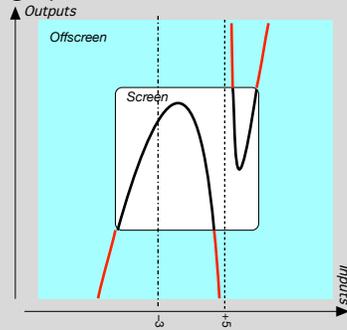


7. Local graph near  $\infty$ .

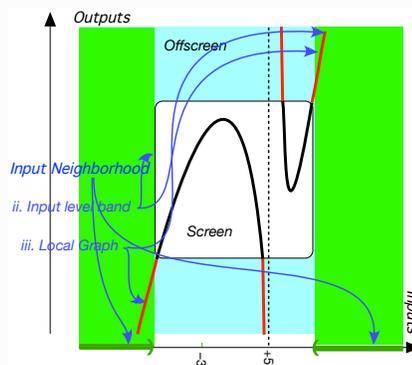
Keep in mind that even for large inputs, a function may return outputs of any qualitative size, medium-size: large-size or small-size.

**DEMO 1.11c**

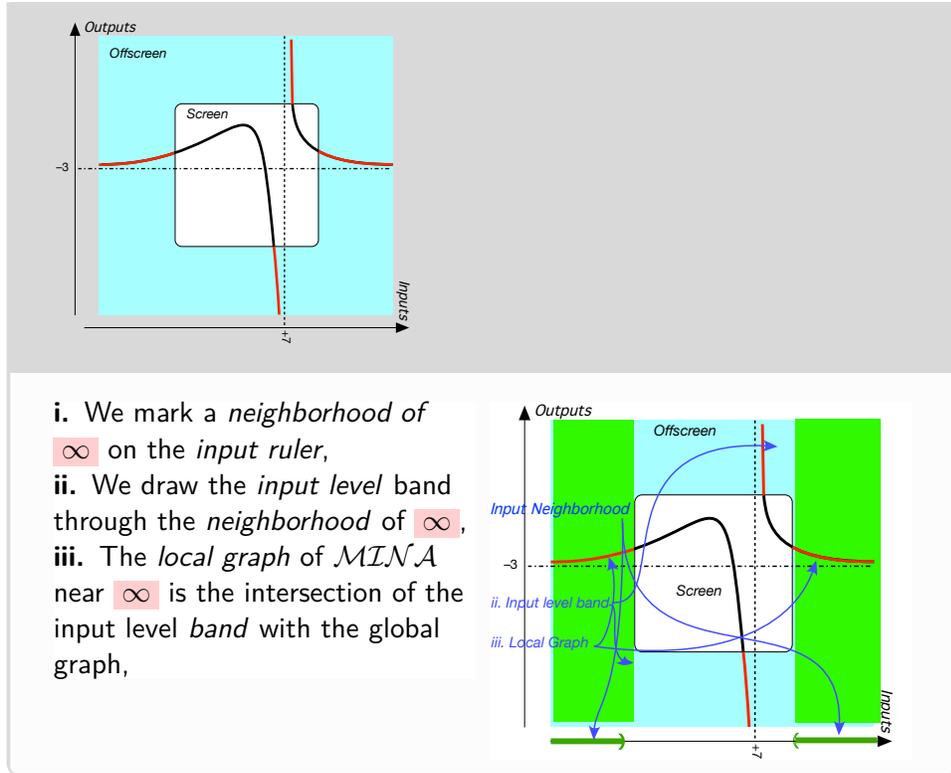
To get the local graph near  $\infty$  of the function  $\mathcal{REN}$  whose global graph is



- i. We mark a *neighborhood* of  $\infty$  on the *input ruler*,
- ii. We draw the *input level band* through the *neighborhood* of  $\infty$ ,
- iii. The *local graph* of  $\mathcal{REN}$  near  $\infty$  is the intersection of the input level band with the global graph,

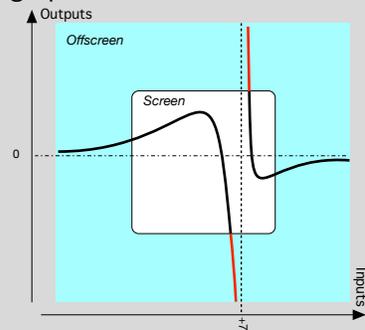
**DEMO 1.11d**

To get the local graph near  $\infty$  of the function  $\mathcal{MINA}$  whose global graph is

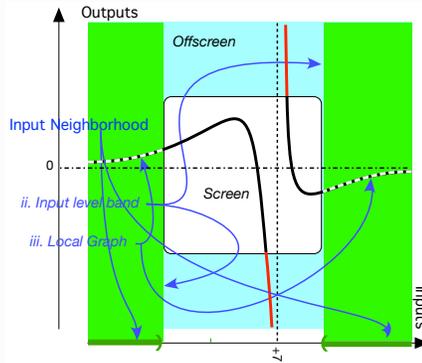


**DEMO 1.11e**

To get the local graph near  $\infty$  of the function  $RHEA$  whose global graph is



- i. We mark a *neighborhood* of  $\infty$  on the *input ruler*,
- ii. We draw the *input level band* through the *neighborhood* of  $\infty$ ,
- iii. The *local graph* of  $\mathcal{RHEA}$  near  $\infty$  is the intersection of the *input level band* with the *global graph*,



	OKsoFAR		OKsoFAR		OKsoFAR
	OKsoFAR		OKsoFAR		OKsoFAR



## Chapter 2

# Local Features Functions May Have

Local Code, 133 • Local Height, 136 • Local extreme, 141 • Zeros And Poles, 146 • Local Slope, 148 • Local Concavity, 150 • Feature Sign-Change Inputs, 153 .

Keep in mind that:



**CAUTIONARY NOTE 2.1** We will define ‘local behavior’ as a *calculus* word later. In the meantime, we will use ‘local behavior’ as an *everyday* word.



**CAUTIONARY NOTE 2.2** The functions we are discussing in this Part I - Functions Given By Data (Page 63) are given by *curves* and, while in *this* text what we will say will also apply to the *functions given* other than by a *curve*, this will not necessarily be the case for any and all *functions*.

### 1 Local Code

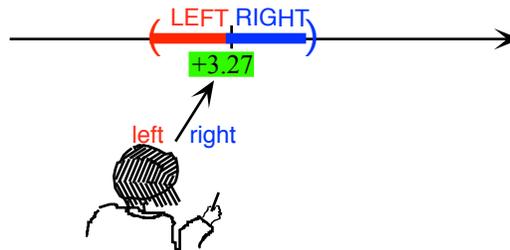
There is no reason to expect the local behavior of a *function* to be the same on both *sides* of a *input point*, be it  $x_0$  or  $\infty$ , see ?? ?? - ?? (??) and ??

?? - ?? (??).

**1. Facing the neighborhood.** In order to deal *separately* with each *side* of a neighborhood of a *given point*, we first need to state precisely which *side* of the given point is going to be **LEFT** of the given point and which *side* of the given point is going to be **RIGHT** of the given point.

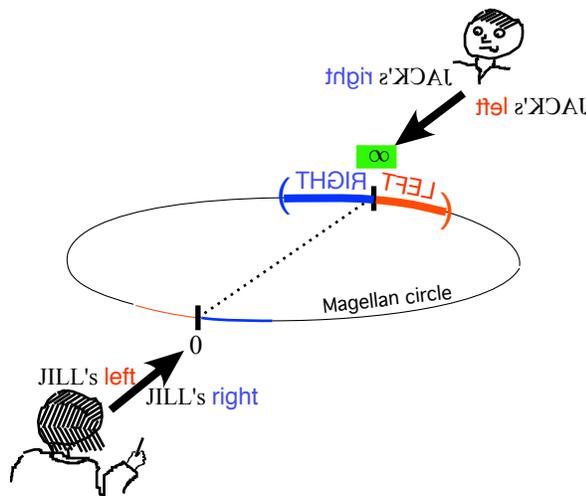
**EXAMPLE 2.1.** Given a neighborhood of the number  $+3.27$ , JILL can face the center of the neighborhood and then:

- what is to JILL's **left** will be what is **LEFT** of  $+3.27$
- and
- what is to JILL's **right** will be what is **RIGHT** of  $+3.27$ .



**EXAMPLE 2.2.** Given a neighborhood of  $\infty$ , JILL cannot face the center of the neighborhood and so, using a Magellan circle, she must imagine JACK facing a neighborhood of  $\infty$  and then:

- what is to JACK's **left** will be what is **LEFT** of  $\infty$
- and
- what is to JACK's **right**: will be what is **RIGHT** of  $\infty$

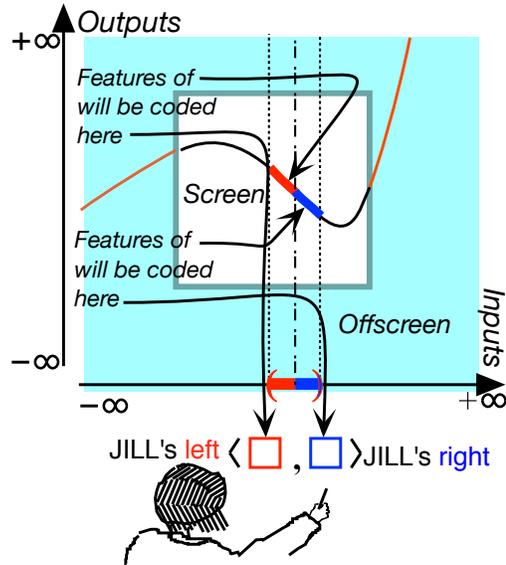


2. **Local code.** in order to describe *separately* the 'local behavior' on each **side** of the given input, we will use the following format: basic format angle

**DEFINITION 2.1** To code the features of the **local graph** near a given **point**, we will write the codes for the feature on each side between two **angles** with a *comma* to separate the behaviors on the **sides** of the neighborhood of the given **point**:

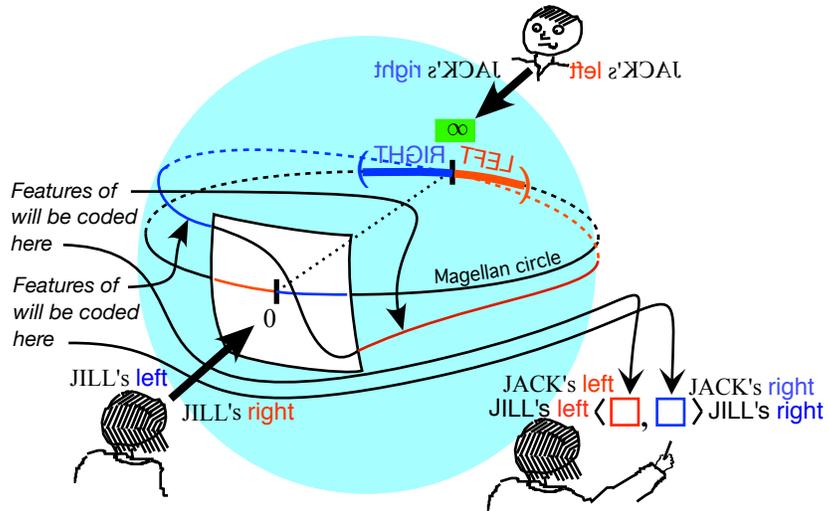
Features for nearby inputs <b>LEFT</b> of the given <b>point</b> will be coded <b>LEFT</b> of the comma	Features for nearby inputs <b>RIGHT</b> of the given <b>point</b> will be coded <b>RIGHT</b> of the comma
--	--

**EXAMPLE 2.3.** When the local graph is near a **number**, JILL can face the center of the neighborhood:



**EXAMPLE 2.4.** When the local graph is near **∞** and since JILL can only imagine JACK facing *infinity* on the far side of a Magellan circlel:

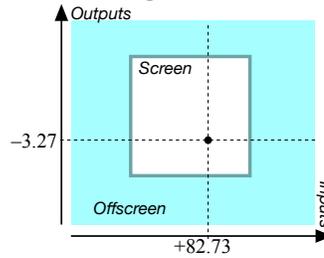
height



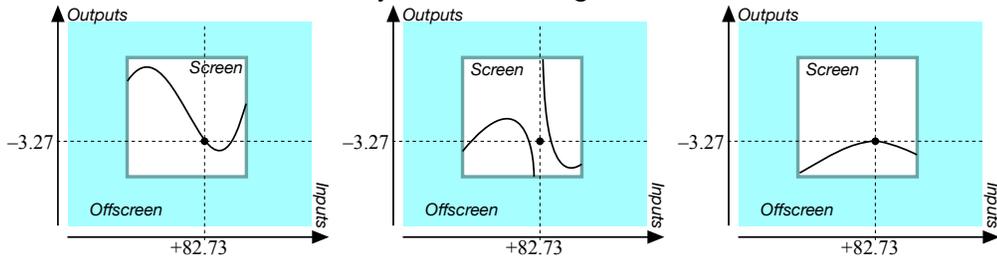
## 2 Local Height

The **height** of a function  $f$  at a given number  $x_0$  is just the output  $f(x_0)$  provides almost no information about the graph of the function.

**EXAMPLE 2.5.** To say that the height of a function at  $+82.73$  is  $-3.27$  gives



which could come from any of the following functions



... and from many more.

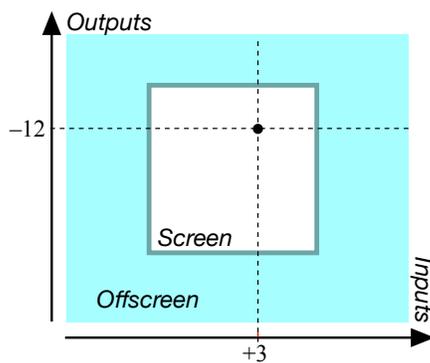
**1. Local height near a given point.** Given a function  $f$  and given local height-sign a point, the height of  $f$  near  $x_0$  is

we want a thick version of the height of  $f$  at  $x_0$  that is the height of  $f$  near  $x_0$ .

**EXAMPLE 2.6.** Given a function  $f$ , to say that

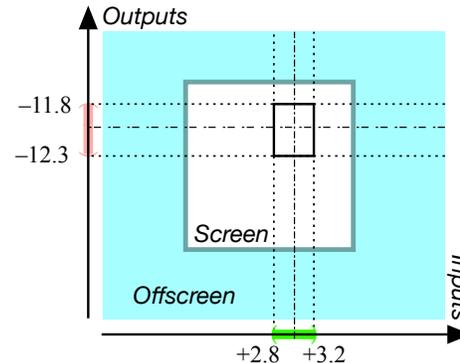
Height  $f$  at  $+3 = -12$

says



Local height  $f$  near  $+3 = -12 \oplus h$

sats



As will become clear why, though, we have to introduce and discuss the sign and the size of the local height separately.

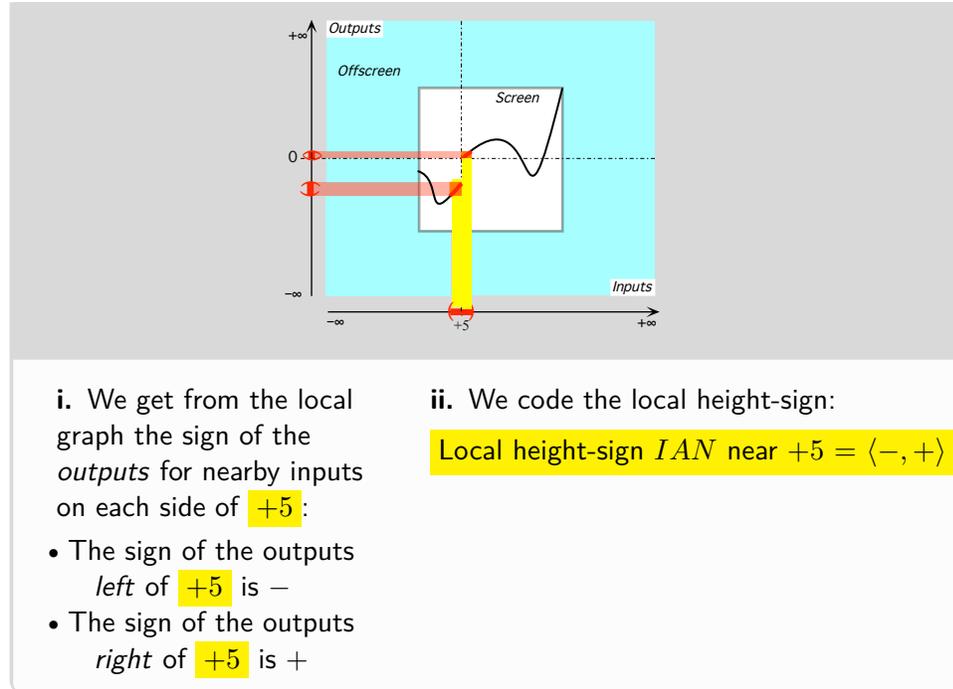
**2. Local height-sign.** The local height-sign of  $f$  near  $x_0$  is the sign, + or -, of the outputs for nearby inputs on each side of the given input.

**PROCEDURE 2.1** To get the local height-sign near  $x_0$  of a function given by a curve,

- i. Highlight the local graph near  $x_0$  using PROCEDURE 5.2 - Local graphs of a UNIT function (Page 230)
- ii. Get from the local graph the sign, + or -, of the outputs for nearby inputs on each side of the given input,
- iii. Code the local height-sign  $f$  using ?? ?? - ?? (??)

**DEMO 2.1** To get the local height-sign near +5 for the function  $IAN$  from the local graph near +5

local height-size

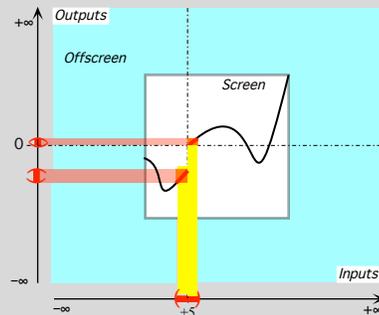


**3. Height-size** The **local height-size** of  $f$  near a given input is the qualitative size, *large*, *medium* or *small*, of the *outputs* for nearby inputs on each side of the given input.

**PROCEDURE 2.2** To get the height-size near a given input of a **function** from its **global graph**,

- Highlight the *local graph* near the given input using PROCEDURE 5.2 - *Local graphs of a UNIT function* (Page 230)
- Mark a neighborhood of the given point
- Get from the local graph the qualitative size, *large*, *medium* or *small*, of the *outputs* for nearby inputs on each side of the given input,
- Code height-size  $f$  according to ?? ?? - ?? (??)

**DEMO 2.2a** Get height-size near  $+5$  for the function  $IAN$  from the local graph near  $+5$



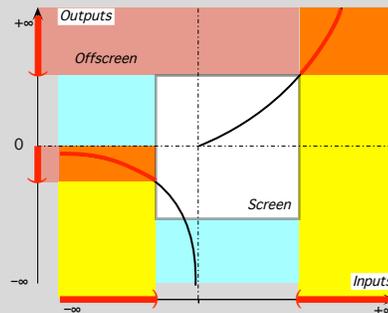
i. We get from the local graph the qualitative size, *large*, *medium* or *small*, of the *outputs* for nearby inputs on each side of  $+5$ :

- The size of the outputs *left* of  $+5$  is *medium*
- The size of the outputs *right* of  $+5$  is *medium*

ii. We code the height-size:

height-size  $IAN$  near  $+5 = \langle \text{medium}, \text{medium} \rangle$

**DEMO 2.2b** Get height-size near  $\infty$  for the function  $IAN$  from the local graph near  $\infty$



i. We get from the local graph the qualitative size, *large*, *medium* or *small*, of the *outputs* for nearby inputs on each side of  $\infty$ :

- The size of the height *left* of  $\infty$  is *large*
- The size of the height *right* of  $\infty$  is *small*

ii. We code the height-size:

height-size  $IAN$  near  $\infty = \langle \text{large}, \text{small} \rangle$

$x_{\infty}$ -height  
 $x_0$ -height  
 height

**DEMO 2.2c** For the function

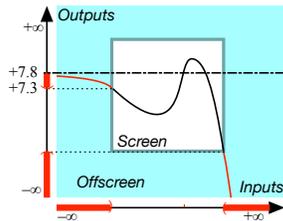
the Magellan input  $\infty$  is a *zero* because:  
 the outputs for nearby inputs, both inputs *right* of  $\infty$  and inputs *left* of  $\infty$ , are all *small*,

=====OK SO FAR =====

**4. Local height near  $\infty$**  The concept of **height** provides us with conveniently systematic names:

- For a **pole**:  $x_{\infty}$ -height
- For a **zero**:  $x_0$ -height

The height near  $\infty$

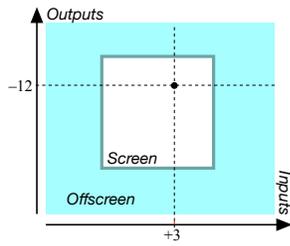


is *-large* for inputs left of  $\infty$  and *-small* for inputs right of  $\infty$

Given a **function**  $f$ , we will **thicken** the **output** **at** a given input, be it  $x_0$  or  $\infty$ , into the **height** **near** the given input.

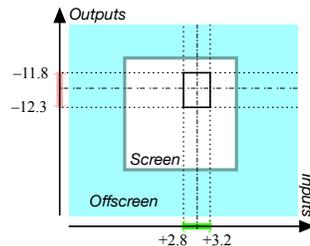
**EXAMPLE 2.7.**

The output at +3



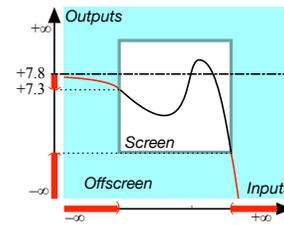
is -12

The height near +3



is  $-12 \pm \textit{small}$

The height near  $\infty$



is  $-large$  for inputs left of  $\infty$  and  $-small$  for inputs right of  $\infty$

local maximum-height input  
 $x_{\text{maxi-height}}$

### 3 Local extreme

We will often compare the *output at a given medium-size input* with the *height near the given medium-size input*.

**1. Local maximum-height input.** A **local maximum-height input** is a *medium-size* input whose **output** is *larger* than the **height** near the medium-size input. In other words, the **output at a local maximum-height input** is *larger* than the **outputs** for all nearby inputs.

$$x_0 \text{ is a local maximum-height input whenever } f(x_0) > f(x_0 + h)$$

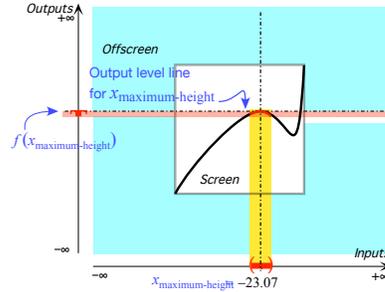
We will use  $x_{\text{max-height}}$  as a name for a **local maximum-height input**.

**LANGUAGE NOTE 2.1**  $x_{\text{max}}$  is the usual name for a **local maximum-height input** but  $x_{\text{max}}$  tends to suggest that it is the **input**  $x$  that is *maximum* while it is the **output**,  $f(x_{\text{max}})$ , which is “maximum”.

Graphically, the **local graph** near  $x_{\text{max-height}}$  is *below* the output-level line for  $x_{\text{max-height}}$ .

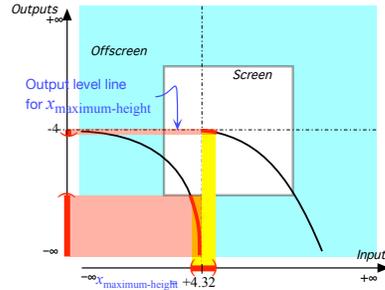
local minimum-height  
input  
 $x_{\text{min-height}}$

**EXAMPLE 2.8.** The function



has a local *maximum* at  $-23.07$  because the output at  $-23.07$  is *larger* than the outputs for *nearby inputs*

**EXAMPLE 2.9.** The function



has a local *maximum* at  $+4.32$  because the output at  $+4.32$  is *larger* than the outputs for *nearby inputs*

**2. Local minimum-height input.** A **local minimum-height input** is a *medium-size* input whose **output** is *smaller* than the **height** near the given input. In other words, the **output** at a **local minimum-height input** is *smaller* than the **outputs** for all nearby inputs.

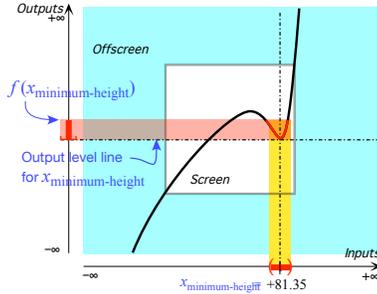
$$x_0 \text{ is a local minimum-height input whenever } f(x_0) < f(x_0 + h)$$

We will use  $x_{\text{min-height}}$  as name for a **local minimum-height input**.

**LANGUAGE NOTE 2.2**  $x_{\text{min}}$  is the usual name for a **local minimum-height input** but  $x_{\text{min}}$  tends to suggest that it is the **input**  $x$  that is *minimum* while it is its **output**,  $f(x_{\text{min}})$ , which is “minimum”.

Graphically, the *local graph* near  $x_{\text{min-height}}$  is *above* the output-level line for  $x_{\text{min-height}}$ .

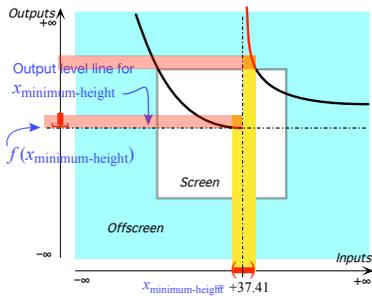
**EXAMPLE 2.10.** The function



has a local *minimum* at +81.35 because the output at +81.35 is *smaller* than the outputs for *nearby inputs*.

local extreme-height input

**EXAMPLE 2.11.** The function



has a local *minimum* at +37.41 because the output at +37.41 is *smaller* than the outputs for *nearby inputs*.

**3. Local extreme-height input.** Local extreme-height input are *medium-size* inputs which are either a local maximum-height input or a local minimum-height input.

**CAUTIONARY NOTE 2.3** can only be *medium-size* inputs.

**4. Optimization problems.** Minimization problems and maximization problems ([https://en.wikipedia.org/wiki/Mathematical\\_optimization](https://en.wikipedia.org/wiki/Mathematical_optimization)) as well as min-max problems (<https://en.wikipedia.org/wiki/Minimax>) are of primary importance in *real life*. So,

- It would be pointless to allow  $\infty$  as a local extreme-height input since it cannot be reached in the *real world*,
- It would be meaningless to allow  $+\infty$  as a locally largest output since  $+\infty$  is *always* larger than any **output** or to allow  $-\infty$  as a locally smallest output since  $-\infty$  is *always* smaller than any **output**.

local maximum-height input

$x_{\text{maxi-height}}$

local minimum-height input

**5. Local extreme** We will often compare the *output at* a given *medium* input with the *height near* the *given medium* input.

**6. Local maximum-height input.** A **local maximum-height input** is a *medium* input whose **output** is *larger* than the **height** near the medium input. In other words, the **output at** a **local maximum-height input** is *larger* than the **outputs** for all nearby inputs.

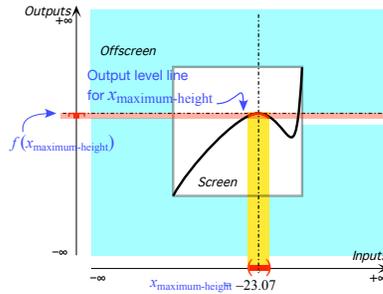
$$x_0 \text{ is a local maximum-height input whenever } f(x_0) > f(x_0 + h)$$

We will use  $x_{\text{max-height}}$  as a name for a **local maximum-height input**.

**LANGUAGE NOTE 2.3**  $x_{\text{max}}$  is the usual name for a **local maximum-height input** but  $x_{\text{max}}$  tends to suggest that it is the **input**  $x$  that is *maximum* while it is the **output**,  $f(x_{\text{max}})$ , which is “maximum”.

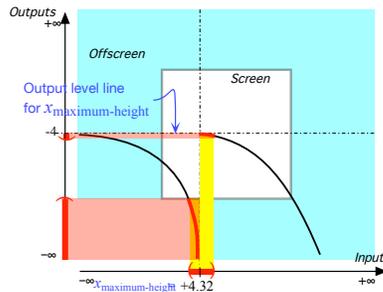
Graphically, the **local graph** near  $x_{\text{max-height}}$  is *below* the output-level line for  $x_{\text{max-height}}$ .

**EXAMPLE 2.12.** The function



has a local *maximum* at  $-23.07$  because the output *at*  $-23.07$  is *larger* than the outputs for *nearby inputs*

**EXAMPLE 2.13.** The function



has a local *maximum* at  $+4.32$  because the output *at*  $+4.32$  is *larger* than the outputs for *nearby inputs*

**7. Local minimum-height input.** A **local minimum-height input** is a *medium* input whose **output** is *smaller* than the **height** near the

given input. In other words, the output at a local minimum-height input is smaller than the outputs for all nearby inputs.

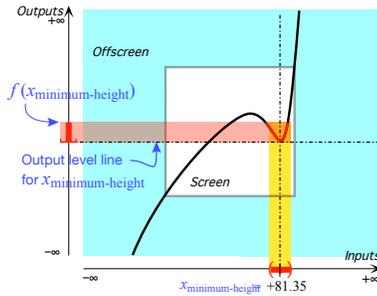
$$x_0 \text{ is a local minimum-height input whenever } f(x_0) < f(x_0 + h)$$

We will use  $x_{\text{min-height}}$  as name for a local minimum-height input.

**LANGUAGE NOTE 2.4**  $x_{\text{min}}$  is the usual name for a local minimum-height input but  $x_{\text{min}}$  tends to suggest that it is the input  $x$  that is *minimum* while it is its *output*,  $f(x_{\text{min}})$ , which is “minimum”.

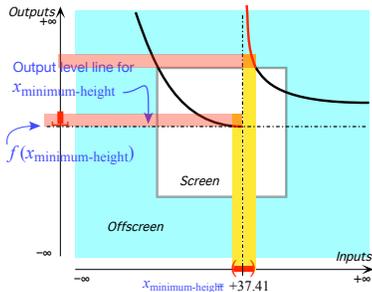
Graphically, the local graph near  $x_{\text{min-height}}$  is above the output-level line for  $x_{\text{min-height}}$ .

**EXAMPLE 2.14.** The function



has a local *minimum* at +81.35 because the output at +81.35 is smaller than the outputs for nearby inputs.

**EXAMPLE 2.15.** The function



has a local *minimum* at +37.41 because the output at +37.41 is smaller than the outputs for nearby inputs.

**8. Local extreme-height input.** Local extreme-height input are *medium* inputs which are either a local maximum-height input or a local minimum-height input.

**CAUTIONARY NOTE 2.4** can only be *medium* inputs.

zero  
parity  
even zero  
odd zero

**9. Optimization problems.** Minimization problems and maximization problems ([https://en.wikipedia.org/wiki/Mathematical\\_optimization](https://en.wikipedia.org/wiki/Mathematical_optimization)) as well as min-max problems (<https://en.wikipedia.org/wiki/Minimax>) are of primary importance in *real life*. So,

- It would be pointless to allow  $\infty$  as a **local extreme-height input** since it cannot be reached in the *real world*,
- It would be meaningless to allow  $+\infty$  as a locally largest output since  $+\infty$  is *always* larger than any **output** or to allow  $-\infty$  as a locally smallest output since  $-\infty$  is *always* smaller than any **output**.

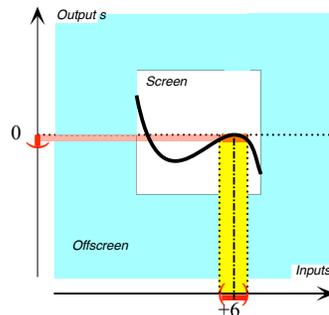
## 4 Zeros And Poles

Given a **function**  $f$ , a **zero** of  $f$  is a *medium* input whose height-size is  $\langle \textit{small}, \textit{small} \rangle$ .

**1. Zeros.** We will distinguish two kinds of **zeros** according to their **parity**: [https://en.wikipedia.org/wiki/Zeros\\_and\\_poles](https://en.wikipedia.org/wiki/Zeros_and_poles)

- An **even zero** is a **zero** whose height-sign is either  $\langle +, + \rangle$  or  $\langle -, - \rangle$ .

**EXAMPLE 2.16.** For the function  $f$  given by the global graph

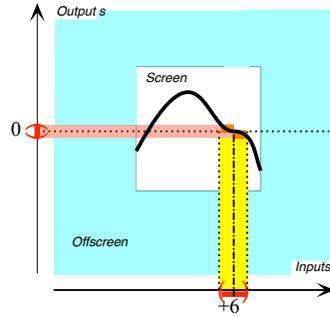


the medium input  $+6$  is an **even zero** because:

- the *outputs* for inputs *near*  $+6$  are all *small*,
- height-sign  $f$  near  $+6 = \langle -, - \rangle$  (Same signs.)

- An **odd zero** is a **zero** whose height-sign is either  $\langle +, - \rangle$  or  $\langle -, + \rangle$ .

**EXAMPLE 2.17.** For the function  $f$  given by the global graph



the medium input +6 is an *odd zero* because:

- ▶ the *outputs* for inputs *near* +6 are all *small*,
- ▶ height-sign  $f$  near +6 =  $\langle +, - \rangle$  (Opposite signs.)

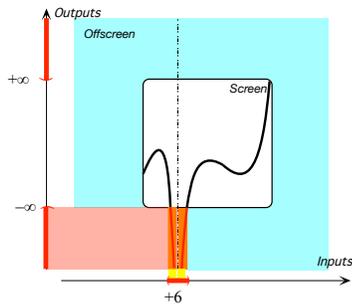
pole  
parity  
even pole  
odd pole

**2. Poles.** Given a function  $f$ , a **pole** of  $f$  is a *medium* input whose height-size is  $\langle \text{large}, \text{large} \rangle$ . We will distinguish two kinds of **poles** according to their **parity**:

We will distinguish two kinds of **poles** according to their **parity**:

- ▶ An **even pole** is a **pole** whose height-sign is either  $\langle +, + \rangle$  or  $\langle -, - \rangle$ .

**EXAMPLE 2.18.** For the function  $f$  given by the global graph



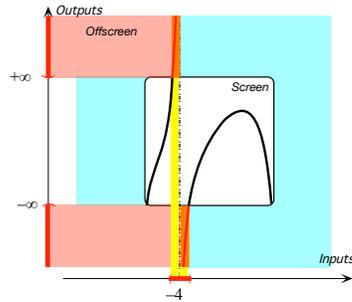
the medium input +6 is an *even pole* because:

- ▶ the *outputs* for inputs *near* +6 are all *large*,
- ▶ height-sign  $f$  near +6 =  $\langle -, - \rangle$  (Same signs.)

- ▶ An **odd pole** is a **pole** whose height-sign is either  $\langle +, - \rangle$  or  $\langle -, + \rangle$ .

slope  
slope-sign

**EXAMPLE 2.19.** For the function  $f$  given by the global graph



the medium input  $+ - 4$  is an *odd pole* because:

- ▶ the *outputs* for inputs *near*  $-4$  are all *large*,
- ▶ height-sign  $f$  near  $-4 = \langle +, - \rangle$  (Opposite signs.)

## 5 Local Slope

1. **Slope-sign.** Inasmuch as, in this text, we will only deal with *qualitative* information we will be mostly interested in the **slope-sign**:

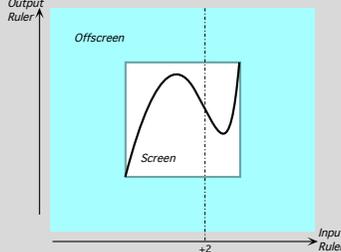
**PROCEDURE 2.3** To get Slope-sign near a **given input** for a **function given by a global graph**

- i. Mark the local graph near the given input
- ii. Then the slope-sign is:
  - ∕ when the local graph looks like ∕ or ∘, that is when the *outputs* are **increasing** as the inputs are going the way of the input ruler,
  - \ when the local graph looks like \ or \, that is when the *outputs* are **decreasing** as the inputs are going the way of the input ruler.
- iii. Code Slope-sign  $f$  according to ?? ?? - ?? (??)

**LANGUAGE NOTE 2.5 Slope-sign** The usual symbols are + instead of ∕ and - instead of \ but, in this text, in order not to overuse + and -, we will use ∕ and \.<sup>1</sup>

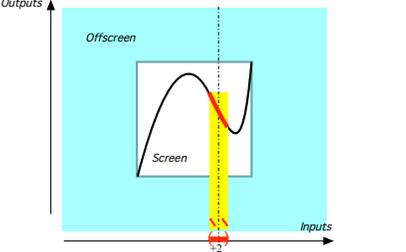
**DEMO 2.3a** Let  $HIC$  be the function whose Mercator graph is

<sup>1</sup>Educologists will surely appreciate “Sign-slope  $f = ∕$  iff Sign-height  $f' = +$ ”.



and let the given input be  $+2$ . Then to get Slope-sign  $HIC$  near  $+2$

i. We get the local graph near the given input:



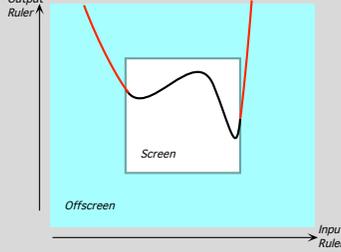
ii. We then get

- The slope sign *left* of  $+2$  is  $\searrow$
- The slope sign *right* of  $+2$  is  $\searrow$

which we code as:

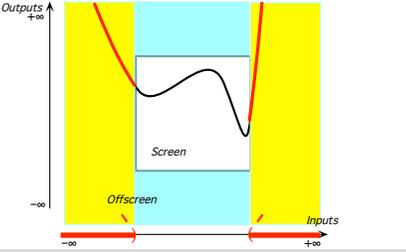
Slope-sign  $HIC$  near  $+2 = \langle \searrow, \searrow \rangle$

**DEMO 2.3b** Let  $HIP$  be the function whose Mercator graph is



and let the given input be  $\infty$ . Then to get Slope sign  $HIP$  near  $\infty$

i. We get the local graph near the given input:



ii. We then get that:

- The slope sign *left* of  $\infty$ , that is near  $+\infty$ , is  $\swarrow$
- The slope sign *right* of  $\infty$ , that is near  $-\infty$ , is  $\searrow$

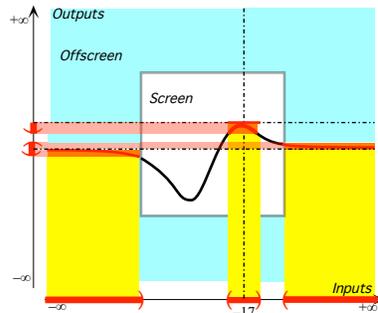
which we code as:

Slope-sign  $HIP$  near  $\infty = \langle \swarrow, \searrow \rangle$

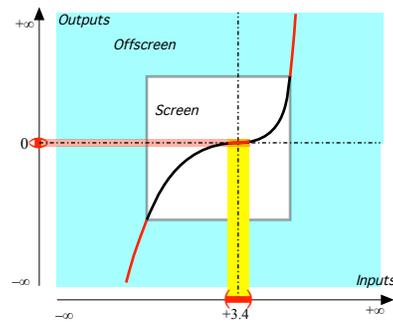
slope-size  
 concavity  
 concavity-size  
 concavity-sign

**2. Slope-size** In this text, we will not deal with **slope-size** other than in the case of a **0-slope input** that is an input, be it  $x_0$  or  $\infty$ , near which slope-size is *small*. This is because 0-slope inputs will be extremely important in *global analysis* as finding 0-slope inputs comes up all the time in direct “applications” to the real world:

**EXAMPLE 2.20.** The function



**EXAMPLE 2.21.** The function



has both  $-17$  and  $\infty$  as 0-slope inputs Only  $+3.4$  is a 0-slope input.

## 6 Local Concavity

**1. Concavity-sign** Inasmuch as, in this text, we will be only interested in *qualitative analysis* we will not deal with the **concavity-size** but only with the **concavity-sign**:

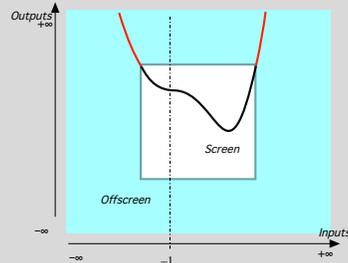
**PROCEDURE 2.4** To get Concavity-sign near a given input for a function given by a *global graph*

- i. Mark the local graph near the given input
- ii. Then the concavity-sign is:
  - $\cup$  when the local graph is *bending up* like  $\searrow$  or  $\swarrow$ ,
  - $\cap$  when the local graph is *bending down* like  $\swarrow$  or  $\searrow$ .
- iii. Code Slope-sign  $f$  according to ?? ?? - ?? (??)

**LANGUAGE NOTE 2.6 Concavity-sign** The usual symbols are  $+$  Instead of  $\cup$  and  $-$  instead of  $\cap$  but, in this text, in order not to overuse  $+$  and  $-$ , we will use  $\cup$  and  $\cap$ .<sup>2</sup>

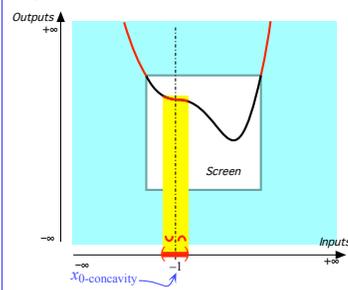
<sup>2</sup>Educologists will surely appreciate “Sign-concavity  $f = \cup$  iff Sign-height  $f'' = +$ ”.

**DEMO 2.4** Let  $KIP$  be the function whose Mercator graph is



and let the given input be  $-1$ . Then to get Concavity sign  $KIP$  near  $-1$

**i.** We get the local graph near the given input:



**ii.** We then get that:

- The concavity sign *left* of  $-1$ , is  $\cup$
- The concavity sign *right* of  $-1$ , is  $\cap$

which we code as:

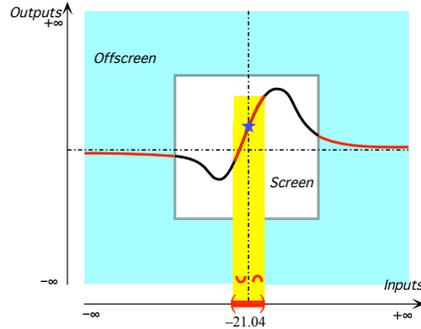
Concavity Sign  $KIP$  near  $-1 = \langle \cup, \cap \rangle$

**2. 0-concavity input.** Given a function  $f$ , the inputs whose Concavity-size is 0 will be particularly important in *global analysis*:

A *medium* input  $x_0$  is a **0-concavity input** if inputs that are near  $x_0$  have *small concavity*. We will use  $x_{0\text{-concavity}}$  to refer to 0-concavity inputs.

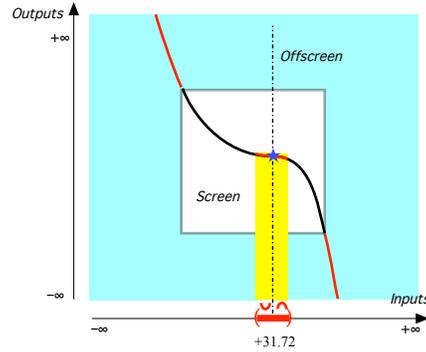
extremity

**EXAMPLE 2.22.** Given the function whose Mercator graph is



$$x_{0-concavity} = -21.04$$

**EXAMPLE 2.23.** Given the function whose Mercator graph is

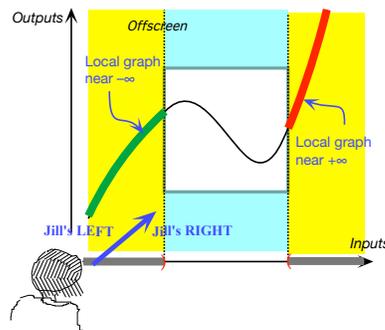


$$x_{0-concavity} = +31.72$$

Under AGREEMENT 1.2 - Colors for **left things** and **Tight things** (Page 64), with only a **Mercator view** of the **global graph**, there is of course no way we can get the whole **local graph** near  $\infty$  and we will have to content ourselves with just the **extremities** of the **local graph** near  $\infty$ . However, since we cannot face  $\infty$  and can only face the **screen**, we have to keep in mind ?? ?? - ?? (??) so that

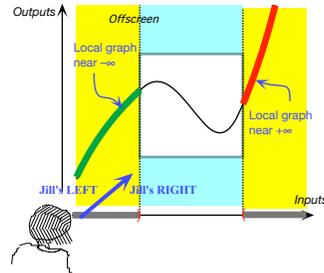
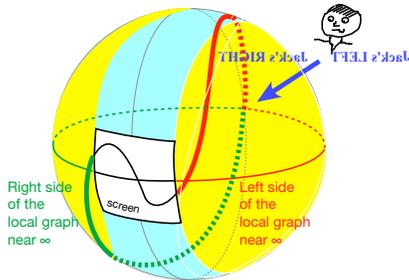
- ▶ The extremity of the local graph near  $+\infty$  (*left of  $\infty$* ) is to *our right*,
- ▶ The extremity of the local graph near  $-\infty$  (*right of  $\infty$* ) is to *our left*.

**EXAMPLE 2.24.**



Jill is facing the *screen* so she can only see the *extremities* of the local graph near  $\infty$  and she must keep in mind ?? ?? - ?? (??) so that the local graph near  $+\infty$  (to *her right*) is *left of  $\infty$*  and the local graph near  $-\infty$  (to *her left*) is *right of  $\infty$* .

**EXAMPLE 2.25.**



When facing the *screen*, though, Jill can only see the *extremities* of the local graph near  $\infty$  and she must keep in mind that the local graph near  $+\infty$  (*left* of  $\infty$ ) is to Jill's *right* and the local graph near  $-\infty$  (*right* of  $\infty$ ) is to Jill's *left*.

When facing the *screen*, though, Jill can only see the *extremities* of the local graph near  $\infty$ . As a result, the local graph near  $+\infty$  (*left* of  $\infty$ ) is to Jill's *right* and the local graph near  $-\infty$  (*right* of  $\infty$ ) is to Jill's *left*.

that is the largest error that will not change the qualitative information we are looking for. The largest permissible error, which is the equivalent of a tolerance, will turn out to be easy to determine.

We can see from Chapter 3 that the reason could not possibly give us a **global graph** is that, if a **plot point** may tell us where the **global graph** “is at”, a **plot point** certainly cannot tell us anything about where the **global graph** “goes from there”. And, since the latter is precisely what **local graphs** do with **slope** and **concavity**, we are now in a position to:

=====

Something wrong with references here

1. Describe how to **interpolate local graphs** into a **global graph**. This corresponds to the second of the ?? ?? - ?? (??)

2. Discuss questions about **interpolating local graphs** which correspond to the other two ?? ?? - ?? (??)

i. How will we know near which **inputs** to get the **local graphs**?

ii. After we have **interpolated the local graphs**, how will we know if the **curve** we got *is* the **global graph**?

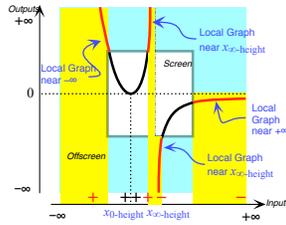
## 7 Feature Sign-Change Inputs

We will often need to find *medium* inputs such that the outputs for nearby inputs left of  $x_0$  and the outputs for nearby inputs right of  $x_0$  have given feature-signs.

**1. height sign-change input** An input is a **height sign-change input** whenever height sign =  $\langle +, - \rangle$  or  $\langle -, + \rangle$ . We will use  $x_{\text{height sign-change}}$  to refer to a *medium* height sign-change input.

**EXAMPLE 2.26.**

Let  $f$  be the function given by the global graph

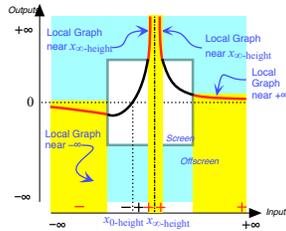


Then,

- $x_{0\text{-height}}$  is not a height sign-change input,
- $x_{\infty\text{-height}}$  is a height sign-change input.
- $\infty$  is a height sign-change input.

**EXAMPLE 2.27.**

Let  $f$  be the function given by the global graph



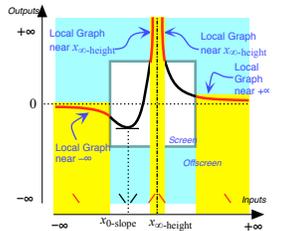
Then,

- $x_{0\text{-height}}$  is a height sign-change input,
- $x_{\infty\text{-height}}$  is not a height sign-change input,
- $\infty$  is a height sign-change input.

**2. Slope sign-change input** An input is a **Slope sign-change input** whenever Slope sign =  $\langle /, \backslash \rangle$  or  $\langle \backslash, / \rangle$ . We will use  $x_{\text{slope sign-change}}$  to refer to a Slope sign-change input.

**EXAMPLE 2.28.**

Let  $f$  be the function given by the global graph

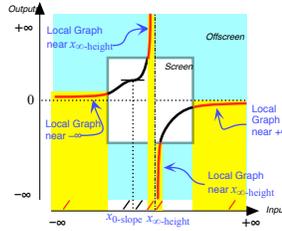


Then,

- $x_{0\text{-slope}}$  is a Slope sign-change input,
- $x_{\infty\text{-height}}$  is a Slope sign-change input,
- $\infty$  is not a Slope sign-change input.

**EXAMPLE 2.29.**

Let  $f$  be the function given by the global graph



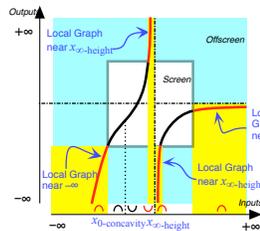
Then,

- $x_{0\text{-slope}}$  is not a Slope sign-change input,
- $x_{\infty\text{-slope}}$  is not a Slope sign-change input,
- $\infty$  is not a Slope sign-change input.

**3. Concavity sign-change input** An input is a **Concavity sign-change input** whenever Concavity sign =  $\langle u, n \rangle$  or  $\langle n, u \rangle$ . We will use  $x_{\text{Concavity sign-change}}$  to refer to a Concavity sign-change input.

**EXAMPLE 2.30.**

Let  $f$  be the function given by the global graph

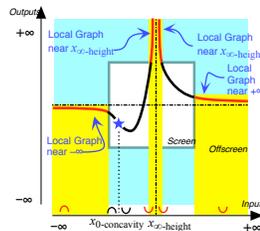


Then,

- $x_{0\text{-concavity}}$  is a Concavity sign-change input,
- $x_{\infty\text{-height}}$  is a Concavity sign-change input.
- $\infty$  is not a Concavity sign-change input.

**EXAMPLE 2.31.**

Let  $f$  be the function given by the global graph



Then,

- $x_{0\text{-concavity}}$  is a Concavity sign-change input,
- $x_{\infty\text{-height}}$  is not a Concavity sign-change input,
- $\infty$  is a Concavity sign-change input.

One case where the picture gets a bit complicated is when the *output* point is  $\infty$ , that is when the *input* point is a *pole*

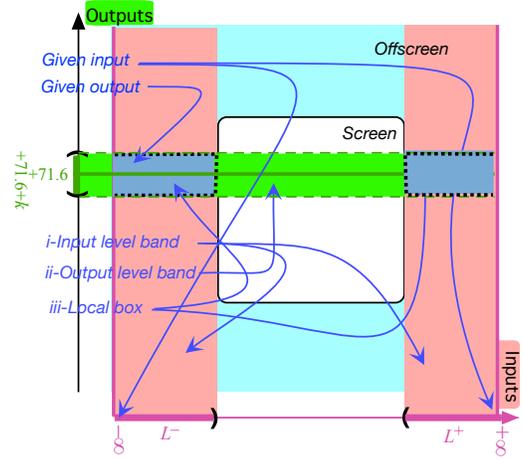
The two other cases where the picture gets a bit complicated are when the *input* point is  $\infty$ , whether the *output* point is a number  $y_0$  or  $\infty$ .

**EXAMPLE 2.32.** Local box for the input-output pair  $(\infty, +71.6)$

sided local graph box

- i. We get the input level *band* for  $\infty$
- ii. We get the output level *band* for  $+71,6$
- iii. We box the intersection of the input level bands for  $\infty$  and  $+71,6$

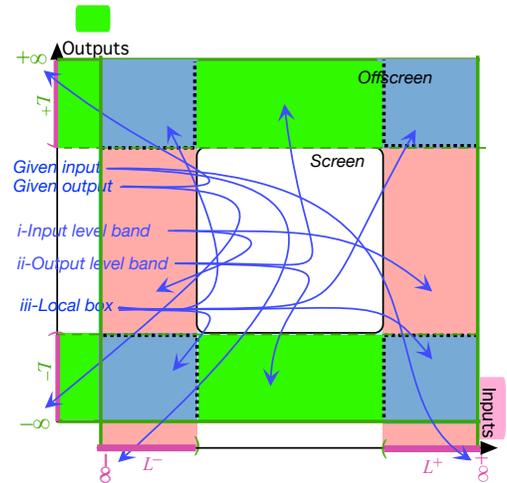
What appears to be two boxes are actually parts of *one* box. This is because we are using the Mercator view. In a Magellan view they would appear as a single box.



**EXAMPLE 2.33.** Local box for the input-output pair  $(\infty, \infty)$

- i. We get the input level *band* for  $\infty$
- ii. We get the output level *band* for  $\infty$
- iii. We box the intersection of the input level bands for  $\infty$  and  $\infty$

What appears to be four boxes are actually parts of *one* box. This is because we are using the Mercator view. In a Magellan view they would appear as a single box.



Actually, we will very often want to keep the two sides of. separate and the **sided local graph box** will then consist of two smaller rectangles, one on each **side** of the **input level line**. To get a sided local graph place then,

**PROCEDURE 2.5**

- i. Mark a *neighborhood* of the **input** on the input ruler,
- ii. Draw the *input level band*,
- iii. Mark a *neighborhood* of the **output** on the output ruler,
- iv. Draw the *output level band*,

- v. Mark which side of the **input neighborhood** is linked to which side of the **output neighborhood**,
- vi. The place for the given **input** - **output** pair is at the intersection of the corresponding *sides* of the level bands.

**DEMO 2.5** Get the sided place for  $(+3, -5)$  given that:

- $+3^- \longrightarrow -5^+$
- $+3^+ \longrightarrow -5^-$

i. We mark a *neighborhood* of  $+3$  on the *input ruler*,  
 ii. We draw the *input level band* through the *neighborhood* of  $+3$ ,  
 iii. We mark a *neighborhood* of  $-5$  on the *output ruler*,  
 iv. We draw the *output level band* through the *neighborhood* of  $-5$ ,  
 v. Mark:  
 • left of  $+3 \rightarrow$  above  $-5$   
 • right of  $+3 \rightarrow$  below  $-5$

vi. The *sided graph box* for  $(+3, -5)$  is at the intersection of the corresponding *sides* of the level bands.

We are now going to sketch the way we will graph functions given by I-O rules which we will illustrate with an extended EXAMPLE.

The big missing piece is that we will only be able to get the local framees and will nut be able to really justify the local graphs until Chapter 3.

The general idea will be to

*Quite a long way away from “just plugging” numbers into the global input-output rule and joining smoothly the plot dots”. But that will be graphing that makes sense.*

**4. Offscreen graph.** Local graph(s) near the control input(s)

i. **Local graph near  $\infty$ .** We saw in ?? that  $(L, -2 \oplus [\dots])$

ii. **Local graph(s) near the pole(s), if any.**

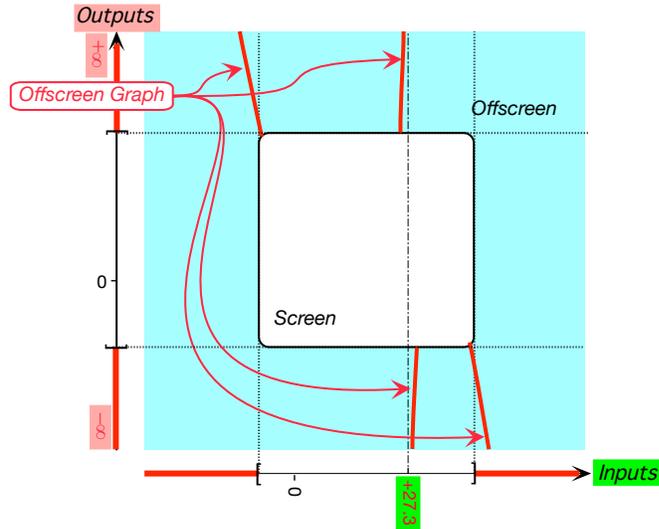
We saw in EXAMPLE 1.30 that  $-7$  is a pole for the function *JILL*.

We saw in EXAMPLE 1.32 that  $(-7 \oplus h, L + [\dots])$

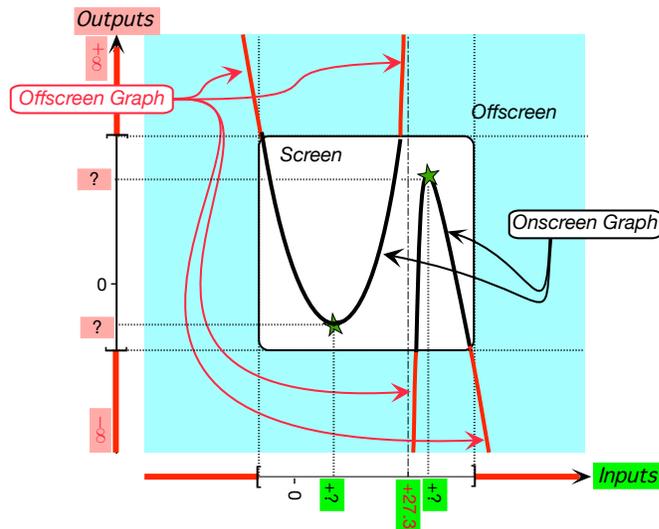
iii. **Offscreen graph.**

*Very roughly speaking! The smooth talk will begin in the next chapter.*

**EXAMPLE 2.34.** Consider the offscreen graph of the function  $IAN$  in EXAMPLE 1.29:



Joining smoothly this offscreen graph on-screen gives something like:



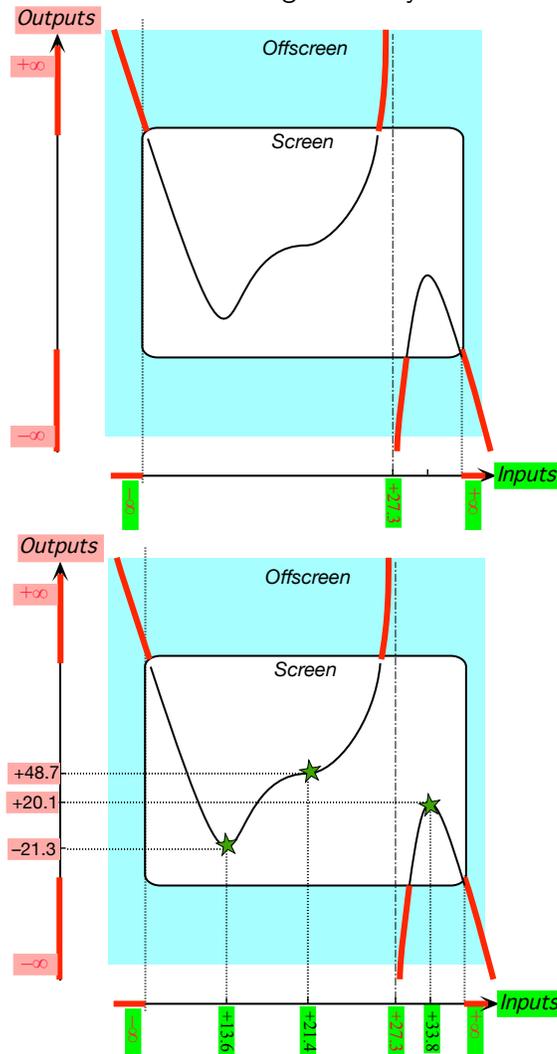
which is pretty much like  $IAN$ 's actual on-screen graph and even shows  $IAN$ 's 'essential' features, namely that:

- ▶  $IAN$  has a 'minimum point', (But of course does *not* show what the input-output pair is.)
- ▶  $IAN$  has a 'maximum point', (But of course does *not* show what the input-output pair is.)

but does *not* show that *IAN* has an 'inflection point'.

=====OK SO FAR=====

**EXAMPLE 2.35.** Say the following is the global graph of a function given by some I-O rule:



We can see from the picture that the given function has:

- ▶ What we will call a 'pole':  $(+27.3, \infty)$ .

and

- ▶ What we will call a 'minimum point':  $(+13.6, -21.3)$ ,

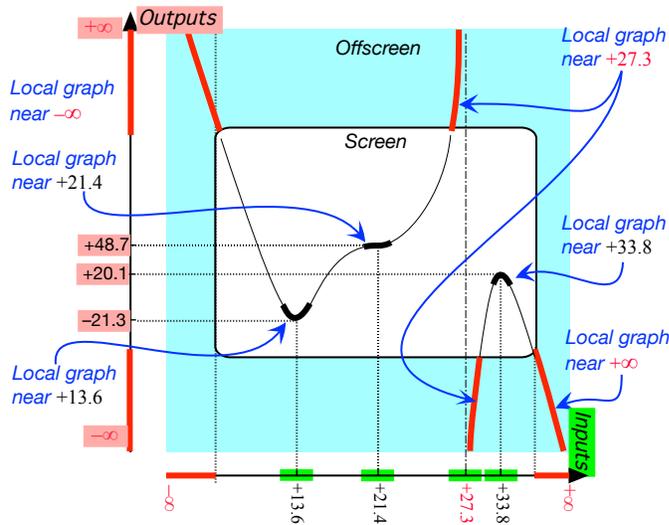
Sneak preview!

join smoothly

- ▶ What we will call an 'inflection point':  $(+21.4, +48.7)$ ,
- ▶ What we will call a 'maximum point':  $(+33.8, +20.1)$ ,

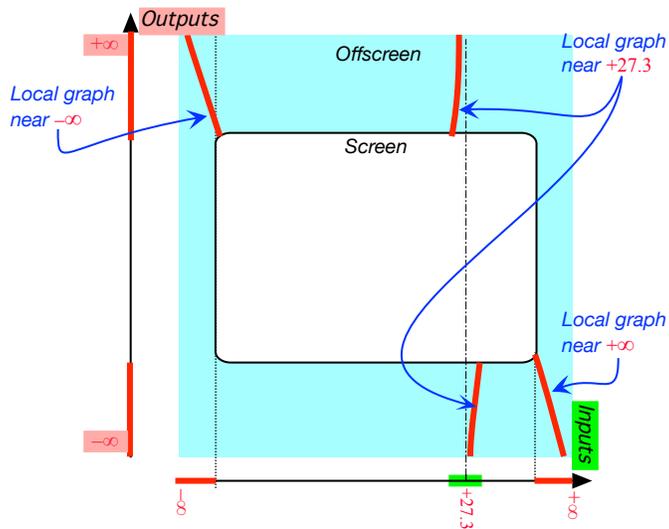
Most important!

**EXAMPLE 2.36.** In EXAMPLE 1.31, the local graphs are:

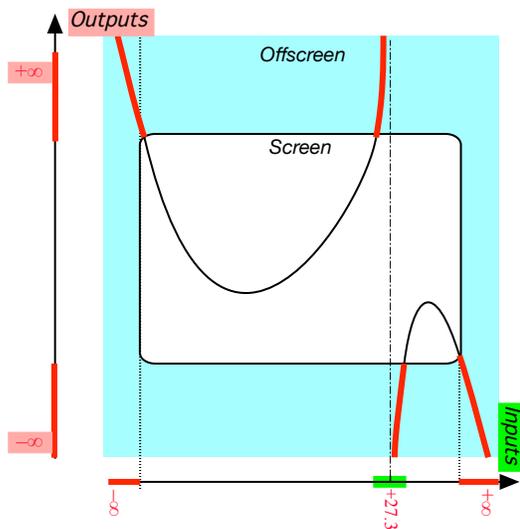


Conversely, our approach to getting the global graph of a function given by an I-O rule will be to use the I-O rule to get the poles of the given function, if any, and then **join smoothly** the local graphs near the pole(s), if any, and near  $\infty$ .

**EXAMPLE 2.37.** To get the global graph in EXAMPLE 1.32 we first get the control local graphs:



which we then join smoothly:



Notice, though, that while we *did* recover the 'existence' of a 'maximum point' right of  $+27.3$  and the 'existence' of a 'minimum point' left of  $+27.3$ , we did *not* recover the 'existence' of an 'inflection point'.

### 5. Sided local frame.

We obtain the procedure to get a sided local graph frame just by thickening ?? (??):

**PROCEDURE 2.6**

- i. Mark a *neighborhood* of the **input** on the input ruler,
- ii. Draw the *input level band*,
- iii. Mark a *neighborhood* of the **output** on the output ruler,
- iv. Draw the *output level band*,
- v. Mark which side of the **input neighborhood** is linked to which side of the **output neighborhood**,
- vi. The local graph box for the given **input - output** pair is at the intersection of the corresponding *sides* of the level bands.

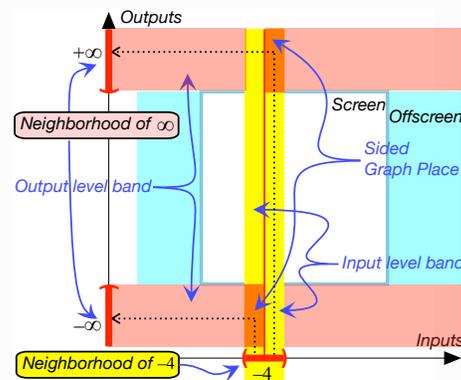
**DEMO 2.6** Get the sided local graph frame for  $(-4, \infty)$  given that:

- $-4^- \rightarrow -\infty$
- $-4^+ \rightarrow +\infty$

- i. We mark a *neighborhood* of  $-4$  on the *input ruler*,
- ii. We draw the *input level band* through the *neighborhood* of  $-4$ ,
- iii. We mark a *neighborhood* of  $\infty$  on the *output ruler*,
- iv. We draw the *output level band* through the *neighborhood* of  $\infty$ ,
- v. Mark:

- left of  $-4 \rightarrow$  near  $-\infty$
- right of  $-4 \rightarrow$  near  $+\infty$

- vi. The *sided graph box* for  $(-4, \infty)$  is at the intersection of the corresponding *sides* of the level bands.



**DEMO 2.7** Get the sided local graph frame for  $(\infty, +2)$  given that:

- $-\infty \rightarrow +2^+$
- $+\infty \rightarrow +2^-$

i. We mark a *neighborhood* of  $-\infty$  on the *input ruler*,  
 ii. We draw the *input level band* through the *neighborhood* of  $-\infty$ ,  
 iii. We mark a *neighborhood* of  $+2$  on the *output ruler*,  
 iv. We draw the *output level band* through the *neighborhood* of  $+2$ ,  
 v. Mark:

- $-\infty \rightarrow +2^+$
- $+\infty \rightarrow +2^-$

vi. The *sided graph box* for  $(-\infty, +2)$  is at the intersection of the corresponding *sides* of the level bands.

**DEMO 2.8** Get the sided local graph frame for  $(-\infty, -\infty)$  given that:

- $-\infty \rightarrow -\infty$
- $+\infty \rightarrow -\infty$

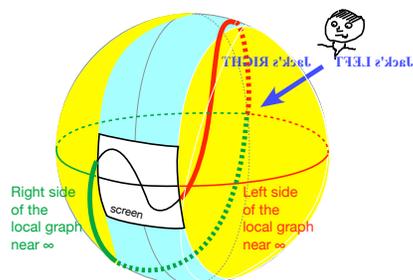
i. We mark a *neighborhood* of  $-\infty$  on the *input ruler*,  
 ii. We draw the *input level band* through the *neighborhood* of  $-\infty$ ,  
 iii. We mark a *neighborhood* of  $-\infty$  on the *output ruler*,  
 iv. We draw the *output level band* through the *neighborhood* of  $-\infty$ ,  
 v. Mark:

- $-\infty \rightarrow -\infty$
- $+\infty \rightarrow -\infty$

vi. The *sided graph box* for  $(-\infty, -\infty)$  is at the intersection of the corresponding *sides* of the level bands.

With a *Magellan view* of the *global graph*, we proceed pretty much as in ?? and once we imagine facing  $\infty$ , we can *see* which *side* is which.

=====

**EXAMPLE 2.38.**

Jack is facing  $\infty$  so the local graph near  $+\infty$  which is to *his left* is *left* of  $\infty$  and the local graph near  $-\infty$  which is *to his right* is *right* of  $\infty$ .

## Chapter 3

# Global Ways Functions May Behave

Height-Continuity, 165 • Slope-Continuity, 172 • Concavity-Continuity, 173 • Feature Sign-Change, 178 • Smooth Interpolations, 178 • Essential Onscreen Graph, 181 • Interpolating An Offscreen Graph, 187 • Essential Feature-Sign Changes Inputs, 191 • Dilation of Functions, 201 • Addition of Functions, 202 • Linear Combinations of functions , 203 .

Investigating a **function** consists essentially in finding how the **function** ‘behaves’ and, in the case of a **function given** by a **curve**, as we will discuss in Chapter 2 - **Local Features Functions May Have** (Page 133) and Chapter 3 - **Global Ways Functions May Behave** (Page 165), we can *see* on the **curve** how the **function** behaves.

### 1 Height-Continuity

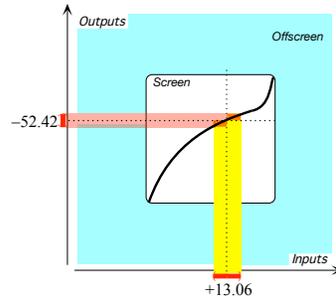
The first kind of abrupt change that can occur is in the size of the outputs for nearby inputs.

**1. Height-continuity at  $x_0$ .** Given a **medium-size input  $x_0$** , we tend to expect that **functions** will be **Height height continuous at  $x_0$** , that is that the **outputs** for nearby inputs will themselves be near  $f(x_0)$ , the **output at  $x_0$** .

**EXAMPLE 3.1.**

height discontinuous  
 height discontinuous at  $x_0$   
 jump  
 hollow dot

The function

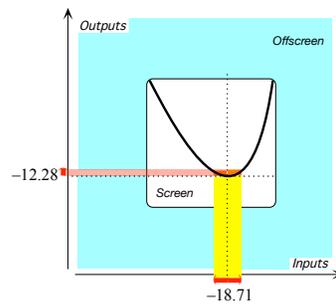


is *height continuous* at  $+13.06$  because:

- ▶ the output at  $+13.06$  is  $-52.42$  and
- ▶ the outputs for *all* nearby inputs, both *left* of  $+13.06$  and *right* of  $+13.06$ , are themselves near  $-52.42$ .

### EXAMPLE 3.2.

The function



is *height continuous* at  $-18.71$  because

- ▶ the output at  $-18.71$  is  $-12.28$  and
- ▶ the outputs for *all* nearby inputs, both *left* of  $-18.71$  and *right* of  $-18.71$ , are themselves *near*  $-12.28$ .

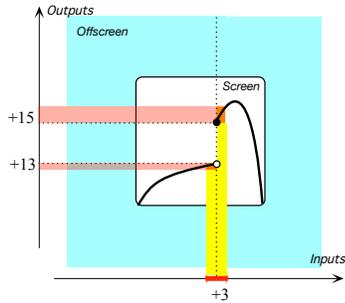
**2. Height-discontinuity at  $x_0$ .** Given a medium-size input  $x_0$ , a function is **height discontinuous at  $x_0$**  when *not all* the **outputs** for nearby inputs are near  $f(x_0)$ , the **output at  $x_0$** .

- A function can be **height discontinuous at  $x_0$**  because the function has a **jump** at  $x_0$ , that is because the **outputs** for nearby inputs on one **side** of  $x_0$  are all near one medium-size output while all the **outputs** for nearby inputs on the other **side** of  $x_0$  are near a different medium-size output.

Since we use **solid dots** to represent **input-output pairs**, we will use **hollow dots** for points that *do not* represent **input-output pairs**.

### EXAMPLE 3.3.

The function

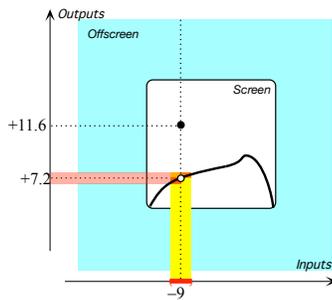


is *height discontinuous* at  $+3$  because the function has a *jump* at  $+3$  that is:

- ▶ the outputs for nearby inputs *right* of  $+3$  are all near  $+15$ ,
- but
- ▶ the outputs for nearby inputs *left* of  $+3$  are all near  $+13$ .

**EXAMPLE 3.4.**

The function



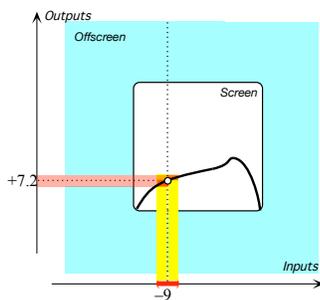
is *height discontinuous* at  $-9$  because the function has a *double jump* at  $-9$  that is:

- ▶ even though the outputs for nearby inputs, both inputs *right* of  $-9$  and inputs *left* of  $-9$ , are all near  $+7.2$ ,
- ▶ the output for  $-9$  itself is  $+11.6$ .

- A function can be *height discontinuous* at  $x_0$  because the function has a **gap** at  $x_0$ , that is because the function does not return a medium-size output for  $x_0$

**EXAMPLE 3.5.**

The function

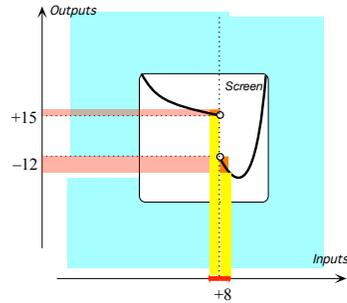


is *height discontinuous* at  $-9$  because the function has a *gap* at  $-9$  that is:

- ▶ even though the outputs for nearby inputs, both inputs *right* of  $-9$  and inputs *left* of  $-9$ , are all near  $+7.2$ ,
- ▶ there is no output for  $-9$  itself.

**EXAMPLE 3.6.**

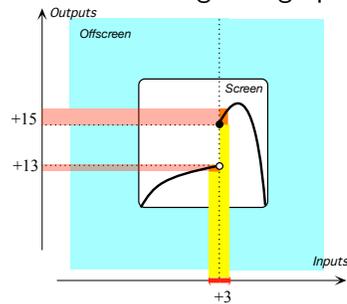
The function



is *height discontinuous* at  $+8$  not only because the function has a *jump* at  $+8$  but also because the function has a *gap* at  $+8$ .

### EXAMPLE 3.7.

The function whose global graph is

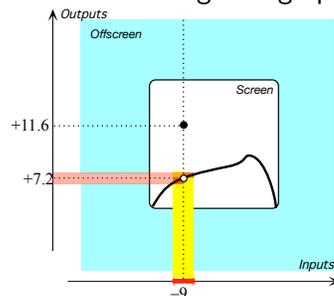


is *height discontinuous* at  $+3$  because the global graph has a **jump** at  $+3$ :

- ▶ the outputs for nearby inputs *right* of  $+3$  are all near  $+15$ , but
- ▶ the outputs for nearby inputs *left* of  $+3$  are all near  $+13$ .

### EXAMPLE 3.8.

The function whose global graph is

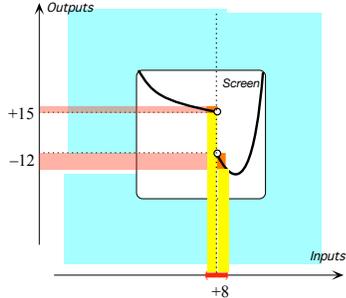


is *height discontinuous* at  $-9$  because the global graph has a **gap** at  $-9$ :

- ▶ even though the outputs for nearby inputs, both inputs *right* of  $-9$  and inputs *left* of  $-9$ , are all near  $+7.2$ ,
- ▶ the output for  $-9$  itself is  $+11.6$ .

### EXAMPLE 3.9.

The function whose global graph is

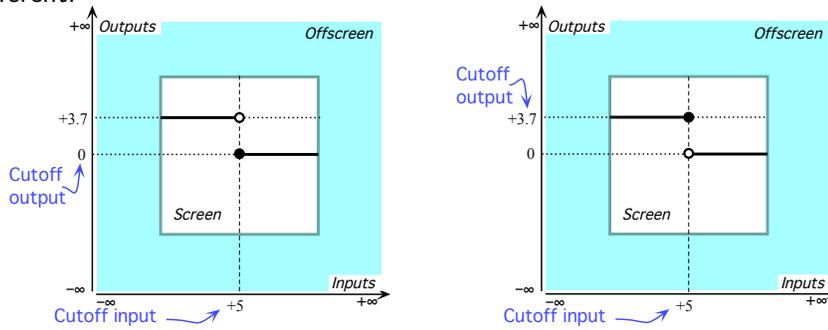


is *height discontinuous* at +8 not only because the global graph has a *jump* at +8 but also because the global graph has a *gap* at +8.

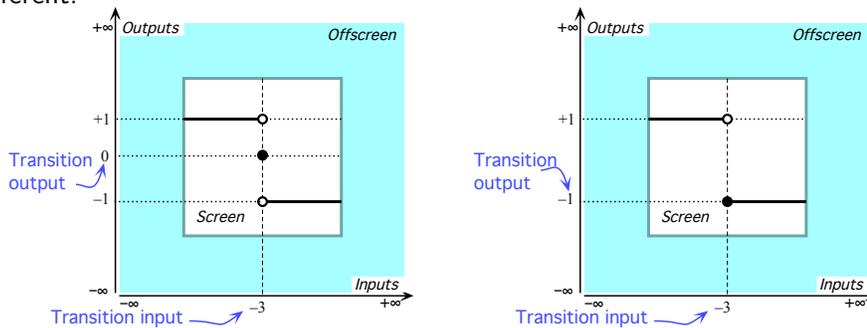
cut-off input  
on-off function  
transition function  
transition

- Actually, **height discontinuous functions** are quite common in Engineering.

**EXAMPLE 3.10.** The following **on-off functions** are both *height discontinuous* but are different since the *outputs* for the **cut-off inputs** are different.



**EXAMPLE 3.11.** The following **transition functions** are both *height discontinuous* but are different since the *outputs* at the **transitions** are different.



- And, finally, there are even **functions** that are **height discontinuous everywhere!** ([https://en.wikipedia.org/wiki/Nowhere\\_continuous\\_function](https://en.wikipedia.org/wiki/Nowhere_continuous_function))

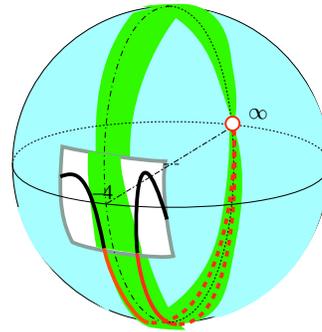
Magellan height  
continuous at  
limit

=====OK SO FAR =====  
=====Begin WORK ZONE=====

**3. Magellan height-continuity at  $x_0$ .** A function is **Magellan height continuous at  $x_0$**  when we could **remove** the **height discontinuity at  $x_0$**  by **overriding** or **supplementing** the global input-output rule with an input-output table involving  $\infty$  as Magellan output.

**EXAMPLE 3.12.** The function in ?? is *height discontinuous* at  $-4$  because the function has a gap at  $-4$  but *Magellan height continuous* as we could *remove* the gap by *supplementing* the global input-output rule with the input-output table

Input	Output
$-4$	$\infty$



**4. Height-continuity at  $\infty$**  The use of nearby inputs instead of the raises a crucial question: Are the **outputs** for *nearby* inputs *all* near the **output at** the given input?

Any answer, though, will obviously depend on whether or not  $\infty$  is allowed as Magellan input and Magellan output and the reader must be warned that the prevalent stand *in this country* is that  $\infty$  does not exist and that one should use **limits**. (For what **limits** are, see [https://en.wikipedia.org/wiki/Limit\\_\(mathematics\)](https://en.wikipedia.org/wiki/Limit_(mathematics)).) This for no apparent reason and certainly for none ever given.<sup>1</sup>

As for us, we *will* allow  $\infty$  as Magellan input and Magellan output, an old, tried and true approach. See [https://math.stackexchange.com/questions/354319/can\\_a\\_function\\_be\\_considered\\_heightcontinuous\\_if\\_it\\_reaches\\_infinity\\_at\\_one\\_point](https://math.stackexchange.com/questions/354319/can_a_function_be_considered_heightcontinuous_if_it_reaches_infinity_at_one_point) and, more comprehensively, [https://en.wikipedia.org/wiki/Extended\\_real\\_number\\_line](https://en.wikipedia.org/wiki/Extended_real_number_line).

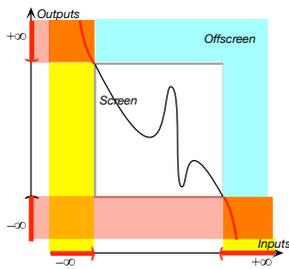
As a backdrop to what we will be doing with Algebraic Functions, we will now show some of the many different possible answers to the above question. For clarity, we will deal with medium-size inputs and medium-size outputs separately from  $\infty$  as Magellan input and Magellan output.

<sup>1</sup>The absolute silence maintained by Educologists in this regard is rather troubling.

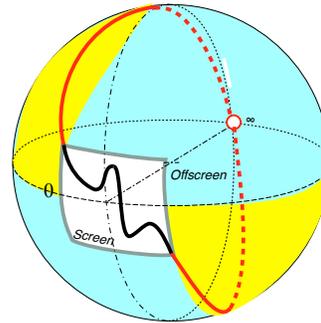
Keep in mind that we use **solid dots** to represent **input-output pairs** as opposed to **hollow dots** which do *not* represent **input-output pairs**.

**5. Magellan height-continuity at  $\infty$ .** A function is **Magellan height continuous at  $\infty$**  when we could **remove the height discontinuity at  $\infty$**  by **overriding** or **supplementing** the global input-output rule with an input-output table involving  $\infty$  as Magellan input and/or as Magellan output.

**EXAMPLE 3.13.** The function

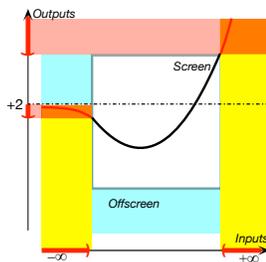


Input	Output
$+\infty$	$-\infty$
$-\infty$	$+\infty$

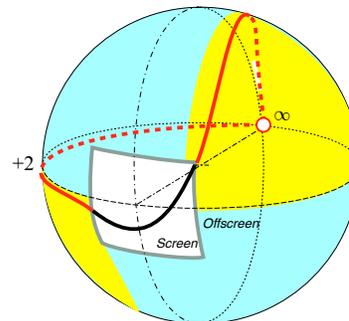


is *height discontinuous* at  $\infty$  but is *Magellan height continuous* since we could remove the height discontinuity with an input-output table involving  $\infty$  as *Magellan input* and *Magellan output*,

**EXAMPLE 3.14.** The function



Input	Output
$+\infty$	$+\infty$
$-\infty$	$-2^-$



is *height discontinuous* at  $\infty$  but is *Magellan height continuous* since we could remove the height discontinuity with an input-output table involving  $\infty$  as *Magellan input* and *Magellan output*

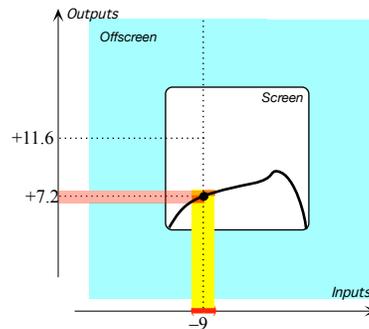
quasi-height continuous at  
 removable height  
 discontinuity at  
 remove  
 override  
 supplement

**6. Quasi height-continuity at  $x_0$ .** A function is **quasi-height continuous** at  $x_0$  if the **height discontinuity** could be **removed** by **overriding** or **supplementing** the global input-output rule with an input-output table.

**LANGUAGE NOTE 3.1** **Removable height discontinuity at  $x_0$**  is the standard term but, for the sake of language consistency, rather than saying that a function *has* (or *does not have*) a **removable height discontinuity at  $x_0$** , we will prefer to say that a **function is** (or *is not*) **quasi-height continuous at  $x_0$** .

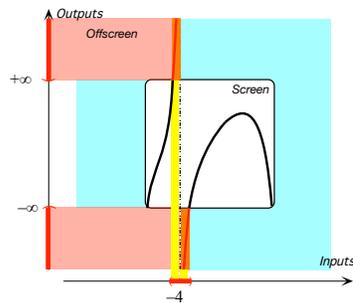
**EXAMPLE 3.15.** The function in EXAMPLE 3.5 is *height discontinuous* at  $-9$  but the height discontinuity could be *removed* by overriding the input-output pair  $(-9, +11.6)$  with the input-output table

Input	Output
$-9$	$+7.2$



A function can be **height discontinuous** at  $x_0$  because the function has a **pole** at  $x_0$ .

**EXAMPLE 3.16.** The function



is *height discontinuous* at  $-4$  because not only does the function have a **gap** at  $-4$  but the function has a **pole** at  $-4$  that is:

- ▶ the outputs for nearby inputs, both inputs *right* of  $-4$  and inputs *left* of  $-4$ , are all *large*, but
- ▶  $-4$  has no medium-size output.

=====**End WORK ZONE**=====

## 2 Slope-Continuity

**1. Tangent.** The *first* degree of smoothness is for the *slope* not to *kink* have any abrupt change.

to be height continuous, that is, to borrow a word from plumbing, we don't want the *curve* to have any *kink*. More precisely, we don't want any *input*  $x_0$  for which there is a "jump in slope" from one *side* of  $x_0$  to the other *side* of  $x_0$ . In other words, we don't want any *input*  $x_0$  for which the *slope* on one *side* differs from the *slope* on the other *side* by some *medium-size number*.

### 3 Concavity-Continuity

**1. Osculating circle.** The *second* degree of smoothness is for the *concavity* not to have any abrupt change.

to be height continuous but this is much harder to represent because it is hard to judge by just looking how much a *curve* is bending.

=====Begin WORK ZONE=====

=====End WORK ZONE=====

**2. Dealing with poles.** The difficulty here stems only from whether or not it is "permissible" to use  $\infty$  as a given input and/or as an *output*.

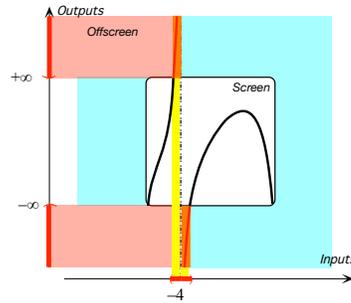
Even though, because ?? ?? - ?? (??) (?? ?? - ?? (??)), ?? ?? - ?? (??), *we do* use  $\infty$  as a (Magellan) input and as a (Magellan) output because, as explained in ?? (??), we will *only declare* nearby inputs. (Which will shed much light on the local behavior of *functions*, in particular on the question of height continuity.)

However, the reader ought to be aware that many mathematicians *in this country*, for reasons never stated, flatly refuse to use nearby inputs with their students.

Another reason *we do* is because *Magellan views* are a very nice basis on which to discuss the local behavior of functions for *inputs* near  $\infty$  and when *outputs* are near  $\infty$ . In particular, we can see that *disheight continuities* caused by *poles* can be *removed* using  $\infty$  as a Magellan output.

When  $\infty$  as is not permissible as Magellan input and/or Magellan output, many functions are height discontinuous

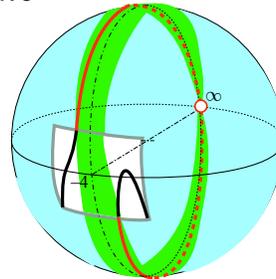
**EXAMPLE 3.17.** The height discontinuity at  $-4$  of the function in ?? whose Mercator graph is



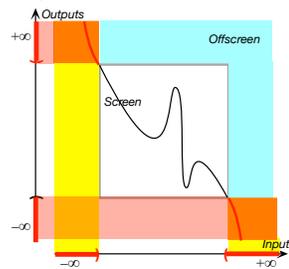
can be removed by supplementing the global input-output rule with the input-output table:

Input	Output
-4	$\infty$

If we imagine the Mercator graph compactified into a Magellan graph, we have



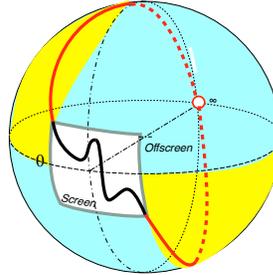
**EXAMPLE 3.18.** The height discontinuity at  $\infty$  of the function *BIB* in ?? whose Mercator graph is



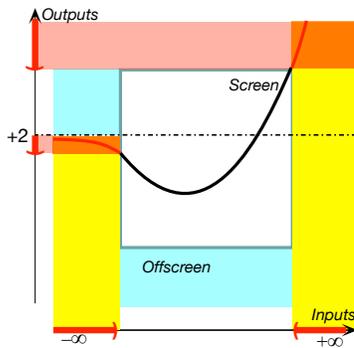
can be removed by supplementing the global input-output rule with the input-output table:

Input	Output
$\infty$	$\infty$

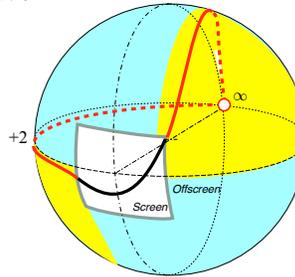
If we imagine the Mercator graph compactified into a Magellan graph, we have



**EXAMPLE 3.19.** The function whose the global graph in *Mercator view* is



If we imagine the Mercator view compactified into a Magellan view, we have



is height discontinuous at  $\infty$  not only because the global graph has a *gap* at  $\infty$  since  $?? ?? - ?? (??)$  but also because the global graph has a *jump* at  $\infty$ .

**3. At  $\infty$**  The matter here revolves around whether or not  $\infty$  should be allowed as a given input. We did but,

Also, in this section, for a reason which we will explain after we are done, we will have to deal separately with the case when the given input is  $x_0$  and the case when the given input is  $\infty$ .

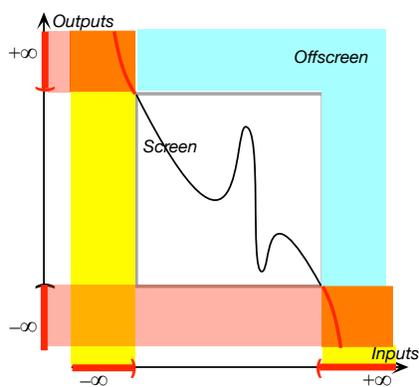
In accordance with  $??$ , we should say that all functions are height discontinuous at  $\infty$  since the outputs for inputs near  $\infty$  cannot be near the output for  $\infty$  for the very good reason that we cannot use  $\infty$  as input to

begin with.

**LANGUAGE NOTE 3.2 Continuity at  $\infty$**  At  $\infty$ , things are a bit sticky:

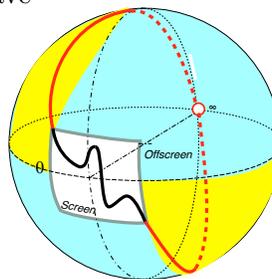
- With a **Magellan view**, we can *see* if a **function** is height continuous at  $\infty$  or not.
- Technically, though, to talk of height continuity at  $\infty$  requires being able to take computational precautions not worth taking here but many teachers feel uneasy dealing with height continuity at  $\infty$  without taking these precautions. So, we will not discuss height continuity at  $\infty$  in this text.

**EXAMPLE 3.20.** The function whose global graph in Mercator view is

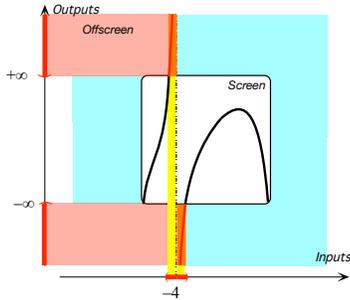


is *height discontinuous* at  $\infty$  because, even though the outputs of inputs near  $\infty$  are all *large*, the global graph has a gap at  $\infty$  since ??.

If we imagine the Mercator view *compactified* into a Magellan view, we have



**EXAMPLE 3.21.** The function



is *height discontinuous* at  $-4$  because the global graph has a **pole** at  $-4$ :

Magellan height continuous at

- ▶ the outputs for nearby inputs, both inputs *right* of  $-4$  and inputs *left* of  $-4$ , are all *large*,

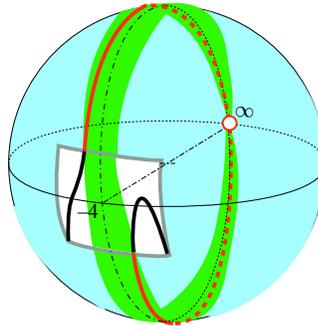
but, since ??,

- ▶  $-4$  itself has no output.

**4. Magellan height-continuity at a pole  $x_0$ .** We will say that a **function** is **Magellan height continuous** at  $x_0$  when we can **remove** the **height discontinuity** at  $x_0$  **supplementing** the **offscreen graph** with an input-output table involving  $\infty$  as Magellan output.

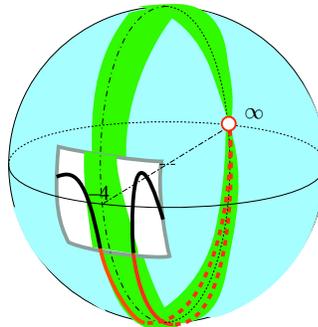
**EXAMPLE 3.22.** The function in ?? is *height discontinuous* at  $-4$  because the function has a gap at  $-4$  but *Magellan height continuous* as we could *remove* the gap by *supplementing* the global input-output rule with the input-output table

Input	Output
$-4$	$\infty$



**EXAMPLE 3.23.** The function in ?? is *height discontinuous* at  $-4$  because the function has a gap at  $-4$  but *Magellan height continuous* as we could *remove* the gap by *supplementing* the global input-output rule with the input-output table

Input	Output
$-4$	$\infty$



interpolation  
smooth interpolation  
transition

## 4 Feature Sign-Change

- 1.
- 2.
- 3.

## 5 Smooth Interpolations

We now introduce a major tool for extending local graphs and which, starting in ?? ?? - ?? (??), we will use to get an **approximate global graph** for *smooth functions not given* by a curve.

### 1. interpolation

Given two local graphs, **smoothly interpolating** these local graphs consists in drawing inbetween the two **given local graphs** a curve that:

► is itself **smooth**

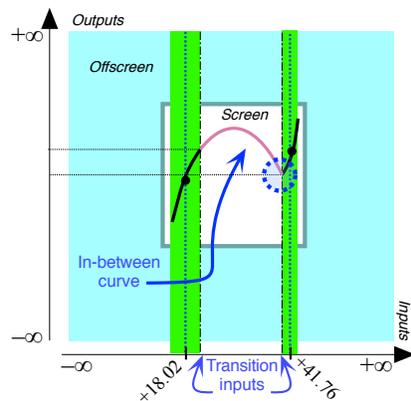
and

► has no **jump** in **height**, **slope**, or **concavity** at the two **transition inputs**.

Thus, the single **curve** that results of a **smooth interpolation**, the single **curve** that consists of the **given** local graphs together with the inbetween curve will itself be **smooth**.

In this chapter, though, since we will only be dealing with **given curves** we will only be able to “eyeball” compatibility.

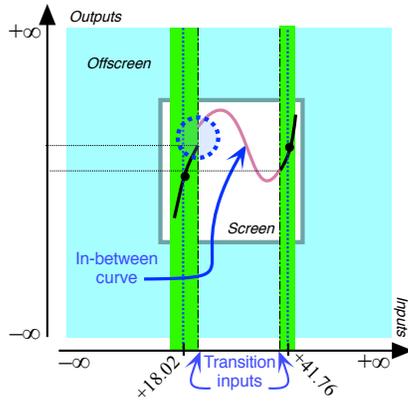
**EXAMPLE 3.24.** The curve inbetween the local graphs



- i. is height continuous,
  - ii. is slope continuous,
  - iii. is concavity continuous,
  - but
  - iv. there is a slope-jump at the transition +41.76,
- So, this inbetween curve is *not* a smooth interpolation.

**EXAMPLE 3.25.**

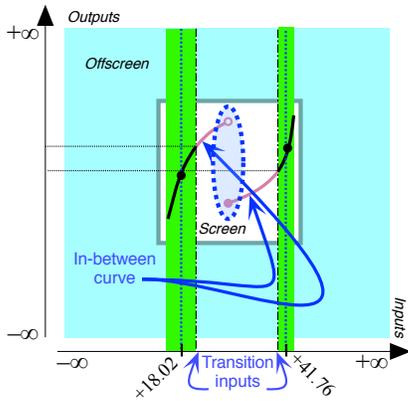
The curve inbetween the local graphs



- i. is height-continuous,
  - ii. is slope-continuous,
  - iii. is concavity-continuous,
  - but
  - iv. there is a height-jump at the transition +18.02,
- So, this inbetween curve is *not* a smooth interpolation.

**EXAMPLE 3.26.**

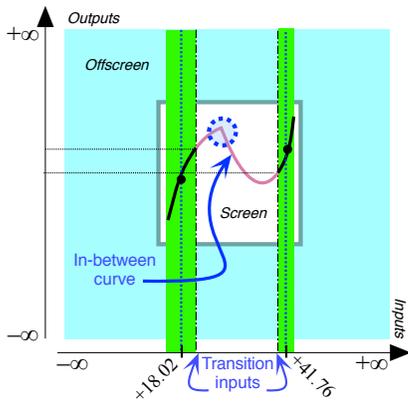
The curve inbetween the local graphs



- i. is *not* height-continuous,
- So, this inbetween curve is *not* a smooth interpolation.

**EXAMPLE 3.27.**

The curve inbetween the local graphs

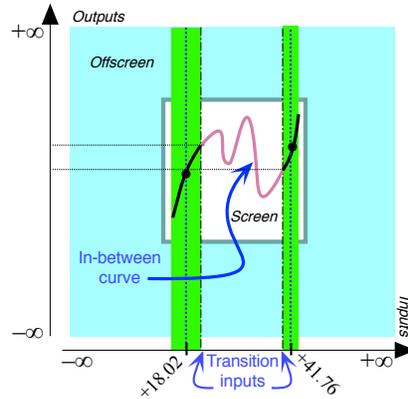


- i. is height-continuous,
  - ii. is *not* slope-continuous,
- So, this inbetween curve is *not* a smooth interpolation.

extraneous  
essential  
forced

**EXAMPLE 3.28.**

The curve inbetween the local graphs



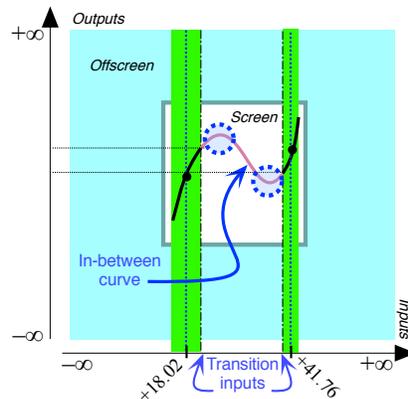
- i. is height-continuous,
  - ii. is slope-continuous,
  - iii. is concavity-continuous,
  - and
  - iv. there are no jumps at the transitions,
- So, this inbetween curve is a smooth interpolation

2. However, we will not want the smooth interpolations to introduce **extraneous** features, that is features unwarranted by whichever way the function will be given.

So we will use **essential smooth interpolations** in that the feature change inputs for the inbetween curve will all be forced by the local graphs being interpolated

**EXAMPLE 3.29.**

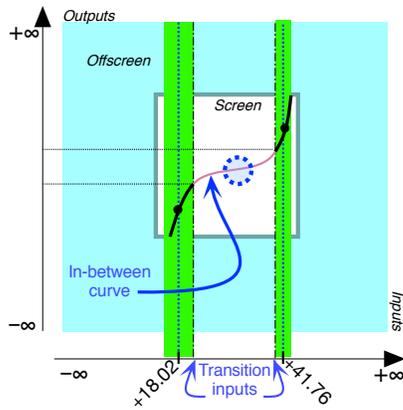
The curve inbetween the local graphs



- i. is height-continuous,
  - ii. is slope-continuous,
  - iii. is concavity-continuous,
  - and
  - iv. there are no jumps at the transitions,
  - v. the max and the min are forced by the given local graphs,
- So, this inbetween curve is an essential smooth interpolation.

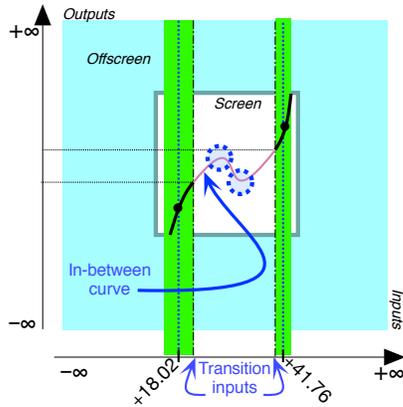
**EXAMPLE 3.30.**

The curve inbetween the local graphs



- i. is height-continuous,
  - ii. is slope-continuous,
  - iii. is concavity-continuous,
  - iv. there are no jumps at the transitions, and
  - v. the inflection is *forced* by the offscreen graph.
- So, this inbetween curve is an *essential* smooth interpolation.

**EXAMPLE 3.31.** The curve inbetween the local graphs



- i. is height-continuous,
  - ii. is slope-continuous,
  - iii. is concavity-continuous,
  - iv. there are no jumps at the transitions, but
  - v. the min and the max are *not* forced by the given local graph.
- So, this inbetween curve is *not* an *essential* smooth interpolation. (Compare with EXAMPLE 3.52)

=====OK SO FAR =====

## 6 Essential Onscreen Graph

The **onscreen graph** and the **offscreen graph** are very different in nature and the difference between the **offscreen graph** and the **onscreen graph** will be central to the way we will get the **global graph** of a function given by an ‘input-output rule’ in Section 1 - **Global Input-Output Rules** (Page 207). *The essential difference!*

**1. Offscreen vs. onscreen.** The main difference between the **offscreen graph** and the **onscreen graph** is that:

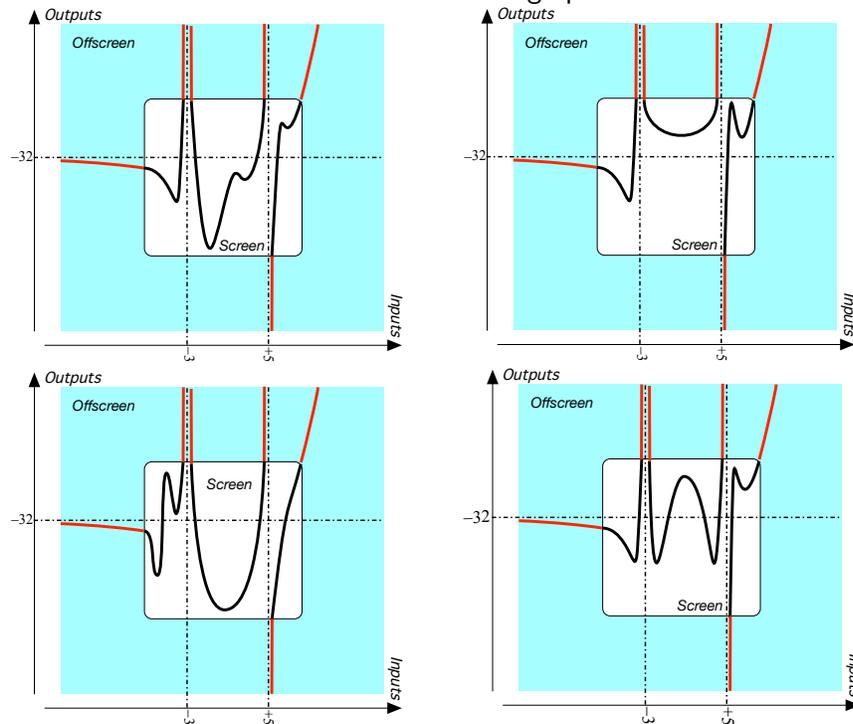
- ▶ The **offscreen graph** depends only on:

- The local graph near  $\infty$
- The local graph near the pole(s), if any.
- ▶ The onscreen graph will depend on local information which will depend very much on the particular function being investigated.

**EXAMPLE 3.32.** The following functions all have exactly the same offscreen graph since:

- ▶ They all have the same local graphs near  $\infty$
- ▶ They all have the same local graphs near the poles  $-3$  and  $+5$

Yet each function has a different onscreen graph:

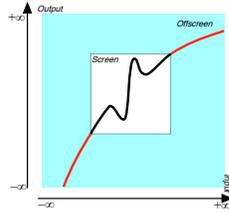


**2. Seen from far away.** However, the onscreen graphs for a given offscreen graph “all look the same at sufficiently small scales” <https://www.math.columbia.edu/~abouzaid/> because, seen from further and further away, the features of the onscreen graph which are not

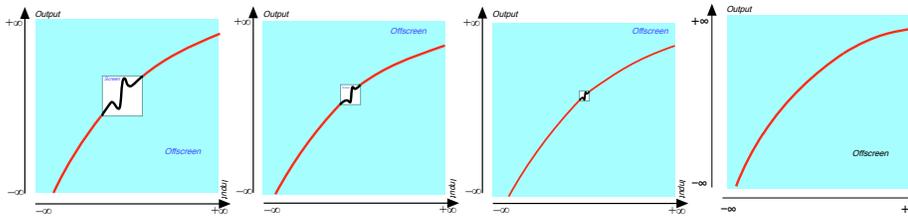
forced

by the offscreen graph become too small to be made out.

**EXAMPLE 3.33.** Given the global graph,



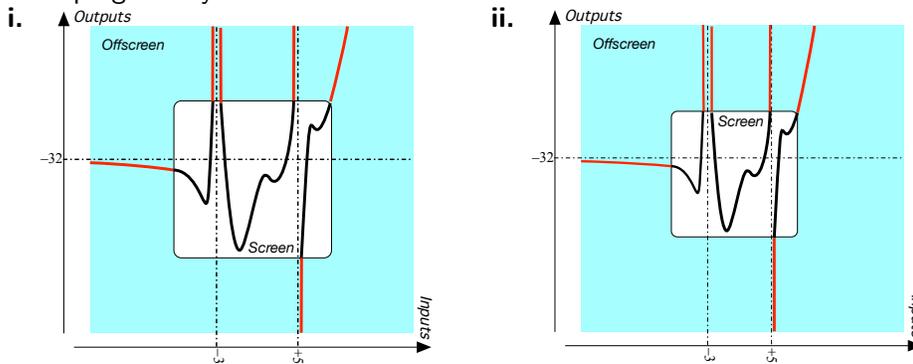
here is what we see from further and further away:



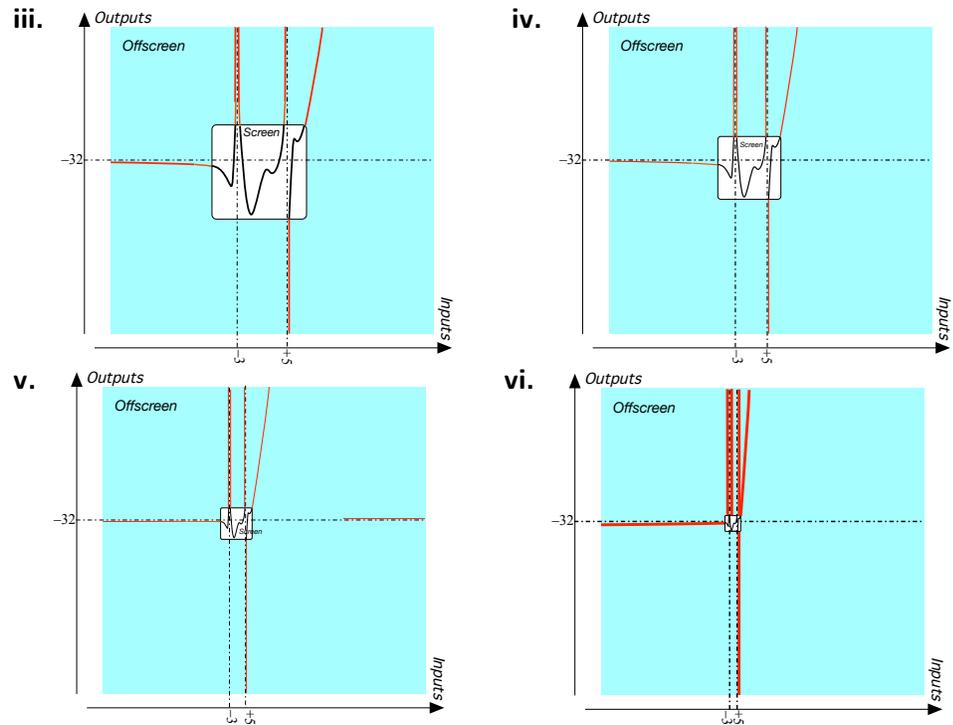
The difference between essential feature changes and non-essential feature changes is that even when seen from very far away, and even if we cannot see the part of the onscreen graph where the feature change actually occurs, inasmuch as essential feature changes are forced by the offscreen graph, we can infer essential onscreen feature changes from the offscreen graph.

**EXAMPLE 3.34.**

**EXAMPLE 3.35.** The first global graph in **EXAMPLE 3.40** (Page 188) seen from progressively further and further:



essential

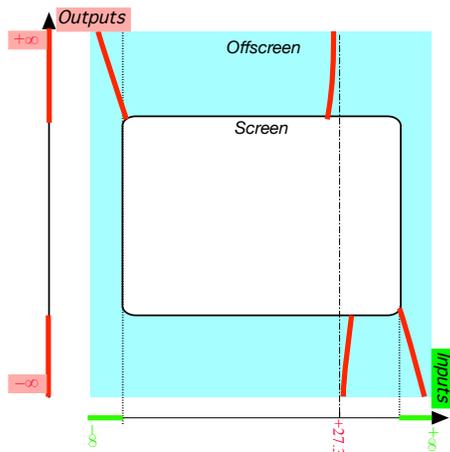


Moreover, since all the global graphs in EXAMPLE 3.40 (Page 188) have the same offscreen graph, we would get the "same" *last* global graph as **vi.** above.

**3. Forcing** Inasmuch as a function is smooth, the offscreen graph forces the onscreen graph to have local features which we will qualify as **essential**.

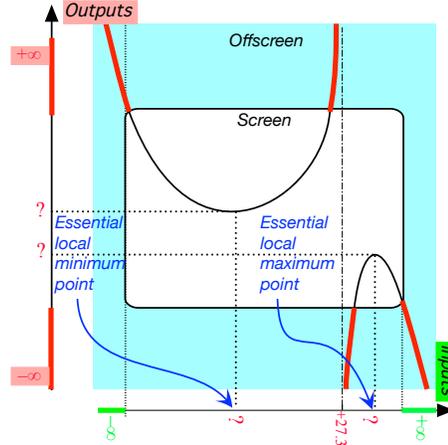
**EXAMPLE 3.36.**

The offscreen graph (of some smooth function)



forces the onscreen graph to have

(at least) one maximum point and (at least) one minimum point:



but neither *where* the points are located nor *what* the outputs are.

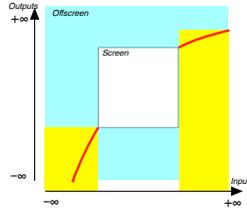
**CAUTIONARY NOTE 3.1** *Locating* essential inputs is a totally different question from finding *how many* essential inputs there are. *Locating* essential inputs is usually a much more difficult question which, except in a very few cases, we will not deal with in this text.

Thus, the essential onscreen graph of a smooth function is the global graph with no non-essential feature.

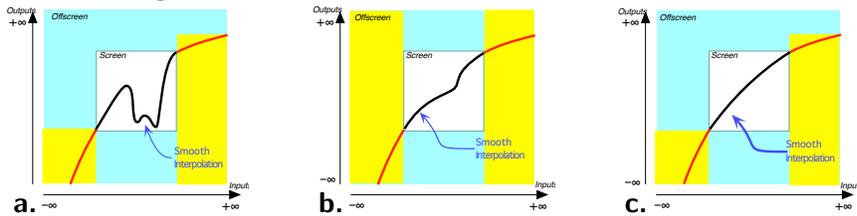
#### 4. Essential interpolation vs Non-essential Interpolation

**DEFINITION 3.1** An essential onscreen graph is a **simplest** possible smooth interpolation of the offscreen graph, that is without any *nonessential* feature-sign change inputs and without any *nonessential* features.

**EXAMPLE 3.37.** Given the offscreen graph,

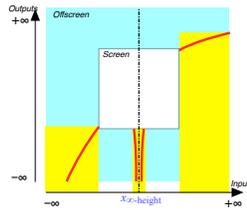


the following

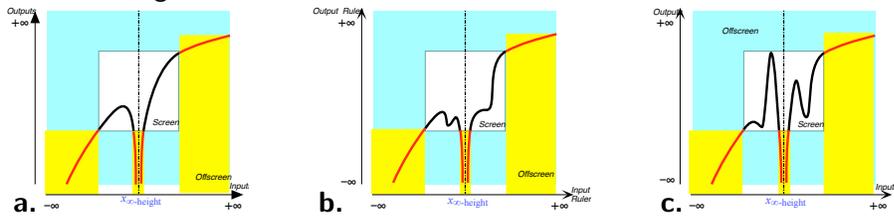


are all smooth interpolations but only **c.** is an *essential* onscreen graph.

**EXAMPLE 3.38.** Given the offscreen graph,



the following

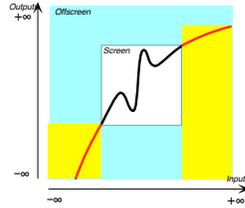


are all smooth interpolations but only **a.** is an *essential* onscreen graph.

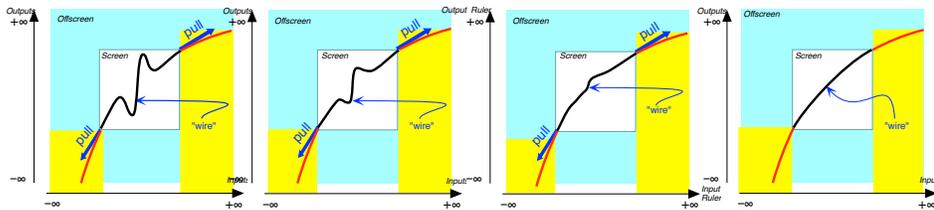
### 5. Smoothing out non-essential features

- The *essential onscreen graph* is how we see the actual graph from “far-away” inasmuch as nonessential features such as *bumps*, *hiccups* and *fluctuations* are too small to be seen from faraway.
- The *essential onscreen graph* is what we would get if the onscreen graph were a wire being pulled out so as to straighten it.

**EXAMPLE 3.39.** Given the global graph,



we can imagine the *non-essential* onscreen graph as a “wire” being pulled by the offscreen graph so as to smooth it out into an *essential* medium-size graph.



=====**End WORK ZONE**=====

**AGREEMENT 3.1** From now on we will just say interpolation for essential smooth interpolation.

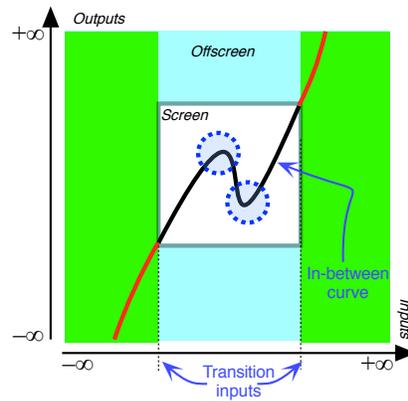
## 7 Interpolating An Offscreen Graph

Getting the *global graph* of a *function not given* by a *curve* is usually not a simple matter (<https://en.wikipedia.org/wiki/Interpolation>).

As already mentioned in Section 5 - *Smooth Interpolations* (Page 178), we will use *smooth interpolations* to get the *global graph* of *functions not given* by a *curve* which we will do by *smoothly interpolating* the *offscreen graph* to get an *onscreen graph*.

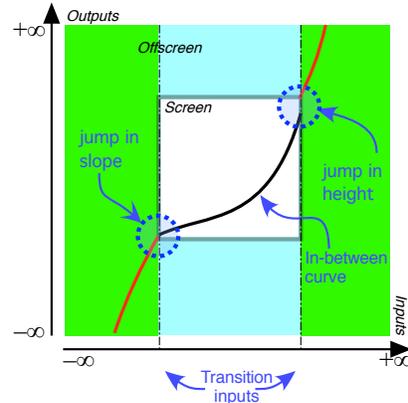
**1. Functions without pole.** When the *function* does *not* have a *pole*, we *interpolate smoothly* the *local graph* near  $\infty$  by drawing across the *screen* an *inbetween curve* from one *transition input* to the other *transition input*.

**EXAMPLE 3.40.** The curve inbetween the **offscreen graph**



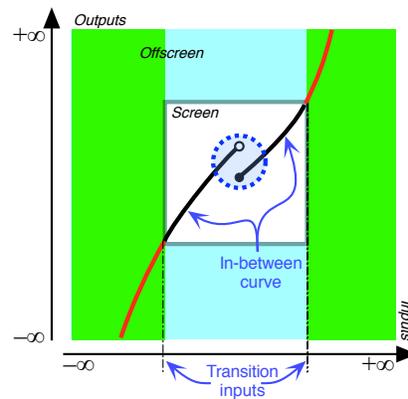
- i. is height-continuous,
  - ii. is slope-continuous,
  - iii. is concavity-continuous,
  - and
  - iv. there are no jumps at the transitions.
- So, this inbetween curve
- gives an onscreen graph,
  - does *not* give an *essential* onscreen graph because the min and the max are *not* forced by the **offscreen graph**

**EXAMPLE 3.41.** The curve inbetween the **offscreen graph**



- i. is height-continuous,
  - ii. is slope-continuous,
  - iii. is concavity-continuous,
  - but
  - iv. there are two jumps at transitions,
- So, this *inbetween curve cannot* the onscreen graph (Even though it *could* be the onscreen graph for a function that is *not* smooth.)

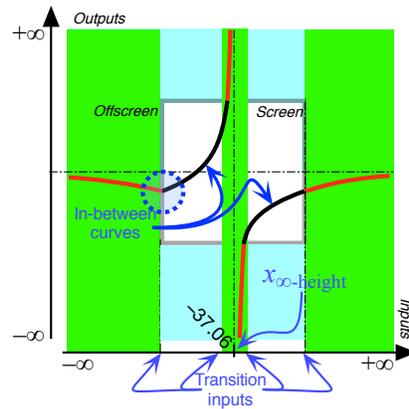
**EXAMPLE 3.42.** The curve inbetween the **offscreen graph**



- i. is *not* height-continuous,
- So: This inbetween curve *cannot* be the onscreen graph.

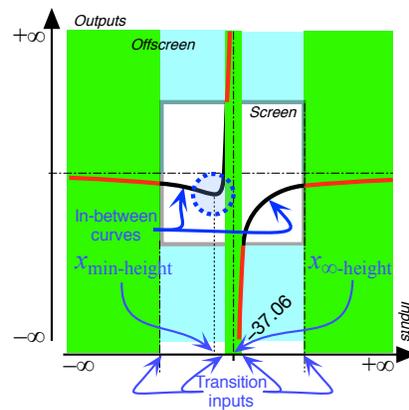


**EXAMPLE 3.45.** The curves inbetween the two **offscreen local graphs** the *inbetween curve*



- i. are both height-continuous,
  - ii. are both slope-continuous,
  - iii. are both concavity-continuous, but
  - iv. there is a **slope-jump** at one of the transitions.
- So, these inbetween curves cannot give an onscreen graph..

**EXAMPLE 3.46.** The curves inbetween the two **offscreen local graphs**



- i. are both height continuous,
  - ii. are both slope continuous,,
  - iii. are both concavity continuous, and
  - iv. there is no jumps at the transitions,.
- So, these in-between curves give an on-screen graph which is in fact *essential* since the **min** is forced by the **offscreen graph**.

=====OK SO FAR =====

**3. Interpolating an offscreen graph.** So, based on the preceding EXAMPLES, to draw an **interpolation**, we proceed as follows

**PROCEDURE 3.1** Interpolate an offscreen graph

- i. Going from left to right, mark the features where the *offscreen graph enters the screen* and where the *offscreen graph exits the screen*
- ii. Draw the inbetween curve(s) from the point(s) where the offscreen graph enters the screen to the point(s) where the offscreen graph exits

the screen making sure that:

- Each *inbetween curve* is *smooth*,
- Each *transition* between a inbetween curve and the local graph is *smooth*
- The inbetween curves do *not* introduce any *infinite height* input.

essential

**DEMO 3.1**

To interpolate,

- Mark the features where the *offscreen graph* enters and exits the screen:
- Draw the inbetween curve(s) *smoothly*

=====**End HOLDING**=====

=====**Begin WORK ZONE**=====

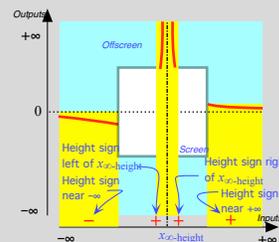
## 8 Essential Feature-Sign Changes Inputs

**1. Essential sign-change input** A feature sign-change input is **essential** whenever its **existence is forced** by the offscreen graph. So, given the offscreen graph of a function, in order

**PROCEDURE 3.2** To establish the existence of essential feature sign change inputs in a inbetween curve

- i. For each piece of the inbetween curve, check the feature sign at both end of the piece.
  - If the feature signs at the two ends of the piece are *opposite*, there *has* to be a feature sign change input for that piece.
  - If the feature signs at the two ends of the piece are the *same*, there does *not* have to be a feature sign change input for that piece.
- ii. For each  $\infty$  height input, if any, check the feature sign on either side of the  $\infty$  height input:
  - If the feature signs on the two sides of the  $\infty$  height input are *opposite*, the  $\infty$  height input *is* a feature sign change input.
  - If the feature signs on the two sides of the  $\infty$  height input are the *same*, the  $\infty$  height input *is not* a feature sign change input..
- iii. Check the feature sign on the two sides of  $\infty$ 
  - If the feature signs on the two sides of  $\infty$  are *opposite*,  $\infty$  *is* a feature sign change input.
  - If the feature signs on the two sides of  $\infty$  are the *same*,  $\infty$  *is not* a feature sign change input..

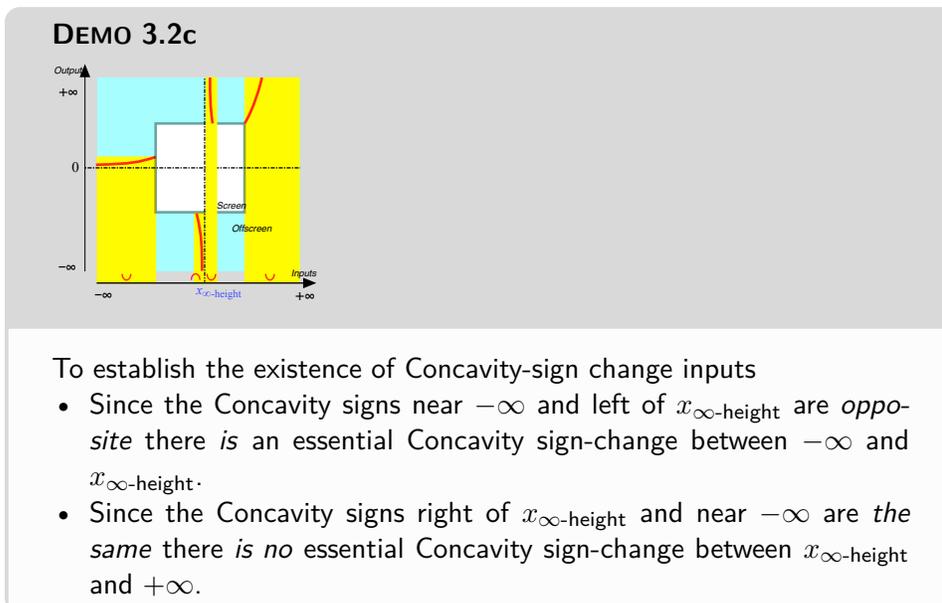
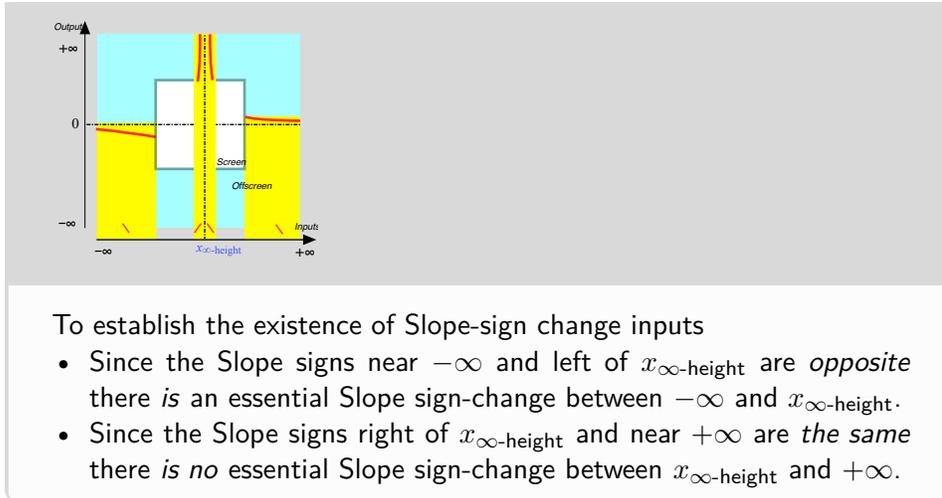
### DEMO 3.2a



To establish the existence of Height-sign change inputs

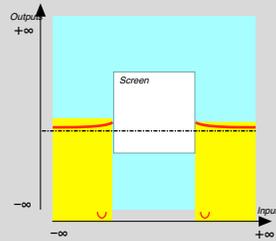
- Since the Height signs near  $-\infty$  and left of  $x_{\infty}\text{-height}$  are *opposite* there *is* an essential Height sign-change between  $-\infty$  and  $x_{\infty}\text{-height}$ .
- Since the Height signs right of  $x_{\infty}\text{-height}$  and near  $+\infty$  are *the same* there *is no* essential Height sign-change between  $x_{\infty}\text{-height}$  and  $+\infty$ .

### DEMO 3.2b



**2. more complicated** However, things can get a bit more complicated.

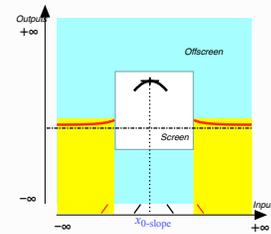
**DEMO 3.2d**



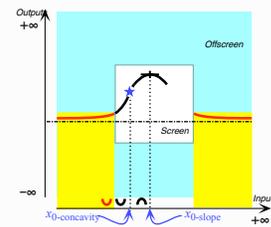
To establish the existence of Concavity-sign change inputs

- Since the concavity-sign at the transitions from  $-\infty$  is  $\cup$  and the concavity-sign at the transition to  $+\infty$  is also  $\cup$ , one might be tempted to say that there is no essential concavity sign-change input.
- However, attempting a smooth interpolation shows that things are a bit more complicated than would at first appear.

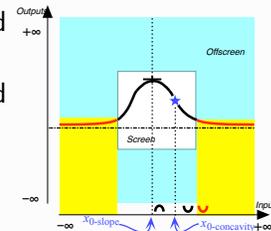
i. Since the slope-signs at the transition *from*  $-\infty$  is  $\swarrow$  and the slope-sign at the transition *to*  $+\infty$  is  $\searrow$  there has to be an essential Slope sign-change input near which Concavity sign =  $\langle \cap, \cap \rangle$



ii. Since the concavity-signs near  $-\infty$  and *left* of  $x_{0\text{-slope}}$  are *opposite*, there is an essential Concavity sign-change input between  $-\infty$  and  $x_{0\text{-slope}}$ .



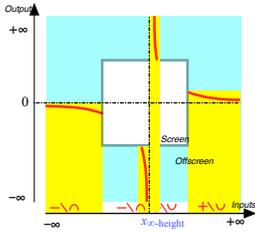
iii. Since the concavity-signs *right* of  $x_{0\text{-slope}}$  and near  $+\infty$  are *opposite*, there is an essential Concavity sign-change input between  $x_{0\text{-slope}}$  and  $+\infty$ .



**3. non-essential** That there is no *essential* feature sign-change input does not mean that there could not actually be a *non-essential* feature sign-change input.

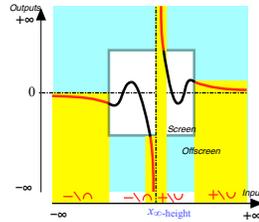
**EXAMPLE 3.47.**

Let  $f$  be the function whose offscreen graph is



- There is no *essential* Height sign-change input, no *essential* Slope sign-change input, and no *essential* Concavity sign-change input.
- However, the actual medium-size graph could very well be:

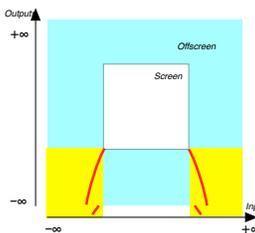
essential\_local\_extreme-height\_input



**4. Essential Extreme-Height Inputs** An extreme-height input is an **essential local extreme-height input** if the existence of the local extreme-height input is forced by the offscreen graph in the sense that *any* smooth interpolation *must* have a local extreme-height input.

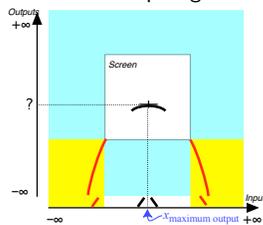
**EXAMPLE 3.48.**

Let  $f$  be a function whose offscreen graph is



Then,

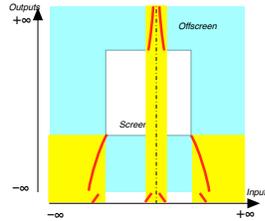
- Since the Slope signs near  $-\infty$  and  $+\infty$  are *opposite* there is an essential Slope sign-change between  $-\infty$  and  $+\infty$ .
- Since the Height of  $x_{\text{Slope sign-change}}$  is not infinite, the slope near  $x_{\text{Slope sign-change}}$  must be 0



- $x_{0\text{-slope}}$  is a local essential Maximum-Height input.

**EXAMPLE 3.49.**

Let  $f$  be a function whose offscreen graph is



Then,

i. Since the Slope signs near  $-\infty$  and near  $+\infty$  are *opposite* there is an essential Slope sign-change between  $-\infty$  and  $+\infty$ .

ii. But since there is an  $\infty$ -height input, the Height near  $x_{slope\text{-}sign\text{-}change}$  is infinite and there is no essential local maximum height input.

**5. Non-essential Features** While, as we have just seen, the *offscreen graph* may force the existence of certain feature-sign changes in the *onscreen graph*, there are still many other smooth interpolations of the *offscreen graph* that are not forced by the onscreen graph.

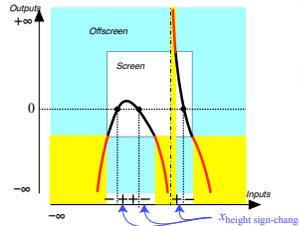
**EXAMPLE 3.50.** The moon has an influence on what happens on earth—for instance the tides—yet the phases of the moon do not seem to have an influence on the growth of lettuce (see <http://www.almanac.com/content/farming-moon>) or even on the mood of the math instructor.

We will say that a global feature is **non-essential** if it is *not* forced by the offscreen graph.

1. As we saw above, feature sign-change inputs can be non-essential.

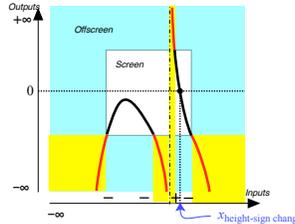
**EXAMPLE 3.51.**

Let  $f$  be a function whose graph is



Then,

i. The two Height sign-change inputs left of  $x_{\infty\text{-}height}$  are non-essential because if the 0-output level line were higher, there would be no Height sign-change input. For instance:



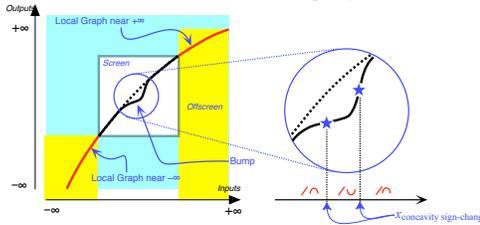
ii. The Height sign-change input right of  $x_{\infty\text{-}height}$  is essential because, no matter where the 0-output level line might be, the inbetween curve has to cross it.

2. There other non-essential features:

- A *smooth* function can have a **bump** in which the slope does not change sign but the concavity changes sign twice.

bump  
 wiggle  
 max-min fluctuation  
 min-max fluctuation

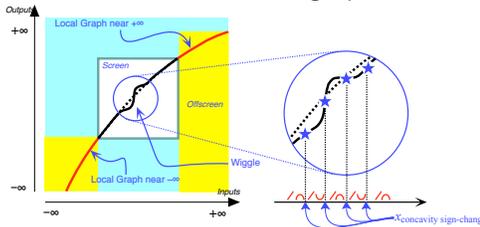
**EXAMPLE 3.52.** The function whose graph is



has a *bump*.

- A *smooth* function can also have a **wiggle**, that is a pair of bumps in opposite directions with the slope keeping the same sign throughout but with *three* inputs where the concavity changes sign.

**EXAMPLE 3.53.** The function whose graph is

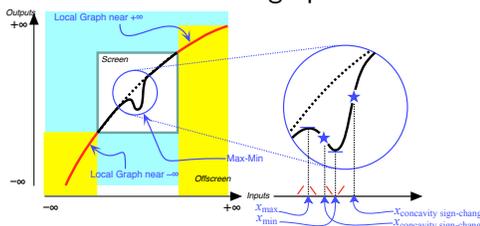


has a *wiggle*.

- A *smooth* function can also have a **max-min fluctuation** or a **min-max fluctuation** that is a sort of “extreme wiggle” which consists of a pair of *extremum-heights inputs* in opposite directions. In other words, a fluctuation involves:

- *two* inputs where the *slope* changes sign
- *two* inputs where the *concavity* changes sign

**EXAMPLE 3.54.** The function whose graph is



has a *max-min fluctuation*.

essential onscreen graph  
 join smoothly  
 essential graph  
 join smoothly  
 essential on-screen graph

However, as we will see in Section 1 - **Global Input-Output Rules** (Page 207), in Mathematics, functions are not usually given by a **curve** but are **given** “mathematically” and the investigation of how a function **given** “mathematically” behaves *cannot* be based on the function’s global graph which, in any case, is usually not necessarily simple to get as we will discuss in Section 4 - **Local Input-Output Rule** (Page 214).

But, while finding the **global graph** of a **function given** “mathematically” is not strictly necessary to *understand* how the **given function** behaves, the **global graph** of a **function given** “mathematically” *can* be a very great help to *see* the way the **given function** behaves.

So, in order to explain how we will get the global graph of a function given “mathematically” we will have to proceed by stages using functions given by a curve.

We begin by outlining the PROCEDURE which we will follow in Section 1 - **Global Input-Output Rules** (Page 207).

- i. The first step in getting the **global graph** of a **function given** “mathematically” will be to get the **local graphs** near the **control points**, that is near  $\infty$  and near the **poles**, if any.
- ii. The second step in getting the **global graph** of a **function given** “mathematically” will be to get the **offscreen graph**.
- iii. The third step in getting the **global graph** of a **function given** “mathematically” will be to get the **essential onscreen graph** by **joining smoothly** the **offscreen graph** across the screen.

**6. The essential onscreen graph.** Thus, the first step in getting the **global graph** of a **function given** by an I-O rule will be to get the **essential graph**, that is the **onscreen graph** forced by the **offscreen graph**, in other words, the onscreen graph as we would see it from very far away.

**PROCEDURE 3.3** To get the essential graph of a function given by a global input-output rule

- i. Get the offscreen graph, that is,
  - a. Get the local graph near  $\infty$ ,
  - b. Get the local graph near the pole(s), if any,
- ii. **Join smoothly** the **offscreen graph** across the **screen**

Get the **offscreen graph** from the **local graphs** near the control inputs namely near  $\infty$  and near the **pole(s)** if any,

Then get the **essential on-screen graph** by

And just because something is far away doesn't mean it's of no interest: "Many ancient civilizations collected astronomical information in a systematic manner through observation." ([https://en.wikipedia.org/wiki/History\\_of\\_science](https://en.wikipedia.org/wiki/History_of_science).)

The *essential on-screen graph* will already provide information about the **existence** of *essential* behavior change inputs *on-screen*—but *not* about their location.

However, there might be behavioral changes too small to see from far away, so get the **proximate on-screen graph** by:

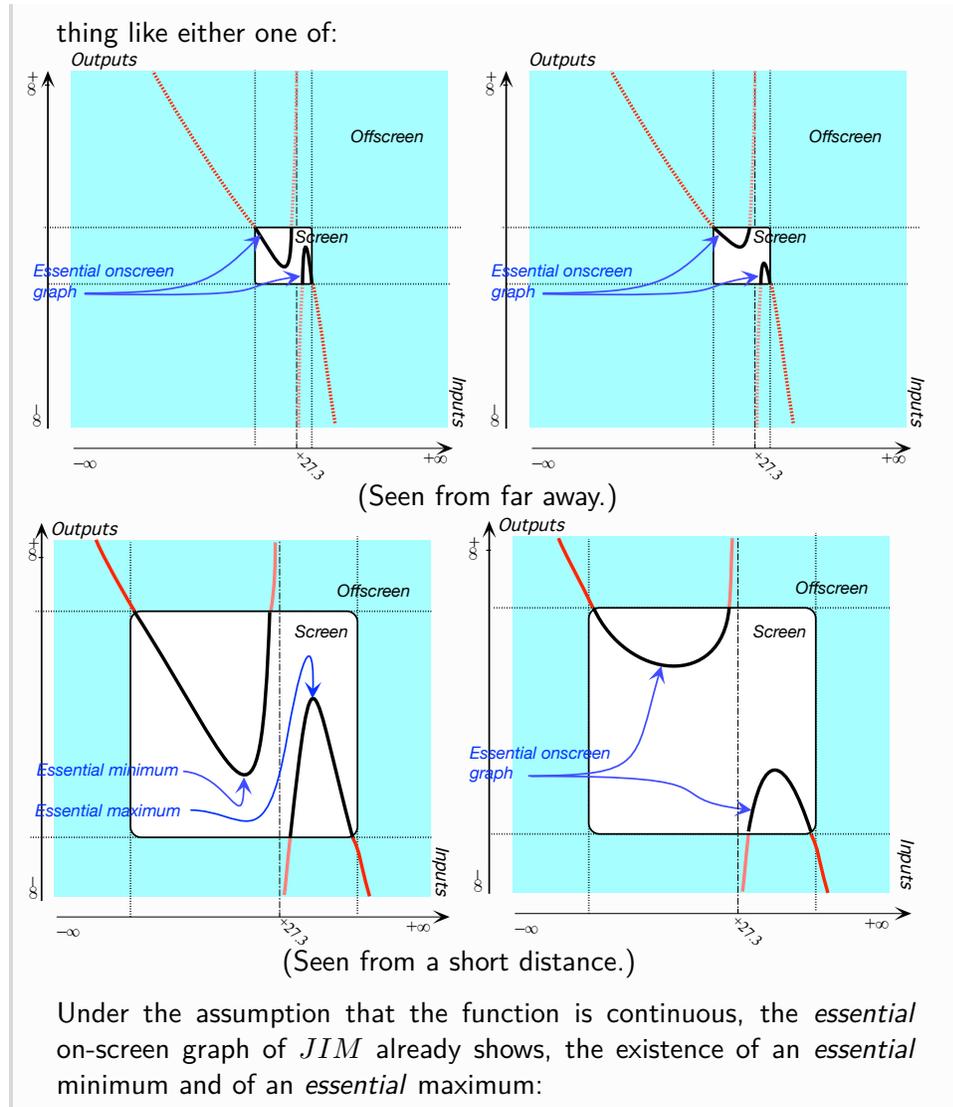
- a. locating the non-essential behavioral change inputs, if any,
- b. getting the local graphs near these non-essential behavioral change inputs
- c. Joining smoothly *all* local graphs, and then progressively zero in:

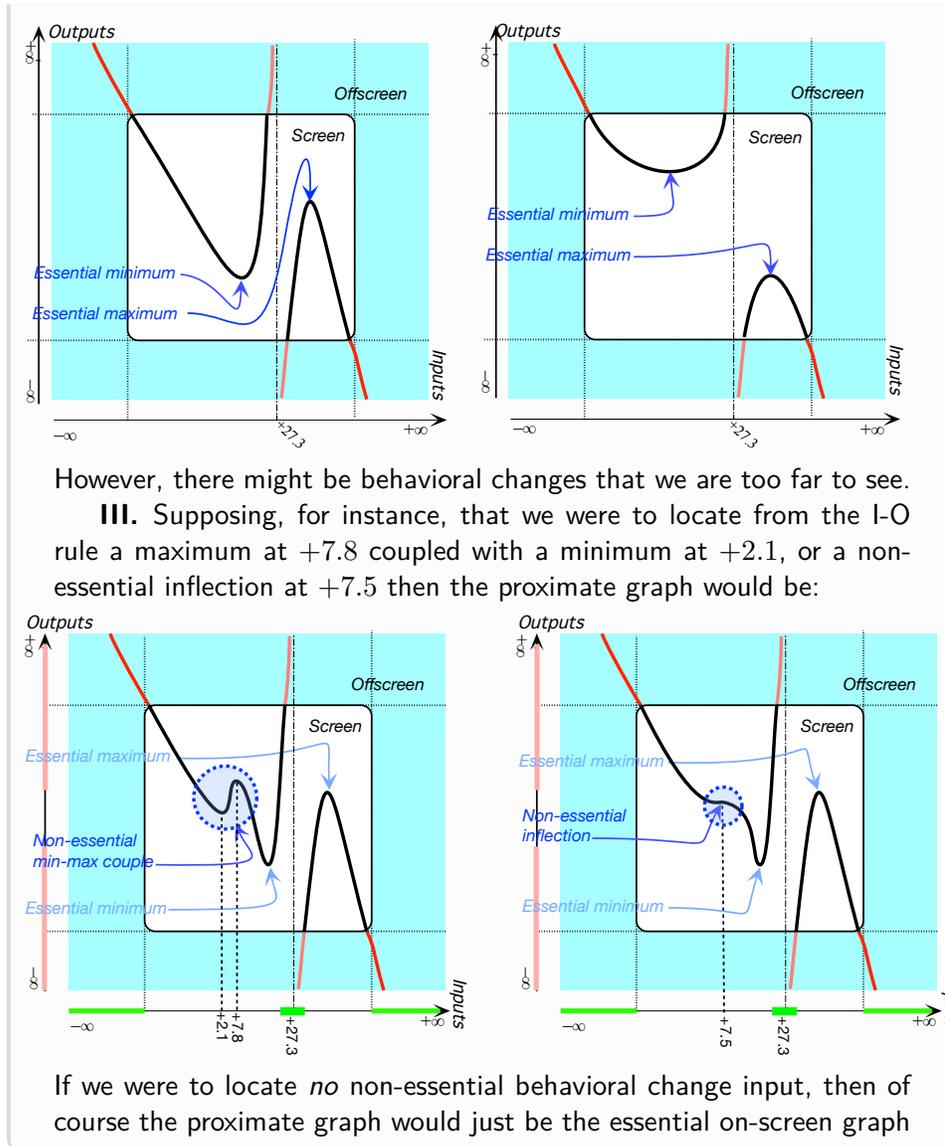
Actually makes sense doesn't it?

**DEMO 3.3** Suppose that we found that the function *JIM* has a pole at +27.3 and that the local graphs near +27.3 and  $\infty$  look like

I. We then have *JIM*'s offscreen graph:

II. Then, the essential on-screen graph of *JIM* would look some-





## 9 Dilation of Functions

1. Dilating functions given by table.
2. Dilating functions given by curve.

base function  
 add-on number  
 add-on function  
 sum function

## 10 Addition of Functions

Given a function, to which we will refer as **base function**, one often needs to add a number to each output that the base function returns. Whether or not this **add-on number** remains the same regardless of the input or differs depending on the input, we can look upon the add-on number as being itself the output returned for the same input by some other function to which we will refer as **add-on function**. (If the add-on number is the same regardless of the input this just means that the add-on function is a *constant function*.)

There is then going to be a third function, to be referred as **sum function**, which, for each input, will return the output returned by the base function plus the add-on number returned by the add-on function for that input.

In other words, given the two functions

$$x \xrightarrow{BASE} BASE(x)$$

and

$$x \xrightarrow{ADD-ON} ADD-ON(x)$$

there will be a third function given by

$$x \xrightarrow{SUM} SUM(x) = BASE(x) + ADD-ON(x)$$

**1. Adding functions given by table.** In sciences such as BIOLOGY, PSYCHOLOGY and ECONOMICS the three functions are often in *tabular* form.

**EXAMPLE 3.55.** When we shop online for, say a textbook, we first see a *price list*—the *base function*. However, a *shipping charge*, which might or might not depend on the textbook, is usually added-on to the *list price* and is given by the *Shipping charge list*—the *add-on function*. The price we end-up having to pay is thus given by the *actual price list*—the *sum function*.

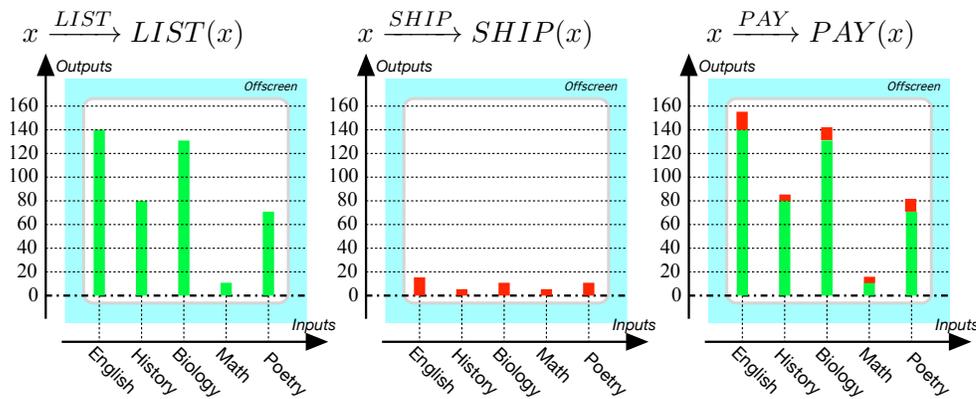
$x \xrightarrow{LIST} LIST(x)$	$x \xrightarrow{SHIP} SHIP(x)$	$x \xrightarrow{PAY} PAY(x)$
English 140	English 13.15	English 140+13.15 = 153.15
History 80	History 3.45	History 80+3.45 = 83.45
Biology 130	Biology 7.25	Biology 130+7.35 = 137.25
Math 10	Math 3.75	Math 10+3.75 = 13.75
Poetry 70	Poetry 5.32	Poetry 70+5.32 = 75.32

which says, for instance, that while the *list price* of the English textbook is

\$140, a shipping charge of \$13.15 brings the price to be paid to \$140 + \$13.15 = \$153.15.

**2. Adding functions given by bar graphs.** Instead of giving the functions by *tables*, one might want to give them by *graphs*. Rather than to use *plots*, though, one often uses **bar graphs**.

**EXAMPLE 3.56.** The situation in the above example would be represented by the following bar graphs.



## 11 Linear Combinations of functions



## Part II

# Functions Given By Rules

While functions given by data, be it tables, I-O plots or curves, are often used by *experimental* scientists, functions given by data do not lend themselves to the calculations necessary to, for instance, assess the precision of the data and, more generally, to a real understanding of what the function does.

So, both in engineering and sciences, functions are mostly given “mathematically”, that is by giving a generic expression to *calculate* the output number  $f(x)$  to be returned by  $f$  for the input number  $x$ .

Giving a number (Subsection 4.7, Page 17) makes it likely that there are several ways to give a generic expression for  $f(x)$  and this **Part II** deals with the first and simplest way which is of course just to give the generic expression in terms of  $x$  for  $f(x)$  itself.

After introducing global Input-Output rules in Chapter 5 - **Straight Functions** (Page 227), we will discuss:

1. **Local I-O Rule**
2. **Local Graph**
3. **Local Features**
4. **Control Point(s)**
5. **Global Graph**

Of course, most of **Part II** will belabor the obvious but, this way, the reader will see how matters remain essentially the same even as the functions get more complicated.



# Chapter 4

## Input-Output Rules

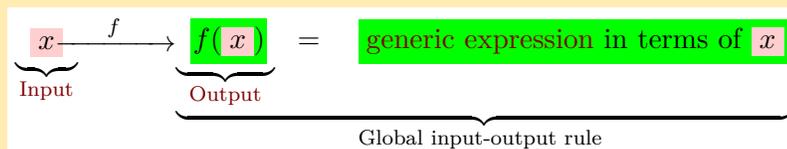
Global Input-Output Rules, 207 • Output *at* a given number., 208 • Global Graph: Why Not Just Plot & Interpolate?, 211 • Local Input-Output Rule, 214 • Towards Global Graphs., 224 .

### 1 Global Input-Output Rules

To give a function, the simplest way after giving the outputs *themselves* by way of data is to give instructions for *computing* the outputs:

*This is the “direct” way to give instructions. In Part III - (Laurent) Polynomial Functions (Page 337) we will see the “reverse” way, that is giving the instructions as ‘solution of a (function)‘equation’.*

**DEFINITION 4.1** A global I-O rule provides a generic expression in terms of  $x$  for computing the output  $f(x)$  in terms of the input  $x$ :



In order to work with input-output pairs *at* a given number  $x_0$  when the function is given by an Input-Output rule, we will use

**DEFINITION 4.2** Depending on whether  $x_0$  is a regular input or a pole we will use the following formats:

- For *graphing*, the pair format,

$(x_0, y_0)$  or  $(x_0, \infty)$

- For *computing*, the plain function format,
   
 $f(x_0) = y_0$  or  $f(x_0) = \infty$
- For *seeing*, the arrow function format,
   
 $x_0 \xrightarrow{f} y_0$  or  $x_0 \xrightarrow{f} \infty$
- For *anything*, the full function format,
   
 $x_0 \xrightarrow{f} f(x_0) = y_0$  or  $x_0 \xrightarrow{f} f(x_0) = \infty$

## 2 Output *at* a given number.

Even though, as we argued in Section 11 - Neighborhoods (Page 40), evaluating a generic expression *at* a given number is to ignore the real world, we *will* occasionally, if only for plotting purposes, want to get the outputs *at* given numbers.

### 1. Getting input-output pairs

**PROCEDURE 4.1** To get the output returned *at* a given number  $x_0$  by a function  $f$  given by an I-O rule  $x \xrightarrow{f} f(x) =$  generic expression in terms of  $x$ ,

- i. Declare that the output is to be *at* the given  $x_0$  by writing to the right of the global input-output rule: the declaration  $\left. \vphantom{x \xrightarrow{f} f(x)} \right|_{x \leftarrow x_0}$ :

$$x \xrightarrow{f} f(x) = \text{generic expression in terms of } x \left. \vphantom{x \xrightarrow{f} f(x)} \right|_{x \leftarrow x_0}$$

- ii. Replace every occurrence of  $x$  in the global input-output rule by the given input  $x_0$  to get the individual expression for  $x_0$ :

$$x_0 \xrightarrow{f} f(x_0) = \text{Individual expression in terms of } x_0$$

- iii. Execute the individual expression in terms of  $x_0$ , that is do the

*In standard CALCULUS texts the two steps, declaration and replacement, are often conflated into a single step but we will not do it.*

operations in the **individual expression** to get:

$$\text{Individual expression in terms of } x_0 = y_0$$

iv. Format the input-output pair according to DEFINITION 4.2 - **Point-wise formats** (Page 207)

**DEMO 4.1a** To get the output returned for the input  $-5$  by the function  $JILL$  given by the global input-output rule  $x \xrightarrow{JILL}$

$$JILL(x) = \frac{(-4 \odot x) \oplus +7}{+2 \odot (x \oplus +7)}$$

i. We declare that the output is to be at  $-5$  by writing  $\left. \vphantom{x} \right|_{x \leftarrow -5}$  to the right of the global input-output rule:

$$x \xrightarrow{JILL} JILL(x) = \frac{(-4 \odot x) \oplus +7}{+2 \odot (x \oplus +7)} \left. \vphantom{x} \right|_{x \leftarrow -5}$$

ii. We replace every occurrence of  $x$  in the global input-output rule by the given input  $-5$  to get the individual expression for the output at  $-5$ :

$$-5 \xrightarrow{JILL} JILL(-5) = \frac{(-4 \odot -5) \oplus +7}{+2 \odot (-5 \oplus +7)}$$

iii. We execute the **individual expression** in terms of  $-5$ , that is we do the operations in the individual expression:

$$\begin{aligned} &= \frac{(+20) \oplus +7}{+2 \odot (+2)} \\ &= \frac{+20 \oplus +7}{+2 \odot +2} \\ &= \frac{+27}{+4} \\ &= +6.75 \end{aligned}$$

So,  $-5$  is a **regular input** of the function  $JILL$

iv. We format the input-output pair, that is we write,

▶ For *graphing*,  $(-5, +6.75)$

▶ For *computing* purposes, we write  $JILL(-5) = +6.75$

OK, don't worry too much about the algebra: the idea for this DEMO and for the EXAMPLES that will follow is only to impress you with the power and the scope of PROCEDURE 1.8. So, for the time being, the most important for you is to develop an appreciation of just the way PROCEDURE 1.8 works.

- ▶ For discussing,  $-5 \xrightarrow{JILL} +6.75$
- ▶ For anything,  $-5 \xrightarrow{JILL} JILL(-5) = +6.75$

**DEMO 4.1b** To get the output returned for the input  $-7$  by the function  $JILL$  given by the global input-output rule  $x \xrightarrow{JILL}$

$$JILL(x) = \frac{(-4 \odot x) \oplus +7}{+2 \odot (x \oplus +7)}$$

i. We declare that the output is to be at  $-7$  by writing  $x \leftarrow -7$  to the right of the global input-output rule:

$$x \xrightarrow{JILL} JILL(x) = \frac{(-4 \odot x) \oplus +7}{+2 \odot (x \oplus +7)} \quad \left| \quad x \leftarrow -7 \right.$$

ii. We replace every occurrence of  $x$  in the global input-output rule by the given input  $-7$  to get the individual expression for the output at  $-7$ :

$$-7 \xrightarrow{JILL} JILL(-7) = \frac{(-4 \odot -7) \oplus +7}{+2 \odot (-7 \oplus +7)}$$

iii. We execute the individual expression in terms of  $-7$ , that is we do the operations in the individual expression:

$$\begin{aligned} &= \frac{(+28) \oplus +7}{+2 \odot (0)} \\ &= \frac{+28 \oplus +7}{+2 \odot 0} \\ &= \frac{+35}{0} \\ &= \infty \end{aligned}$$

So,  $-7$  is a pole of the function  $JILL$

iv. We format the input-output pair, that is we write,

- ▶ For graphing,  $(-5, \infty)$
- ▶ For computing purposes, we write  $JILL(-5) = \infty$
- ▶ For discussing,  $-5 \xrightarrow{JILL} \infty$

► For thinking,  $-5 \xrightarrow{JILL} JILL(-5) = \infty$

### 3\* Global Graph: Why Not Just Plot & Interpolate?

One of the main goals when a function  $f$  is given by a global I-O rule is to get the global graph of  $f$  and the way readers were most probably taught was to:

- a. Declare a few (regular) input numbers:  $x_0, x_1, x_2$ , etc
- b. Compute the outputs  $f(x_0), f(x_1), f(x_2)$ , etc using PROCEDURE 4.1 - Get the output at  $x_0$  from the I-O rule giving  $f$  (Page 208),
- c. Plot the input-output pairs  $(x_0, f(x_0)), (x_1, f(x_1)), (x_2, f(x_2))$ , etc using Picture a few pairs (PROCEDURE 1.1, Page 73)
- d. Interpolate these plot dots with a curve.

*Nice and easy, eh? In fact, utterly misleading garbage that has to be disposed of properly before any CALCULATING TO THE REAL WORLD.*

Unfortunately, if this is indeed fairly easy, this works only for Straight Functions (Chapter 5, Page 227) because of a number of issues:

**1. How do we get the off-screen graph?** Since the only numbers we can tickmark are mid-size numbers, there is no way we can plot input-output pairs near  $\infty$  and/or near the pole(s) if any.

*Of course. we never asked that from our teacher.*

**2. How do we know which numbers to declare?**

**EXAMPLE 4.1.** Let  $\mathcal{KEN}$  be given by the I-O rule  $x \xrightarrow{\mathcal{KEN}} \mathcal{KEN}(x) = -3x^2$

- i. If we use the input numbers  $-2$  and  $+2$ , using PROCEDURE 4.1 - Get the output at  $x_0$  from the I-O rule giving  $f$  (Page 208) we get the I-O pairs  $(-2, -12)$  and  $(+2, -12)$  whose plot points we could join smoothly with a horizontal straight line.
- ii. If, however, we use, say, the input numbers  $-2$  and  $+4$ , using PROCEDURE 4.1 - Get the output at  $x_0$  from the I-O rule giving  $f$  (Page 208) we get the I-O pairs  $(-2, -12)$  and  $(+4, -48)$  whose plot points we certainly cannot join smoothly with a horizontal straight line.

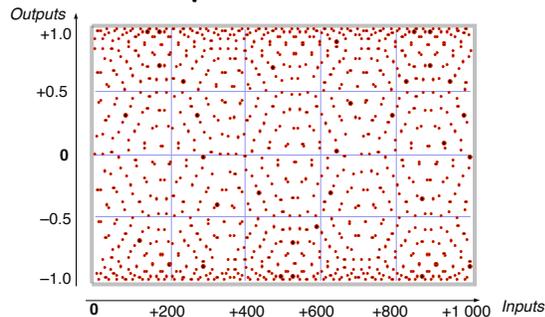
### 3. How do we know *how many numbers to declare*?

**EXAMPLE 4.2.** Let  $\mathcal{KEN}$  be given by the I-O rule  $x \xrightarrow{\mathcal{KEN}} \mathcal{KEN}(x) = -3x^{+2}$

- i. If we use two input numbers, say  $-4$  and  $+3$ , using PROCEDURE 4.1 - Get the output at  $x_0$  from the I-O rule giving  $f$  (Page 208) we get the I-O pairs  $(-4, -48)$  and  $(+3, +27)$  whose plot points we can join smoothly with a straight line.
- ii. If, however, we use three input numbers, say  $-4$  and  $+3$  with some third input number, the chances are very high that we will not be able to join the plot points smoothly with a straight line.

And in fact, too many plot points can make it impossible to join them smoothly.

**EXAMPLE 4.3.** The function  $SIN\mathcal{E}$  belongs to VOLUME II, but the point here is **Strang's Famous Computer Plot** of  $SIN\mathcal{E}$ <sup>1</sup>:



How are we to “join smoothly”?

*We would sometimes ask but they always said it would be obvious.*

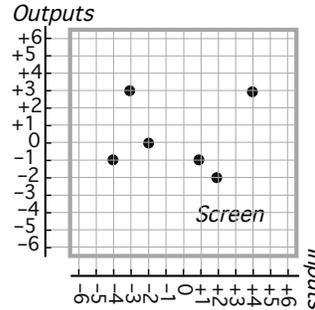
### 4. How do we know with which *curve to interpolate*?

**EXAMPLE 4.4.** Suppose the function  $RINO$  was given by some I-O rule and that we got the following input-output table and therefore the following plot:

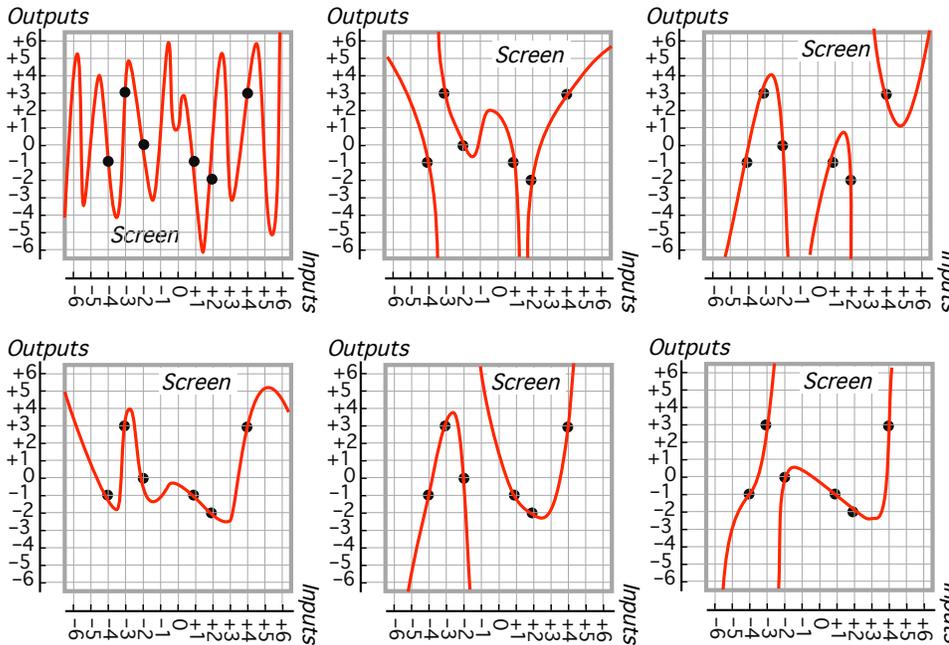
<sup>1</sup>The plot appears on the back cover of Strang's *Calculus*, 1991, Wellesley-Cambridge Press, where it is discussed in Section 1.6 A Thousand Points of Light, pages 34-36.

3\*: GLOBAL GRAPH: WHY NOT JUST PLOT & INTERPOLATE?213

Inputs	-4	-3	-2	+1	+2	+4
Outputs	-1	+3	0	-1	-2	+3



Now, how would you join these plot dots? For instance:



Answer: Other than making sure not to break the ?? (?? ??, ??) and other than very exceptionally, there is no rule for joining plot dots smoothly.

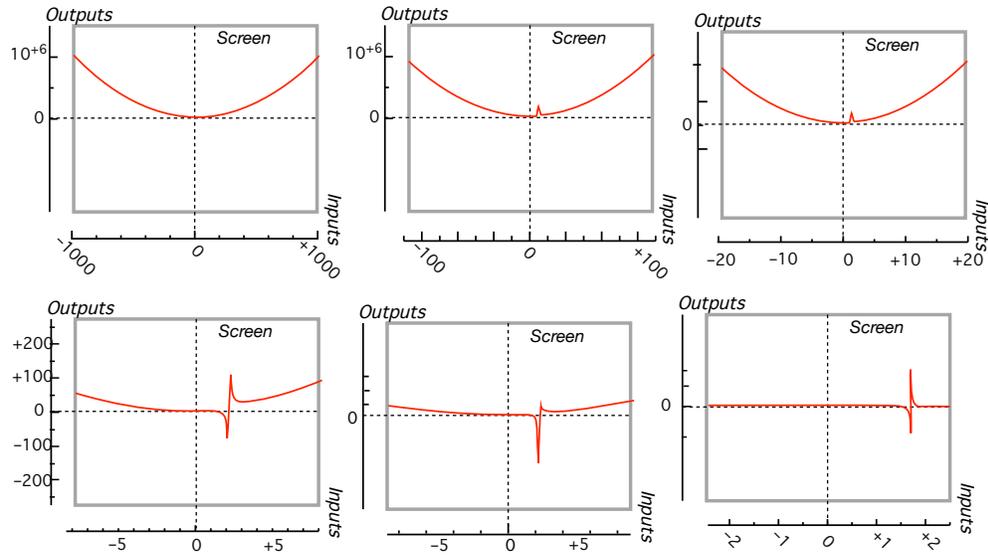
*If we asked, they would say "just get more plot points".*

**5. How do we know the curve we got *is* the graph?**

**EXAMPLE 4.5.** Let *CAT* be the function given by the I-O rule

$$x \xrightarrow{CAT} CAT(x) = \frac{x^3 - 1}{x - 2}$$

Which of the following *computer-generated* "graphs" is the right one?



In case you wonder, they all are. "Just" different scales!

Answer: On the basis of *only* so many plot dots, we can *never* be sure what even the on-screen graph is going to look like.

## 4 Local Input-Output Rule

OKsoFAR OKsoFAR OKsoFAR OKsoFAR OKsoFAR OKsoFAR  
 OKsoFAR OKsoFAR OKsoFAR OKsoFAR OKsoFAR OKsoFAR

We already discussed in **Numbers In General** (Section 3, Page 7) why, in the real world, we cannot use isolated numbers and in **Computing with Extended Numbers** (Section 10\*, Page 39) that we need neighborhoods.

In **Local graph near a point** (Section 8, Page 119), we saw how to get global graphs from local graphs near control points/

Here, we will see that to get the local graphs we need from **Local input-Output rules** to get outputs *near* a given point.

Local input-Output rule  
local input-output pair  
local input-output rule  
local arrow pair

from which we will get local graphs which we will interpolate to get global graphs.

make a diagram here.

alluded to the heart of the matter in **Computing with Extended Numbers** (Section 10\*, Page 39)

As hinted at in **Local graph near a point** (Section 8, Page 119), the way we will operate is by interpolation of local graph graphs.

The question then is how to get the local graph near a given point for the global I-O rule, that is how to compute outputs near given numbers.

with computing outputs at given numbers is that:



A major part of our work with functions given by input-output rules will be getting local graphs in order to:

- See **Local Features Functions May Have** (Chapter 2, Page 133)
- Construct the global graph of the function to see **Global Ways Functions May Behave** (Chapter 3, Page 165)

The first step towards getting local graphs for functions given by input-output rules will be to compute the output near a given point.

The fact that global input-output rules involve a **generic expression** in terms of a **number** will *not* prevent us from investigating a function near a **given point**, be it  $\infty$ ,  $0$ , or  $x_0$  because,

- near  $\infty$ , we will use large-size numbers and therefore the large variable  $L$
- near  $0$  we will use small-size numbers and therefore the small variable  $h$
- near  $x_0$  we will use nearby mid-size numbers and therefore the near mid-size number variable  $x_0 \oplus h$

**DEFINITION 4.3** Using the symbol  $V$  to stand for the appropriate one of the nearby variables for the given point: large variable  $L$ , small variable  $h$ , circa variable  $x_0 \oplus h$ , we have:

- For *graphing*, use the **local input-output pair**

$$\left( V, \text{executed expression in terms of } V \right)$$

- For *computing*, use the **local input-output rule**

$$f(V) = \text{executed expression in terms of } V$$

Local input-output rule near given point

- For *seeing*, use the **local arrow pair**

$$V \xrightarrow{f} \text{executed expression in terms of } V$$

- For *thinking*, use

$$V \xrightarrow{f} f(V) = \text{executed expression in terms of } V$$

Local input-output rule near given point

### 1. Near $\infty$

**PROCEDURE 4.2** To get the outputs returned near  $\infty$  by a function  $f$  given by an I-O rule  $x \xrightarrow{f} f(x) = \text{generic expression in terms of } x$ ,

- Declare that the input is a large-size indeterminate number by using the large variable  $L$  and writing the declaration  $|_{x \leftarrow L}$  to the right of the global input-output rule:

$$x \xrightarrow{f} f(x) = \text{generic expression in terms of } x \Big|_{x \leftarrow L}$$

- Replace every occurrence of  $x$  in the global input-output rule by the large variable  $L$  to get the **local input-output rule near  $\infty$** :

$$L \xrightarrow{f} f(L) = \text{generic expression in terms of } L$$

- iii. Execute the generic expression in terms of the relevant variable according to the rules in Section 9 - Computing with Qualitative Sizes (Page 33), that is do the operations in the generic expression to get the **executed expression**
- iv. Format according to DEFINITION 4.3 - Local formats (Page 216)

local executed expression  
 local input-output rule  
 local input-output pair  
 local input-output arrow  
 pair

**DEMO 4.2** To get the outputs returned for inputs near  $\infty$  by the function  $\mathcal{ZEN}\mathcal{A}$  given by the global input-output rule  $x \xrightarrow{\mathcal{ZEN}\mathcal{A}}$

$$\mathcal{ZEN}\mathcal{A}(x) = \frac{x^{+2} \ominus +1}{x \oplus +3}$$

- i. We *declare* that the input is a large-size indeterminate number by writing the declaration  $x \leftarrow L$  to the right of the global input-output rule:

$$x \xrightarrow{\mathcal{ZEN}\mathcal{A}} \mathcal{ZEN}\mathcal{A}(x) = \frac{x^{+2} \ominus +1}{x \oplus +3} \Big|_{x \leftarrow L}$$

- ii. We *replace* every occurrence of  $x$  in the generic expression by  $L$  to get the individual expression for  $L$ :

$$L \xrightarrow{\mathcal{ZEN}\mathcal{A}} \mathcal{ZEN}\mathcal{A}(L) = \frac{L^{+2} \ominus +1}{L \oplus +3}$$

- iii. We *execute* the individual expression for  $L$ :

$$\begin{aligned} &= \frac{L^{+2} \ominus +1}{L \oplus +3} \\ &= \frac{L^{+2} \oplus [\dots]}{L \oplus [\dots]} \\ &= L \oplus [\dots] \end{aligned}$$

The last expression above is the executed expression.

- iv. We *format* according to DEFINITION 4.3 - Local formats (Page 216)
  - local Input-output pair  $(L, L \oplus [\dots])$
  - local input-output rule  $\mathcal{ZEN}\mathcal{A}(L) = L \oplus [\dots]$
  - local arrow pair  $L \xrightarrow{\mathcal{ZEN}\mathcal{A}} \mathcal{ZEN}\mathcal{A}(L)$

executed expression  
 local input-output rule  
 local input-output pair

- local input-output rule  $L \xrightarrow{\mathcal{ZEN}\mathcal{A}} \mathcal{ZEN}\mathcal{A}(L) = L \oplus [\dots]$

## 2. Near 0

**PROCEDURE 4.3** To get the outputs returned near 0 by a function  $f$  given by an I-O rule  $x \xrightarrow{f} f(x) =$  generic expression in terms of  $x$ ,

- i. Declare that the input is a small-size indeterminate number by using the small variable  $h$  and writing the declaration  $|_{x \leftarrow h}$  to the right of the global input-output rule:

$$x \xrightarrow{f} f(x) = \text{generic expression in terms of } x \Big|_{x \leftarrow h}$$

- ii. Replace every occurrence of  $x$  in the global input-output rule by the small variable  $h$  to get the local input-output rule near 0:

$$h \xrightarrow{f} f(h) = \text{generic expression in terms of } h$$

- iii. Execute the generic expression in terms of the relevant variable according to the rules in Section 9 - Computing with Qualitative Sizes (Page 33), that is do the operations in the generic expression to get the **executed expression**

- iv. Format according to DEFINITION 4.3 - Local formats (Page 216)

- For *graphing*, use the input-output pair

$$\left( h, \text{executed expression in terms of } h \right)$$

- For *computing*, use the equality

$$\underbrace{f(h) = \text{executed expression in terms of } h}_{\text{Local input-output rule near 0}}$$

- For *seeing*, use the arrow pair

$$h \xrightarrow{f} \text{executed expression in terms of } h$$

- For *thinking*, use the local input-output rule

$$h \xrightarrow{f} f(h) = \underbrace{\text{executed expression in terms of } h}_{\text{Local input-output rule near } 0}$$

executed expression  
local input-output rule  
local input-output pair

**DEMO 4.3** To get the outputs returned for inputs *near* 0 by the function  $\mathcal{ZEN}\mathcal{A}$  given by the global input-output rule  $x \xrightarrow{\mathcal{ZEN}\mathcal{A}}$

$$\mathcal{ZEN}\mathcal{A}(x) = \frac{x^{+2} \ominus +1}{x \oplus +3}$$

i. We *declare* that the input is a small-size indeterminate number by using the small variable  $h$  and writing the declaration  $x \leftarrow h$  to the right of the global input-output rule:

$$x \xrightarrow{\mathcal{ZEN}\mathcal{A}} \mathcal{ZEN}\mathcal{A}(x) = \frac{x^{+2} \ominus +1}{x \oplus +3} \Big|_{x \leftarrow h}$$

ii. We *replace* every occurrence of  $x$  in the generic expression by  $h$  to get the individual expression for  $h$ :

$$h \xrightarrow{\mathcal{ZEN}\mathcal{A}} \mathcal{ZEN}\mathcal{A}(h) = \frac{h^{+2} \ominus +1}{h \oplus +3}$$

iii. We *execute* the individual expression for  $h$ :

$$\begin{aligned} &= \frac{-1 \oplus h^2}{+3 \oplus +h} \\ &= -\frac{1}{3} \oplus +\frac{1}{3^{+2}}h \oplus +\frac{8}{3^{+3}}h^{+2} \end{aligned}$$

The last expression above is the executed expression.

iv. We *format* according to DEFINITION 4.3 - Local formats (Page 216)

$$\left( h, -\frac{1}{3} \oplus +\frac{1}{3^{+2}}h \oplus +\frac{8}{3^{+3}}h^{+2} \right)$$

$$\mathcal{ZEN}\mathcal{A}(h) = -\frac{1}{3} \oplus +\frac{1}{3^{+2}}h \oplus +\frac{8}{3^{+3}}h^{+2}$$

$$h \xrightarrow{\mathcal{ZEN}\mathcal{A}} = -\frac{1}{3} \oplus +\frac{1}{3^{+2}}h \oplus +\frac{8}{3^{+3}}h^{+2}$$

$$h \xrightarrow{\mathcal{ZEN}\mathcal{A}} \mathcal{ZEN}\mathcal{A}(h) = -\frac{1}{3} \oplus +\frac{1}{3^{+2}}h \oplus +\frac{8}{3^{+3}}h^{+2}$$

3. Near  $x_0$  .

**PROCEDURE 4.4** To get the **outputs returned near  $x_0$**  by a function  $f$  given by an I-O rule  $x \xrightarrow{f} f(x) =$  **generic expression in terms of  $x$** ,

- i. **Declare** that the input is a nearby **indeterminate number** by using the local variable  $x_0 \oplus h$  and writing the declaration  $x \leftarrow x_0 \oplus h$  to the right of the global input-output rule:

$$x \xrightarrow{f} f(x) = \text{generic expression in terms of } x \mid x \leftarrow x_0 \oplus h$$

- ii. **Replace** every occurrence of  $x$  in the global input-output rule by the local variable  $x_0 \oplus h$  to get the **local input-output rule near  $x_0$** :

$$x_0 \oplus h \xrightarrow{f} f(x_0 \oplus h) = \text{generic expression in terms of } x_0 \oplus h$$

- iii. Execute the generic expression in terms of the relevant variable according to the rules in Section 9 - **Computing with Qualitative Sizes** (Page 33), that is do the operations in the generic expression to get the **executed expression**

- iv. Format according to **DEFINITION 4.3 - Local formats** (Page 216)

- For *graphing*, use the input-output pair

$$(x_0 \oplus h, \text{executed expression in terms of } h)$$

- For *computing*, use the equality

$$f(x_0 \oplus h) = \text{executed expression in terms of } h$$

Local input-output rule near 0

- For *seeing*, use the arrow pair

$$x_0 \oplus h \xrightarrow{f} \text{executed expression in terms of } h$$

- For *thinking*, use the **local input-output rule**

$$x_0 \oplus h \xrightarrow{f} f(h) = \text{executed expression in terms of } h$$

Local input-output rule near 0

Note that the executed expression in the output for inputs near  $x_0$ , that is in the output for  $x_0 \oplus h$ , is *not* the same as the executed expression

in the output for inputs near 0, that is in the output for h, because the executed expression in the output for x\_0 \oplus h "contains" x\_0

**DEMO 4.4a** To get the outputs returned for inputs near +5 by the function ZEN A given by the global input-output rule x \xrightarrow{ZEN A}

$$ZEN A(x) = \frac{x^{+2} \ominus +1}{x \oplus +3}$$

i. We declare that the input is an indeterminate number near +5 by writing the declaration | x \leftarrow +5 \oplus h to the right of the global input-output rule:

$$x \xrightarrow{ZEN A} ZEN A(x) = \frac{x^{+2} \ominus +1}{x \oplus +3} \Big|_{x \leftarrow +5 \oplus h}$$

ii. We replace every occurrence of x in the generic expression by +5 \oplus h to get the individual expression for +5 \oplus h:

$$+5 \oplus h \xrightarrow{ZEN A} ZEN A(+5 \oplus h) = \frac{+5 \oplus h^{+2} \ominus +1}{+5 \oplus h \oplus +3}$$

iii. We execute the individual expression for +5 \oplus h:

$$\begin{aligned} &= \frac{+25 \oplus +10h \oplus h^2 \ominus +1}{+5 \oplus +h \oplus +3} \\ &= \frac{+24 \oplus +10h \oplus h^2}{+8 \oplus +h} \\ &= +3 \oplus +\frac{7}{8}h \oplus +\frac{1}{64}h^{+2} \oplus [\dots] \end{aligned}$$

The last expression above is the executed expression.

iv. We format the input-output pair:

- ▶ ( +5 \oplus h , +3 \oplus +\frac{7}{8}h \oplus +\frac{1}{64}h^{+2} \oplus [\dots] )
- ▶ ZEN A(+5 \oplus h) = +3 \oplus +\frac{7}{8}h \oplus +\frac{1}{64}h^{+2} \oplus [\dots]
- ▶ +5 \oplus h \xrightarrow{ZEN A} +3 \oplus +\frac{7}{8}h \oplus +\frac{1}{64}h^{+2} \oplus [\dots]
- ▶ +5 \oplus h \xrightarrow{ZEN A} ZEN A(+5 \oplus h) = +3 \oplus +\frac{7}{8}h \oplus +\frac{1}{64}h^{+2} \oplus [\dots]

Ok, so, why stop the division here? You will see in Section 6 - Graphing Power Functions (Page 287)

**DEMO 4.4b** To get the outputs returned for inputs near  $-3$  by the function  $\mathcal{ZEN}\mathcal{A}$  given by the global input-output rule  $x \xrightarrow{\mathcal{ZEN}\mathcal{A}}$

$$\mathcal{ZEN}\mathcal{A}(x) = \frac{x^{+2} \ominus +1}{x \oplus +3}$$

i. We **declare** that the input is an *undeterminate number near  $-3$*  by writing the **declaration**  $x \leftarrow -3 \oplus h$  to the right of the global input-output rule

$$x \xrightarrow{\mathcal{ZEN}\mathcal{A}} \mathcal{ZEN}\mathcal{A}(x) = \frac{x^{+2} \ominus +1}{x \oplus +3} \quad \left| \quad x \leftarrow -3 \oplus h \right.$$

ii. We replace every occurrence of  $x$  in the input-output rule by  $-3 \oplus h$  to get the **generic expression** in terms of numbers near  $-3$

$$-3 \oplus h \xrightarrow{\mathcal{ZEN}\mathcal{A}} \mathcal{ZEN}\mathcal{A}(-3 \oplus h) = \frac{-3 \oplus h^{+2} \ominus +1}{-3 \oplus h \oplus +3}$$

iii. We execute the generic expression in terms of  $-3 \oplus h$ , that is we do the operations in the individual expression:

$$\begin{aligned} &= \frac{+9 \oplus -6h \oplus h^2 \ominus +1}{-3 \oplus +3 \oplus h} \\ &= \frac{+8 \oplus -6h \oplus h^2}{h} \\ &= +8h^{-1} \oplus -6 \oplus h \\ &= +8h^{-1} \oplus [\dots] \end{aligned}$$

The last expression above *is* the executed expression.

iv. We format the input-output pair, that is we write:

$$\begin{aligned} &\blacktriangleright (-3 \oplus h, +8h^{-1} \oplus -6 \oplus h) \\ &\blacktriangleright \mathcal{ZEN}\mathcal{A}(-3 \oplus h) = +8h^{-1} \oplus -6 \oplus h \\ &\blacktriangleright -3 \oplus h \xrightarrow{\mathcal{ZEN}\mathcal{A}} +8h^{-1} \oplus -6 \oplus h \\ &\blacktriangleright -3 \oplus h \xrightarrow{\mathcal{ZEN}\mathcal{A}} \mathcal{ZEN}\mathcal{A}(-3 \oplus h) = +8h^{-1} \oplus -6 \oplus h \end{aligned}$$

**DEMO 4.4c** To get the outputs returned for inputs near  $+1$  by the function  $\mathcal{ZEN}\mathcal{A}$  given by the global input-output rule  $x \xrightarrow{\mathcal{ZEN}\mathcal{A}}$

$$\mathcal{ZEN}\mathcal{A}(x) = \frac{x^{+2} \ominus +1}{x \oplus -3}$$

i. We **declare** that the outputs are to be for *numbers near*  $+3$  by writing the **declaration**  $|_{x \leftarrow +1 \oplus h}$  to the right of the global input-output rule:

$$x \xrightarrow{\mathcal{ZEN}\mathcal{A}} \mathcal{ZEN}\mathcal{A}(x) = \frac{x^{+2} \ominus +1}{x \oplus -3} \Big|_{x \leftarrow +1 \oplus h}$$

ii. We replace every occurrence of  $x$  in the input-output rule by  $+1 \oplus h$  to get the **generic expression** in terms of numbers near  $+1$

$$+1 \oplus h \xrightarrow{\mathcal{ZEN}\mathcal{A}} \mathcal{ZEN}\mathcal{A}(+1 \oplus h) = \frac{+1 \oplus h^{+2} \ominus +1}{+1 \oplus h \oplus -3}$$

iii. We execute the generic expression in terms of  $+1 \oplus h$ , that is we do the operations in the generic expression:

$$\begin{aligned} &= \frac{+1 \oplus +2h \oplus h^2 \ominus +1}{+3 \oplus -3 \oplus h} \\ &= \frac{+2h \oplus h^2}{h} \\ &= +2 \oplus h \end{aligned}$$

The last expression above *is* the executed expression.

iv. We format the input-output pair, that is we write:

- ▶  $(+1 \oplus h, +2 \oplus h)$
- ▶  $\mathcal{ZEN}\mathcal{A}(+1 \oplus h) = +2 \oplus h$
- ▶  $+1 \oplus h \xrightarrow{\mathcal{ZEN}\mathcal{A}} +2 \oplus h$
- ▶  $+1 \oplus h \xrightarrow{\mathcal{ZEN}\mathcal{A}} \mathcal{ZEN}\mathcal{A}(+1 \oplus h) = +2 \oplus h$

control point

OKsoFAR	OKsoFAR	OKsoFAR	OKsoFAR	OKsoFAR	OKsoFAR
OKsoFAR	OKsoFAR	OKsoFAR	OKsoFAR	OKsoFAR	OKsoFAR

## 5 Towards Global Graphs.

There is no general way to deal with functions given by I-O rules and how we will deal with functions given by I-O rules will depend entirely on the kind of expression in terms of  $x$  that appears in the I-O rule. In particular, there is no general procedure for getting the global graph of functions given by I-O rules. So here we will only be able to say some general things.

### 1. Direct problems

**2. Reverse problems.** When a function  $f$  is given by an input-output rule

$$x \xrightarrow{f} f(x) = \text{generic expression in terms of } x$$

the reverse problem for a given  $y_0$

$$f(x) = y_0$$

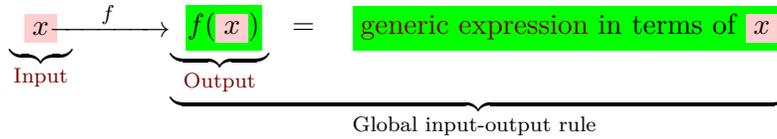
means to solve the *equation*

$$\text{generic expression in terms of } x = y_0$$

However, since the necessary AGEBRA depends entirely on the kind of generic expression in terms of  $x$  that the input-output rule involves, and therefore on what type of function  $f$  is, we will only be able to deal with **reverse problems** as we go along and study each type of **functions**.

**3. Global graph.** Altogether,  $\infty$  and **poles** will be the inputs that we will call the **control points** for that **function**.

Chapter 2 - **Local Features Functions May Have** (Page 133) showed how we need local graphs to *see* local function behaviors, but with functions given by an input-output rule we will have to use PROCEDURE 4.2 - **Get output near  $\infty$**  from  $f$  given by an I-O rule (Page 216) and then graph the local input-output rule.



and so, a function being given by an I-O rule, we will proceed in the following three steps:

- a. **Locate** the points near which we will need a **local graph**, namely:
    - The control points, that is
    - There will also be the poles, if any, that is the input numbers for which the output is  $\infty$
    -
- As we saw, there will always be  $\infty$  because it is one of the control points,  $\infty$  and at the very least the **poles** if any, of the given **function**.
- b. We will have to find the local frames in which the **local graphs** will be.
  - c. We will have to find the shape of the **local graph**.

The reason that there is no simple PROCEDURE for getting local graphs is that:

- Step **a** is a **reverse problem** which will require solving equations that will depend on the **generic expression** in the I-O rule that **gives the function** under investigation.
- Step **b** of course has already been dealt with with ?? however CAUTIONARY NOTE 1.4 will complicate matters.
- Step **c** will depend on being able to approximate the given function.

**4. Need for Power Functions.** ,

So we will need local graphs for two purposes:

- i. Get the global graph
  - ii. Get the local behaviors
- So our approach will be:
- i. Get the local graphs we will need to get the essential global graph
  - ii. Get the local graphs we need to get the needed behaviors
- because no number of input-output **pairs** can almost never get us even an idea of the graph.

OKsoFarOKsoFarOKsoFarOKsoFarOKsoFarOKsoFarOKsoFarOKsoFarOKsoFar

=====**OK SO FAR**=====



straight function  
straight line  
*ZERO*

## Chapter 5

# Straight Functions

The Function *ZERO*, 227 • The Functions *UNIT*<sup>+</sup> and *UNIT*<sup>-</sup>, 229 • Constant functions, 233 • Piecewise constant functions, 234 • The Diagonal Functions *IDENTITY* and *OPPOSITE*, 235 • Linear Functions, 241 • Piecewise Linear Functions, 243 • Affine Functions, 243 • Piecewise Affine Functions, 254 .

We will call **straight functions** those functions whose global graph is a **straight line**. Straight functions are therefore exceptional in that they lack local concavity but **straight functions** are *not* exceptional in that they are used extremely often, if only as benchmarks for functions that are *not* straight.

*But if we all know how to draw a straight line is (<https://duckduckgo.com/?q=straight+edge&t=ffab&iar=images&iax=images&ia=images>), defining a straight line is quite another story, better left to GEOMETRY. ([https://en.wikipedia.org/wiki/Line\\_\(geometry\)](https://en.wikipedia.org/wiki/Line_(geometry))).*

**LANGUAGE NOTE 5.1** The name **straight function** is absolutely *not* standard but. while there is no standard word, in *this* text, everything *has* to have a name.

### 1 The Function *ZERO*

This is the absolute simplest possible function:

**DEFINITION 5.1** The function *ZERO* is given by the I-O rule

$$x \xrightarrow{\text{ZERO}} \text{ZERO}(x) = \underbrace{0}_{\text{General expression in terms of } x}$$

While not a very interesting function by itself, the function  $ZERO$  will actually play a central role among functions very much like the role played by 0 among numbers:

1. **Local I-O rule.**

2. **Local graph.**

3. **Local features.** Since the global graph of  $ZERO$  is the 0-output level line, that is a *straight line*,

- i.  $ZERO$  has 0-height everywhere,
- ii.  $ZERO$  has 0-slope everywhere,
- iii.  $ZERO$  has 0-concavity everywhere.

4. **Control point(s).**

5. **Global graph.** The graph points are at the intersection of the input level lines and the output level lines, but since no matter what the input, the output of  $ZERO$  is always 0, the output level line is always the 0-output level line. So, of course:

**PROCEDURE 5.1** To get the global graphs of the  $ZEROI$  function, that is of the function given by the I-O rule

$$x \xrightarrow{ZERO} ZERO(x) = 0$$

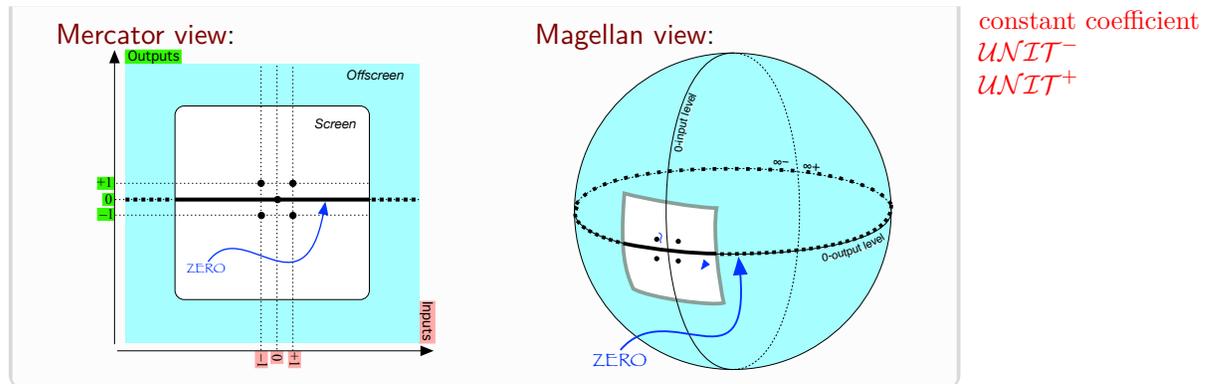
- i. Tickmark the constant coefficient 0 on the output ruler
- ii. Draw the output level line through the tickmark

**DEMO 5.1**

To get the global graph of the function  $ZERO$ , that is the function given by the I-O rule

$$x \xrightarrow{ZERO} ZERO(x) = 0$$

- i. Tickmark 0 on the output ruler
- ii. Draw the output level line through the tickmark



## 2 The Functions $UNIT^+$ and $UNIT^-$

These are the next simplest possible **functions**. While still not very interesting **functions** by themselves,  $UNIT^+$  and  $UNIT^-$  also play an important role among **functions** much like the role played by  $+1$  and  $-1$  among numbers.

### DEFINITION 5.2

- The **function**  $UNIT^+$  is given by the I-O rule

$$x \xrightarrow{UNIT^+} \boxed{UNIT^+(x)} = \underbrace{\boxed{+1}}_{\text{General expression in terms of } x}$$

- The **function**  $UNIT^-$  is given by the I-O rule

$$x \xrightarrow{UNIT^-} \boxed{UNIT^-(x)} = \underbrace{\boxed{-1}}_{\text{General expression in terms of } x}$$

**CAUTIONARY NOTE 5.1** The symbols  $+$  and  $-$  to the upper right of  $UNIT$  are *not* exponents and serve *only* to distinguish the two  $UNIT$  functions.

### 1. Local I-O rules.

2. Local graphs.

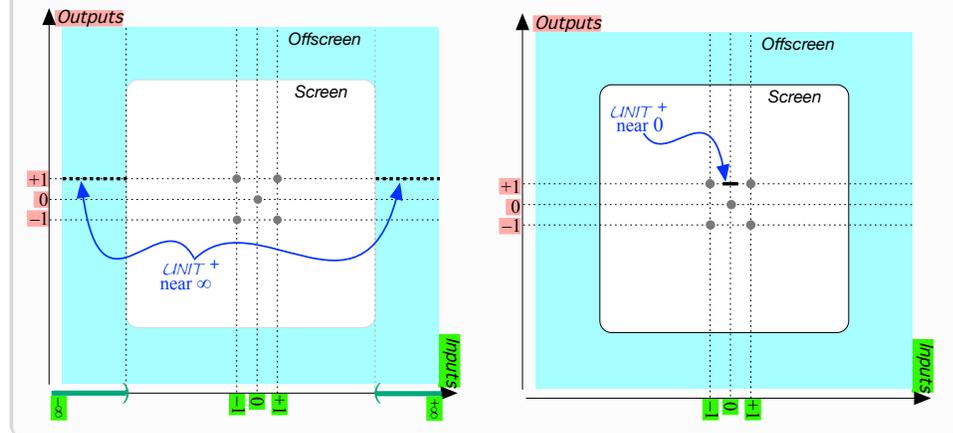
**PROCEDURE 5.2** To get the **local graph** near a point,  $\infty$ ,  $0$ , or  $x_0$  of a *UNIT* function, that is of a function given by the I-O rule .

$$x \xrightarrow{\text{UNIT}} \text{UNIT}(x) = \pm 1$$

Use ?? ?? - ?? (??) to get the local graphs from the global graph given by **THEOREM 6.5 - Odd regular power functions are diagonally symmetrical** (Page 286) .

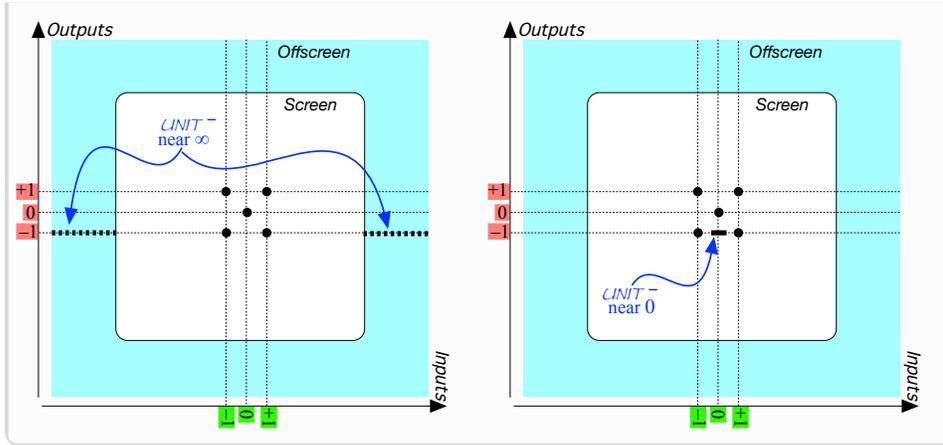
**DEMO 5.2a**

From **DEMO 5.4a - Global graph of the *IDENTITY* function** (Page 236) we get:



**DEMO 5.2b**

From ?? ?? - ?? (??)



3. Local features.

4. Control point(s).

5. **Global graph.** The graph points are at the intersection of the input level lines and the output level lines, but since no matter what the **input**, the **output** of  $UNIT^+$  is always **+1**, the **output level line** is always the +1-output level line. So, the **global graph** of  $UNIT^+$  is

Since, no matter what the **input** is, the **output** of a unit function is either always **+1** or always **-1**,

**PROCEDURE 5.3** To get the **global graphs** of a  $UNIT$  function, that is of a **function** given by either one of the I-O rules

$$x \xrightarrow{UNIT^+} UNIT^+(x) = +1$$

or

$$x \xrightarrow{UNIT^-} UNIT^-(x) = -1$$

- i. Mark the **constant coefficient** **+1** or **-1** on the **output ruler**
- ii. Draw the **output level line** through the tickmark

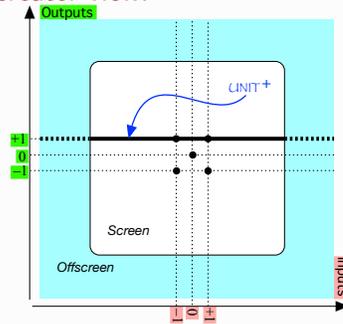
**DEMO 5.3a**  
To get the global graph of the function  $UNIT^+$ , that is the **function**

given by the I-O rule

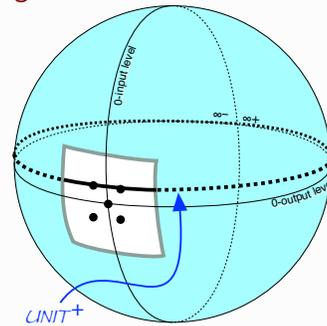
$$x \xrightarrow{UNIT^+} \boxed{UNIT^+(x)} = \boxed{-1}$$

- i. Mark **+1** on the **output ruler**
- ii. Draw the output level line through the tickmark

Mercator view:



Magellan view:



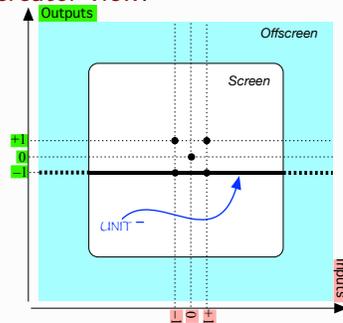
### DEMO 5.3b

To get the global graph of the function  $UNIT^-$ , that is the function given by the I-O rule

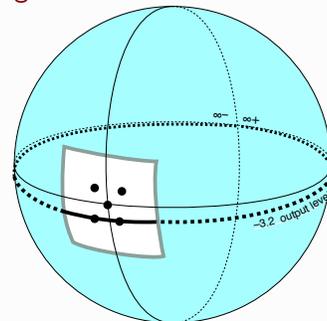
$$x \xrightarrow{UNIT^-} \boxed{UNIT^-(x)} = \boxed{-1}$$

- i. Mark **-1** on the **output ruler**
- ii. Draw the output level line through the tickmark

Mercator view:



Magellan view:



OKsoFAR OKsoFAR OKsoFAR OKsoFAR OKsoFAR OKsoFAR constant function  
 OKsoFAR OKsoFAR OKsoFAR OKsoFAR OKsoFAR OKsoFAR global\_height

### 3 Constant functions

Constant functions are given by dilating a *UNIT* function.

**LANGUAGE NOTE 5.2** The name *constant functions* is an **abuse of language** because it is not the *function* which is constant but its *output* in the sense that the *output* remains *constantly* equal to the **constant coefficient** no matter what the **input** is.

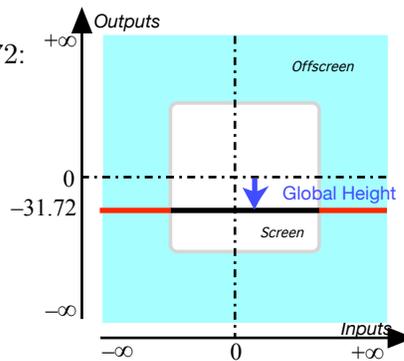
What makes constant functions *exceptional* is that they lack both *local slope* and *local concavity* and have only *local height*.

But then, since for a constant function the *local height* is the same everywhere, we can talk of the **global height** of a *constant function*.

**EXAMPLE 5.1.** Let  $f$  be the function specified by the *global input-output rule*

$$\begin{aligned} x &\xrightarrow{f} f(x) = (-31.72)x^0 \\ &= -31.72 \end{aligned}$$

the global height of  $f$  is  $-31.72$ :



Given a *base* function as a monomial function, when we *add-on* a monomial function with the *same* exponent, the *sum* is a monomial function with the same exponent.

**EXAMPLE 5.2. G** Given the base function  $MINT$  specified by the *global input-output rule*

$$x \xrightarrow{MINT} MINT(x) = -12.82x^{+4}$$

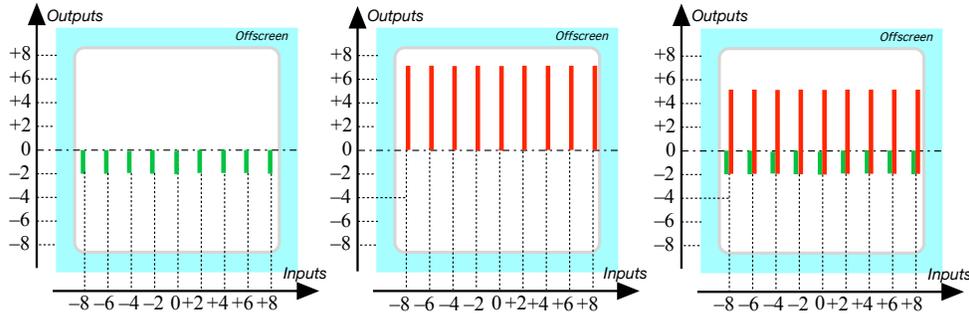
and given the add-on function  $TEA$  specified by the *global input-output rule*

$$x \xrightarrow{TEA} TEA(x) = +49.28x^{+4}$$

then the sum function will be specified by the *global input-output rule*

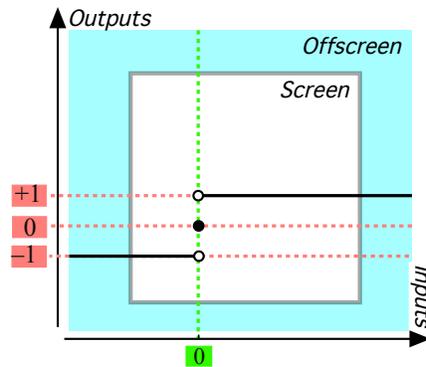
$$\begin{aligned} x \xrightarrow{SUM} SUM(x) &= MINT(x) + TEA(x) \\ &= -12.82x^{+4} \oplus +49.28x^{+4} \\ &= [-12.82 \oplus +49.28] x^{+4} \\ &= +36.46x^{+4} \end{aligned}$$

**EXAMPLE 5.3.**



## 4 Piecewise constant functions

**EXAMPLE 5.4.** The function  $SIGN$ , aka  $HEAVISIDE$  function, is given by the global graph:

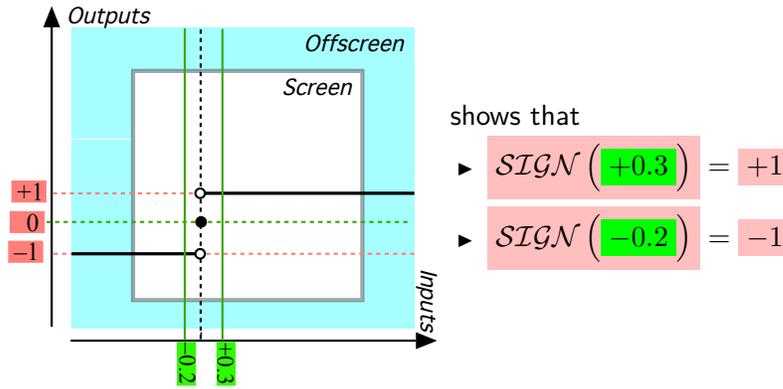


In other words,

- ▶ If  $x > 0$ , then  $SIGN(x) = +1$ ,
- ▶ If  $x = 0$ , then  $SIGN(x) = 0$ ,
- ▶ If  $x < 0$ , then  $SIGN(x) = -1$ ,

But then, while  $SIGN(0) = 0$

diagonal function  
linear coefficient



## 5 The Diagonal Functions *IDENTITY* and *OPPOSITE*

These functions are the next simplest possible functions given by I-O rule.

### 1. Global I-O rules.

#### DEFINITION 5.3

- ▶ The diagonal function *IDENTITY* is the function given by the I-O rule

$$x \xrightarrow{IDENTITY} IDENTITY(x) = \underbrace{+1 \odot x}_{\text{General expression in terms of } x}$$

- ▶ The diagonal function *OPPOSITE* is the function given by the I-O rule

$$x \xrightarrow{OPPOSITE} OPPOSITE(x) = \underbrace{-1 \odot x}_{\text{General expression in terms of } x}$$

**LANGUAGE NOTE 5.3** The name *diagonal function* is not standard but there is no standard word that covers both the *IDENTITY*

function and the *OPPOSITE* function in spite of the fact that they really belong together.

The name *diagonal function* alludes to the look of the global graphs relative to the quincunx.

**2. Global graphs.** The **global graph** of diagonal functions is the next simplest of the global graphs of the only three kinds of **functions** whose **global graph** is a **straight line** and which, therefore, we can get *directly*.

The **global graph** of diagonal functions involve **plot dots** for input-output pairs whose input and output have the same size.

**EXAMPLE 5.5.** The following I-O pairs give plot dots:

- ▶  $(0, 0)$ , for both the Identity function and the Opposite function,
- ▶  $(+1, +1)$ , for the Identity function,
- ▶  $(-73.092, +73.092)$ , for the Opposite function.

**PROCEDURE 5.4** To get the global graph of a function given by the I-O rule

$$x \xrightarrow{\text{DIAGONAL}} \text{DIAGONAL}(x) = \pm 1 \odot x$$

i. **Plot** any two input-output pairs  $(\text{input}, \text{output})$  with:

For the *IDENTITY* function, **output** the *same* as **input**

For the *OPPOSITE* function, **output** the *opposite* of **input**

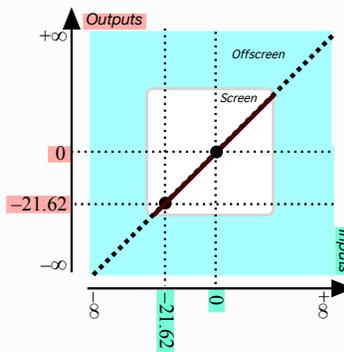
ii. Draw a **straight line** through the two **plot dots**.

**DEMO 5.4a**

To get the global graph of the function given by the I-O rule

$$x \xrightarrow{\text{IDENTITY}} \text{IDENTITY}(x) = +1 \odot x$$

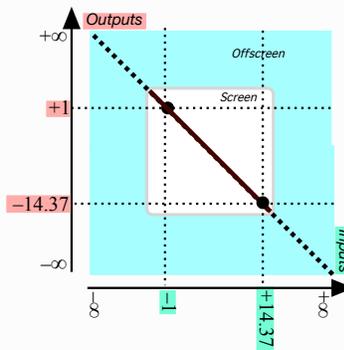
- i. Plot, say, the pair  $(0, 0)$  and the pair  $(-21.62, -21.62)$
- ii. Draw the straight line through the two plot dots.

**DEMO 5.4b**

To get the global graph of the function given by the I-O rule

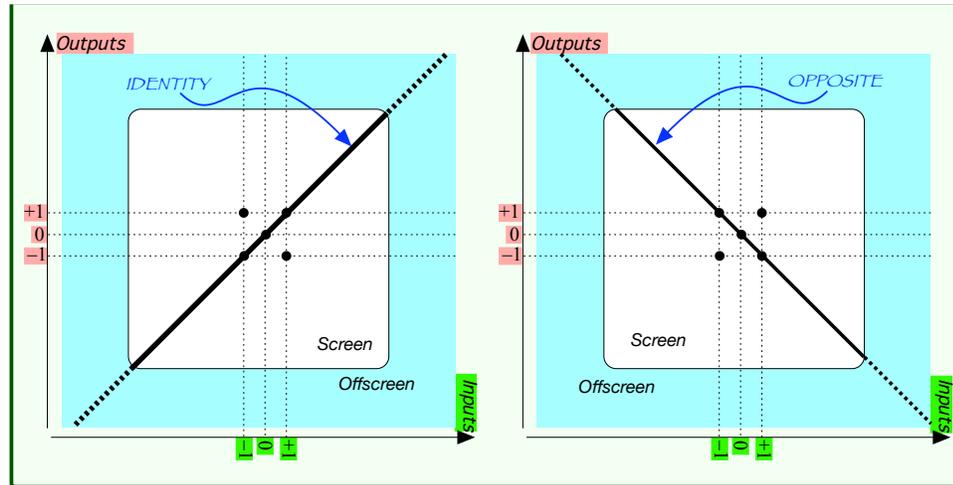
$$x \xrightarrow{\text{OPPOSITE}} \text{OPPOSITE}(x) = -1 \odot x$$

- i. Plot, say, the pair  $(-1, +1)$  and the pair  $(+14.37, -14.37)$
- ii. Draw the straight line through the two plot dots.



In terms of the *quincunx*,

**THEOREM 5.1 Global graphs of the *DIAGONAL* functions.**  
The *global graphs* of the *DIAGONAL* functions are the *straight lines* that extend the diagonals of the *quincunx*:



*Proof.* We cannot prove THEOREM 5.1 because we have no definition for straight line.  $\square$

### 3. Control point(s).

### 4. Local graphs.

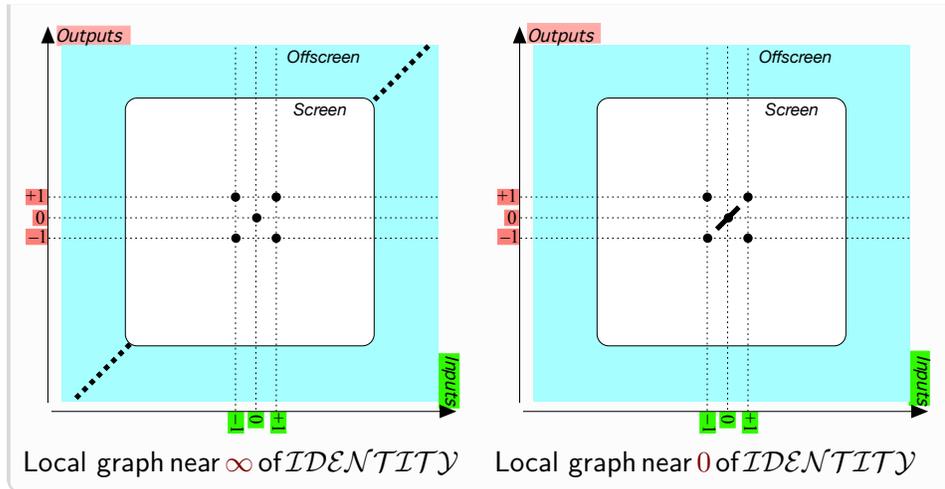
**PROCEDURE 5.5** To get the local graph near  $\infty$  or the local graph near 0 of a *DIAGONAL* functions given by the I-O rule .

$$x \xrightarrow{\text{DIAGONAL}} \text{DIAGONAL}(x) = \pm 1 \odot x$$

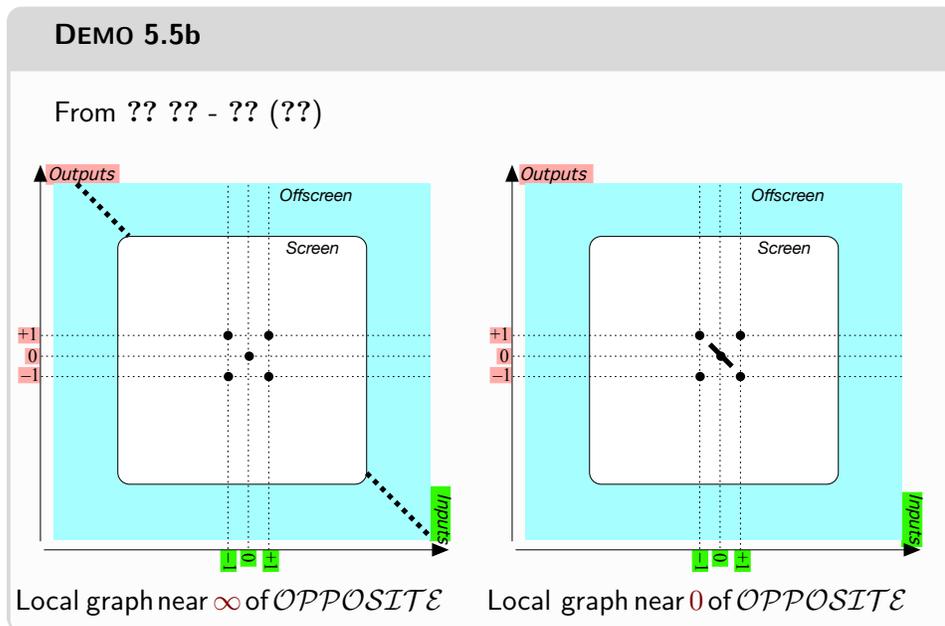
Use ?? ?? - ?? (??) to get the local graphs from the global graph given by THEOREM 5.1 - Global graphs of the *DIAGONAL* functions (Page 237).

#### DEMO 5.5a

From DEMO 1.9a - Output level band for  $-7.83$  (Page 122)



global slope



=====OK SO FAR =====

**5. Local features.** What makes **diagonal functions** different from most other functions is that they lack local concavity and have only local height and local slope.

But then, since for a **diagonal function** the local slope is the same everywhere, the graph of a **diagonal function** has a **global slope**,

run  
rise

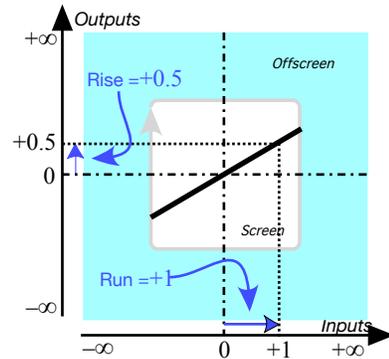
that is the fraction  $\frac{\text{Rise}}{\text{Run}}$  where, given two input-output pairs, the **run** is the difference from one input to the other and the **rise** is the corresponding difference from one output to the other.

In fact, the reason we like to use the inputs 0 and 1 is that they make it easy to see that the *global slope* of the *global graph* of a linear function is the *linear coefficient* of the *global input-output rule*.

**EXAMPLE 5.6.** Let  $f$  be the function specified by the *global input-output rule*

$$\begin{aligned} x \xrightarrow{f} f(x) &= (+0.5)x^{+1} \\ &= +0.5x \end{aligned}$$

the global slope of  $f$  is  $\frac{\text{Rise}}{\text{Run}} = \frac{+0.5}{+1} = +0.5$



### 6. Local I-O rules.

**PROCEDURE 5.6** To get the **output** near a given **point** for a *DIAGONAL* function given by the I-O rule  $x \xrightarrow{\text{DIAGONAL}}$   
 $\text{DIAGONAL}(x) = \pm 1 \odot x$

i. Declare that  $x$  is to be replaced by  $L$

$$x \left| \begin{array}{l} \xrightarrow{\text{DIAGONAL}} \\ x \leftarrow L \end{array} \right. \text{DIAGONAL}(x) \left| \begin{array}{l} \\ x \leftarrow L \end{array} \right. = \pm 1 \odot x \left| \begin{array}{l} \\ x \leftarrow L \end{array} \right.$$

that is:

$$L \xrightarrow{\text{DIAGONAL}} \text{DIAGONAL}(L) = \pm 1 \odot L$$

ii. Execute the output-specifying code into a *jet* near  $\infty$

$$= \underbrace{\begin{bmatrix} a \\ L \end{bmatrix}}_{\text{jet near } \infty}$$

which gives the *local input-output rule* near  $\infty$ :

$$L \xrightarrow{\text{DIAGONAL}} \text{LINEAR}(x) = \underbrace{\begin{bmatrix} a \\ x \end{bmatrix}}_{\text{jet near } \infty}$$

Linear function  
dilation function

7. Local features. Output *near* a point.

## 6 Linear Functions

Linear functions are given by dilating a *DIAGONAL* function.

**LANGUAGE NOTE 5.4** Another name for *linear function* is **dilation function** because it is easy to prove that the distance between any two outputs is obtained by just “dilating” the distance between the two inputs by the coefficient. (See [https://en.wikipedia.org/wiki/Dilation\\_\(metric\\_space\)](https://en.wikipedia.org/wiki/Dilation_(metric_space)).)

**CAUTIONARY NOTE 5.2** dgfdgfdgfdg  
([https://en.wikipedia.org/wiki/Linear\\_function](https://en.wikipedia.org/wiki/Linear_function))

**DEMO 5.6a** To get the output **near  $\infty$**  of the function specified by the global input-output rule

$$x \xrightarrow{\text{BINK}} \text{BINK}(x) = \underbrace{(-26.18)x^{+1}}_{\text{output-specifying code}}$$

i. We declare that  $x$  is to be replaced by  $\pm L$

$$x \Big|_{x \leftarrow \pm L} \xrightarrow{\text{BINK}} \text{BINK}(x) \Big|_{x \leftarrow \pm L} = (-26.18)x^{+1} \Big|_{x \leftarrow \pm L}$$

which gives:

$$\pm L \xrightarrow{\text{BINK}} \text{BINK}(-3) = \underbrace{(-26.18) \cdot (\pm L)^{+1}}_{\text{output specifying code}}$$

ii. We execute the output-specifying code

$$= -26.18 \cdot \pm L$$

Since 26.18 is *medium-size*, ?? on ?? gives  $26.18 \cdot large = large$  and, using ?? on ??, we get:

$$= \mp large$$

which gives the *input-output pair*

$$(\pm large, \mp large)$$

**DEMO 5.6b** To get the output **near 0** of the function specified by the global input-output rule

$$x \xrightarrow{JINK} JINK(x) = \underbrace{(+45.57)x}_{\text{output-specifying code}}$$

i. We declare that  $x$  is to be replaced by  $\pm small$

$$x \Big|_{x \leftarrow \pm small} \xrightarrow{JINK} JINK(x) \Big|_{x \leftarrow \pm small} = (+45.57)x^{+1} \Big|_{x \leftarrow \pm small}$$

which gives:

$$\pm small \xrightarrow{JINK} JINK(\pm small) = \underbrace{(+45.57) \cdot (\pm small)^{+1}}_{\text{output specifying code}}$$

ii. We execute the output-specifying code

$$= +45.57 \cdot \pm small$$

Since 45.57 is *medium-size*, ?? on ?? gives  $45.57 \cdot small = small$  and, using ?? on ??, we get:

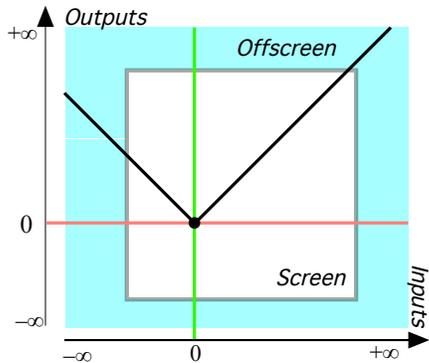
$$= \pm small$$

which gives the *input-output pair*

$$(\pm small, \pm small)$$

## 7 Piecewise Linear Functions

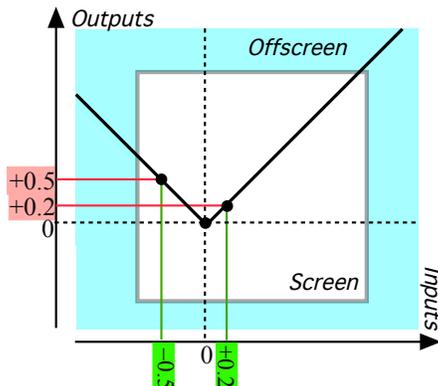
**EXAMPLE 5.7.** The function *SIZE*, aka *ABSOLUTE VALUE* function, is given by the global graph:



In other words,

- ▶ If  $x > 0$ , then  $SIZE(x) = x$ ,
- ▶ If  $x = 0$ , then  $SIZE(x) = 0$ ,
- ▶ If  $x < 0$ , then  $SIZE(x) =$   
Opposite  $x$ ,

But then, while  $SIZE(0) = 0$



shows that

- ▶  $SIZE(+0.2) > 0$
- ▶  $SIZE(-0.5) > 0$

## 8 Affine Functions

addong

**DEFINITION 5.4** An **affine functions** is the sum of a linear function and a constant function, that is, in other words, a linear combination of *UNIT*<sup>+</sup> and *IDENTITY*:

linear part  
 constant part  
 affine function  
 linear term  
 linear coefficient  
 constant term  
 constant coefficient

$$\begin{aligned}
 x &\xrightarrow{AFFINE} AFFINE(x) = a \odot IDENTITY(x) \oplus b \odot UNIT^+(x) \\
 &= a \odot IDENTITY(x) \oplus b \odot UNIT^+(x) \\
 &= a \odot x \oplus b \odot +1 \\
 &= \underbrace{a \odot x \oplus b}_{\text{Generic expression in terms of } x}
 \end{aligned}$$

in which

$a \odot x$  is the **linear term** and  $a$  is the **linear coefficient**

and

$b$  is both the **constant term** and the **constant coefficient**

Moreover,

- The **linear part** of *AFFINE* is the linear function given by:

$$x \xrightarrow{LIN-AFFINE} LIN-AFFINE(x) = a \odot x$$

and,

- The **constant part** of *AFFINE* is the constant function given by:

$$x \xrightarrow{CONST-AFFINE} CONST-AFFINE(x) = b$$

**EXAMPLE 5.8.** The affine function *NINA* given by the linear coefficient  $-31.39$  and the constant coefficient  $+5.34$  is the function given by the I-O rule

$$\begin{aligned}
 x &\xrightarrow{NINA} NINA(x) = \underbrace{-31.39 \odot x}_{\text{linear term}} \oplus \underbrace{+5.34}_{\text{constant term}} \\
 &= \underbrace{-31.39}_{\text{linear coefficient}} \odot x \oplus \underbrace{+5.34}_{\text{constant coefficient}}
 \end{aligned}$$

Moreover,

- The **linear part** of *NINA* is the linear function

$$x \xrightarrow{LIN-NINA} LIN-NINA(x) = -31.39 \odot x$$

and

- The **constant part** of *NINA* is the constant function

$$x \xrightarrow{CONST-NINA} CONST-NINA(x) = +5.34$$

**CAUTIONARY NOTE 5.3** Very unfortunately, there is a lot of confusion in the literature between linear functions and **affine functions** (Including in [https://en.wikipedia.org/wiki/Linear\\_function\\_\(calculus\)](https://en.wikipedia.org/wiki/Linear_function_(calculus)) but see [https://en.wikipedia.org/wiki/Linear\\_function](https://en.wikipedia.org/wiki/Linear_function).) This is because, as we will see, like linear functions, **affine functions** also have a **straight line** as global graph. The reason this confusion is most regrettable is that linear functions have a very important property, 'linearity', that no other **functions**—including **affine functions**—have: A dilation of a sum of two functions is the sum of the dilations of the two functions. (<https://en.wikipedia.org/wiki/Linearity>) We will discuss 'linearity' in xxx:  
See ?? ?? - ?? (??)

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**1. Output at a given number** We use PROCEDURE 4.1 - Get the **output** at  $x_0$  from the I-O rule giving  $f$  (Page 208)

**EXAMPLE 5.9.**

**EXAMPLE 5.10.**

**PROCEDURE 5.7**

i. Declare that  $x$  is to be replaced by  $x_0$

$$x \Big|_{x \leftarrow x_0} \xrightarrow{AFFINE} AFFINE(x) \Big|_{x \leftarrow x_0} = ax + b \Big|_{x \leftarrow x_0}$$

which gives:

$$x_0 \xrightarrow{AFFINE} AFFINE(x_0) = \underbrace{ax_0 + b}_{\text{output-specifying code}}$$

ii. *Execute* the output-specifying code into an output *number*:

$$= ax_0 + b$$

which gives the input-output pair

$$(x_0, ax_0 + b)$$

### DEMO 5.7

i. We *declare* that  $x$  is to be replaced by  $-3$

$$x \Big|_{x \leftarrow -3} \xrightarrow{ALDA} ALDA(x) \Big|_{x \leftarrow -3} = -32.67x + 71.07 \Big|_{x \leftarrow -3}$$

which gives

$$-3 \xrightarrow{ALDA} ALDA(-3) = \underbrace{-32.67(-3)}_{\text{output specifying code}} + 71.07$$

ii. We *execute* the output-specifying code into an output *number*:

$$= +98.01 + 71.07$$

$$= +169.08$$

which gives the *input-output pair*

$$(-3, +169.08)$$

However, as already discussed in ?? ?? and as has already been the case with *monomial* functions, instead of getting the output of an affine function *at* a given input, be it  $\infty$  or  $x_0$ , we will usually get the output of the affine function *near* that given input.

**2. Output *near*  $\infty$**  In order to get the output *near*  $\infty$ , we could proceed as we did in ?? ?? with monomial functions, that is we could *declare* “ $x$  is  $\pm large$ ” and replace  $x$  everywhere in the output-specifying code by  $\pm large$ . However, the generic expression for affine functions and all functions thereafter will involve more than just one term and using  $\pm large$  would become more and more time consuming.

So, in conformity with universal practice, we will declare “ $x$  near  $\infty$ ” but write just  $x$  after that. This, though, is extremely dangerous as it is easy to forget that what we write may be TRUE *only* because  $x$  has been declared to be near  $\infty$ .

3. We will *execute* the output-specifying code, here  $ax + b$ , into a **jet**, that is with the terms in *descending order of sizes*, which, because  $x$  is *large*, means that the powers of  $x$  must be in *descending order of exponents*. We will then have the **local input-output rule near  $\infty$**

$$x \text{ near } \infty \xrightarrow{\text{AFFINE}} \text{AFFINE}(x) = \underbrace{\text{Powers of } x \text{ in } \textit{descending order of exponents}}_{\text{output jet near } \infty}$$

**EXAMPLE 5.11.** Given the function given by

$$x \xrightarrow{\text{BIBA}} \text{BIBA}(x) = -61.03 - 82.47x$$

To get the jet near  $\infty$ , we first need to get the *order of sizes*.

i.  $-61.03$  is *bounded*

ii.  $-82.47$  is *bounded* and  $x$  is *large*. So, since *bounded*  $\cdot$  *large* = *large*,  $-82.47 \cdot x$  is *large*

Then, in the jet near  $\infty$ ,  $-82.47x$  must come first and  $-61.03$  comes second

So, we get the local input-output rule near  $\infty$ :

$$x \text{ near } \infty \xrightarrow{\text{BIBA}} \text{BIBA}(x) = \underbrace{-82.47x - 61.03}_{\text{output jet near } \infty}$$

4. Altogether, then:

#### PROCEDURE 5.8

i. Declare that  $x$  is near  $\infty$

$$x \Big|_{x \text{ near } \infty} \xrightarrow{\text{AFFINE}} \text{AFFINE}(x) \Big|_{x \text{ near } \infty} = ax + b \Big|_{x \text{ near } \infty}$$

which gives:

$$x \text{ near } \infty \xrightarrow{\text{AFFINE}} \text{AFFINE}(x) = \underbrace{ax + b}_{\text{output-specifying code}}$$

ii. Execute the output-specifying code into a *jet* near  $\infty$

$$= \underbrace{[a]x \oplus [b]}_{\text{output jet near } \infty}$$

where

- $a$  is the *linear* coefficient in the jet near  $\infty$
- $b$  is the *constant* coefficient in the jet near  $\infty$ .

which gives the *local input-output rule* near  $\infty$ :

$$x \text{ near } \infty \xrightarrow{\text{AFFINE}} \text{AFFINE}(x) = \underbrace{[a]x \oplus [b]}_{\text{output jet near } \infty}$$

approximate

(Here the jet near  $\infty$  looks the same as the given global input-output rule but that is only because the output-specifying code *happened* to be written in *descending* order of exponents.)

**DEMO 5.8**

i. We declare that  $x$  is near  $\infty$

$$x \Big|_{x \text{ near } \infty} \xrightarrow{NINA} NINA(x) \Big|_{x \text{ near } \infty} = -61.03 - 82.47x \Big|_{x \text{ near } \infty}$$

which gives:

$$x \text{ near } \infty \xrightarrow{NINA} NINA(x) = \underbrace{-61.03 - 82.47x}_{\text{output-specifying code}}$$

ii. We execute the output-specifying code into a jet near  $\infty$ :

$$= [-82.47]x \oplus [-61.03]$$

which gives the *local input-output rule* near  $\infty$ :

$$x \text{ near } \infty \xrightarrow{NINA} NINA(x) = \underbrace{[-82.47]x \oplus [-61.03]}_{\text{output jet near } \infty}$$

where:

- $-82.47$  is the *linear* coefficient in the jet near  $\infty$
- $-61.03$  is the *constant* coefficient in the jet near  $\infty$ .

(Here the jet near  $\infty$  does *not* look the same as the *global* input-output rule because the output-specifying code happened *not* to be in descending order of exponents.)

The reason we use *jets* here is that the term *largest in size* is the *first* term so that to **approximate** the output we need only write the *first* term in the jet and just replace the remaining terms by [...] which stands for “something too small to matter here”. In other words,

**THEOREM 5.2 Approximate output near  $\infty$ .** For *affine* functions, the term in the jet that contributes most to the output near  $\infty$  is the *highest degree term* in the output jet near  $\infty$ :

$$x \text{ near } \infty \xrightarrow{AFFINE} AFFINE(x) = [a]x + [...]$$

**EXAMPLE 5.12.** Given the function given by

$$x \xrightarrow{NINA} NINA(x) = -61.03 - 82.47x$$

$$x \text{ near } \infty \xrightarrow{NINA} NINA(x) = [-82.47]x + [-61.03]$$

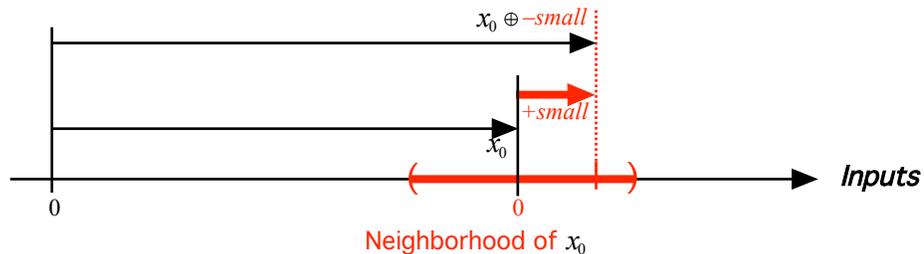
near  $\infty$  we will often just use the *approximation*

$$x \text{ near } \infty \xrightarrow{NINA} NINA(x) = [-82.47]x + [...]$$

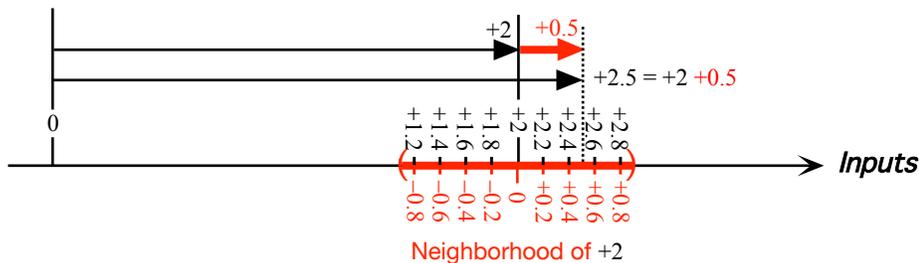
**5. Output near  $x_0$**  [https://en.wikipedia.org/wiki/Jet\\_\(mathematics\)](https://en.wikipedia.org/wiki/Jet_(mathematics))

While with monomial functions 0 played just as iALERT a role as  $\infty$  (Section 7 *Reciprocity*), this will not at all be the case with affine functions and all functions thereafter as we *will* very often be interested in the neighborhood of some *given* bounded input(s) *other* than 0. As a matter of fact, the input 0 will usually not be of much more interest than other bounded inputs. (But we will often be concerned with the *output* 0.)

**6.** In order to “thicken the plot” near a given *bounded* input, we could proceed basically just as we did in ?? ?? with monomial functions, that is declare “ $x \leftarrow x_0 + \textit{small}$ ” or “ $x \leftarrow x_0 - \textit{small}$ ” and replace  $x$  everywhere in the output-specifying code by “ $x_0 \oplus \textit{small}$ ”

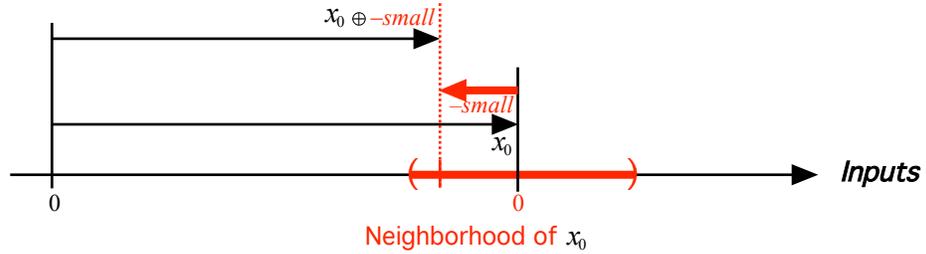


**EXAMPLE 5.13.** The input +2.5 is *near* the given input +2:

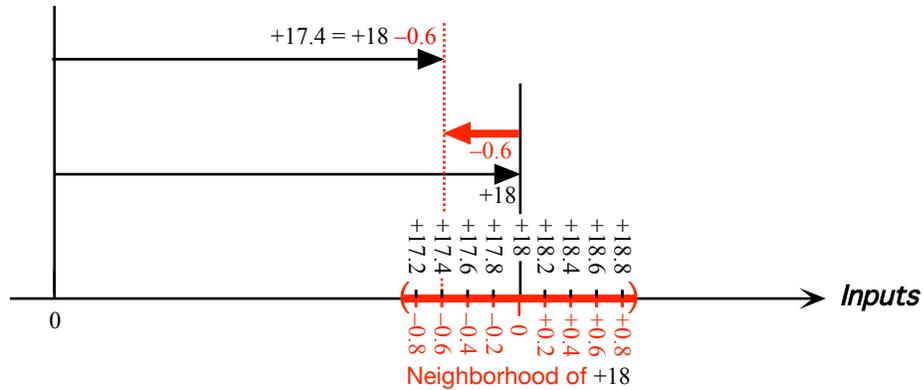


or by “ $x \leftarrow x_0 - \textit{small}$ ”.

*h*



**EXAMPLE 5.14.** The input +17.4 is *near* the given input +18:



However, as already pointed out in subsection 8.2 *Output near ∞*, unlike monomial functions the generic expression for affine functions and all functions thereafter will involves more than just one term. So, using “ $x_0 \oplus +small$ ” or “ $x_0 \oplus -small$ ” would become more and more time consuming and instead we will use “ $x_0 + h$ ” where the letter *h* is universally accepted as standing for *+small* or *-small*. In other words, *h* already includes the *sign*.

Of course, in order to input a neighborhood of 0, we will declare that  $x \leftarrow h$ , aka  $x \leftarrow 0 + h$ , in other words that  $x$  is to be replaced by  $h$ .

7. We can then *execute* the input-output specifying phrase into a *jet* that is with the terms in **descending order of sizes** which here, since *h* is *small*, means that the powers of *h* will have to be in *ascending order* of exponents. We will then have the local input-output rule near the given input:

$$x_0 \oplus h \xrightarrow{\text{AFFINE}} \text{AFFINE}(x_0 \oplus h) = \underbrace{\text{Powers of } h \text{ in ascending order of exponents}}_{\text{output jet near } \infty}$$

**EXAMPLE 5.15.** Given the function given by the global input-output rule

$$x \xrightarrow{BIBA} BIBA(x) = -82.47x - 61.03$$

Near  $+2$  we do *not* already have the powers of  $h$  and we must begin by getting them.

$$\begin{aligned} +2 + h &\xrightarrow{BIBA} BIBA(+2 + h) = -82.47(+2 + h) - 61.03 \\ &= -82.47(+2) - 82.47h - 61.03 \\ &= -164.94 - 82.47h - 61.03 \\ &= -82.47h - 225.97 \end{aligned}$$

Now we need to get the powers of  $h$  in *descending order of sizes*: Since  $-82.47$  is *bounded* and  $h$  is *small* then by ?? on ??,  $-82.47 \cdot h$  is *small* while  $-225.97$  is *bounded* so that  $-225.97$  comes first and we get the local input-output rule near  $+2$ :

$$+2 + h \xrightarrow{AFFINE} AFFINE(+2 + h) = \underbrace{-225.97 - 82.47h}_{\text{output jet near } +2}$$

8. We will therefore use:

### PROCEDURE 5.9

i. Declare that  $x$  is to be replaced by  $x_0 + h$

$$x \Big|_{x \leftarrow x_0 + h} \xrightarrow{AFFINE} AFFINE(x) \Big|_{x \leftarrow x_0 + h} = ax + b \Big|_{x \leftarrow x_0 + h}$$

which gives:

$$x_0 + h \xrightarrow{AFFINE} AFFINE(x_0 + h) = \underbrace{a(x_0 + h) + b}_{\text{output-specifying code}}$$

ii. Execute the output-specifying code into a *jet* near  $x_0$ :

$$= ax_0 + ah + b$$

iii. Reorganize into a **jet** near  $x_0$ :

$$= \underbrace{[ax_0 + b]}_{\text{output jet near } x_0} \oplus [a]h$$

which gives the *local input-output rule* near  $x_0$ :

$$x_0 + h \xrightarrow{AFFINE} AFFINE(x_0 + h) = \underbrace{[ax_0 + b]}_{\text{output jet near } x_0} \oplus [a]h$$

where:

- $ax_0 + b$  is the **constant coefficient in the jet near  $x_0$**
- $a$  is the **linear coefficient in the jet near  $x_0$** .

**DEMO 5.9**

i. We declare that  $x$  is to be replaced by  $-3 + h$

$$x \Big|_{x \leftarrow -3+h} \xrightarrow{ALDA} ALDA(x) \Big|_{x \leftarrow -3+h} = -32.67x + 71.07 \Big|_{x \leftarrow -3+h}$$

which gives

$$-3 + h \xrightarrow{ALDA} ALDA(-3 + h) = \underbrace{-32.67(-3 + h) + 71.07}_{\text{output specifying code}}$$

ii. We *execute* the output-specifying code into a *jet* near  $-3$ :

$$\begin{aligned} &= -32.67(-3) - 32.67h + 71.07 \\ &= +98.01 - 32.67h + 71.07 \\ &= +98.01 + 71.07 - 32.67h \\ &= \underbrace{[+169.08] \oplus [-32.67]}_{\text{output jet near } -3} h \end{aligned}$$

which gives the *local input-output rule* near  $-3$ :

$$-3 + h \xrightarrow{ALDA} ALDA(-3 + h) = \underbrace{[+169.08] \oplus [-32.67]}_{\text{output jet near } -3} h$$

**9.** Near  $x_0$ , just as we saw was the case near  $\infty$  (see THEOREM 7.10 on page 330), we will often *approximate* the jet to the term(s) that is(are) *largest in size*, which near  $x_0$  is(are) the power(s) of  $h$  with the *lowest* exponent(s), and we will just replace the remaining terms by [...] to stand for “something too small to matter here”.

In fact, for *affine* functions, we will often use:

**THEOREM 5.3 Approximate output near  $x_0$ .** For *affine* functions, near  $x_0$  the term in the jet that contributes the most to the output is *ordinarily* the *constant term*:

$$x_0 + h \xrightarrow{AFFINE} AFFINE(x_0 + h) = [ax_0 + b] + [...]$$

The exception is of course when the constant term  $ax_0 + b = 0$ .

10. When all we want is a feature-sign, though, the above procedure is inefficient and we will then use the following procedure based directly on the fact that an *affine function* is the addition of:

- a **cube function**, (See DEFINITION 6.5 on page 264)
- a **square function**, (See DEFINITION 6.2 on page 262)
- a **linear function**, (See ?? on ??.)
- a **constant function**. (See ?? on ??.)

that is:

$$x \xrightarrow{AFFINE} AFFINE(x) = \underbrace{ax^3}_{\text{cube}} \oplus \underbrace{bx^2}_{\text{square}} \oplus \underbrace{cx}_{\text{linear}} \oplus \underbrace{d}_{\text{constant}}$$

We declare that  $x$  is near  $x_0$  that is that  $x$  must be replaced by  $x_0 + h$ :

$$x \xrightarrow{AFFINE} AFFINE(x) = \underbrace{a(x_0 + h)^3}_{\text{cube}} \oplus \underbrace{b(x_0 + h)^2}_{\text{square}} \oplus \underbrace{c(x_0 + h)}_{\text{linear}} \oplus \underbrace{d}_{\text{constant}}$$

The output of the local input-output rule near  $x_0$  will have to be a *jet*:

$$x_0 + h \xrightarrow{AFFINE} AFFINE(x_0 + h) = \left[ \quad \right] \oplus \left[ \quad \right]h \oplus \left[ \quad \right]h^2 \oplus \left[ \quad \right]h^3$$

and we want to be able to get any one of the coefficients of the output jet without having to compute any of the other coefficients. So, what we will do is to get the contribution of each monomial function to the term we want.

This requires us to have the *addition formula* at our finger tips:

a.

$$(x_0 + h)^2 = x_0^2 + 2x_0h + h^2 \text{ (See ?? on page 519)}$$

b.

$$(x_0 + h)^3 = x_0^3 + 3x_0^2h + 3x_0h^2 + h^3 \text{ (See ?? on ??)}$$

More precisely,

i. If we want the *coefficient* of  $h^0$  in the output jet:

- The **cube** function contributes  $ax_0^3$
- The **square** function contributes  $bx_0^2$
- The **linear** function contributes  $cx_0$
- The **constant** function contributes  $d$

so we have:

$$x_0 + h \xrightarrow{AFFINE} AFFINE(x_0 + h) = \left[ ax_0^3 + bx_0^2 + cx_0 + d \right] \oplus \left[ \quad \right]h \oplus \left[ \quad \right]h^2 \oplus \left[ \quad \right]h^3$$

ii. If we want the *coefficient* of  $h^1$  in the output jet:

- The **cube** monomial function contributes  $3bx_0^2$

- The **square** monomial function contributes  $2bx_0$
- The **linear** monomial function contributes  $c$
- The **constant** monomial function contributes nothing

so we have:

$$x_0 + h \xrightarrow{AFFINE} AFFINE(x_0 + h) = [ \quad ] \oplus [ 3bx_0^2 + 2bx_0 + c ] h \oplus [ \quad ] h^2 \oplus [ \quad ] h^3$$

If we want the *coefficient* of  $h^2$  in the output jet:

- The **cube** monomial function contributes  $3bx_0$
- The **square** monomial function contributes  $c$
- The **linear** monomial function contributes nothing
- The **constant** monomial function contributes nothing

so we have:

$$x_0 + h \xrightarrow{AFFINE} AFFINE(x_0 + h) = [ \quad ] \oplus [ \quad ] h \oplus [ c ] h^2 \oplus [ \quad ] h^3$$

11. If we want the *coefficient* of  $h^3$  in the output jet:

- The **square** monomial function contributes nothing
- The **linear** monomial function contributes nothing
- The **constant** monomial function contributes nothing

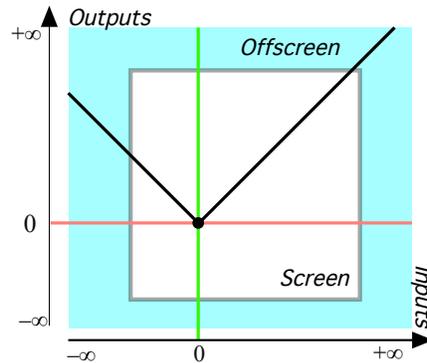
so we have:

$$x_0 + h \xrightarrow{AFFINE} AFFINE(x_0 + h) = [ \quad ] \oplus [ \quad ] h \oplus [ \quad ] h^2 \oplus [ a ] h^3$$

EndWORKzone - EndWORKzone - EndWORKzone - EndWORKzone - EndWORKzone

## 9 Piecewise Affine Functions

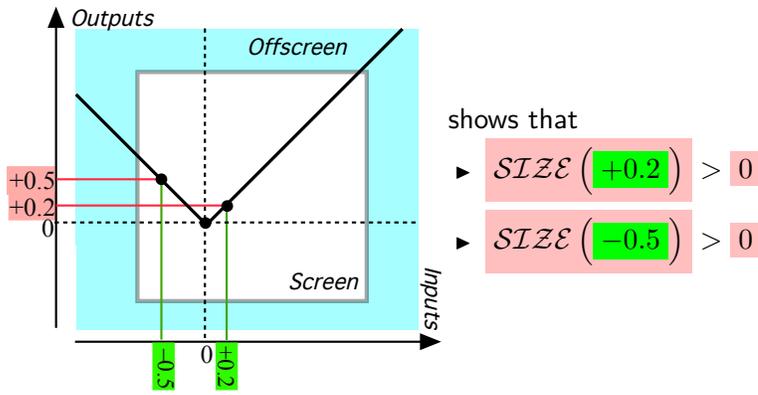
**EXAMPLE 5.16.** The function *SIZE*, aka *ABSOLUTE VALUE* function, is given by the global graph:



In other words,

- ▶ If  $x > 0$ , then  $SIZE(x) = x$ ,
- ▶ If  $x = 0$ , then  $SIZE(x) = 0$ ,
- ▶ If  $x < 0$ , then  $SIZE(x) =$   
Opposite  $x$ ,

But then, while  $SIZE(0) = 0$





power function  
coefficient  
exponent

## Chapter 6

# Regular Power Functions

Functions *SQUARING*<sup>+</sup> and *SQUARING*<sup>-</sup>, 262 • Functions *CUBING*<sup>+</sup> and *CUBING*<sup>-</sup>, 263 • Functions *RECIPROCAL*<sup>+</sup> and *RECIPROCAL*<sup>-</sup>, 263 • The Functions *SQUARE&RECIP*<sup>+</sup> and *SQUARE&RECIP*<sup>-</sup>, 264 • Secondary Regular Power Functions, 264 • Graphing Power Functions, 287 • Reciprocity, 298 • Global Graphing, 305 • Types of Global Graphs, 310 .

### =====Begin WORK ZONE=====

We now come to the **functions** that will be at the very core of CALCULUS ACCORDING TO THE REAL WORLD inasmuch as, being the simplest possible **functions** we can **give** with an I-O rule, they will, as mentioned in Chapter 0, be to (*Laurent*) *polynomial approximations* of **functions** ([https://en.wikipedia.org/wiki/Asymptotic\\_expansion](https://en.wikipedia.org/wiki/Asymptotic_expansion)) what powers of TEN,

*About time too!*

... 0.0001, 0.001, 0.01, 0.1, 1.0, 10.0, 100.0, 1 000.0, 10 000.0 ...  
are to *decimal approximations* of **real numbers**.

### 1. I-O rule.

#### DEFINITION 6.1

A **power function**  $\mathcal{P}$  is a **function given** by an I-O rule of the form

$$x \xrightarrow{\mathcal{P}} \mathcal{P}(x) = \underbrace{\text{coefficient } x^{\text{exponent}}}_{\text{General expression in terms of } x}$$

- where:
- ▶ the given coefficient is either +1. or -1.,
  - ▶ the given exponent is a signed counting number  $\pm n$

In other words, the I-O rule of a power function is:

$$x \xrightarrow{f} f(x) = \underbrace{\pm 1 x^{\pm n}}_{\text{General expression in terms of } x}$$

in which

- Size exponent says *how many copies* of  $x$  are to be made and multiplied if there is more than one copy. (If exponent is 0, then *no* copy of  $x$  is to be made.)
- Sign exponent.  $\pm$ . says what to do to the coefficient with the product of the copies of  $x$ :
  - If Sign exponent is + then the coefficient, namely  $\pm 1$ , is to be *multiplied* by the product of the copies of  $x$
  - If Sign exponent is -, then the coefficient, namely  $\pm 1$ , is to be *divided* by the product of the copies of  $x$ ,
  - If exponent is 0, then the coefficient, namely  $\pm 1$ , is to be *left alone*

**EXAMPLE 6.1.** Let  $f$  be the power function given by the I-O rule

$$x \xrightarrow{f} f(x) = -1 x^{+7}$$

then, DEFINITION 6.1 - Power Functions (Page 257) gives

$$\begin{aligned} &= -1 \text{ multiplied by } x \odot x \odot x \odot x \odot x \odot x \odot x \\ &= -1 \odot x \end{aligned}$$

**EXAMPLE 6.2.** Let  $f$  be the power function given by the I-O rule

$$x \xrightarrow{f} f(x) = -1 x^{-6}$$

then, DEFINITION 6.1 - Power Functions (Page 257) gives

$$\begin{aligned} &= -1 \text{ divided by } x \odot x \odot x \odot x \odot x \odot x \\ &= \frac{-1}{x \odot x \odot x \odot x \odot x \odot x} \end{aligned}$$

$$= \frac{-1}{x \odot x \odot x \odot x \odot x \odot x \odot x}$$

**EXAMPLE 6.3.** Let  $f$  be the power function given by the I-O rule

$$x \xrightarrow{f} f(x) = -1 x^0$$

Then, DEFINITION 6.1 - Power Functions (Page 257) gives

$$\begin{aligned} &= -1 \text{ left alone} \\ &= -1 \end{aligned}$$

**CAUTIONARY NOTE 6.1**

- ▶ The **+** in the **coefficient** goes very often without saying,
  - ▶ The **+1** in the **exponent** goes *entirely* without saying,
- However, even though the above “shortcuts” are completely standard, since nothing goes without saying in *this* text, these shortcuts will *not* be used.

*In other words, the idea is for you to go only by what you see.*

**EXAMPLE 6.4.** The I-O rule

$$x \xrightarrow{f} f(x) = -1 x^{+7}$$

would usually be “shortened” to:

$$x \xrightarrow{f} f(x) = -x^7$$

*But not in this text!*

**EXAMPLE 6.5.** The I-O rule

$$x \xrightarrow{f} f(x) = +1 x^{+1}$$

would usually be “shortened” to:

$$x \xrightarrow{f} f(x) = x$$

**EXAMPLE 6.6.** The I-O rule

$$x \xrightarrow{f} f(x) = +1 x^{-4}$$

would usually be “shortened” to:

$$x \xrightarrow{f} f(x) = x^{-4}$$

*But then where would the number the **4** copies of  $x$  are to **divide** come from?*

Aside from being used to approximate functions, the power functions will also be:

- ▶ The functions whose local graphs near 0 and near  $\infty$  we will use to get the local graphs of all the functions we will discuss in this Volume I. ??  
?? - ?? (??)

exceptional power function

- ▶ The simplest functions to exhibit the local behaviors we described in Chapter 2
- ▶ The functions which we will use to ‘gauge’ all other functions.

**LANGUAGE NOTE 6.1** **Power Functions** is the name that is normally used when the coefficient is  $+1$  or  $-1$  but, unfortunately, the name power function is also used when the coefficient is *any* number, something, however, we will *not* do in this text.

**2. Exceptional power functions.** We will call those power functions for which **exponent** is either  $0$  or  $+1$  **exceptional power functions** because:

**THEOREM 6.1** Exceptional power functions lack at least one feature:

- ▶ Exceptional power functions for which **exponent** is  $0$  lack slope and concavity,
- ▶ Exceptional power functions for which **exponent** is  $+1$  lack concavity,

*Proof.* ▶

$$\begin{aligned} \text{When } \mathbf{exponent} \text{ is } 0 \text{ we have } x \xrightarrow{f} f(x) &= +1 x^0 \\ &= +1 \\ &= +1 \\ &= \mathcal{UNIT}^+(x) \end{aligned}$$

and, similarly,

$$\begin{aligned} x \xrightarrow{f} f(x) &= -1 x^0 \\ &= -1 \\ &= -1 \\ &= \mathcal{UNIT}^-(x) \end{aligned}$$

▶

$$\text{When } \mathbf{exponent} \text{ is } +1 \text{ we have } x \xrightarrow{f} f(x) = +1 x^{+1}$$

and, similarly,

$$\begin{aligned}
 &= +1 \odot x && \text{regular power function} \\
 &= x && \text{characteristic} \\
 &= \text{IDENTITY}(x) && \text{parity} \\
 &&& \text{even power function} \\
 &&& \text{odd power function} \\
 &&& \text{type} \\
 x \xrightarrow{f} f(x) &= -1 x^{+1} \\
 &= -1 \odot x \\
 &= \text{opp } x \\
 &= \text{OPPOSITE}(x) \quad \square
 \end{aligned}$$

**3. Regular power functions.** In contrast with the exceptional power functions, we will call **regular power functions** those power functions for which exponent is any signed counting number other than 0 and +1

a. Along with Sign Coefficient and Sign exponent, the third characteristic of a regular power function, will be parity exponent:

- ▶ An **even power function** is a regular power function whose exponent is an even (whole) number,
- ▶ An **odd power function** is a regular power function whose exponent is an odd (whole) number.

b. From the point of view of these three characteristics, there will therefore be eight types of regular power functions but the order of the characteristics in the type

Sign exponent      Parity exponent      Sign Coefficient

is not quite the order in the output but, as we will see, is the order of importance in getting the global graph.

Type	Sign exponent	Parity exponent	Sign Coefficient	Output
+ even +	+	even	+	+1 x +even
+ even -			-	-1 x +even
+ odd +		odd	+	+1 x +odd

primary power function

+ odd -			-	-1 x +odd
- even +	-	even	+	+1 x -even
- even -			-	-1 x -even
- odd +		odd	+	+1 x -odd
- odd -			-	-1 x -odd

- c. Low degree power functions We will now discuss the eight **primary power functions**, that is the *regular power function* with lowest size exponent for each *type*.
- d. Secondary power functions  
[https://en.wikipedia.org/wiki/Exponentiation#Power\\_functions](https://en.wikipedia.org/wiki/Exponentiation#Power_functions)

## 1 Functions $SQUARING^+$ and $SQUARING^-$

**DEFINITION 6.2** The **squaring functions** are the power functions with *exponent* +2 namely:

$$x \xrightarrow{SQUARING^+} SQUARING^+(x) = +1 x^{+2}$$

and

$$x \xrightarrow{SQUARING^-} SQUARING^-(x) = -1 x^{+2}$$

1. Control point(s).
2. Global graphs.
3. Local graphs.
4. Local I-O rules.
5. Local features.

## 2 Functions $CUBING^+$ and $CUBING^-$

Cubing function  
reciprocating function

### 1. Global I-O rules.

**DEFINITION 6.3** are the power functions with *exponent*  $+3$ , namely:

$$x \xrightarrow{CUBING^+} CUBING^+(x) = +1 x^{+3}$$

and

$$x \xrightarrow{CUBING^-} CUBING^-(x) = -1 x^{+3}$$

### 2. Global graphs.

### 3. Control point(s).

### 4. Local graphs.

### 5. Local I-O rules.

### 6. Local features.

## 3 Functions $RECIPROCAL^+$ and $RECIPROCAL^-$

### 1. Global I-O rules.

**DEFINITION 6.4** Reciprocating Functions are the power functions with *exponent*  $-1$ , namely:

$$x \xrightarrow{RECIP^+} RECIP^+(x) = +1 x^{-1}$$

and

$$x \xrightarrow{RECIP^-} RECIP^-(x) = -1 x^{-1}$$

square-reciprocating  
functions

2. Global graphs.

3. Control point(s).

4. Local graphs.

5. Local I-O rules.

6. Local features. SQUARE-RECIP

#### 4 The Functions $SQUARE-RECIP^+$ and $SQUARE-RECIP^-$

1. Global I-O rules.

**DEFINITION 6.5** Square-reciprocating Functions are the power functions with *exponent*  $-2$ , namely:

$$x \xrightarrow{SQUARE-RECIP^+} SQUARE-RECIP^+(x) = +1 x^{-2}$$

and

$$x \xrightarrow{SQUARE-RECIP^-} SQUARE-RECIP^-(x) = -1 x^{-2}$$

2. Control point(s).

3. Global graphs.

4. Local graphs.

5. Local I-O rules.

6. Local features.

#### 5 Secondary Regular Power Functions

1. Global I-O rules.
2. Global graphs.
3. Local graphs.
4. Local I-O rules.
5. Local features.

=====OK SO FAR =====

6. Output at  $x_0$ . With ??, PROCEDURE 5.3 becomes:

**PROCEDURE 6.1**  
 To get the output at  $x_0$  for the power function given by the I-O rule

$$x \xrightarrow{f} f(x) = \pm 1 x^{\pm n}$$

i. Declare  $x_0$ :

$$x \Big|_{x \leftarrow x_0} \xrightarrow{f} f(x) \Big|_{x \leftarrow x_0} = \pm 1 x^{\pm n} \Big|_{x \leftarrow x_0}$$

ii. Write the expression particular to  $x_0$ :

$$x_0 \xrightarrow{f} f(x_0) = \pm 1 x_0^{\pm n}$$

iii. Execute the particular expression according to  $\pm n$ :

- $+$  says to multiply  $\pm 1$  by the  $n$  copies of  $x_0$ :
 
$$x_0 \xrightarrow{f} f(x_0) = \pm 1 \circ x_0 \circ \dots \circ x_0$$

$\underbrace{\hspace{10em}}_{n \text{ copies of } x_0}$
- $0$  says to leave  $\pm 1$  alone:
 
$$x_0 \xrightarrow{f} f(x_0) = \pm 1$$
- $-$  says to divide  $\pm 1$  by the  $n$  copies of  $x_0$ :
 
$$x_0 \xrightarrow{f} f(x_0) =$$



$$-3 \xrightarrow{FIP} FIP(-3) = -1 -3^0$$

iii. Execute the particular expression according to 0:

- 0 says to leave -1 alone:

$$-3 \xrightarrow{FIP} FIP(-3) = -1$$

**DEMO 6.1c**

To get the output at -3 of the power function whose I-O rule is  $x \xrightarrow{GIP} GIP(x) = +1 x^{-5}$ :

i. Declare -3:

$$x \Big|_{x \leftarrow -3} \xrightarrow{GIP} GIP(x) \Big|_{x \leftarrow -3} = +1 x^{-5} \Big|_{x \leftarrow -3}$$

ii. Write the expression particular to -3:

$$-3 \xrightarrow{GIP} GIP(-3) = +1 x_0^{-5}$$

iii. Execute the particular expression according to -5:

- says to divide +1 by the 5 copies of -3:

$$-3 \xrightarrow{GIP} GIP(-3) = \frac{+1}{\underbrace{-3 \odot -3 \odot -3 \odot -3 \odot -3}_{5 \text{ copies of } -3}} = -0.004 115 226 337 449 + [\dots]$$

*Only just in case you wanted to see lots of decimals.*

**DEMO 6.1d**

To get the output at -0.2 of the power function whose I-O rule is  $x \xrightarrow{GIP} GIP(x) = +1 x^{-5}$ :

i. Declare -0.2:

*Just in case you forgot the input could be a decimal number.*

$$x \Big|_{x \leftarrow -0.2} \xrightarrow{GIP} GIP(x) \Big|_{x \leftarrow -0.2} = +1 x^{-5} \Big|_{x \leftarrow -0.2}$$

ii. Write the expression particular to  $-0.2$ :

$$-0.2 \xrightarrow{GIP} GIP(-0.2) = +1 x_0^{-5}$$

iii. Execute the particular expression according to  $-5$ :

- $-$  says to *divide*  $+1$  by the  $5$  copies of  $-0.2$ :

$$-0.2 \xrightarrow{GIP} GIP(-0.2) = \frac{+1}{\underbrace{-0.2 \odot -0.2 \odot -0.2 \odot -0.2 \odot -0.2}_{5 \text{ copies of } -0.2}} = -3125$$

=====Begin HOLDING=====

7. Output *near*  $x_0$ .

8. Output *near*  $\infty$ .

i. When we want to thicken only one side of  $\infty$ , we proceed as follows:

**PROCEDURE 6.2**

1. *Normalize* the global input-input rule using ?? on ??
2. *Declare* that  $x$  is to be replaced by  $+large$  or  $-large$
3. *Execute* the output-specifying code that is:
  - a. *Decode* the output-specifying code, that is write out the computations to be performed according to the output-specifying code.
  - b. *Perform* the computations *given* by the code using ?? on ?? and ?? on ?? or ?? on ??

**DEMO 6.2**

i. We *normalize NADE*:

$$x \xrightarrow{NADE} NADE(x) = (-mediumsize) x^{-odd}$$

ii. We declare that  $x$  is to be replaced by  $+large$

$$x \Big|_{x \leftarrow +large} \xrightarrow{NADE} NADE(x) \Big|_{x \leftarrow +large} = (-mediumsize)x^{-odd} \Big|_{x \leftarrow +large}$$

which, once carried out, gives:

$$+large \xrightarrow{NADE} NADE(+large) = \underbrace{(-mediumsize)(+large)^{-odd}}_{\text{output-specifying code}}$$

iii. We execute the output-specifying code that is:

a. We decode the output-specifying code: since the exponent is *negative*, we get the output  $NADE(+large)$  by *dividing* the coefficient  $-mediumsize$  by an *odd* number of copies of the *given* input  $+large$ :

$$= \frac{-mediumsize}{\underbrace{(+large) \cdot \dots \cdot (+large)}_{\text{odd number of copies of } +large}}$$

b. We perform the computations given by the code. Dealing separately with the *signs* and the *sizes*, we have

$$= \frac{-mediumsize}{\underbrace{(+ ) \cdot \dots \cdot (+ )}_{\text{odd number of copies of } +} \cdot \underbrace{(large) \cdot \dots \cdot (large)}_{\text{odd number of copies of } large}}$$

and since,

- by ?? on ??, any number of copies of  $+$  multiply to  $+$ ,
- by the **DDEFINITION** of *large*, any number of copies of *large* multiply to *large*

$$= \frac{-mediumsize}{+ \cdot large}$$

and by ?? on ?? and ?? on ?? we get

$$= -small$$

iv. The input-output pairs are  $(+large, -small)$

**DEMO 6.3** Let  $RADE$  be the function given by the global input-output rule

$$x \xrightarrow{RADE} RADE(x) = (+45.67)x^{-4}$$

To get the input-output pairs near  $-\infty$  for  $RADE$ :

i. We *normalize*  $RADE$ :

$$x \xrightarrow{RADE} RADE(x) = (+mediumsize) x^{-even}$$

ii. We *declare* that  $x$  is to be replaced by  $-large$

$$x \Big|_{x \leftarrow -large} \xrightarrow{RADE} RADE(x) \Big|_{x \leftarrow -large} = (+mediumsize)x^{-even} \Big|_{x \leftarrow -large}$$

which, once carried out, gives:

$$-large \xrightarrow{RADE} RADE(-large) = \underbrace{(+mediumsize)(-large)^{-even}}_{\text{output-specifying code}}$$

iii. We *execute* the output-specifying code that is:

a. We *decode* the output-specifying code: since the exponent is *negative*, we get the output  $RADE(-large)$  by *dividing* the coefficient  $+mediumsize$  by an *even* number of copies of the *given* input  $-large$ :

$$= \frac{+mediumsize}{\underbrace{(-large) \cdot \dots \cdot (-large)}_{\text{even number of copies of } -large}}$$

b. We *perform* the computations *given* by the code:

Dealing separately with the *signs* and the *sizes*, we have

$$= \frac{+mediumsize}{\underbrace{(-) \cdot \dots \cdot (-)}_{\text{even number of copies of } -}} \cdot \underbrace{(large) \cdot \dots \cdot (large)}_{\text{even number of copies of } large}$$

and since,

- by the **Sign Multiplication Rule**, any *even* number of copies of  $-$  multiply to  $+$
- by the **DDEFINITION** of *large*, any number of copies of *large* multiply to *arge*

$$= \frac{+mediumsize}{+ \cdot large}$$

and by the **Sign Division Rule** and the **Size Division Theorem**

$$= +small$$

iv. The input-output pairs are  $(-large, +small)$

ii. When we want to thicken both sides of  $\infty$ , we declare that  $x$  is to be replaced by  $\pm large$  and keep track of the signs as we *perform the computations given* by the output-specifying code.

**DEMO 6.4** Let  $DADE$  be the function given by the global input-output rule

$$x \xrightarrow{DADE} DADE(x) = (-83.91)x^{+5}$$

To get the input-output pairs near  $\infty$  for  $DADE$ :

i. We *normalize*  $DADE$ :

$$x \xrightarrow{DADE} DADE(x) = (-mediumsize) x^{+odd}$$

ii. We *declare* that  $x$  is to be replaced by  $\pm large$

$$x \Big|_{x \leftarrow \pm large} \xrightarrow{DADE} DADE(x) \Big|_{x \leftarrow \pm large} = (-mediumsize)x^{+odd} \Big|_{x \leftarrow \pm large}$$

which, once carried out, gives:

$$\pm large \xrightarrow{DADE} DADE(\pm large) = \underbrace{(-mediumsize)(\pm large)^{+odd}}_{\text{output-specifying code}}$$

iii. We *execute* the output-specifying code that is:

a. We *decode* the output-specifying code: since the exponent is *positive*, we get that the output  $DADE(\pm large)$  is obtained by *multiplying* the coefficient  $-mediumsize$  by an *odd* number of copies of the *given* input  $\pm large$ :

$$= (-mediumsize) \cdot \underbrace{(\pm large) \cdot \dots \cdot (\pm large)}_{\text{odd number of copies of } \pm large}$$

b. We *perform* the computations given by the code. Dealing separately with the *signs* and the *sizes*, we have

$$= (-mediumsize) \cdot \underbrace{(\pm) \cdot \dots \cdot (\pm)}_{\text{odd number of copies of } \pm} \cdot \underbrace{(large) \cdot \dots \cdot (large)}_{\text{odd number of copies of } large}$$

and since,

- by the **Sign Multiplication Rule**, an *odd* number of copies of + multiply to + and an *odd* number of copies of – multiply to –
- by the **DDEFINITION** of *large*, any number of copies of *large* multiply to *large*

$$= (-\text{mediumsize}) \cdot \pm \cdot \text{large}$$

and by the **Sign Multiplication Rule** and the **Size Multiplication Theorem**

$$= \mp \text{large}$$

iv. The input-output pairs are  $(\pm \text{large}, \mp \text{large})$

**DEMO 6.5** Let  $PADE$  be the function given by the global input-output rule

$$x \xrightarrow{PADE} PADE(x) = (-65.18)x^{+6}$$

To get the input-output pairs near  $\infty$  for  $PADE$

i. We normalize  $PADE$ .

$$x \xrightarrow{PADE} PADE(x) = (-\text{mediumsize}) x^{+even}$$

ii. We declare that  $x$  is to be replaced by  $\pm \text{large}$

$$x \Big|_{x \leftarrow \pm \text{large}} \xrightarrow{PADE} PADE(x) \Big|_{x \leftarrow \pm \text{large}} = (-\text{mediumsize}) x^{+even} \Big|_{x \leftarrow \pm \text{large}}$$

which, once carried out, gives:

$$\pm \text{large} \xrightarrow{PADE} PADE(\pm \text{large}) = \underbrace{(-\text{mediumsize})(\pm \text{large})^{+even}}_{\text{output-specifying code}}$$

iii. We execute the output-specifying code that is:

a. We decode the output-specifying code: since the exponent is *positive*, we get the output  $PADE(\pm \text{large})$  by *multiplying* the coefficient  $-\text{mediumsize}$  by an *even* number of copies of the *given* input  $\pm \text{large}$ :

$$= (-\text{mediumsize}) \cdot \underbrace{(\pm \text{large}) \cdot \dots \cdot (\pm \text{large})}_{\text{even number of copies of } \pm \text{large}}$$

b. We perform the computations given by the code. Dealing separately with the signs and the sizes, we have

$$= (-mediumsize) \cdot \underbrace{(\pm) \cdot \dots \cdot (\pm)}_{\text{even number of copies of } \pm} \cdot \underbrace{(large) \cdot \dots \cdot (large)}_{\text{even number of copies}}$$

and since,

- by the **Sign Multiplication Rule**, an even number of copies of + multiply to + and an even number of copies of - multiply to +
- by the **DDEFINITION** of large, any number of copies of large multiply to large

$$= (-mediumsize) \cdot + \cdot large$$

and by the **Sign Division Rule** and the **Size Division Theorem**

$$= -large$$

iv. The input-output pairs are ( $\pm large$ ,  $-large$ )

9. Output near 0.

i. When we want to thicken only one side of 0, we proceed as follows:

**PROCEDURE 6.3**

1. Normalize the global input-input rule using ?? on ??
2. Declare that x is to be replaced by +small or -small
3. Execute the output-specifying code that is:
  - a. Decode the output-specifying code, that is write out the computations to be performed according to the output-specifying code.
  - b. Perform the computations given by the code using ?? on ?? and ?? on ?? or ?? on ??

**DEMO 6.6**

i. We normalize MADE:

$$x \xrightarrow{MADE} MADE(x) = (+mediumsize) x^{+odd}$$

ii. We declare that x is to be replaced by +small

$$x \Big|_{x \leftarrow +small} \xrightarrow{MADE} MADE(x) \Big|_{x \leftarrow +small} = (+mediumsize) x^{+odd} \Big|_{x \leftarrow +small}$$

which, once carried out, gives:

$$+small \xrightarrow{MADE} MADE(+small) = \underbrace{(-mediumsize)(+small)^{+odd}}_{\text{output-specifying code}}$$

iii. We *execute* the output-specifying code that is:

a. We *decode* the output-specifying code: since the exponent is *positive*, we get that the output  $MADE(+small)$  is obtained by *multiplying* the coefficient  $+mediumsize$  by an *odd* number of copies of the *given* input  $+small$ :

$$= (+mediumsize) \cdot \underbrace{(+small) \cdot \dots \cdot (+small)}_{\text{odd number of copies of } +small}$$

b. We *perform* the computations *given* by the code. Dealing separately with the *signs* and the *sizes*, we have

$$= (+mediumsize) \cdot \underbrace{(+ \cdot \dots \cdot +)}_{\text{odd number of copies of } +} \cdot \underbrace{(small)}_{\text{odd number of copies of } small}$$

and since,

- by the **Sign Multiplication Rule**, any number of copies of  $+$  multiply to  $+$
- by the **DDEFINITION** of *small*, any number of copies of *small* multiply to *small*

$$= (+mediumsize) \cdot + \cdot small$$

and by the **Sign Multiplication Rule** and the **Size Multiplication Theorem**

$$= +small$$

iv. The input-output pairs are  $(+small, -small)$

**DEMO 6.7** Let  $WADE$  be the function *given* by the global input-output rule

$$x \xrightarrow{WADE} WADE(x) = (-28.34)x^{-3}$$

To get the output of  $WADE$  near  $0^+$

i. We *normalize*  $WADE$ :

$$x \xrightarrow{WADE} WADE(x) = (-mediumsize) x^{-even}$$

ii. We declare that  $x$  is to be replaced by  $+small$

$$x \Big|_{x \leftarrow +small} \xrightarrow{WADE} WADE(x) \Big|_{x \leftarrow +small} = (-mediumsize)x^{-even} \Big|_{x \leftarrow +small}$$

which, once carried out, gives:

$$+small \xrightarrow{WADE} WADE(+small) = \underbrace{(-mediumsize)(+small)^{-even}}_{\text{output-specifying code}}$$

iii. We execute the output-specifying code that is:

a. We decode the output-specifying code: since the exponent is *negative*, we get the output  $WADE(+small)$  by *dividing* the coefficient  $-mediumsize$  by an *even* number of copies of the *given* input  $+small$ :

$$= \frac{-mediumsize}{\underbrace{(+small) \cdot \dots \cdot (+small)}_{\text{even number of copies of } +small}}$$

b. We perform the computations *given* by the code. Dealing separately with the *signs* and the *sizes*, we have

$$= \frac{-mediumsize}{\underbrace{(+ \cdot \dots \cdot +)}_{\text{even number of copies of } +} \cdot \underbrace{(small \cdot \dots \cdot small)}_{\text{even number of copies of } small}}$$

and since,

- by the **Sign Multiplication Rule**, any number of copies of  $+$  multiply to  $+$
- by the **DDEFINITION** of *small*, any number of copies of *small* multiply to *small*

$$= \frac{-mediumsize}{+ \cdot small}$$

and by the **Sign Division Rule** and the **Size Division Theorem**

$$= -large$$

iv. The input-output pairs are  $(+small, -large)$

ii. When we want to thicken both sides, we will declare that  $x$  is to be replaced by  $\pm small$  and keep track of the signs as we perform the computations *given* by the output-specifying code.

**DEMO 6.8** Let  $JADE$  be the function given by the global input-output rule

$$x \xrightarrow{JADE} JADE(x) = (-65.71)x^{-5}$$

To get the output of  $JADE$  near **0**,

i. We *normalize*  $JADE$ :

$$x \xrightarrow{JADE} JADE(x) = (-mediumsize) x^{-odd}$$

ii. We *declare* that  $x$  is to be replaced by  **$\pm small$**

$$x \Big|_{x \leftarrow \pm small} \xrightarrow{JADE} JADE(x) \Big|_{x \leftarrow \pm small} = (-mediumsize)x^{-odd} \Big|_{x \leftarrow \pm small}$$

which, once carried out, gives:

$$\pm small \xrightarrow{JADE} JADE(\pm small) = \underbrace{(-mediumsize)(\pm small)^{-odd}}_{\text{output-specifying code}}$$

iii. We *execute* the output-specifying code that is:

a. We *decode* the output-specifying code: since the exponent is *negative*, we get the output  $JADE(\pm small)$  by *dividing* the coefficient  $-mediumsize$  by an *odd* number of copies of the *given* input  **$\pm small$** :

$$= \frac{-mediumsize}{\underbrace{(\pm small) \cdot \dots \cdot (\pm small)}_{\text{odd number of copies of } \pm small}}$$

b. We *perform* the computations given by the code. Dealing separately with the **signs** and the **sizes**, we have

$$= \frac{-mediumsize}{\underbrace{(\pm) \cdot \dots \cdot (\pm)}_{\text{odd number of copies of } \pm} \cdot \underbrace{(small) \cdot \dots \cdot (small)}_{\text{odd number of copies of } small}}$$

and since,

- by the **Sign Multiplication Rule**, an *odd* number of copies of  $+$  multiply to  $+$  and an *odd* number of copies of  $-$  multiply to  $-$
- by the **DDEFINITION** of *small*, any number of copies of *small* multiply to *small*

$$= \frac{-mediumsize}{\pm \cdot small}$$

and by the **Sign Division Rule** and the **Size Division Theorem**

$= \mp large$

iv. The input-output pairs are  $(\pm small, \mp large)$

**DEMO 6.9** Let  $FADE$  be the function given by the global input-output rule

$$x \xrightarrow{FADE} FADE(x) = (-65.18)x^{+6}$$

To get the input-output pairs near  $0$  for  $FADE$ :

i. We *normalize*  $FADE$ .

$$x \xrightarrow{FADE} FADE(x) = (-mediumsize) x^{+even}$$

ii. We *declare* that  $x$  is to be replaced by  $\pm small$

$$x \Big|_{x \leftarrow \pm small} \xrightarrow{FADE} FADE(x) \Big|_{x \leftarrow \pm small} = (-mediumsize)x^{+even} \Big|_{x \leftarrow \pm small}$$

which, once carried out, gives:

$$\pm small \xrightarrow{FADE} FADE(\pm small) = \underbrace{(-mediumsize)(\pm small)^{+even}}_{\text{output-specifying code}}$$

iii. We *execute* the output-specifying code that is:

a. We *decode* the output-specifying code: since the exponent is *positive*, we get the output  $FADE(-small)$  by *multiplying* the coefficient  $-mediumsize$  by an *even* number of copies of the *given* input  $\pm small$ :

$$= (-mediumsize) \cdot \underbrace{(\pm small) \cdot \dots \cdot (\pm small)}_{\text{even number of copies of } \pm small}$$

b. We *perform* the computations given by the code. Dealing separately with the *signs* and the *sizes*, we have

$$= (-mediumsize) \cdot \underbrace{(\pm) \cdot \dots \cdot (\pm)}_{\text{even number of copies of } \pm} \cdot \underbrace{(small) \cdot \dots \cdot (small)}_{\text{even number of copies of } small}$$

Input Sign  
Input Size  
Output Sign  
Output Size

and since,

- by the **Sign Multiplication Rule**, an *even* number of copies of + multiply to + and an *even* number of copies of - multiply to +
- by the **DDEFINITION** of *small*, any number of copies of *small* multiply to *small*

$$= (-\text{mediumsize}) \cdot + \cdot \text{small}$$

and by the **Sign Multiplication Rule** and the **Size Multiplication Theorem**

$$= -\text{small}$$

iv. The input-output pairs are  $(\pm\text{small}, -\text{small})$

=====**End HOLDING**=====

**10. Output Sign and Output Size** The following will make our

Really! In spite of appearances!

life a bit easier:

**AGREEMENT 6.1**

- ▶ Instead of saying "the sign of an input", we will use the entity **Input Sign**
- ▶ Instead of saying "the size of an input", we will use the entity **Input Size**

and of course, similarly,

- ▶ Instead of saying "the sign of an output", we will use the entity **Output Sign**
- ▶ Instead of saying "the size of an output", we will use the entity **Output Size**

However, in all other cases, we will continue to say "the sign of ..." or just "Sign ..." and "the size of ..." or just "Size ..." and "the parity of ..." or just "Parity ...".

i. **Output Sign** Output Sign will play a central role in discussing the behavior of functions and, in the case of regular power functions, we have:

But it's not going to be always that easy to get!

**THEOREM 6.2 Output Sign** for *regular* power functions

- ▶ If **Input Sign** is +, then **Output Sign** will be the *same* as **Sign Coefficient**

► If **Input Sign** is **-**, then **Output Sign** will depend on Parity **exponent**:

- If **exponent** is **even**, then **Output Sign** will be the *same* as Sign **Coefficient**,
- If **exponent** is **odd**, then **Output Sign** will be the *opposite* of Sign **Coefficient**.

**EXAMPLE 6.7.** Let  $\mathcal{KIP}$  be the regular power function given by the I-O rule

$$x \xrightarrow{\mathcal{KIP}} \mathcal{KIP}(x) = +1 x^{+3}$$

Then THEOREM 6.2 - **Output Sign** for *regular* power functions (Page 278),

gives that, since **+3** is **odd**,

- If **Input Sign** is **+**, **Output Sign** is **+** (The *same* as Sign **+1**),
- If **Input Sign** is **-**, **Output Sign** is **-** (The *opposite* of Sign **+1**).

And indeed, we get directly from DEFINITION 6.1 - **Power Functions** (Page 257) that:

$$\begin{aligned} + &\xrightarrow{\mathcal{KIP}} \mathcal{KIP}(+) = + \odot + \odot + \odot + \\ &= + \odot + \\ &= + \end{aligned}$$

and

$$\begin{aligned} - &\xrightarrow{\mathcal{KIP}} \mathcal{KIP}(-) = + \odot - \odot - \odot - \\ &= + \odot - \\ &= - \end{aligned}$$

**EXAMPLE 6.8.** Let  $\mathcal{KIT}$  be the regular power function given by the I-O rule

$$x \xrightarrow{\mathcal{KIT}} \mathcal{KIT}(x) = +1 x^{-4}$$

Then THEOREM 6.2 - **Output Sign** for *regular* power functions (Page 278),

gives, since **-4** is **even**, that:

- If **Input Sign** is **+**, **Output Sign** is **+** (The *same* as Sign **+1**),
- If **Input Sign** is **-**, **Output Sign** is **+** (The *same* as Sign **+1**).

And indeed, we get directly from DEFINITION 6.1 - **Power Functions** (Page 257)

that:

$$\begin{aligned}
 + &\xrightarrow{\mathcal{KIT}} \mathcal{KIT}(+) = \frac{+}{+ \odot + \odot + \odot +} \\
 &= \frac{+}{+} \\
 &= +
 \end{aligned}$$

and

$$\begin{aligned}
 - &\xrightarrow{\mathcal{KIT}} \mathcal{KIT}(-) = \frac{+}{- \odot - \odot - \odot -} \\
 &= \frac{+}{+} \\
 &= +
 \end{aligned}$$

ii. **Output Size** For the purposes of CALCULUS ACCORDING TO THE REAL WORLD, we will mostly need qualitative sizes, particularly near  $\infty$  and near 0. In the case of regular power functions we have:

*But it's not going to be always that easy to get!*

**THEOREM 6.3** **Output Size** for *regular* power functions

**Output Size** depends *always* on Sign **exponent**:

- ▶ If Sign **exponent** is **+**, then:
 

**Output Size** will be the *same* as **Input Size**.
- ▶ If Sign **exponent** is **-**, then:
 

**Output Size** will be the *reciprocal* of **Input Size**.

*Proof.* The proof goes along the lines of the following two EXAMPLES, is left to the reader. □

**EXAMPLE 6.9.** Let  $\mathcal{KIN}$  be the regular power function given by the I-O rule

$$x \xrightarrow{\mathcal{KIN}} \mathcal{KIN}(x) = -1 x^{+5}$$

Then THEOREM 6.3 - **Output Size** for *regular* power functions (Page 280) gives, since Sign **+5** is **+**, that **Output Size** will be the *same* as **Input Size**. So:

- ▶ When **Input Size** will be *large*, **Output Size** will be *large*

- ▶ When **Input Size** will be *small*, **Output Size** will be *small*.

And indeed, directly from DEFINITION 6.1 - Power Functions (Page 257) we get:

$$\begin{aligned}
 \text{large} &\xrightarrow{\mathcal{KIN}} \mathcal{KIN}(\text{large}) = 1 \text{ large}^{+5} \\
 &= 1 \odot \text{large} \odot \text{large} \odot \text{large} \odot \text{large} \odot \text{large} \\
 &= 1 \odot \text{large} \\
 &= \text{large}
 \end{aligned}$$

and

$$\begin{aligned}
 \text{small} &\xrightarrow{\mathcal{KIN}} \mathcal{KIN}(\text{small}) = 1 \text{ small}^{+5} \\
 &= 1 \odot \text{small} \odot \text{small} \odot \text{small} \odot \text{small} \odot \text{small} \\
 &= 1 \odot \text{small} \\
 &= \text{small}
 \end{aligned}$$

**EXAMPLE 6.10.** Let  $\mathcal{KIM}$  be the regular power function given by the I-O rule  $x \xrightarrow{\mathcal{KIM}} \mathcal{KIM}(x) = +1 x^{-4}$

Then THEOREM 6.3 - **Output Size** for *regular* power functions (Page 280) gives, since Sign  $-4$  is  $-$ , that **Output Size** will be the *reciprocal* of **Input Size**. So:

- ▶ When **Input Size** will be *large*, **Output Size** will be *small*
- ▶ When **Input Size** will be *small*, **Output Size** will be *large*.

DEFINITION 6.1 - Power Functions (Page 257) we get:

$$\begin{aligned}
 \text{large} &\xrightarrow{\mathcal{KIM}} \mathcal{KIM}(\text{large}) = 1 \text{ large}^{-4} \\
 &= \frac{1}{\text{large} \odot \text{large} \odot \text{large} \odot \text{large}} \\
 &= \frac{1}{\text{large}}
 \end{aligned}$$

$$\begin{aligned}
 &= \text{small} \\
 \text{and} \\
 \text{small} &\xrightarrow{\mathcal{KIM}} \mathcal{KIM}(\text{small}) = \text{1 small}^{-4} \\
 &= \frac{\text{1}}{\text{small} \odot \text{small} \odot \text{small} \odot \text{small}} \\
 &= \frac{\text{1}}{\text{small}} \\
 &= \text{large}
 \end{aligned}$$

iii. **Quincunx** In particular, using the fact that large implies larger than 1 and small implies smaller than 1, we have, relative to the quincunx:

**THEOREM 6.3 (Restated) Output Size for regular power functions**

- ▶ If Input Size is larger than 1, then:
  - If Sign exponent is +, then Output Size will be larger than 1
  - If Sign exponent is -, then Output Size will be smaller than 1
- ▶ If Input Size is 1, then: Output Size will be also 1
- ▶ If Input Size is smaller than 1, then:
  - If Sign exponent is +, then Output Size will be smaller than 1
  - If Sign exponent is -, then Output Size will be larger than 1

*Proof.* The proof is of course just a tiny little bit more complicated but still goes along the lines of the following two EXAMPLES and ...is left to the reader. □

**EXAMPLE 6.11.** Let  $\mathcal{KIS}$  be the regular power function given by the I-O rule

$$x \xrightarrow{\mathcal{KIS}} \mathcal{KIS}(x) = -1 x^{+3}$$

Then, directly from DEFINITION 6.1 - Power Functions (Page 257) we get:

$$\begin{aligned}
 1 < \text{large} &\xrightarrow{\mathcal{KIS}} \mathcal{KIS}(\text{large}) = -1 \text{large}^{+3} \\
 &= \text{1} \odot \text{large} \odot \text{large} \odot \text{large}
 \end{aligned}$$

$$\begin{aligned}
 &= 1 \odot large \\
 &= large > 1 \\
 \text{and} \\
 1 > small &\xrightarrow{\mathcal{KIS}} \mathcal{KIS}(small) = -1 \small^{+3} \\
 &= 1 \odot small \odot small \odot small \\
 &= 1 \odot small \\
 &= small < 1
 \end{aligned}$$

*Just in case: "Jill is older than Jack" and "Jack is younger than Jill" say exactly the same thing.*

**EXAMPLE 6.12.** Let  $\mathcal{KIT}$  be the regular power function given by the I-O rule

$$x \xrightarrow{\mathcal{KIT}} \mathcal{KIT}(x) = +1 x^{-4}$$

DEFINITION 6.1 - Power Functions (Page 257) we get:

$$\begin{aligned}
 1 < large &\xrightarrow{\mathcal{KIT}} \mathcal{KIT}(large) = 1 large^{-4} \\
 &= \frac{1}{large \odot large \odot large \odot large} \\
 &= \frac{1}{large} \\
 &= small < 1
 \end{aligned}$$

*Again, "Jill is older than Jack" and "Jack is younger than Jill" say exactly the same thing.*

$$\begin{aligned}
 \text{and} \\
 1 > small &\xrightarrow{\mathcal{KIM}} \mathcal{KIM}(small) = 1 small^{-4} \\
 &= \frac{1}{small \odot small \odot small \odot small} \\
 &= \frac{1}{small} \\
 &= large > 1
 \end{aligned}$$

=====**OK SO FAR**=====

=====**Begin WORK ZONE**=====

## horizontal flip

## 11. Symmetries.

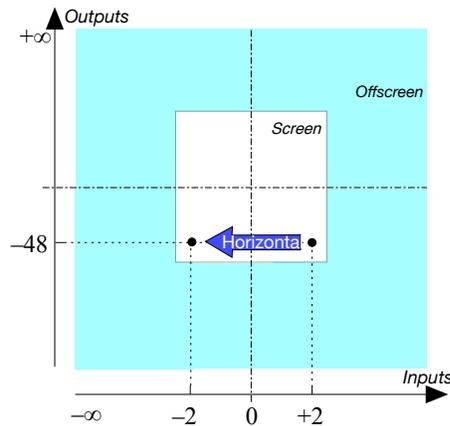
In order to halve the work in graphing regular power functions more efficiently, we need to invest a little bit on a couple of graphic maneuvers:

## i. Horizontal Flip

If we do a **horizontal flip** on a first *plot dot* we get a second *plot dot* and

- The *input* of the second plot dot will be the *opposite* of the input of the first plot dot
- The *output* of the second plot dot will be the *same* as the output of the first plot dot

**EXAMPLE 6.13.** If we do a *horizontal flip* on a the plot dot  $(+2, -48)$  we will get a second plot dot and:



- the *input* of the second plot dot will be  $-2$
- the *output* of the second plot dot will be  $-48$

**EXAMPLE 6.14.** Given the function specified by the global input-ouput rule

$$x \xrightarrow{KAT} KAT(x) = (-3) \cdot x^4$$

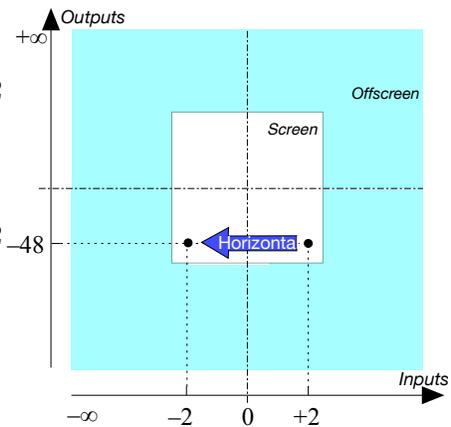
i. For instance

$$+2 \xrightarrow{KAT} KAT(+2) = -3 \cdot +2 \cdot +2 \cdot +2 \cdot +2 = -48$$

and

$$-2 \xrightarrow{KAT} KAT(-2) = -3 \cdot -2 \cdot -2 \cdot -2 \cdot -2 = -48$$

ii. We see that we can get the plot dot for input  $-2$  by a *horizontal flip* of the plot dot for input  $+2$ :



**THEOREM 6.4** Even regular power functions are horizontally symmetrical

vertical flip  
diagonal flip

*Proof.* □

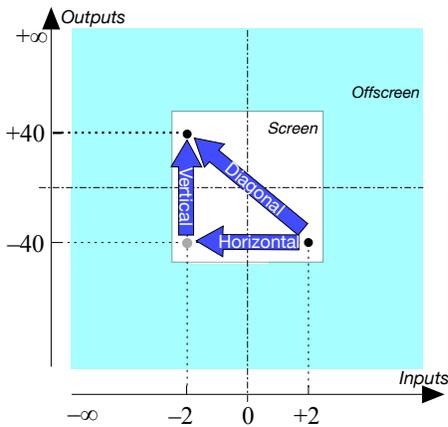
**ii.** Vertical Flip

**iii.** Diagonal Flip If we follow the horizontal flip on the *first plot dot* by a **vertical flip** on the *second plot dot*, we will get a *third plot dot* and:

- the *input* of the third plot dot will be the *same* as the *input* of the second plot dot, that is the *opposite* of the input of the first plot dot
- the *output* of the third plot dot will be the *opposite* of the *output* of the second plot dot, that is the *opposite* of the output of the first plot dot

In other words, we can get the third plot dot by a **diagonal flip** on the first plot dot.

**EXAMPLE 6.15.** If we do a *horizontal flip* on the plot dot  $(+2, -48)$  we get a second plot dot and if we follow by a vertical flip on the second plot dot, we get a third plot dot and:



- the *input* of the second plot dot will be  $-2$
- the *output* of the second plot dot will be  $-40$  and then
- the *input* of the third plot dot will be  $-2$
- the *output* of the third plot dot will be  $+40$

In other words, both the *input* and the *output* of the third plot dot are *opposite* of the input and output of the first plot dot and so to get the third plot dot directly from the first plot dot we can just use a *diagonal flip* instead of a horizontal flip followed by a vertical flip.

**EXAMPLE 6.16.** Given the function specified by the global input-output rule

$$x \xrightarrow{KAT} KAT(x) = (+5) \cdot x^{+3}$$

opposite input

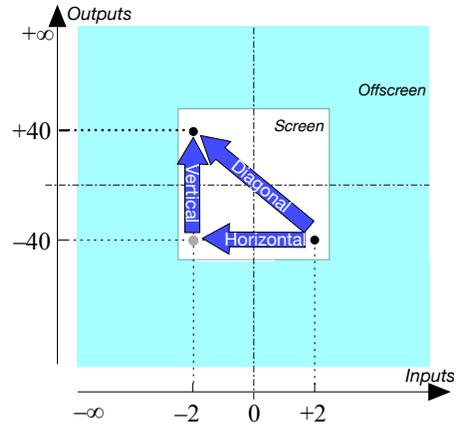
a. For instance

$$+2 \xrightarrow{KAT} KAT(+2) = +5 \bullet +2 \bullet +2 \bullet +2 = +40$$

and

$$-2 \xrightarrow{KAT} KAT(-2) = +5 \bullet -2 \bullet -2 \bullet -2 = -40$$

b. We see that we can get the plot dot for input  $-2$  by a *diagonal flip* of the plot dot for input  $+2$ :



**THEOREM 6.5** Odd regular power functions are diagonally symmetrical

*Proof.* □

So a consequence of ?? on ?? is that once we have the plot dot for an input, we can get the plot dot for the **opposite input**, that is for the input with the *same* size and *opposite* sign with just one flip:

**THEOREM 6.6 Symmetry** (For Regular Monomial Functions.)  
 Given the plot dot for an input, we get the plot dot for the *opposite input* with:

- A *horizontal*-flip if Exponent Parity = *even*,
- A *diagonal*-flip if Exponent Parity = *odd*.

While, as we saw in Section 1 - **Height-Continuity** (Page 165), getting the output for a given number is not very useful, it does allow to prove the very useful

**THEOREM 6.7** The global graphs of *all* power functions go through two of the corner plot dots of the **quincunx**.

*Proof.* There are four cases:  
 ▶ For the power functions of type

$$x \xrightarrow{f} f(x) = +1 +1 +5$$

we have

$$\begin{aligned}
 x \xrightarrow{f} f(x) &= +1 +1^{+5} \\
 &= +1 \odot +1 \odot +1 \odot +1 \odot +1 \odot +1
 \end{aligned}$$

► The other three cases are left to the reader

□

=====End WORK ZONE=====

## 6 Graphing Power Functions

=====OK SO FAR =====

**BEGIN WORK=====BEGIN WORK=====BEGIN WORK**

While, as we saw in Section 1 - Height-Continuity (Page 165), getting the output for a given number is not very useful, it does allow to prove the very useful

**THEOREM 6.8** The global graphs of *all* power functions go through two of the following four plot dots:  $(+1, -1)$ ,  $(+1, +1)$ ,  $(-1, -1)$ ,  $(-1, +1)$ ,

*Proof.* There are four cases:

► For the power functions of type

$$x \xrightarrow{f} f(x) = +1 +1^{+5}$$

we have

$$\begin{aligned}
 x \xrightarrow{f} f(x) &= +1 +1^{+5} \\
 &= +1 \odot +1 \odot +1 \odot +1 \odot +1 \odot +1
 \end{aligned}$$

► The other three cases are left to the reader

□

**1. Plot dot.** Let  $f$  be the *regular* power function **given** by the global input-output rule

$$\underbrace{x}_{\text{input}} \xrightarrow{f} f(x) = \underbrace{ax^{\pm n}}_{\text{output-specifying code}}$$

where  $n$  is the number of copies used by  $f$ , and let  $x_0$  be the *given* input. To plot the input-output pair for the **given** input  $x_0$ , we use ?? on ?? which, in the case of regular power functions, becomes

#### PROCEDURE 6.4

1. To get the output at the **given** input using ?? on ?? to get the input-output pair,
2. Locate the plot dot with ?? on ??.

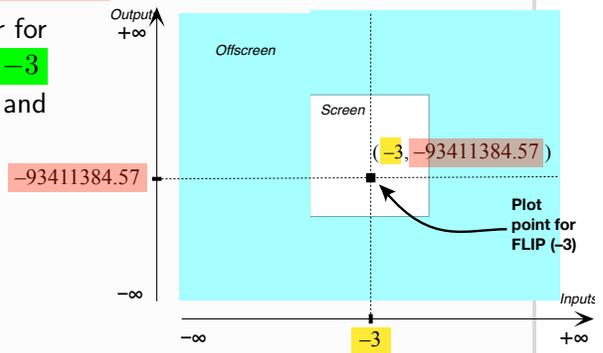
**DEMO 6.10** Let  $FLIP$  be the function **given** by the global input-output rule

$$x \xrightarrow{FLIP} FLIP(x) = (+527.31)x^{+11}$$

To *plot* the input-output pair for the input **-3**:

1. We get the output of the function  $FLIP$  at **-3**. We found in **EXAMPLE 5.1** above that  $FLIP(-3) = -93\,411\,384.57$

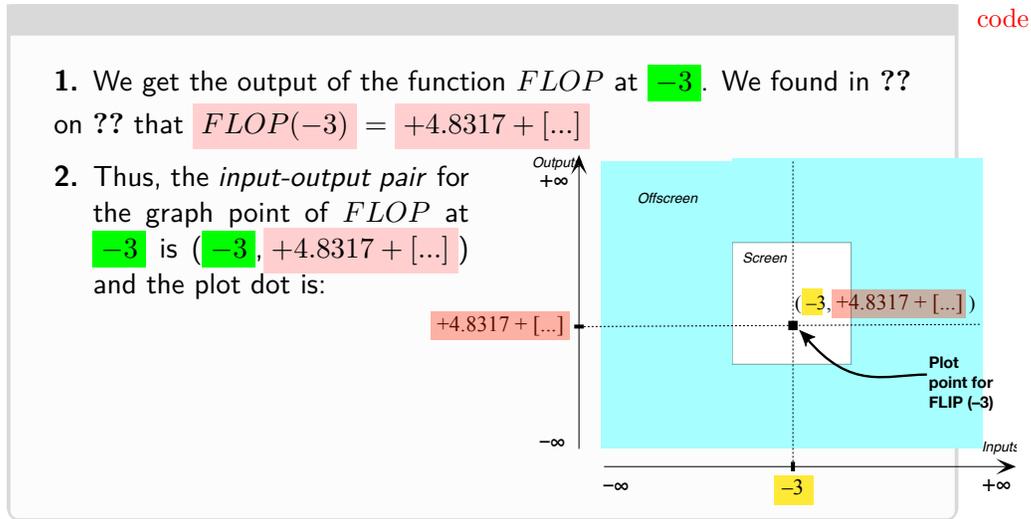
2. Thus, the *input-output pair* for the plot dot of  $FLIP$  at **-3** is  $(-3, -93\,411\,384.57)$  and the plot dot is:



**DEMO 6.11** Let  $FLOP$  be the function **given** by the global input-output rule

$$x \xrightarrow{FLOP} FLOP(x) = (+3\,522.38)x^{-6}$$

To *plot* the input-output pair for the input **-3**:



**2. Thickening the plot dot.** As mentioned in ?? on ??, instead of using *single inputs* to get *single plot dots*, we will “thicken the plot” that is we will use *neighborhoods* of given inputs to get *graph places*. But to use *neighborhoods* with *global input-output rules*, we will first have to introduce **code** to be able to *declare* by what to replace  $x$ . And, since this at the very core of what we will be doing in the rest of this text, we want to proceed with the utmost caution.

1. Since we are dealing here with *regular power functions* we will only be interested in inputs *near*  $\infty$  and/or inputs *near* 0 and so here all we will need is the *sign-size*.

In order to declare by what we want to replace  $x$ , we will use the following code:

Near		Side		Code
Infinity	Left	$0 \cdots \leftarrow \cdots \infty$	<i>positive</i>	$+\infty$
	Right	$\infty \cdots \rightarrow \cdots 0$	<i>negative</i>	$-\infty$
Zero	Left	$\infty \cdots \leftarrow 0$	<i>negative</i>	$0^-$
	Right	$0 \rightarrow \cdots \infty$	<i>positive</i>	$0^+$

2. For the input-output pairs on *one* side, we will basically use ?? on ?? but *declare* that  $x$  is to be replaced using the above code for the given input.

3. For the input-output pairs of *both* sides, we will use the

as follows:

Instead of				We can just write		and the I-O pair	
+	→	+	and	-	→	+	$(\pm, +)$
+	→	-	and	-	→	-	$(\pm, -)$
+	→	+	and	-	→	±	$(\pm, \pm)$
+	→	-	and	-	→	∓	$(\pm, \mp)$

### 3. Graph box near $\infty$ and near 0.

Once we have the input-output pairs near  $\infty$  and near 0, we get the graph places as in ?? ?? on ???. Here again,

i. In the first four demos, DEMO 6.12 on page 290, DEMO 6.13 on page 291, DEMO 6.14 on page 291, DEMO 6.15 on page 292, we will deal with only one side or the other.

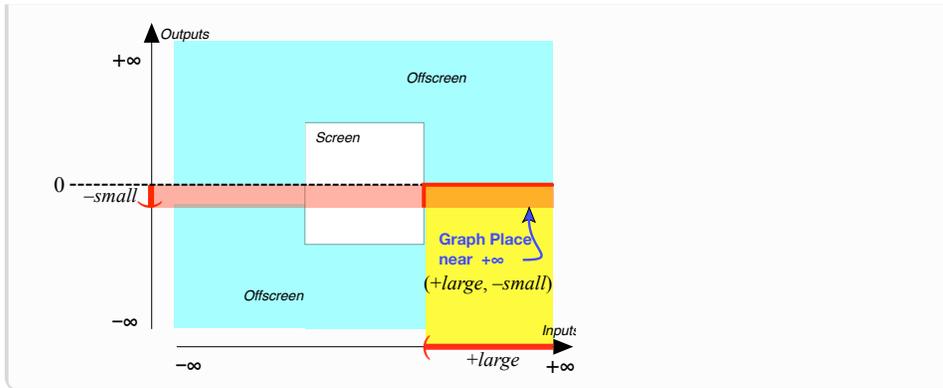
ii. In the next four demos, DEMO 6.16 on page 292, DEMO 6.17 on page 293, DEMO 6.18 on page 294, DEMO 6.19 on page 294, we will deal with both sides at the same time.

#### PROCEDURE 6.5

1. Get the input-output pairs using ?? ?? on ?? or ?? ?? on ??.
2. Locate the graph place using ?? ?? on ??.

#### DEMO 6.12

1. We get that the input-output pairs for NADE near  $+\infty$  are  $(+large, -small)$  (See DEMO 6.2 on page 268)
2. The graph place of NADE near  $+\infty$  then is:

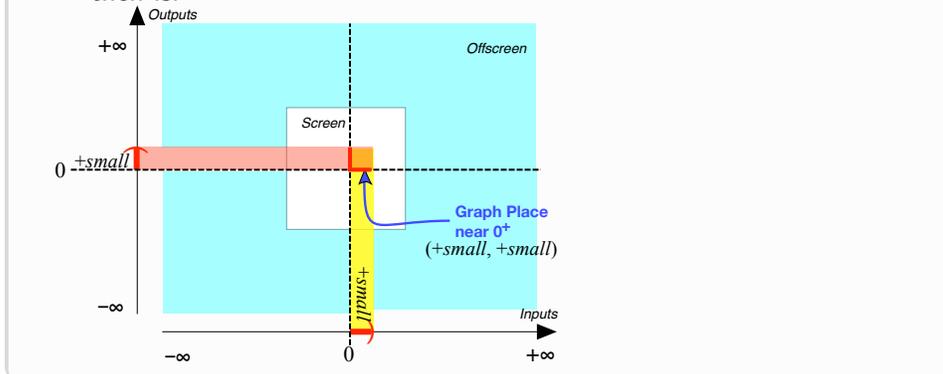


**DEMO 6.13** Let  $MADE$  be the function given by the global input-output rule

$$x \xrightarrow{MADE} MADE(x) = (+27.61)x^{+5}$$

To locate the graph place of  $MADE$  near  $0^+$ :

1. We get that the *input-output pairs* for  $MADE$  near  $0^+$  are  $[+small, +small]$  (See DEMO 6.6 on page 273)
2. The *graph place* of  $MADE$  near  $0^+$  then is:

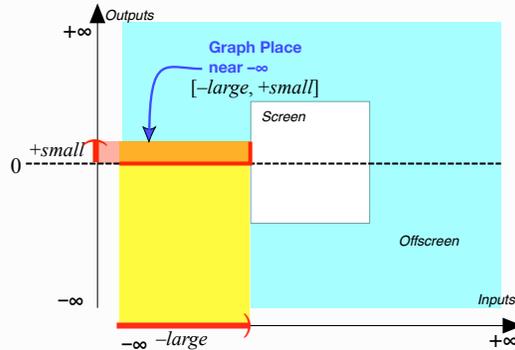


**DEMO 6.14** Let  $RADE$  be the function given by the global input-output rule

$$x \xrightarrow{RADE} RADE(x) = (+45.67)x^{-4}$$

To locate the *graph place* of  $RADE$  near  $-\infty$ :

1. We get that the *input-output pairs* for *RADE* near  $-\infty$  are  $[-large, +small]$  (See DEMO 6.3 on page 269)
2. The *graph place* near  $-\infty$  then is:

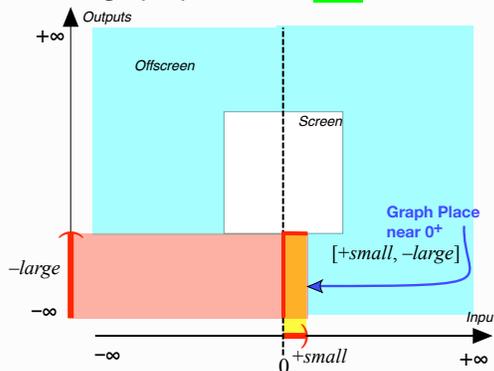


**DEMO 6.15** Let *WADE* be the function given by the global input-output rule

$$x \xrightarrow{WADE} WADE(x) = (-28.34)x^{-3}$$

To locate the *graph place* of *WADE* near  $0^+$ :

1. We get that the *input-output pairs* for *WADE* near  $0^+$  are  $[+small, -large]$  (See DEMO 6.15 on page 292)
2. The *graph place* near  $0^+$  then is:



**DEMO 6.16** Let *PADE* be the function given by the global input-

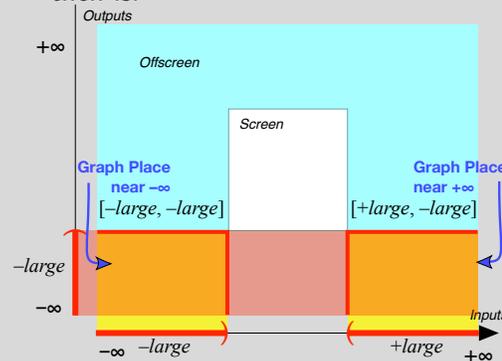
output rule

$$x \xrightarrow{PADE} PADE(x) = (-65.18)x^{+6}$$

To locate the graph place of  $PADE$  near  $\infty$ .

1. We get that the input-output pairs for  $PADE$  near  $\infty$  are  $[\pm large, -large]$  (See DEMO 6.5 on page 272)
2. The graph place of  $PADE$  near  $\infty$

then is:

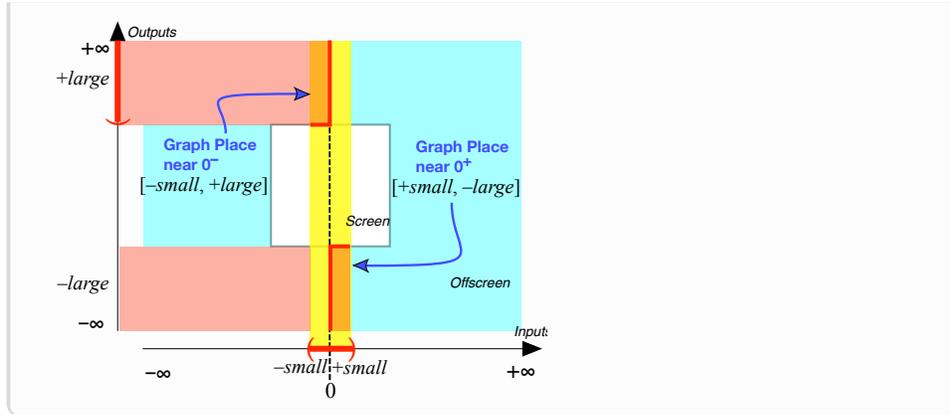


**DEMO 6.17** Let  $JADE$  be the function given by the global input-output rule

$$x \xrightarrow{JADE} JADE(x) = (-65.71)x^{-5}$$

To locate the graph place of  $JADE$  near  $0$ :

1. We get that the input-output pairs for  $JADE$  near  $0$  are  $[\pm small, \mp large]$  (See DEMO 6.8 on page 276)
2. The graph place of  $JADE$  near  $0$   
then is:



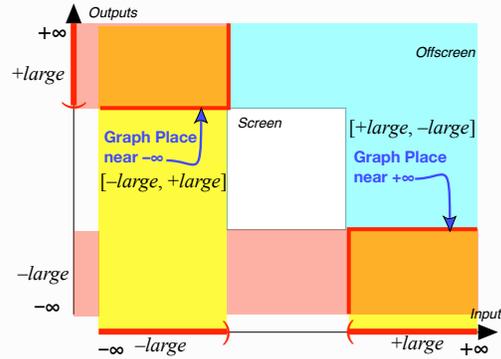
**DEMO 6.18** Let  $DADE$  be the function given by the global input-output rule

$$x \xrightarrow{DADE} DADE(x) = (-83.91)x^{+5}$$

To locate the graph place of  $DADE$  near  $\infty$ :

1. We get that the *input-output pairs* for  $DADE$  near  $\infty$  are  $[\pm large, \mp large]$  (See DEMO 6.4 on page 271)
2. The graph place of  $DADE$  near  $\infty$

then is:



**DEMO 6.19** Let  $FADE$  be the function given by the global input-output rule

$$x \xrightarrow{FADE} FADE(x) = (-65.18)x^{+6}$$

To locate the graph place of  $FADE$  near  $0^-$ .

1. We get that the *input-output pairs* for *FADE* near 0 are  $[\pm small, -small]$  (See DEMO 6.9 on page 277)

2. The *graph place* of *FADE* near 0 then is:

shape forced

4. **Local Graph Near  $\infty$  and Near 0.** Regular *power functions* are very nice in that the **shapes** of the local graphs near  $\infty$  and near 0 are **forced** by the *graph place*. In other words, once we know the *graph place*, there is only one way we can draw the *local graph* because:

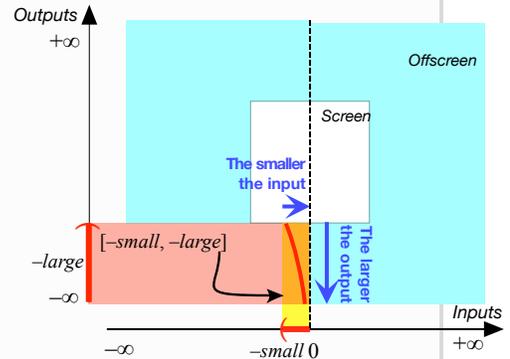
- i. The smaller or the larger the input is, the smaller or the larger the output will be,
- ii. The local graph cannot escape from the place.

**DEMO 6.20** Given a power function for which the place of a local graph is  $[+large, +small]$ , we get the *shape* of the local graph as follows

- i. The *slope* is forced by the fact that the larger the input is, the smaller the output will be.
- ii. The *concavity* is forced by the fact that the local graph cannot cross the 0-output level line.

**DEMO 6.21** Given a power function for which the place of a local graph is  $[-small, -large]$ , we get the *shape* of the local graph as follows

- i. The *slope* is forced by the fact that the **smaller the input** is, the **larger the output** will be.
- ii. The *concavity* is forced by the fact that the local graph cannot cross the 0-input level line.



### 5. Local Features Near $\infty$ and Near 0.

1. Given a regular power function being **given** by a global input-output rule, to get the *Height sign* near  $\infty$  or near 0, we need only compute the sign of the outputs for nearby inputs with the global input-output rule.

**DEMO 6.22** Let  $JOE$  be the function **given** by the global input-output rule

$$x \xrightarrow{JOE} JOE(x) = (-65.18)x^{+6}$$

To get the *Height sign* of  $JOE$  near **0<sup>+</sup>**

We ignore the *size* and just look at the *sign*:

$$\begin{aligned} + \xrightarrow{JOE} JOE(+) &= (-)(+)^{+6} \\ &= (-) \cdot (+) \\ &= - \end{aligned}$$

and

$$\begin{aligned} - \xrightarrow{JOE} JOE(-) &= (-)(-)^{+6} \\ &= (-) \cdot (+) \\ &= - \end{aligned}$$

So, Height sign  $JOE$  near 0 is  $\langle -, - \rangle$

2. Given a regular power function being **given** by a global input-output

rule, to get the *Slope sign* or the *Concavity sign* near  $\infty$  or near 0, we need the *local graph* near  $\infty$  or near 0.

**DEMO 6.23** Let *JILL* be the function given by the global input-output rule

$$x \xrightarrow{JILL} JILL(\pm) = (+32.06)(\pm)^{+6}$$

To get the *Slope sign* of *JILL* near 0

We need the *local graph* of *JILL* near 0.

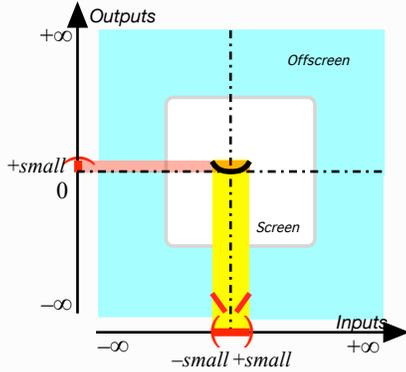
i. We get the output for *JILL* near 0

$$\begin{aligned} \pm small &\xrightarrow{JILL} JILL(\pm small) \\ &= (+mediumsize)(\pm small)^{+even} \\ &= (+mediumsize)(\pm)^{even}(small)^+ \\ &= (+mediumsize)(+) \cdot (small) \\ &= +small \end{aligned}$$

iii. Slope sign *JILL* near 0 =

$\langle \setminus, / \rangle$

ii. The local graph of *JILL* near 0 is



**DEMO 6.24** Let *JIM* be the function given by the global input-output rule

$$x \xrightarrow{JIM} JIM(x) = (-72.49)x^{-5}$$

To get the *Concavity sign* of *JIM* near  $\infty$

We need the *local graph* of *JIM* near  $\infty$ .

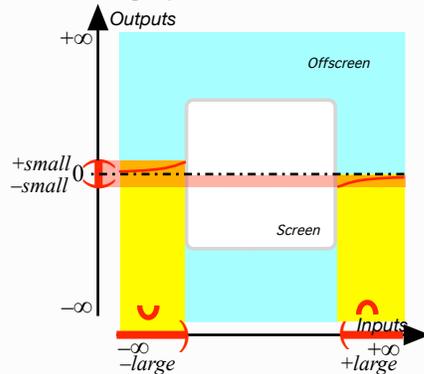
i. We get the output for *JIM* near

$\infty$

$$\begin{aligned}
 \pm large &\xrightarrow{JIM} JIM(\pm large) \\
 &= (-mediumsize)(\pm large)^{-odd} \\
 &= \frac{-mediumsize}{\underbrace{(\pm large)\dots(\pm large)}_{\text{odd number of copies}}} \\
 &= \frac{-mediumsize}{\pm large} \\
 &= -mediumsize \cdot \pm small \\
 &= \mp small
 \end{aligned}$$

iii. Concavity sign *JIM* near  $\infty = \langle n, u \rangle$

ii. The local graph of *JIM* near 0 is



The GLOBAL ANALYSIS of regular monomial functions is very *systematic* because the global input-output rule is very simple.

## 7 Reciprocity

1. Another way to look at ?? on ?? is to realize that, for a monomial function,

- If Output Size = Input Size, this can only be because Exponent Sign = +,

- If Output Size = *Reciprocal* Input Size, this can only be because Exponent Sign =  $-$ .

Which gives us the following which we will use to graph regular monomial functions efficiently:

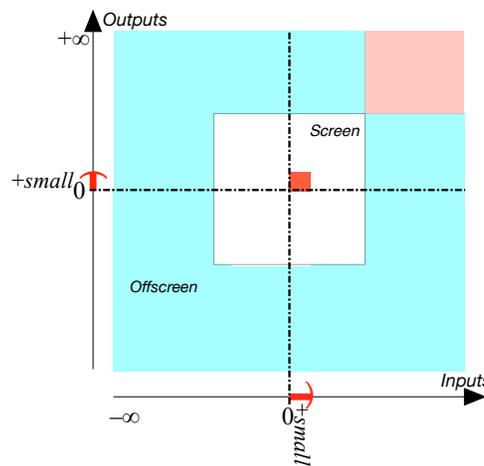
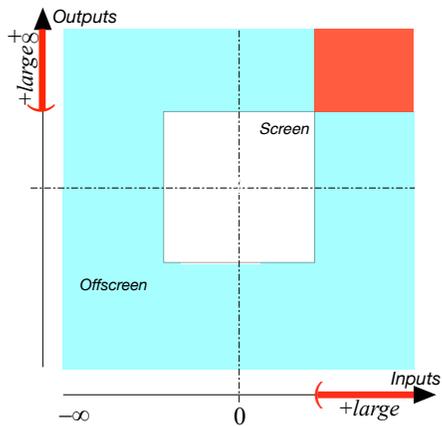
**THEOREM 6.9 Reciprocity** (For Regular Monomial Functions.)

- If *large*  $\rightarrow$  *large*, then *small*  $\rightarrow$  *small* (And vice versa.)
- If *large*  $\rightarrow$  *small*, then *small*  $\rightarrow$  *large* (And vice versa.)

**EXAMPLE 6.17.**

After we have found, for instance,

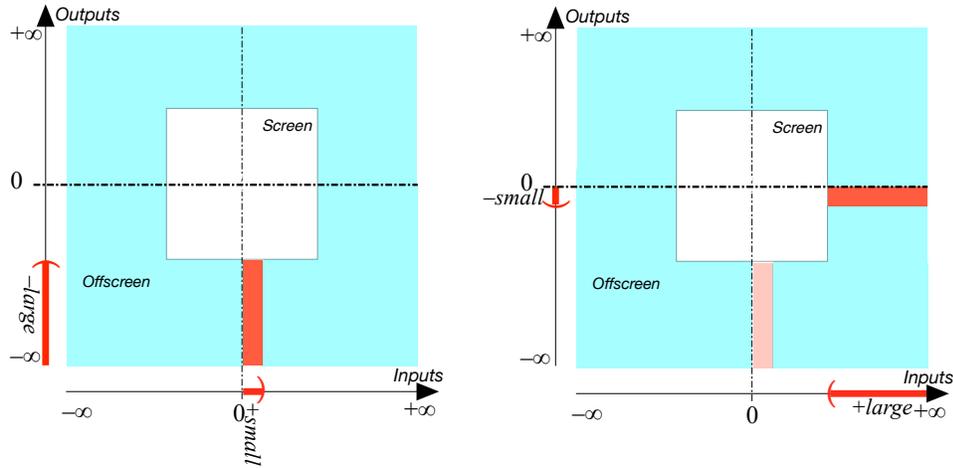
We get from THEOREM 6.9



**EXAMPLE 6.18.**

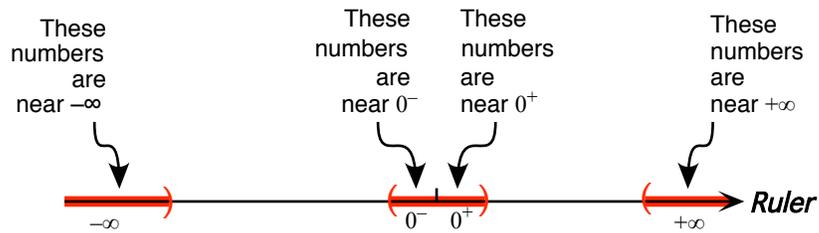
After we have found, for instance,

We get from THEOREM 6.9



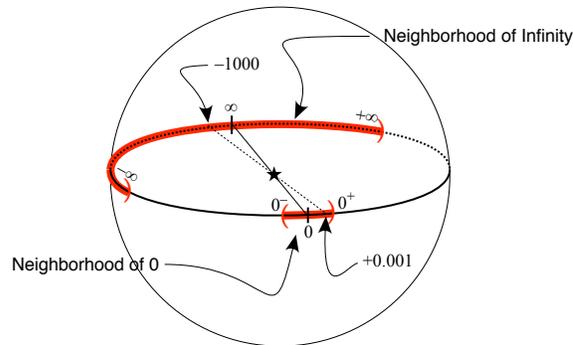
2. The relationship between  $\infty$  and 0 is not only important but also fascinating.

a. Even though, as an input, 0 is usually not particularly important, there is an intriguing “symmetry” between  $\infty$  and 0 namely:



More precisely, *small numbers* are some sort of inverted image of *large numbers* since the *reciprocal* of a *large number* is a *small number* and vice versa.

**EXAMPLE 6.19.** The opposite of the reciprocal of  $-0.001$  is  $+1000$ . In a Magellan view, we have

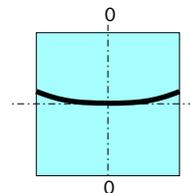


b. Here is yet another way to look at reciprocity. We start with the graph of a monomial function and we “turn” it so as to see it while facing  $\infty$  and we then compare it with the graph near 0 of the reciprocal function.

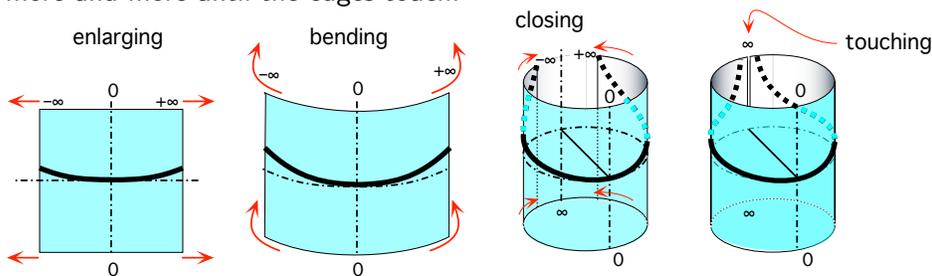
**EXAMPLE 6.20.** Let the monomial function specified by the global input-output rule

$$x \xrightarrow{RAIN} RAIN(x) = (+1)x^{+4}$$

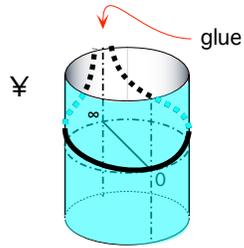
the local graph near 0 of  $RAIN$  is:  $\nexists$



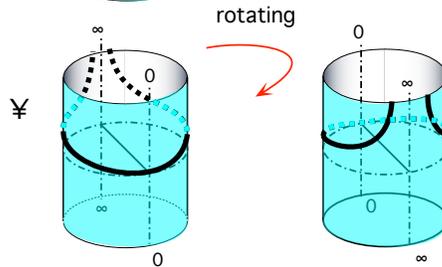
We enlarge the *extent* of the input ruler more and more while shrinking the *scale* by the edges more and more and, as we do so, we bend the screen backward more and more until the edges touch.



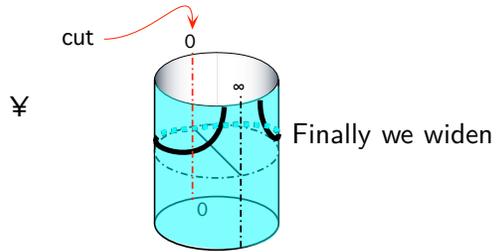
We then glue shut the edges of the screen at  $\infty$  to get a cylinder.



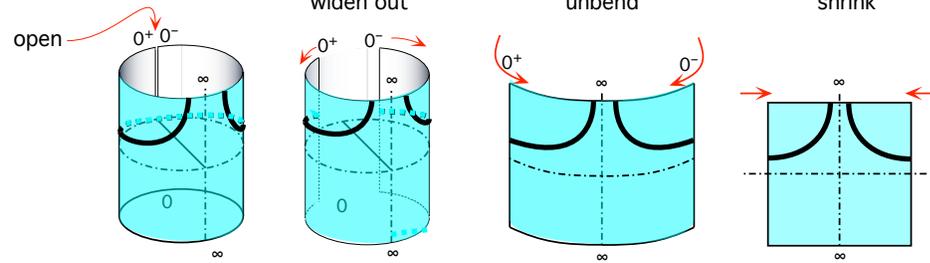
Then we turn the cylinder half a turn so that  $\infty$  gets to be in front of us:



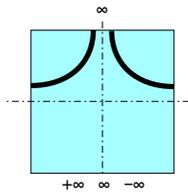
Now we cut open the cylinder along the input level line for 0



the cut and unbend the screen forward more and more until it becomes flat.



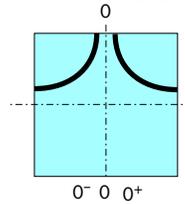
The local graph near  $\infty$  that we got for *RAIN* is:



≠

It is the same as the local graph near 0 of the reciprocal function specified by the global input-output rule

$$x \xrightarrow{TENA} TENA(x) = (+1)x^{-4}$$



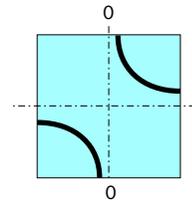
≠

(Keep in mind that the left side of  $\infty$  is the positive side of  $\infty$  and the right side of  $\infty$  is the negative side of  $\infty$ . So the graphs on the positive sides are the same and the local graphs on the negative sides are also the same.)

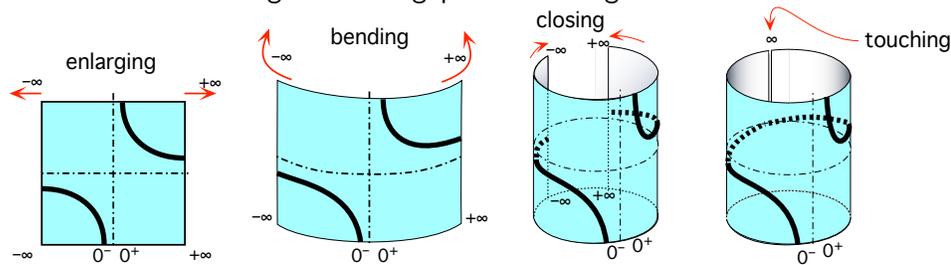
**EXAMPLE 6.21.** Given the monomial function specified by the global input-output rule

$$x \xrightarrow{MIKE} MIKE(x) = (+1)x^{-3}$$

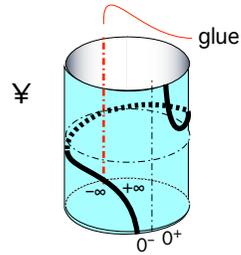
the local graph near 0 of *MIKE* is:



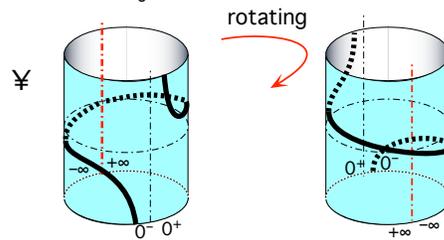
We enlarge the *extent* of the input ruler more and more while shrinking the *scale* by the edges more and more and, as we do so, we bend the screen backward more and more closing down the gap until the edges touch:



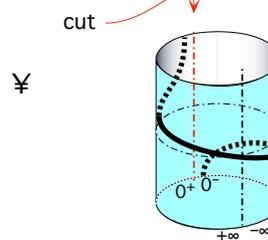
We then glue shut the edges of the screen at  $\infty$  to get a cylinder.



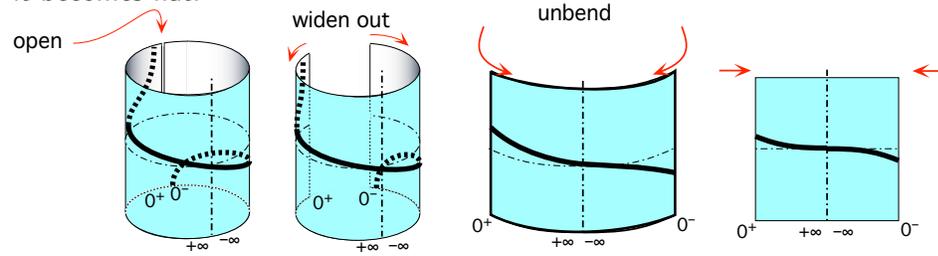
Then we rotate the cylinder half a turn so that  $\infty$  gets to be in front of us:



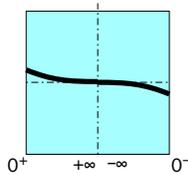
Now we cut open the cylinder along the input level line for 0



Finally we widen the cut and unbend the screen forward more and more until it becomes flat.



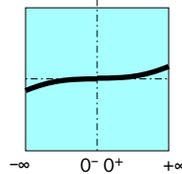
The local graph near  $\infty$  that we just got for *MIKE* is:



≠

It is the same as the local graph near 0 of the reciprocal function specified by the global input-output rule

$$x \xrightarrow{JANE} JANE(x) = (+1)x^{+3}$$



≠

(Keep in mind that the left side of  $\infty$  is the positive side of  $\infty$  and the right side of  $\infty$  is the negative side of  $\infty$ . So the graphs on the positive sides *are* the same and the local graphs on the negative sides also *are* the same.)

## 8 Global Graphing

We can of course get the global graph the way we will get the global graph of all the other functions in this text, that is as described in ??, but, in the case of *regular monomial functions*, we will be taking advantage of the following TTHEOREMS which we must become *completely familiar* with—but which we certainly must not *memorize*:

- The first part of ?? on ?? namely:

**THEOREM 6.10 Output Sign for *positive* inputs.** (For Regular Monomial Functions.)

Output Sign for *positive* inputs = Coefficient Sign.

- ?? on ??
- THEOREM 6.9 on page 299
- THEOREM 6.6 on page 286

Then, after a little bit of practice, we will be able to get the global graph *very rapidly*:

**PROCEDURE 6.6** To graph a *regular* monomial function:

- a. Locate the *graph place* for inputs near  $+\infty$  as follows:
  - i. Determine if the graph place for inputs near  $+\infty$  is *above* or

below the 0-output level line.

(Use THEOREM 6.10 on ??)

ii. Determine if the graph place for inputs near  $+\infty$  is near the 0-output level line or near the  $\infty$ -output level line,  
(Use ?? on ??)

b. Locate the *graph place* for inputs near  $0^+$ .

(Use THEOREM 6.9 on page 299 ).

c. Locate the *graph places* for inputs near  $-\infty$  and inputs near  $0^-$ .

(Use THEOREM 6.6 on page 286)

d. Draw the *global graph* through the *graph places*.

### DEMO 6.25

1. We locate the **graph place** for inputs near  $+\infty$ :

i. Since Coefficient Sign = +,  
$$+ \xrightarrow{KIR} +$$

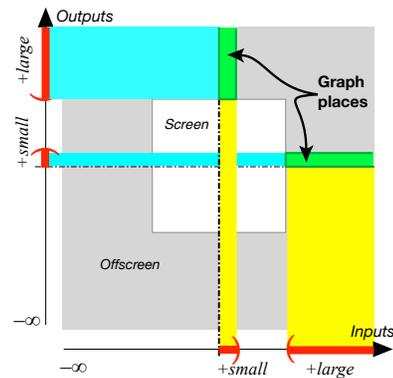
(Using THEOREM 6.10 on page 305.)

ii. Since Exponent Sign = -,  
$$large \xrightarrow{KIR} small$$

(Using ?? on ??.)

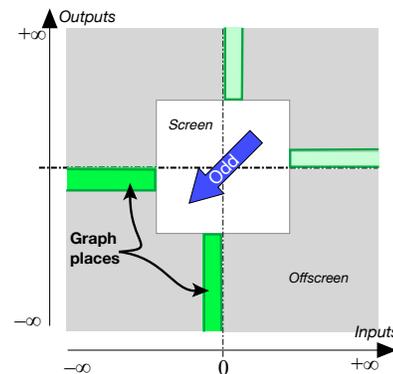
2. We locate the **graph place** for inputs near  $0^+$ .

(Using THEOREM 6.9 on page 299.)

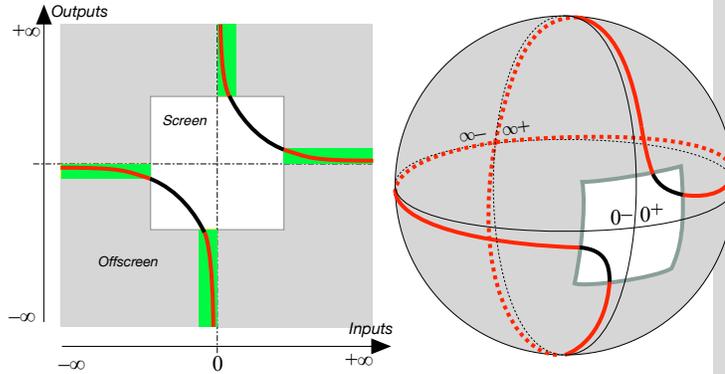


3. We locate the **graph places** for inputs near  $-\infty$  and near  $0^-$ .

(Using THEOREM 6.6 on page 286.)



4. We draw the *global graph* through the graph places. And, to the right is a Magellan view of the global graph.



**DEMO 6.26**

1. We locate the **graph place** for inputs near  $+\infty$ :

i. Since Coefficient Sign =  $-$ ,  

$$+ \xrightarrow{KIM} +$$

(Using THEOREM 6.10 on page 305.)

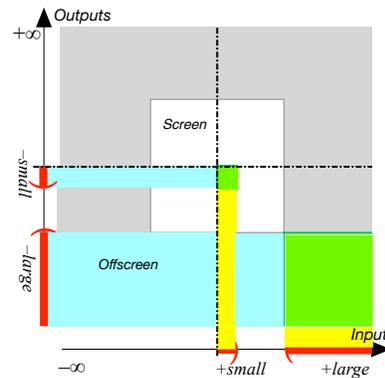
ii. Since Exponent Sign =  $+$ ,  

$$large \xrightarrow{KIM} large$$

(Using ?? on ??.)

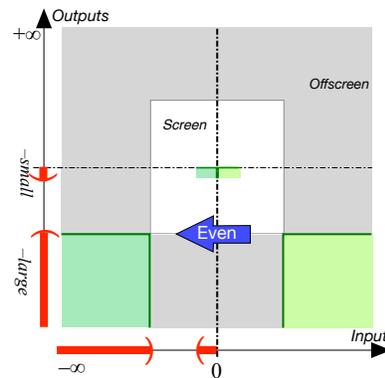
2. We locate the **graph place** for inputs near  $0^+$ .

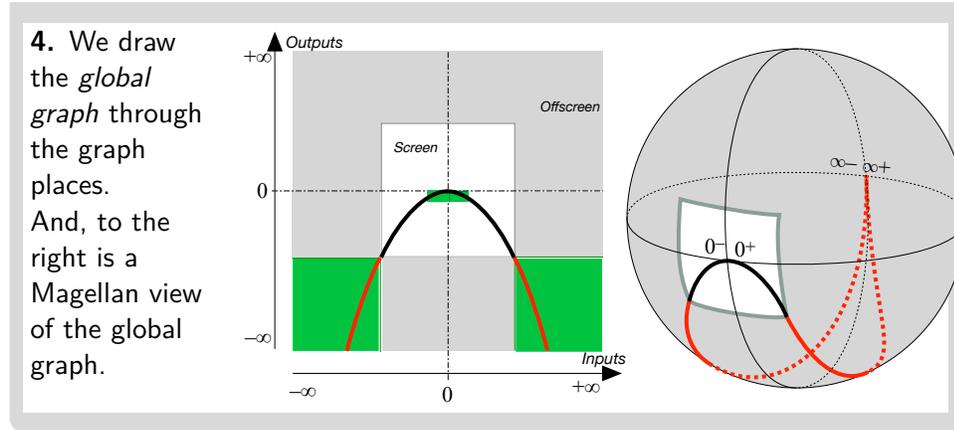
(Using THEOREM 6.9 on page 299.)



3. We locate the **graph places** for inputs near  $-\infty$  and near  $0^-$ .

(Using THEOREM 6.6 on page 286.)





**DEMO 6.27**

1. We locate the **graph place** for inputs near  $+\infty$ :

i. Since Coefficient Sign =  $-$ ,  

$$+ \xrightarrow{KIN} -$$

(Using THEOREM 6.10 on page 305.)

ii. Since Exponent Sign =  $+$ ,  

$$large \xrightarrow{KIN} large$$

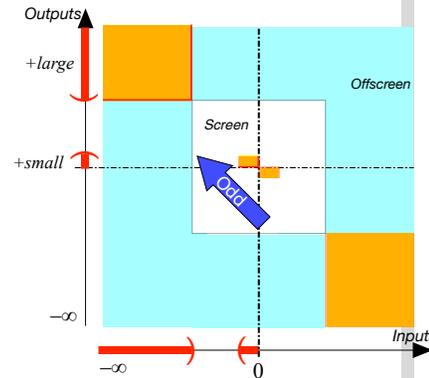
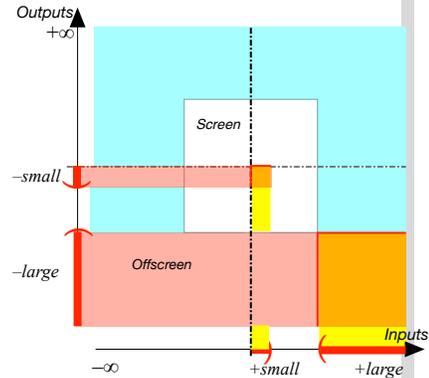
(Using ?? on ??.)

2. We locate the **graph place** for inputs near  $0^+$ .

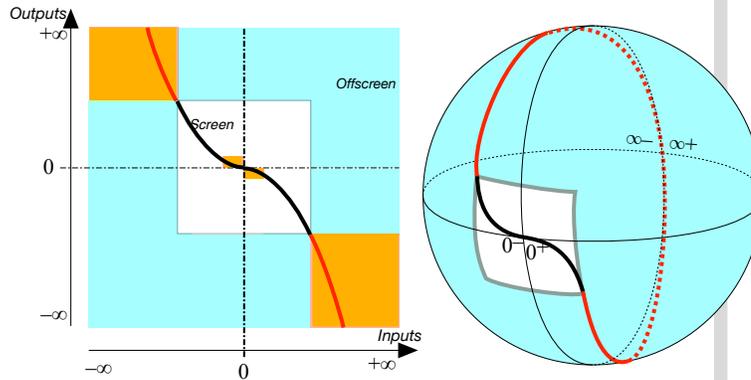
(Using THEOREM 6.9 on page 299.)

3. We locate the **graph places** for inputs near  $-\infty$  and near  $0^-$ .

(Using THEOREM 6.6 on page 286.)



4. We draw the *global graph* through the graph places. And, to the right is a Magellan view of the global graph.



**DEMO 6.28**

1. We locate the **graph place** for inputs near  $+\infty$ :

i. Since Coefficient Sign = +,  

$$+ \xrightarrow{KIB} +$$

(Using THEOREM 6.10 on page 305.)

ii. Since Exponent Sign = -,  

$$large \xrightarrow{KIB} small$$

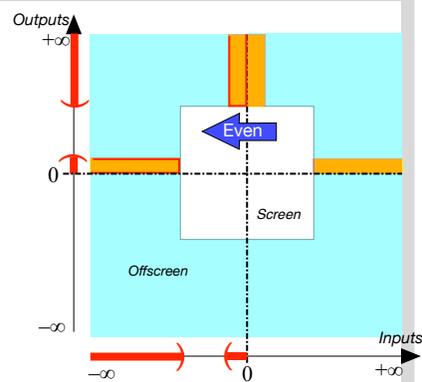
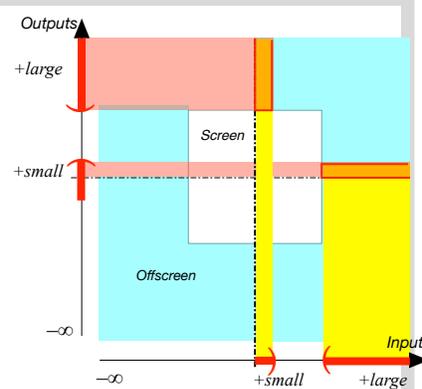
(Using ?? on ??.)

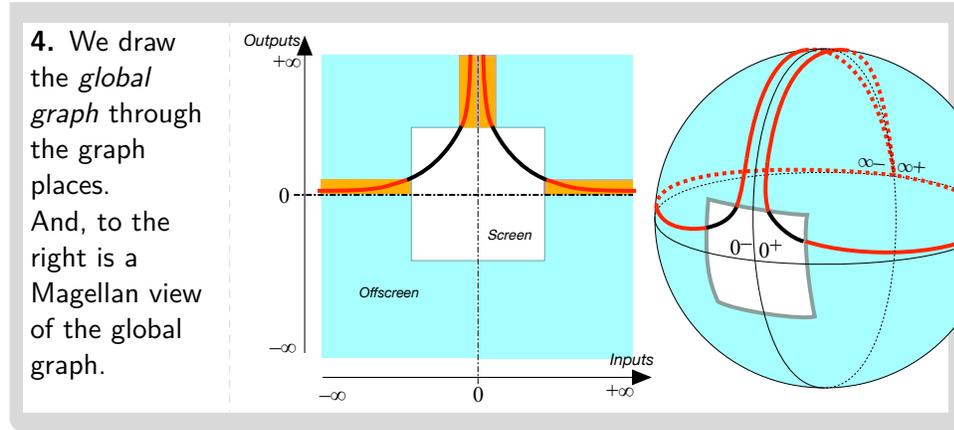
2. We locate the **graph place** for inputs near  $0^+$ .

(Using THEOREM 6.9 on page 299.)

3. We locate the **graph places** for inputs near  $-\infty$  and near  $0^-$ .

(Using THEOREM 6.6 on page 286.)





### 9 Types of Global Graphs

Each type of *global input-output rule* corresponds to a type of *global graph*. The global graphs are shown both from “close-up” to see the bounded graph and from “faraway” to see how the graphs flatten out.

Input-output rule	From “close-up”	From “faraway”
$x \xrightarrow{PEP} PEP(x) = (+1)x^{+even}$		

*Continued on next page*

Input-output rule	From “close-up”	From “faraway”
$x \xrightarrow{PEN} PEN(x) = (-1)x^{+even}$		
$x \xrightarrow{POP} POP(x) = (+1)x^{+odd}$		
$x \xrightarrow{PON} PON(x) = (-1)x^{+odd}$		
$x \xrightarrow{NEP} NEP(x) = +x^{-even}$		

Continued on next page

Input-output rule	From “close-up”	From “faraway”
$x \xrightarrow{NEN} NEN(x) = -x^{-even}$		
$x \xrightarrow{KIR} KIR(x) = +x^{-odd}$		
$x \xrightarrow{NON} NON(x) = -x^{-odd}$		

# Chapter 7

## No More Affine Functions

Binomial Functions, 313 • Graphs of Binomial Functions, 315 • Local graphs, 317 • Local Feature-signs, 319 • Affine Functions: Global Analysis, 323 • Smoothness, 323 • The Essential Question, 324 • Slope-sign, 326 • Extremum, 327 • Height-sign, 327 • Bounded Graph, 328 • 0-Slope Location, 330 • Locating Inputs Whose Output =  $y_0$ , 330 • Locating Inputs Whose Output  $> y_0$  Or  $< y_0$ , 330 • Initial Value Problem, 331 • Boundary Value Problem, 333 • Piecewise affine functions, 334 .

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### 1 Binomial Functions

1.

2.

**EXAMPLE 7.1.** Let *BASE* be given by the global input-output rule

$$x \xrightarrow{BASE} BASE(x) = (-3)x^{+2}$$

and let *ADD-ON* be given by the global input-output rule

$$x \xrightarrow{ADD-ON} ADD-ON(x) = (+5)x^0$$

$$= +5$$

then the *SUM* function is given by the global input-output rule

$$\begin{aligned} x \xrightarrow{SUM} SUM(x) &= (-3)x^{+2} \oplus (+5)x^0 \\ &= (-3)x^{+2} + 5 \end{aligned}$$

To see that *SUM* cannot be replaced by a single monomial function, we first evaluate all three functions at some input, for instance +2:

$$\begin{aligned} +2 \xrightarrow{BASE} BASE(+2) &= (-3)(+2)^{+2} \\ &= -12 \end{aligned}$$

and

$$\begin{aligned} +2 \xrightarrow{ADD-ON} ADD-ON(+2) &= (+5)(+2)^0 \\ &= +5 \end{aligned}$$

then

$$\begin{aligned} x \xrightarrow{SUM} SUM(x) &= (-3)(+2)^{+2} \oplus (+5)(+2)^0 \\ &= -12 \oplus +5 \\ &= -7 \end{aligned}$$

The question then is what *monomial function* could return the output  $-7$  for the input  $+2$ .

Of course, we can easily find a monomial function that would return the output  $-7$  for the input  $+2$ . For instance, the dilation function  $x \xrightarrow{f} f(x) = -\frac{7}{2}x$  does return the output  $-7$  for the input  $+2$ . But  $f$  is *not* going to return the same output as *SUM* for other inputs, say,  $+3$ ,  $+4$ , etc which it should. So, the *binomial function*

$$x \xrightarrow{SUM} SUM(x) = (-3)x^{+2} + 5$$

cannot be replaced by the single *monomial function*

$$x \xrightarrow{f} f(x) = -\frac{7}{2}x$$

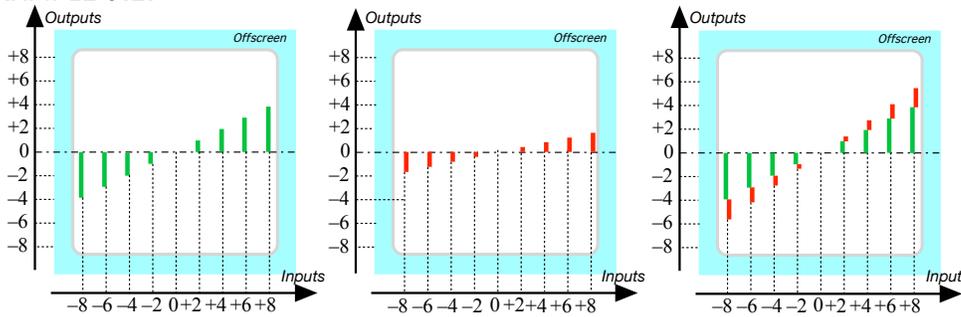
**CAUTIONARY NOTE 7.1** e noted at the beginning of Chapter 5 that monomial functions were only rarely called *monomial functions* and that this was unfortunate: indeed, it would be nicer to say that a *binomial function* cannot be replaced by a single *monomial function*. (We cannot have two for the price of one.)

## 2 Graphs of Binomial Functions

1. When the exponent of the *add-on* function is the same as the exponent of the *base* function, the bar graphs show exactly why the *sum* function will have again the same exponent.

- a. Given a constant base function, adding-on a constant function:
- b. Given a dilation base function, adding-on a dilation function:

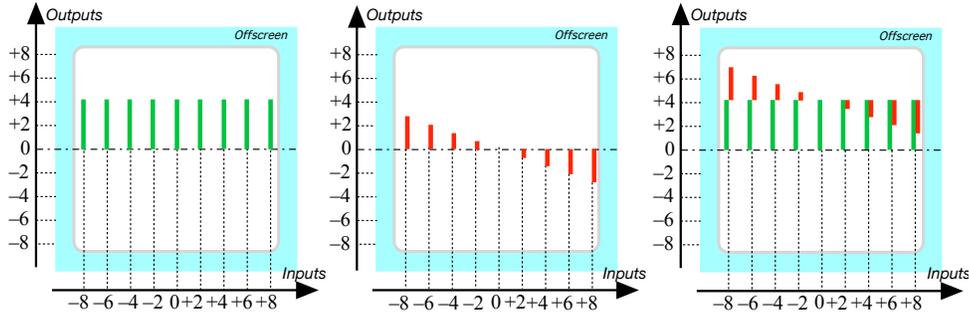
**EXAMPLE 7.2.**



2. When the exponent of the *add-on* function is *not* the same as the exponent of the *base* function, the bar graphs show clearly why the *sum* function cannot be a monomial function.

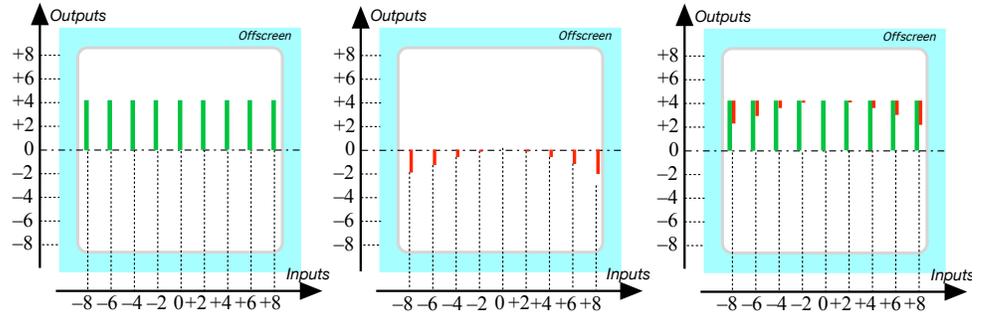
- a. Given a constant base function,
- Adding-on a dilation function:

**EXAMPLE 7.3.**



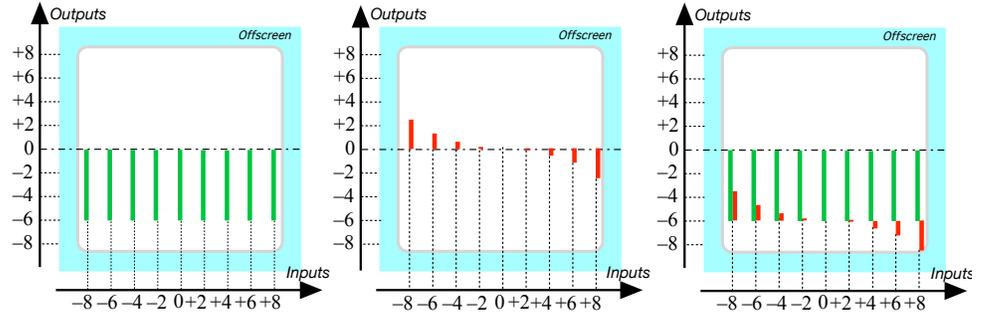
- Adding-on an even positive exponent monomial function:

**EXAMPLE 7.4.**



- Adding-on an odd positive exponent monomial function:

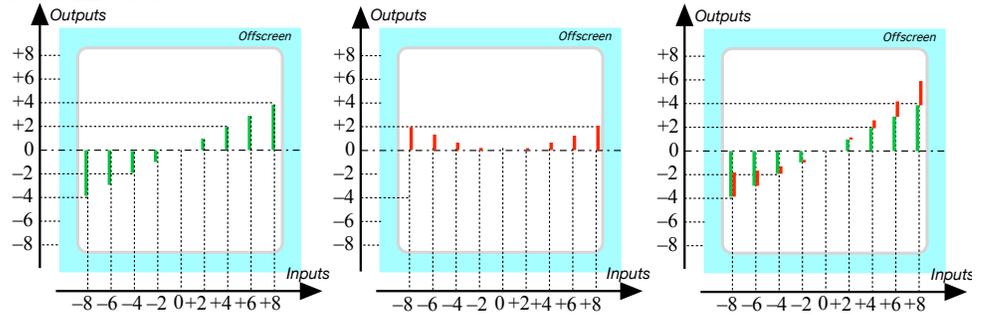
**EXAMPLE 7.5.**



- b. Given a dilation base function,

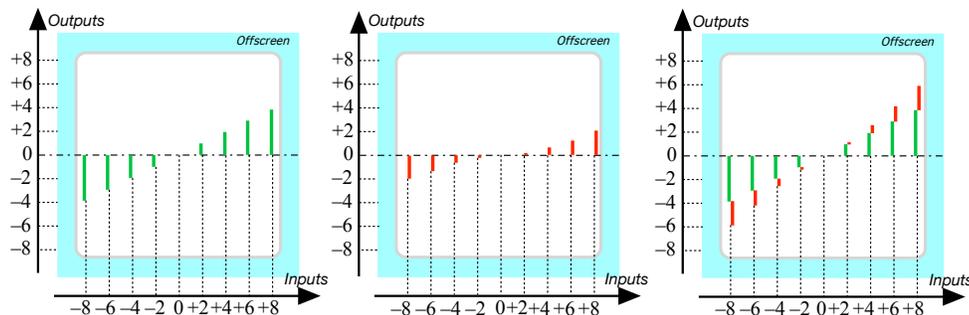
- Adding-on an even monomial function:

**EXAMPLE 7.6.**



- Adding-on an odd monomial function:

**EXAMPLE 7.7.**



=====End LOOK UP =====

### 3 Local graphs

Just as we get a *plot point at a bounded input from the output at that input*, we get the *local graph near any input, be it bounded or infinity, from the jet near that input*.

#### PROCEDURE 7.1

1. Get the jet near  $\infty$  using PROCEDURE 5.8 To evaluate **near  $\infty$**  the function given by  $x \xrightarrow{AFFINE} AFFINE(x) = ax + b$  on page 247

$$x \text{ near } \infty \xrightarrow{AFFINE} AFFINE(x) = [a]x + [b]$$

2. Get the local graph near  $\infty$  of each term:

a. Get the graph of the *linear term* near  $\infty$  by graphing near  $\infty$  the monomial function  $x \rightarrow ax$  using ?? ?? on ??.

b. Get the graph of the *constant term* near  $\infty$  by graphing near  $\infty$  the monomial function  $x \rightarrow b$  using ?? ?? on ??.

3. Get the local graph near  $\infty$  of *AFFINE* by adding-on the constant term to the linear term using ??.

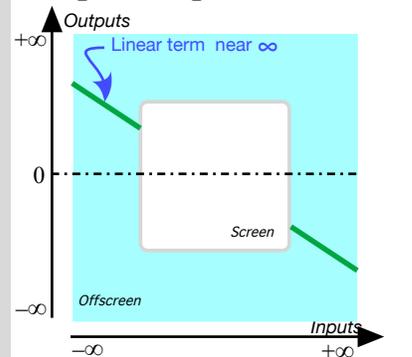
#### DEMO 7.1

1. We get the jet near  $\infty$ : (See DEMO 5.8 on page 248)

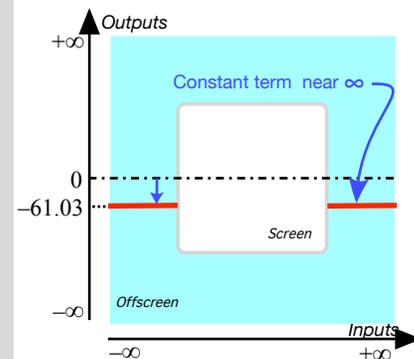
$$x \text{ near } \infty \xrightarrow{NINA} NINA(x) = [-82.47]x + [-61.03]$$

2. Get the local graph near  $\infty$  of each term:

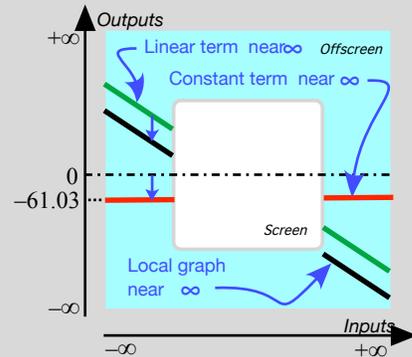
a. We get the graph of the linear term by graphing near  $\infty$  the monomial function  $x \rightarrow [-82.47]x$  (See ?? on ??)



b. We get the graph of the constant term near  $\infty$  by graphing near  $\infty$  the monomial function  $x \rightarrow [-61.03]$  (See ?? on ??)



3. We get the local graph near  $\infty$  of *NINA* by adding-on to the graph of the *linear* term the graph of the *constant* term. (See ?? on ??)



### PROCEDURE 7.2

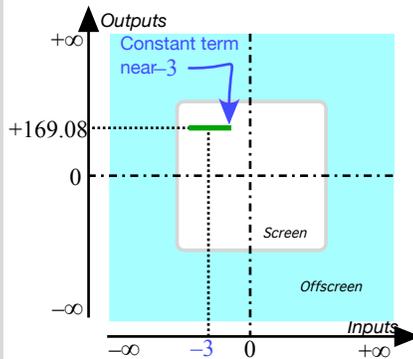
- i. Get the jet near  $x_0$  of *AFFINE* using PROCEDURE 5.9 To evaluate near  $x_0$  the function given by  $x \xrightarrow{AFFINE} AFFINE(x) = ax + b$  on page 251
- ii. Get the graph of the constant term in the jet near  $x_0$  namely of  $[ax_0 + b]$
- iii. Add-on the graph of the linear term in the jet near  $x_0$  namely of  $[a]h$

**DEMO 7.2**

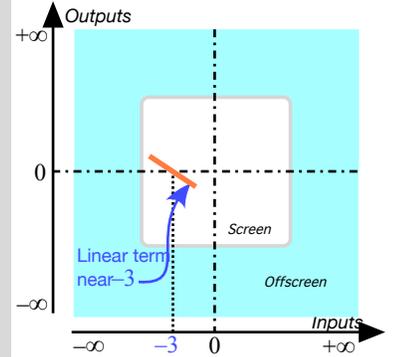
i. We get the jet near  $-3$  of  $ALDA$  by evaluating  $ALDA$  near  $-3$ :  
 (See DEMO 5.9 on page 252)

$$-3 + h \xrightarrow{ALDA} ALDA(-3 + h) = \underbrace{[+169.08]}_{\text{output jet near } -3} \oplus \underbrace{[-32.67]}_{\text{output jet near } -3} h$$

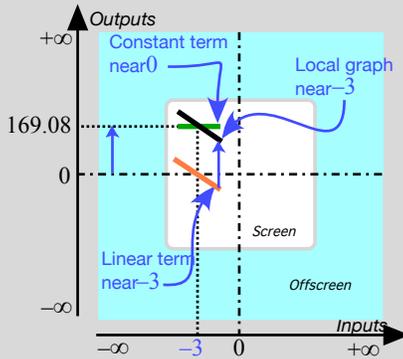
ii. We get the graph of the constant term near  $-3$ : (See ?? on ??)



iii. We get the graph of the linear term near  $-3$  is: (See ?? on ??)



iv. We add-on the graph of the linear term near  $-3$  to the graph of the constant term near  $-3$ . (See ?? on ??)



## 4 Local Feature-signs

As we saw in ?? ??, a feature-sign near a given input, be it near  $\infty$  or near  $x_0$ , can be read from the *local graph* and so all we need to do is:

- i. Get the *output jet* from the global input-output rule. (See PROC-

DURE 5.8 on page 247 when the given input is  $\infty$  or PROCEDURE 5.9 on page 251 when the given input is  $x_0$ .)

ii. Get the *local graph* from the output *jet*. (See PROCEDURE 7.1 on page 317 when the given input is  $\infty$  or PROCEDURE 7.1 on page 317 when the given input is  $x_0$ .)

iii. Get the *feature-sign* from the *local graph* (See ??)

However, with a little bit of reflection, it is faster and *much more useful* to read the feature-signs directly from the *jet* in the local input-output rule.

But since, in order for the terms in the *jet* to be in *descending order of sizes*,

- In the case of *infinity*, the exponents of  $x$  have to be in *descending order*.
- In the case of a *bounded input*, the exponents of  $h$  have to be in *ascending order*.

we will deal with  $\infty$  and with  $x_0$  separately.

1. Near *infinity* things are quite straightforward:

### PROCEDURE 7.3

i. Get the local input-output rule near  $\infty$ :

$$\begin{aligned} x \text{ near } \infty &\xrightarrow{AFFINE} AFFINE(x) = ax + b \\ &= \underbrace{[a]x \oplus [b]}_{\text{output jet near } \infty} \end{aligned}$$

ii. Then, in the *jet* near  $\infty$ :

- Get both the *Height-sign* and the *Slope-sign* from the *linear term*  $[a]x$  because the next term  $[b]$  is *too small to matter*.
- Since both the *linear term* and the *constant term* have no concavity, *AFFINE* has no *Concavity-sign* near  $\infty$ .

**DEMO 7.3** Get the Height-sign near  $\infty$  of the function given by

$$x \xrightarrow{JULIE} JULIE(x) = -2x + 6$$

i. We get the local input-output rule near  $\infty$ :

$$\begin{aligned} x \text{ near } \infty &\xrightarrow{JULIE} JULIE(x) = -2x + 6 \\ &= \underbrace{[-2]x \oplus [+6]}_{\text{output jet near } \infty} \end{aligned}$$

ii. We get *Height-sign* from the *linear term*  $[-2]x$  because the *constant term*  $[+6]$  is *too small to matter*.

Since the *linear coefficient*  $-2$  is negative, we get that Height-sign

*JULIE* near  $\infty = \langle -, + \rangle$ . (Seen from  $\infty$ .)

**DEMO 7.4** Get the Slope-signs near  $\infty$  of the function given by

$$x \xrightarrow{PETER} PETER(x) = +3x - 8$$

i. We get the local input-output rule near  $\infty$ :

$$\begin{aligned} x \text{ near } \infty \xrightarrow{PETER} PETER(x) &= +3x - 8 \\ &= \underbrace{[+3]x \oplus [-8]}_{\text{output jet near } \infty} \end{aligned}$$

ii. We get *Slope-sign* from the *linear* term  $[+3]x$  because the *constant* term  $[-8]$  is *too small to matter* (Not to mention that a *constant* term has *no* slope.)

Since the *linear coefficient*  $+3$  is positive, we get that Slope-sign *PETER* near  $\infty = \langle \swarrow, \nearrow \rangle$ . (Seen from  $\infty$ .)

2. In the case of a *bounded input*, things are a bit more complicated because the bounded input may turn out to be *ordinary* or *critical* for the *height*. But it will always be *ordinary* for the slope.

**PROCEDURE 7.4**

i. Get the local input-output rule near  $x_0$ :

$$\begin{aligned} x_0 + h \xrightarrow{AFFINE} AFFINE(x_0 + h) &= a(x_0 + h) + b \\ &= ax_0 + ah + b \\ &= ax_0 + b + ah \\ &= \underbrace{[ax_0 + b] \oplus [a]h}_{\text{output jet near } x_0} \end{aligned}$$

ii. Then, in the *jet* near  $x_0$ :

- If  $x_0$  is *ordinary*, that is if  $[ax_0 + b] \neq 0$ , get the *Height-sign* from the *sign* of the constant term  $[ax_0 + b]$  because the next term  $[a]h$  is *too small to matter*. In other words, Height-sign *AFFINE* near  $x_0 =$  Height-sign of the monomial function  $h \rightarrow ax_0 + b$  near 0. But if  $x_0$  is *critical*, that is if  $[ax_0 + b] = 0$ , the next term, namely the *linear term*  $[a]h$ , now does matter even though it is *small*. In other words, now Height-sign *AFFINE* near  $x_0 =$  Height-sign of the monomial function  $h \rightarrow ah$  near 0.

- Since the *constant term* has no slope, get the *Slope-sign* from the next smaller term in the jet, namely the *linear term*. In other words, *Slope-sign AFFINE* near  $x_0$  = *Slope-sign* of the monomial function  $h \rightarrow ah$  near 0.
- Since both the *constant term* and the *linear term* have no concavity, *AFFINE* has no *Concavity-sign* near  $x_0$ .

**DEMO 7.5** Get the feature-signs near +2 of the function given by

$$x \xrightarrow{JULIE} JULIE(x) = -2x - 6$$

i. We get the local input-output rule near +2:

$$\begin{aligned} +2 + h &\xrightarrow{JULIE} JULIE(+2 + h) = -2(+2 + h) - 6 \\ &= -2(+2) - 2h - 6 \\ &= -4 - 2h - 6 \\ &= -4 - 6 - 2h \\ &= \underbrace{[-10] \oplus [-2]}_{\text{output jet near } +2} h \end{aligned}$$

ii. Then, from the *jet*:

- We get the *Height-sign* of *JULIE* from the *constant term*  $[-10]$  and since the *Height-sign* of the monomial function  $h \rightarrow -10$  near 0 is  $\langle -, - \rangle$ , we get that *Height-sign JULIE* near +2 =  $\langle -, - \rangle$ .
- Since the *constant term*  $[-10]$  has no slope we get *Slope-sign* from the next term, namely the *linear term*  $[-2]h$ , and since the *Slope-sign* of the monomial function  $h \rightarrow -2h$  near 0 is  $\langle \setminus, \setminus \rangle$ , we get that *Slope-sign JULIE* near +2 =  $\langle \setminus, \setminus \rangle$ .
- Since the *constant term*  $[-10]$  and the *linear term*  $[-2h]$  both have no concavity, *JULIE* has no *Concavity-sign* near +2.

**DEMO 7.6** Get the feature-signs near -2 of the function given by

$$x \xrightarrow{PETER} PETER(x) = +3x + 6$$

i. We get the local input-output rule near -2:

$$\begin{aligned} -2 + h &\xrightarrow{PETER} PETER(-2 + h) = +3(-2 + h) + 6 \\ &= +3(-2) + 3h + 6 \\ &= -6 + 3h + 6 \end{aligned}$$

general local analysis

$$\begin{aligned}
 &= -6 + 6 + 3h \\
 &= \underbrace{[0] \oplus [ + 3 ]h}_{\text{output jet near } -2}
 \end{aligned}$$

ii. Then, from the *jet*:

- Since the *constant term* is 0, we get Height-sign of *PETER* from the next term, namely the *linear term*  $[+3]h$  even though it is *small*. Since the Height-sign of the monomial function  $h \rightarrow +3h$  near 0 is  $\langle -, + \rangle$  we get that Height-sign *PETER* near  $-2 = \langle -, + \rangle$ .
- Since the *constant term*  $[0]$  has no slope we get Slope-sign from the next term, namely the *linear term*  $[+3]h$ , and since the Slope-sign of the monomial function  $h \rightarrow +3h$  near 0 is  $\langle \swarrow, \swarrow \rangle$  we get that Slope-sign *PETER* near  $-2 = \langle \swarrow, \swarrow \rangle$
- Since the *constant term*  $[0]$  and the *linear term*  $[+3h]$  both have no concavity, *PETER* has no Concavity-sign near  $-2$ .

=====  
 Everything below was commented out  
 =====

## 5 Affine Functions: Global Analysis

In contrast with *local* analysis which involves only inputs that are near a given input, be it  $\infty$  or  $x_0$ , *global* analysis involves, one way or the other, *all* inputs. We will see that, while the *local analysis* of all algebraic functions will turn out to remain essentially the same, the *global analysis* of each kind of algebraic functions will turn out to be vastly different.

In fact, with most functions, we will be able to solve only *some* global problems and mostly only *approximately* so. *Affine functions*, though, are truly *exceptional* in that we will be able to solve *all* global problems *exactly*.

Anyway, the first step in investigating the global behavior of a kind of algebraic function will always be to do the **general local analysis** of that kind of algebraic function, that is the local analysis of the *generic algebraic function* of that kind near  $\infty$  and near a generic input  $x_0$ .

## 6 Smoothness

Given the function given by the generic global input-output rule

$$x \xrightarrow{AFFINE} AFFINE(x) = ax + b$$

generic local input-output  
rule  
first derivative

the **generic local input-output rule** is:

$$x_0 + h \xrightarrow{\text{AFFINE}} \text{AFFINE}(x_0 + h) = \underbrace{[ax_0 + b]}_{\text{jet near } x_0} \oplus [a]h$$

1. The constant term in the jet near  $x_0$ , namely  $[ax_0 + b]$ , is just the output at  $x_0$ . (See PROCEDURE 5.7 on page 245). In other words:

**THEOREM 7.1** The function which outputs *at* the given input the *constant coefficient in the jet* of a given affine function near a given *bounded* input is the given affine function itself.

**EXAMPLE 7.8.** Observe that in the local input-output rule in DEMO 5.9 on page 252 the *constant coefficient* in the jet near  $-3$ , namely  $+169.08$ , is just the output at  $-3$ . (See DEMO 8.1 on page 342)

2. Since the *linear term* in the jet of an affine function near  $x_0$ , namely  $[a]h$ , is small, we have:

**THEOREM 7.2 Approximate output near  $x_0$ .** For *affine* functions, inputs *near*  $x_0$  have outputs that are *near* the output at  $x_0$ .

which, with the language we introduced in ??, we can rephrase as:

**THEOREM 7.3 thm:10-2 Continuity** All affine functions are *continuous* at all inputs.

(In fact, we will see that this will also be the case for all the functions which we will be investigating *in this text*.)

3. The function which outputs the linear coefficient in the jet of a given affine function near a given input is called the **first derivative** of the given function.

## 7 The Essential Question

As always when we set out to investigate any kind of functions, the first thing we must do is to find out if the *offscreen graph* of an *affine function* consists of just the *local graph near*  $\infty$  or if it also includes the *local graph near one or more  $\infty$ -height inputs*.

In other words, we need to ask the **Essential Question**:

- Do all *bounded inputs* have *bounded outputs*
- or
- Are there *bounded inputs* that are  $\infty$ -height inputs, that is are there inputs whose nearby inputs have unbounded outputs?

Now, given a *bounded* input  $x$ , we have that:

- since  $a$  is bounded,  $ax$  is also bounded
- $b$  is bounded

and so, altogether, we have that  $ax + b$  is bounded and that the answer to the **Essential Question** is:

**THEOREM 7.4 Approximate output near  $\infty$ .** Under an *affine function*, all bounded inputs return *bounded outputs*.

and therefore

**THEOREM 7.5 Offscreen Graph.** The *offscreen graph* of an *affine function* consists of just the *local graph near  $\infty$* .

## EXISTENCE THEOREMS

The notable inputs are those

- whose existence is forced by the *offscreen graph* which, by the **Bounded Height Theorem** for affine functions, consists of only the *local graph near  $\infty$* .
- whose number is limited by the interplay among the three features

Since polynomial functions have no *bounded  $\infty$ -height* input, the only way a feature can change sign is near an input where the feature is 0. Thus, with affine functions, the feature-change inputs will also be 0-feature inputs.

None of the theorems, though, will indicate *where* the notable inputs are. The **Location Theorems** will be dealt with in the last part of the chapter.

**EXAMPLE 7.9.** When somebody has been shot dead, we can say that there is a murderer somewhere but locating the murderer is another story.

## 8 Slope-sign

Given the affine function  $AFFINE_{a,b}$ , that is the function given by the global input-output rule

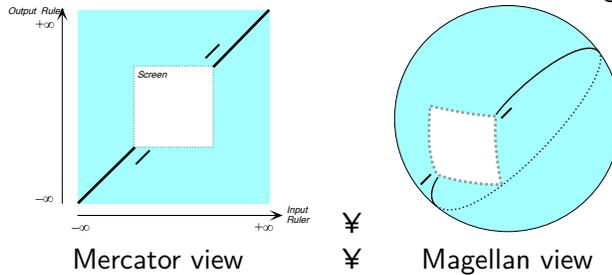
$$x \xrightarrow{AFFINE} AFFINE(x) = ax + b$$

recall that when  $x$  is near  $\infty$  the **Slope-sign Near  $\infty$  Theorem** says that:

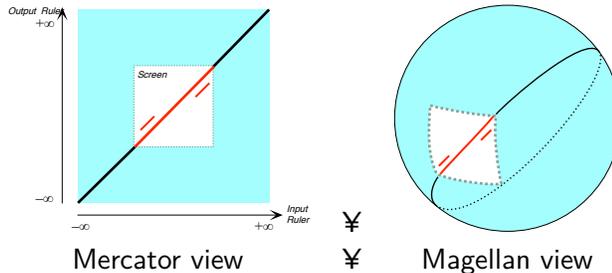
- When  $a$  is  $+$ ,  $Slope-Sign|_{x \text{ near } \infty} = (\swarrow, \swarrow)$
- When  $a$  is  $-$ ,  $Slope-Sign|_{x \text{ near } \infty} = (\searrow, \searrow)$

1. Since the slope does *not* changes sign as  $x$  goes through  $\infty$  from the left side of  $\infty$  to the right side of  $\infty$ , the slope need not change sign as  $x$  goes *across the screen* from the left side of  $\infty$  to the right side of  $\infty$  so there does not have to be a *bounded* Slope-sign change input:

**EXAMPLE 7.10.** Given an affine function whose offscreen graph is



we don't need a bounded slope-sign change input to join smoothly the local graphs near  $\infty$ :



2. In fact, not only does there not have to be a bounded slope-sign change input, there *cannot* be a bounded slope-sign change input since the *local* linear coefficient is equal to the *global* linear coefficient  $a$  and the slope must therefore be the same everywhere:

**THEOREM 7.6 Slope-Sign Change Non-Existence .** An affine function has no *bounded* Slope-Sign Change input.

3. Another consequence of the fact that the local slope does not depend on  $x_0$ , and is thus the same everywhere, is that it is a feature of the function  $AFFINE_{a,b}$  itself and so that the function  $AFFINE_{a,b}$  has a **global slope** given by the global linear coefficient  $a$ .

4. Moreover, the slope cannot be equal to 0 somewhere because the slope is equal to  $a$  everywhere. So, we also have:

**THEOREM 7.7 0-Slope Input Non-Existence** . An affine function has *no* bounded 0-slope input.

## 9 Extremum

From the *optimization* viewpoint, an affine function has no extremum input, that is no bounded input whose output would be larger (or smaller) than the output of nearby inputs.

**THEOREM 7.8 Extremum Non-existence** . An affine function has no bounded local extremum input.

## 10 Height-sign

Given the affine function  $AFFINE_{a,b}$ , that is the function given by the global input-output rule

$$x \xrightarrow{AFFINE} AFFINE(x) = ax + b$$

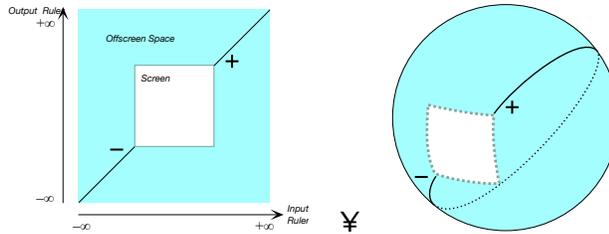
recall that when  $x$  is near  $\infty$  the **Height-sign Near  $\infty$  Theorem** says that:

- When  $a$  is  $+$ ,  $\text{Height-Sign}|_{x \text{ near } \infty} = (+, -)$
- When  $a$  is  $-$ ,  $\text{Height-Sign}|_{x \text{ near } \infty} = (-, +)$

1. Since the height changes sign as  $x$  goes from the left side to the right side of  $\infty$  across  $\infty$ , the height must also change sign as  $x$  goes from the left side to the right side of  $\infty$  *across the screen* so there has to be at least one *bounded Height-sign change input*:

**EXAMPLE 7.11.** Given the affine function whose offscreen graph is

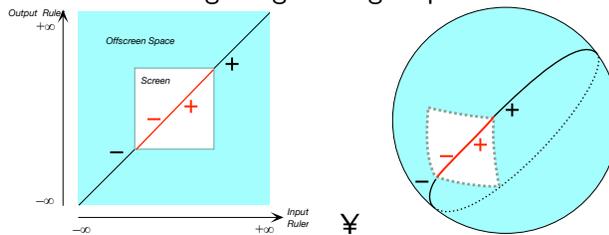
straight



Mercator view

Magellan view

there has to be a bounded height-sign change input:



Mercator view

Magellan view

2. On the other hand, an affine function can have *at most one* 0-height input because, if it had more, it would have to have 0-slope inputs in-between the 0-height inputs which an affine function cannot have. So, we have:

**THEOREM 7.9 0-Height Existence.** An affine function has *exactly one* bounded 0-height input and it is a 0-height input:  
 $x_{\text{Height-sign change}} = x_{0\text{-height}}$

## 11 Bounded Graph

There are two ways to look at the shape of the bounded graph.

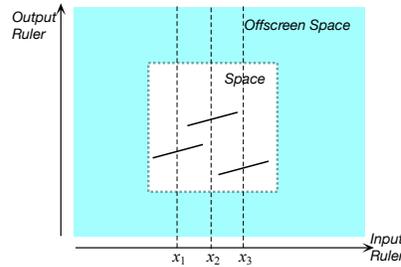
1. As a consequence of the **Bounded Height Theorem** for *affine* functions, the offscreen graph consists only of the local graph near  $\infty$  and we can obtain the *forced bounded graph* by extrapolating smoothly the local graph near  $\infty$ .

There remains however a question namely whether the extrapolated bounded graph is **straight** that is has no concavity. However, affine functions have no concavity and that settles the matter: the local graph near  $-\infty$  and the local graph near  $+\infty$  must be lined up and can therefore be joined smoothly with a straight line.

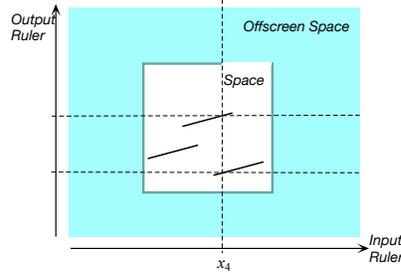
2. In the case of *affine functions*, it happens that we can also obtain the *bounded graph* by interpolating local graphs near bounded inputs:

We start from the local graphs near a number of bounded points as follows:

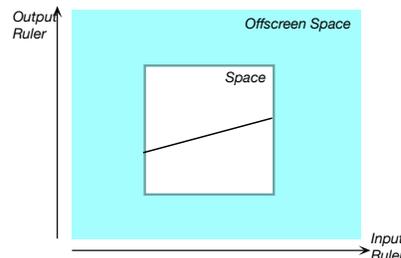
We construct local graphs near, say, three different *bounded* inputs,  $x_1$ ,  $x_2$ ,  $x_3$ . They would look something like this:



However, this is not possible because that would mean that inputs such as  $x_4$  would have *two* outputs:



As a result, the *local* graphs near bounded inputs *must* all line up and so the *bounded graph must* be a straight line:



Of course, the bounded graph must line up with the local graph near  $\infty$  as, otherwise, there would have to be a jump in the transition zone.

## LOCATION THEOREMS

Previously, we only established the *existence* of certain notable features of affine functions and this investigation was based on *graphic* considerations. Here we will investigate the *location* of the inputs where these notable features occur and this investigation will be based on *input-output rule* considerations.

## 12 0-Slope Location

We saw earlier that affine functions cannot have a 0-slope input. On the other hand, since the slope is the same everywhere, it is a global feature of the function itself and we have:

**THEOREM 7.10 Global Slope-sign.** Given the affine function  $AFFINE_{a,b}$ ,

- When  $a$  is *positive*, Slope-sign  $AFFINE = /$ .
- When  $a$  is *negative*, Slope-sign  $AFFINE = \backslash$ .

## 13 Locating Inputs Whose Output = $y_0$

The simplest global problem is, given a number  $y_0$ , to ask for the input numbers for which the function returns the output  $y_0$ .

**PROCEDURE 7.5** Solve the equation  $ax + b = y_0$  (See ?? on ??.)

## 14 Locating Inputs Whose Output $> y_0$ Or $< y_0$

Given the affine function  $AFFINE_{a,b}$ , we are now ready to deal with the global problem of finding all inputs whose output is smaller (or larger) than some given number  $y_0$ .

**EXAMPLE 7.12. G**iven the inequation problem in which

- the *data set* consists of all numbers
- the *inequation* is

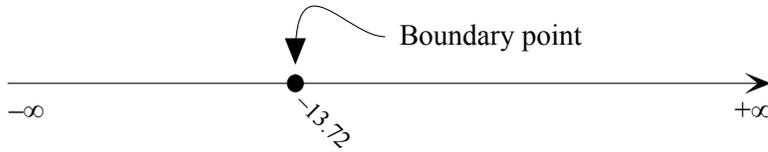
$$x \geq -13.72$$

we locate separately.

i. The *boundary point* of the solution subset of the inequation problem is the solution of the *associated equation*:

$$x = -13.72$$

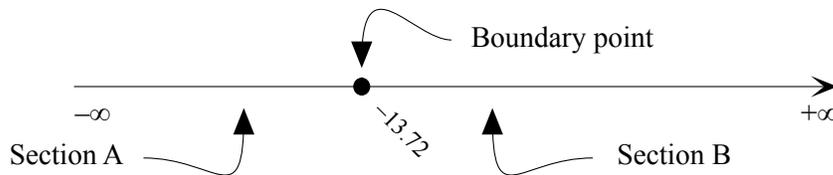
which, of course, is  $-13.72$  and which we graph as follows since the boundary point is a *solution* of the inequation.



ii. The *interior* of the solution subset, that is the solution subset of the associated *strict inequation*

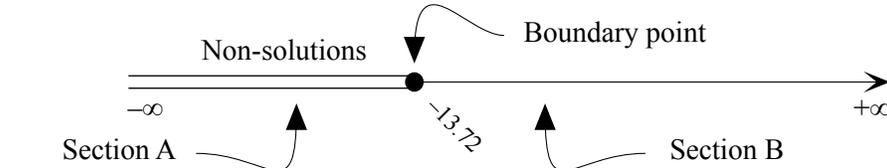
$$x > -13.72$$

i. The boundary point  $-13.72$  separates the data set in two intervals, Section A and Section B:

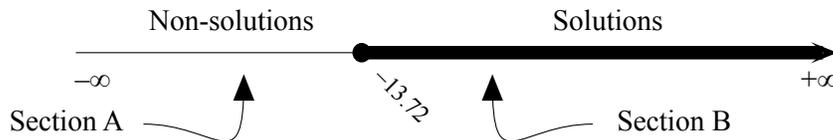


ii. We then test each interval:

- We pick  $-1\,000$  as test number for Section A because, almost without a glance we know  $-1\,000$  is going to be in Section A and because it is easy to check in the inequation: we find that  $-1\,000$  is a *non-solution* so that, by **Pasch Theorem**, all numbers in Section A are *non-solutions*.



- We pick  $+1\,000$  as test number for Section B because, almost without a glance we know  $+1\,000$  is going to be in Section B and because it is easy to check in the inequation: we find that  $+1\,000$  is a *solution* so that, by **Pasch Theorem**, all numbers in Section A are *solutions*.



## 15 Initial Value Problem

An **Initial Value Problem** asks the question:

What is the *input-output rule* of a function  $F$  given that:

- The function  $F$  is *affine*

- The *slope* of the function  $F$  is to be a given number  $a$
- The *output* returned by the function  $F$  for a given input  $x_0$  is to be a given number  $y_0$ .

**EXAMPLE 7.13.** Find the global input-output rule of the function  $KATE$  given that it is affine, that its slope is  $-3$  and that the output for the input  $+2$  is  $+5$ .

We use all three given pieces of information:

**i.** Since we are given that  $KATE$  is an affine function, we give temporary names for the dilation coefficient, say  $a$ , and for the constant term, say  $b$ , and we write the global input-output rule of  $KATE$  in terms of these names:

$$x \xrightarrow{KATE_{a,b}} KATE_{a,b}(x) = ax + b$$

**ii.** By the **Local Slope Theorem**, the slope is equal to the dilation coefficient:

$$-3 = a$$

which give the equation  $a = -3$

**iii.** Since the output for the input  $+2$  is  $+5$ , we write

$$KATE_{a,b}(x)|_{x:=+2} = +5$$

$$ax + b|_{x:=+2} = +5$$

$$a(+2) + b = +5$$

which give the equation  $2a + b = +5$

**iv.** So we must solve the system of two equations for two unknowns  $a$  and  $b$ :

=====

$$\text{AND } \begin{cases} a = -3 \\ 2a + b = +5 \end{cases}$$

This kind of system is very simple to solve since we need only replace  $a$  by  $-3$  in the second equation to get the equation:

$$2(-3) + b = +5$$

which we solve using the REDUCTION METHOD:

$$-6 + b = +5$$

$$-6 + b + 6 = +5 + 6$$

$$b = +11$$

**v.** So, the global input-output rule for  $KATE$  is

$$x \xrightarrow{KATE_{-3,+11}} KATE_{-3,+11}(x) = -3x + 11$$

## 16 Boundary Value Problem

A **Boundary Value Problem** asks the question:

What is the *input-output rule* of a function  $F$ , given that:

- The function  $F$  is *affine*
- The *output* returned by the function  $F$  for a given input  $x_1$  is to be a given number  $y_1$ .
- The *output* returned by the function  $F$  for a given input  $x_2$  is to be a given number  $y_2$ .

In other words, we want to find an affine function  $F$  such that:

$$\text{BOTH } \begin{cases} x_1 \xrightarrow{F} F(x_1) = y_1 \\ x_2 \xrightarrow{F} F(x_2) = y_2 \end{cases}$$

**EXAMPLE 7.14.** Find the global input-output rule of the function  $DAVE$  given that it is affine, that the output for the input  $+2$  is  $-1$  and that the output for the input  $-4$  is  $-19$ .

We use all three pieces of information that we are given:

- i. Since we are given that  $DAVE$  is an affine function, we give temporary names for the dilation coefficient, say  $a$ , and for the constant term, say  $b$ , and we write the global input-output rule of  $DAVE$  in terms of these names:

$$x \xrightarrow{DAVE_{a,b}} DAVE_{a,b}(x) = ax + b$$

- ii. Since the output for the input  $+2$  is  $-1$  we write:

$$\begin{aligned} DAVE_{a,b}(x)|_{x:=+2} &= -1 \\ ax + b|_{x:=+2} &= -1 \\ a(+2) + b &= -1 \end{aligned}$$

which give the equation  $+2a + b = -1$

- iii. Since the output for the input  $-4$  is  $-19$  we write:

$$\begin{aligned} DAVE_{a,b}(x)|_{x:=-4} &= -19 \\ ax + b|_{x:=+2} &= -19 \\ a(-4) + b &= -19 \end{aligned}$$

which give the equation  $-4a + b = -19$

- iv. So we must solve the system of two equations for two unknowns  $a$  and  $b$ :

$$\begin{cases} +2a + b = -1 \\ -4a + b = -19 \end{cases}$$

This kind of system is a bit more complicated to solve but since  $b$  appears in both equations, we replace one of the two equations, say the second one, by

“the first one minus the second one”:

$$\begin{cases} +2a + b = -1 \\ [+2a + b] - [-4a + b] = [-1] - [-19] \end{cases}$$

This gives us:

$$\begin{cases} +2a + b = -1 \\ +2a + b + 4a - b = -1 + 19 \end{cases}$$

that is

$$\begin{cases} +2a + b = -1 \\ +6a = +18 \end{cases}$$

that is

$$\begin{cases} +2a + b = -1 \\ \frac{+6a}{+6} = \frac{+18}{+6} \end{cases}$$

that is

$$\begin{cases} +2a + b = -1 \\ a = +3 \end{cases}$$

and now we replace in the first equation  $a$  by  $+3$ :

$$\begin{cases} +2a + b = -1|_{a:=+3} \\ a = +3 \end{cases}$$

that is

$$\begin{cases} +2(+3) + b = -1 \\ a = +3 \end{cases}$$

that is

$$\begin{cases} +6 + b = -1 \\ a = +3 \end{cases}$$

and we reduce the first equation

$$\begin{cases} +6 + b - 6 = -1 - 6 \\ a = +3 \end{cases}$$

which gives us, finally

$$\begin{cases} b = -7 \\ a = +3 \end{cases}$$

v. So the global input-output rule of  $DAVE$  is

$$x \xrightarrow{DAVE_{+3,-7}} DAVE_{+3,-7}(x) = +3x - 7$$

## 17 Piecewise affine functions

**Part III**

**(Laurent) Polynomial  
Functions**



xxxxxxxxx ]



## Chapter 8

# Quadratic Functions

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=====Begin LOOK UP=====

### 1 Trinomial Functions

There is of course no reason why the base function could not itself be a binomial function. In fact, this can very well be the case and the sum function will then be called a **trinomial function**.

**EXAMPLE 8.1.** Let *BASE* be specified by the global input-output rule

$$x \xrightarrow{BASE} BASE(x) = (-3)x^0 \oplus (+7)x^{+1}$$

and let *ADD-ON* be specified by the global input-output rule

$$x \xrightarrow{ADD-ON} ADD-ON(x) = (+5)x^{+3}$$

then the *SUM* function is specified by the global input-output rule

$$\begin{aligned} x \xrightarrow{SUM} SUM(x) &= (-3)x^0 \oplus (+7)x^{+1} \oplus (+5)x^{+3} \\ &= -3 + 7x + 5x^{+3} \end{aligned}$$

Quadratic\_function  
 quadratic\_coefficient  
 linear\_coefficient  
 constant\_coefficient

**EXAMPLE 8.2.** Let  $BASE$  be specified by the global input-output rule

$$x \xrightarrow{BASE} BASE(x) = (-3)x^{+1} \oplus (+7)x^0$$

and let  $ADD-ON$  be specified by the global input-output rule

$$x \xrightarrow{ADD-ON} ADD-ON(x) = (+5)x^{-2}$$

then the  $SUM$  function is specified by the global input-output rule

$$\begin{aligned} x \xrightarrow{SUM} SUM(x) &= (-3)x^{+1} \oplus (+7)x^0 \oplus (+5)x^{-2} \\ &= -3x + 7 + 5x^{-2} \end{aligned}$$

=====End LOOK UP =====

**Quadratic functions** are specified by global input-output rules like the generic global input-output rule:

$$x \xrightarrow{QUADRATIC} QUADRATIC(x) = \underbrace{ax^{+2} \oplus bx^{+1} \oplus cx^0}_{\text{output-specifying code}}$$

which we usually write

$$= \underbrace{ax^2 + bx + c}_{\text{output-specifying code}}$$

where  $a$ , called the **quadratic coefficient**,  $b$ , called the **linear coefficient**, and  $c$ , called the **constant coefficient**, are the *bounded* numbers that specify the function  $QUADRATIC$ .

**EXAMPLE 8.3.** The quadratic function  $RINA$  specified by the quadratic coefficient  $-23.04$ , the linear coefficient  $-17.39$  and the constant coefficient  $+5.84$  is the function specified by the global input-output rule

$$x \xrightarrow{RINA} RINA(x) = \underbrace{-23.04}_{\text{quadratic coeff.}} x^2 \underbrace{-17.39}_{\text{linear coeff.}} x \underbrace{+5.84}_{\text{constant coeff.}}$$

It is worth noting again that

**CAUTIONARY NOTE 8.1** The terms in the global input output rule *need not* be written in order of *descending* exponent. This is just a habit we have.

**EXAMPLE 8.4.** The function specified by the global input-output rule

$$x \xrightarrow{BIBI} BIBI(x) = +21.03x^2 - 31.39x + 5.34$$

could equally well be specified by the global input-output rule

$$x \xrightarrow{BIBI} BIBI(x) = +5.34 + 21.03x^2 - 31.39x$$

or by the global input-output rule

$$x \xrightarrow{BIBI} BIBI(x) = -31.39x + 5.34 + 21.03x^2$$

We now introduce some standard terminology to help us describe very precisely what we will be doing. The output-specifying code of the affine function specified by

$$x \xrightarrow{\text{AFFINE}} \text{QUADRATIC}(x) = \underbrace{ax^2 + bx + c}_{\text{output-specifying code}}$$

term  
quadratic term  
linear term  
constant term  
affine\_part

consists of three **terms**:

- $ax^2$  which is called the **quadratic term**.
- $bx$  which is called the **linear term**.
- $c$  which is called the **constant term**,

and there is of course also

- $bx + c$  which is called the **affine part**

**EXAMPLE 8.5.** The output-specifying code of the function specified by the global input-output rule

$$x \xrightarrow{\text{RINA}} \text{RINA}(x) = \underbrace{-23.04}_{\text{quadratic coeff.}} x^2 \underbrace{-31.39}_{\text{linear coeff.}} x \underbrace{+5.84}_{\text{constant coeff.}}$$

consists of three terms:

$$= \underbrace{-23.04x^2}_{\text{quadratic term}} \underbrace{-31.39x}_{\text{linear term}} \underbrace{+5.34}_{\text{constant term}}$$

**LANGUAGE NOTE 8.1** Whether we look upon  $c$  as the constant *coefficient*, that is as the *coefficient* of  $x^0$  in the constant *term*  $cx^0$  or as the constant *term*  $cx^0$  itself with the power  $x^0$  “going without saying” will be clear from the context.

## 2 Output at $x_0$

1. Remember from subsection 8.1 that  $x_0$  is a *generic given input*, that is that  $x_0$  is a *bounded input* that has been *given* but whose identity remains *undisclosed* for the time being.

2. We will use

### PROCEDURE 8.1

i. Declare that  $x$  is to be replaced by  $x_0$

$$x \Big|_{x \leftarrow x_0} \xrightarrow{\text{QUADRATIC}} \text{QUADRATIC}(x) \Big|_{x \leftarrow x_0} = ax^2 + bx + c \Big|_{x \leftarrow x_0}$$

which gives:

$$x_0 \xrightarrow{\text{QUADRATIC}} \text{QUADRATIC}(x_0) = \underbrace{ax_0^2 + bx_0 + c}_{\text{output-specifying code}}$$

ii. Execute the output-specifying code into an output *number*:

$$= ax_0^2 + bx_0 + c$$

which gives the input-output pair

$$(x_0, ax_0^2 + bx_0 + c)$$

### DEMO 8.1

i. We declare that  $x$  is to be replaced by  $-3$

$$x \Big|_{x \leftarrow -3} \xrightarrow{\text{AVIA}} \text{AVIA}(x) \Big|_{x \leftarrow -3} = +21.03x^2 - 32.67x + 71.07 \Big|_{x \leftarrow -3}$$

which gives

$$-3 \xrightarrow{\text{AVIA}} \text{AVIA}(-3) = \underbrace{+21.03(-3)^2 - 32.67(-3) + 71.07}_{\text{output specifying code}}$$

ii. We execute the output-specifying code into an output *number*:

$$= \underbrace{+189.26 \oplus +98.01 \oplus +71.07}_{\text{output number at } -3}$$

$$= \underbrace{+358.34}_{\text{output number at } -3}$$

output number at  $-3$

which gives the input-output pair

$$(-3, \underbrace{+358.34}_{\text{output number at } -3})$$

3. However, as already discussed in ?? ?? and as has already been the case with *monomial* functions and *affine* functions, instead of getting the output *number* returned by a quadratic function *at* a given input, we will usually want *all* the outputs returned by the quadratic function for inputs *near* that given input. So, instead of getting the single *input-output pair* at the given input, we will get the *local input-output rule* with which to get *all* the input-output pairs *near* the given input.

### 3 Output *near* $\infty$

output jet

As already discussed in subsection 8.2 Output *near*  $\infty$ , in order to input a neighborhood of  $\infty$ , we will *declare* that “ $x$  is near  $\infty$ ” but write only  $x$  after that. This, again, is extremely dangerous as it is easy to forget that what we write may be TRUE *only* because  $x$  has been declared to be near  $\infty$ .

**1. output jet** ([https://en.wikipedia.org/wiki/Jet\\_\(mathematics\)](https://en.wikipedia.org/wiki/Jet_(mathematics)))

We will *execute* the output-specifying code, namely  $ax^2 + bx + c$ , into an **OUTPUT JET**, that is with the terms in *descending* order of sizes, which, since here  $x$  is *large*, means that here the powers of  $x$  must be in *descending* order of exponents. We will then have the *local input-output rule near*  $\infty$ :

$$x \text{ near } \infty \xrightarrow{\text{QUADRATIC}} \text{QUADRATIC}(x) = \underbrace{\text{Powers of } x \text{ in } \textit{descending order of exponents}}_{\text{output jet near } \infty}$$

**EXAMPLE 8.6.** Given the function specified by the global input-output rule

$$x \xrightarrow{\text{RIBA}} \text{RIBA}(x) = -61.03 - 82.47x + 45.03x^2$$

To get the *output jet near*  $\infty$ , we first need to get the *order of sizes*.

- i.  $-61.03$  is *bounded*
- ii.  $-82.47$  is *bounded* and  $x$  is *large*. So, since *bounded*  $\cdot$  *large* = *large*,  $-82.47 \cdot x$  is *large*
- iii.  $+45.03$  is *bounded* and  $x$  is *large*. So, since *bounded*  $\cdot$  *large* = *large*,  $+45.03 \cdot x$  is *large* too. But *large*  $\cdot$  *large* is larger in size than *large* so  $+45.03 \cdot x^2$  is even larger than  $-82.47 \cdot x$

So, in the output jet near  $\infty$ ,  $+45.03x^2$  must come first,  $-82.47x$  comes second and  $-61.03$  comes third

Then, we can write the local input-output rule near  $\infty$ :

$$x \text{ near } \infty \xrightarrow{\text{RIBA}} \text{RIBA}(x) = \underbrace{+45.03x^2 - 82.47x - 61.03}_{\text{output jet near } \infty}$$

2. So, we will use:

**PROCEDURE 8.2**

i. *Declare* that  $x$  is near  $\infty$ :

$$x \Big|_{x \text{ near } \infty} \xrightarrow{\text{QUADRATIC}} \text{QUADRATIC}(x) \Big|_{x \text{ near } \infty} = ax^2 + bx + c \Big|_{x \text{ near } \infty}$$

which gives:

$$x \text{ near } \infty \xrightarrow{\text{QUADRATIC}} \text{QUADRATIC}(x) = \underbrace{ax^2 + bx + c}_{\text{output-specifying code}}$$

ii. Execute the output-specifying code into an *output jet*:

$$= \underbrace{[a]x^2 \oplus [b]x \oplus [c]}_{\text{output jet near } \infty}$$

which gives the *local input-output rule* near  $\infty$ :

$$x \text{ near } \infty \xrightarrow{\text{QUADRATIC}} \text{QUADRATIC}(x) = \underbrace{[a]x^2 \oplus [b]x \oplus [c]}_{\text{output jet near } \infty}$$

(The *output jet* in the local input-output rule near  $\infty$  looks the same as the *output-specifying code* in the given global input-output rule but that is only because *here* the output-specifying code *happened* to be written in *descending* order of exponents.)

### DEMO 8.2

i. We declare that  $x$  is near  $\infty$ :

$$x \Big|_{x \text{ near } \infty} \xrightarrow{\text{KINA}} \text{KINA}(x) \Big|_{x \text{ near } \infty} = -61.03 + 51.32x^2 - 82.47x \Big|_{x \text{ near } \infty}$$

which gives:

$$x \text{ near } \infty \xrightarrow{\text{NINA}} \text{KINA}(x) = \underbrace{-61.03 + 51.32x^2 - 82.47x}_{\text{output-specifying code}}$$

ii. We execute the output-specifying code into an *output jet*:

$$= [+51.32]x^2 \oplus [-82.47]x \oplus [-61.03]$$

which gives the *local input-output rule* near  $\infty$ :

$$x \text{ near } \infty \xrightarrow{\text{KINA}} \text{KINA}(x) = \underbrace{[+51.32]x^2 \oplus [-82.47]x \oplus [-61.03]}_{\text{output jet near } \infty}$$

(The *output jet* in the local input-output rule near  $\infty$  does *not* look the same as the *output-specifying code* in the *global* input-output rule because *here* the output-specifying code happened *not* to be in descending order of exponents.)

3. The reason we use *jets* here is that the term *largest in size* is the *first* term so that to *approximate* the output we need only write the *first* term in the jet and just replace the remaining terms by [...] which stands for “something too small to matter here”. In other words,

**THEOREM 8.1 Approximate output near  $\infty$ .** For *quadratic* functions, what contributes most to the output near  $\infty$  is the *highest degree term* in the output jet near  $\infty$ :

$$x \text{ near } \infty \xrightarrow{QUADRATIC} QUADRATIC(x) = [a]x^2 + [...]$$

addition formula

**EXAMPLE 8.7.** Given the function specified by the global input-output rule

$$x \xrightarrow{KINA} KINA(x) = -61.03 + 51.32x^2 - 82.47x$$

near  $\infty$  we will often just use the *approximation*

$$x \text{ near } \infty \xrightarrow{KINA} KINA(x) = [+51.32]x^2 \oplus [...]$$

## 4 Output near $x_0$

We now deal with the output of the neighborhood of some *given* bounded input  $x_0$ .

**1.** In order to input a neighborhood of a given input  $x_0$  we will declare that  $x \leftarrow x_0 \oplus h$  that is that  $x$  is to be replaced by  $x_0 \oplus h$ . As a result, we will have to compute  $(x_0 \oplus h)^2$  for which we will have to use an **addition formula** from ALGEBRA, namely ?? in appendix 1 on page page 519.

**2.** We can then *execute* the input-output specifying phrase into an *output jet* that is with the terms in *descending order of sizes* which here, since  $h$  is *small*, means that the powers of  $h$  will have to be in *ascending order* of exponents. We will then have the *local input-output rule* near the given input:

$$x_0 \oplus h \xrightarrow{QUADRATIC} QUADRATIC(x_0 \oplus h) = \underbrace{\text{Powers of } h \text{ in ascending order of exponents}}_{\text{output jet near } \infty}$$

We will therefore use:

**PROCEDURE 8.3**

**i.** Declare that  $x$  is near  $x_0$ : (So  $x$  is to be replaced by  $x_0 + h$ .)

$$x \Big|_{x \leftarrow x_0 + h} \xrightarrow{QUADRATIC} QUADRATIC(x) \Big|_{x \leftarrow x_0 + h} = ax^2 + bx + c \Big|_{x \leftarrow x_0 + h}$$

which gives:

$$x_0 + h \xrightarrow{QUADRATIC} QUADRATIC(x_0 + h) = \underbrace{a(x_0 + h)^2 + b(x_0 + h)}_{\text{output-specifying code}} + c$$

output jet near  $x_0$ ii. Execute the output-specifying code into an *output jet*:

$$\begin{aligned}
 &= a(x_0^2 + 2x_0h + h^2) + b(x_0 + h) + c \\
 &= \boxed{ax_0^2} \oplus \boxed{2ax_0}h \oplus \boxed{a}h^2 \\
 &\oplus \boxed{bx_0} \oplus \boxed{b}h \\
 &\oplus \boxed{c} \\
 &= \underbrace{\boxed{ax_0^2 + bx_0 + c}}_{\text{output jet near } x_0} \oplus \underbrace{\boxed{2ax_0 + b}}_{\text{output jet near } x_0}h \oplus \underbrace{\boxed{a}}_{\text{output jet near } x_0}h^2
 \end{aligned}$$

which gives the *local input-output rule* near  $x_0$ :

$$x_0 + h \xrightarrow{\text{QUADRATIC}} \text{QUADRATIC}(x_0 + h) = \underbrace{\boxed{ax_0^2 + bx_0 + c}}_{\text{output jet near } x_0} \oplus \underbrace{\boxed{2ax_0 + b}}_{\text{output jet near } x_0}h \oplus \underbrace{\boxed{a}}_{\text{output jet near } x_0}h^2$$

**DEMO 8.3**i. We declare that  $x$  is near  $-3$ : (So  $x$  is to be replaced by  $-3 + h$ .)

$$x \Big|_{x \leftarrow -3+h} \xrightarrow{\text{ARNA}} \text{ARNA}(x) \Big|_{x \leftarrow -3+h} = -32.67x + 71.07 + 81.26x^2 \Big|_{x \leftarrow -3+h}$$

which gives

$$\boxed{-3 + h} \xrightarrow{\text{ARNA}} \text{ARNA}(-3 + h) = \underbrace{-32.67(-3 + h) + 71.07 + 81.26(-3 + h)^2}_{\text{output specifying code}}$$

ii. We execute the output-specifying code into an *output jet*:

$$\begin{aligned}
 &= -32.67(-3 + h) + 71.07 + 81.26((-3)^2 + 2(-3)h + h^2) \\
 &= -32.67(-3) - 32.67h \\
 &\quad + 71.07 \\
 &\quad + 81.26(-3)^2 + 81.26(2)(-3)h + 81.26h^2 \\
 &= \boxed{+98.01} \oplus \boxed{-32.67}h \\
 &\oplus \boxed{+71.07} \\
 &\oplus \boxed{+731.34} \oplus \boxed{-487.56}h \oplus \boxed{+81.26}h^2 \\
 &= \underbrace{\boxed{+98.01 + 71.07 + 731.34}}_{\text{output jet near } -3} \oplus \underbrace{\boxed{-32.67 - 487.56}}_{\text{output jet near } -3}h \oplus \underbrace{\boxed{+81.26}}_{\text{output jet near } -3}h^2 \\
 &= \underbrace{\boxed{+900.42}}_{\text{output jet near } -3} \oplus \underbrace{\boxed{-519.63}}_{\text{output jet near } -3}h \oplus \underbrace{\boxed{+81.26}}_{\text{output jet near } -3}h^2
 \end{aligned}$$

which gives the *local input-output rule* near  $-3$ :

$$-3 + h \xrightarrow{ARNA} ARNA(-3 + h) = \underbrace{\left[ +900.42 \right] \oplus \left[ -519.63 \right] h \oplus \left[ +81.26 \right] h^2}_{\text{output jet near } -3}$$

**3.** When all we want is a feature-sign, though, the above procedure is very inefficient and we will then use the following procedure based directly on the fact that a *quadratic function* is the addition of:

- a *square function*, (See DEFINITION 6.2 on page 262)
- a *linear function*, (See ?? on ??.)
- a *constant function*. (See ?? on ??.)

that is:

$$x \xrightarrow{QUADRATIC} QUADRATIC(x) = \underbrace{bx^2}_{\text{square}} \oplus \underbrace{cx}_{\text{linear}} \oplus \underbrace{d}_{\text{constant}}$$

We declare that  $x$  is near  $x_0$  that is that  $x$  must be replaced by  $x_0 + h$ :

$$x \xrightarrow{QUADRATIC} QUADRATIC(x) = \underbrace{b(x_0 + h)^2}_{\text{square}} \oplus \underbrace{c(x_0 + h)}_{\text{linear}} \oplus \underbrace{d}_{\text{constant}}$$

The output of the local input-output rule near  $x_0$  will have to be a *jet*:

$$x_0 + h \xrightarrow{QUADRATIC} QUADRATIC(x_0 + h) = \left[ \quad \right] \oplus \left[ \quad \right] h \oplus \left[ \quad \right] h^2$$

and we want to be able to get any one of the coefficients of the output jet without having to compute any of the other coefficients. So, what we will do is to get the contribution of each monomial function to the term we want.

This requires us to have the *addition formula* at our finger tips:

**a.**

$$(x_0 + h)^2 = x_0^2 + 2x_0h + h^2 \quad (\text{See ?? on page 519})$$

More precisely,

**i.** If we want the *coefficient* of  $h^0$  in the output jet:

- The *square function* contributes  $bx_0^2$
- The *linear function* contributes  $cx_0$
- The *constant function* contributes  $d$

so we have:

$$x_0 + h \xrightarrow{QUADRATIC} QUADRATIC(x_0 + h) = \left[ bx_0^2 + cx_0 + d \right] \oplus \left[ \quad \right] h \oplus \left[ \quad \right] h^2$$

**ii.** If we want the *coefficient* of  $h^1$  in the output jet:

- The *square function* contributes  $2bx_0$

- The **linear function** contributes **c**
- The **constant function** contributes nothing

so we have:

$$x_0 + h \xrightarrow{QUADRATIC} QUADRATIC(x_0 + h) = \left[ \quad \right] \oplus \left[ 2bx_0 + c \right] h \oplus \left[ \quad \right] h^2$$

iii. If we want the *coefficient* of  $h^2$  in the output jet:

- The **square function** contributes **c**
- The **linear function** contributes nothing
- The **constant function** contributes nothing

so we have:

$$x_0 + h \xrightarrow{QUADRATIC} QUADRATIC(x_0 + h) = \left[ \quad \right] \oplus \left[ \quad \right] h \oplus \left[ c \right] h^2$$

## 5 Local graphs

Just the way we get the *plot point* at a given *bounded* input from the *output number* at that input, we get the *local graph near* any given input, be it *bounded* or *infinity*, from the *output jet near* that input.

### PROCEDURE 8.4

1. Get the *local input-output rule* near  $\infty$  using PROCEDURE 8.2 on page 343:

$$x \text{ near } \infty \xrightarrow{QUADRATIC} QUADRATIC(x) = \underbrace{\left[ a \right] x^2 \oplus \left[ b \right] x \oplus \left[ c \right]}_{\text{output jet near } \infty}$$

2. Get the *local graph* near  $\infty$  of each term:

a. For the *quadratic term*, graph near  $\infty$  the monomial function

$$x \rightarrow \left[ a \right] x^2 \text{ (See PROCEDURE 6.6 on page 305.)}$$

b. For the *linear term*, graph near  $\infty$  the monomial function  $x \rightarrow$

$$\left[ b \right] x \text{ (See ?? on ??.)}$$

c. For the *constant term*, graph near  $\infty$  the monomial function  $x \rightarrow$

$$\left[ c \right] \text{ (See ?? on ??.)}$$

3. Get the *local graph* near  $\infty$  of *QUADRATIC* by adding to the local graph of the *quadratic term* the local graph of the *linear term* and the local graph of the *constant term*. (See ??)

### DEMO 8.4

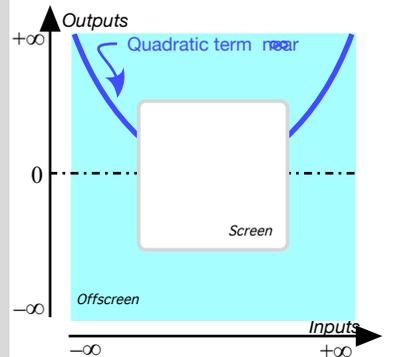
1. We get the *local input-output rule* near  $\infty$ : (See DEMO 8.2 on

page 344)

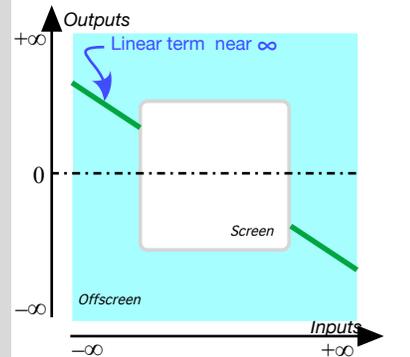
$$x \text{ near } \infty \xrightarrow{KINA} KINA(x) = \underbrace{[+51.32]x^2 + [-82.47]x + [-61.03]}_{\text{output jet near } \infty}$$

2. We get the *local graph* near  $\infty$  of each term:

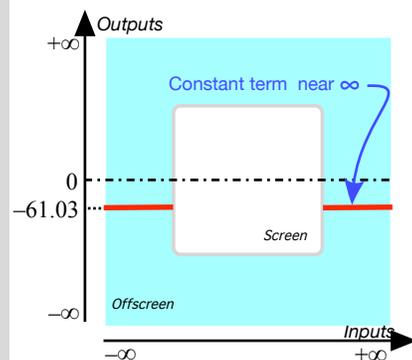
a. For the graph of the *quadratic* term, we graph the monomial function  $x \rightarrow [+51.32]x^2$  near  $\infty$  (See ?? on ??)



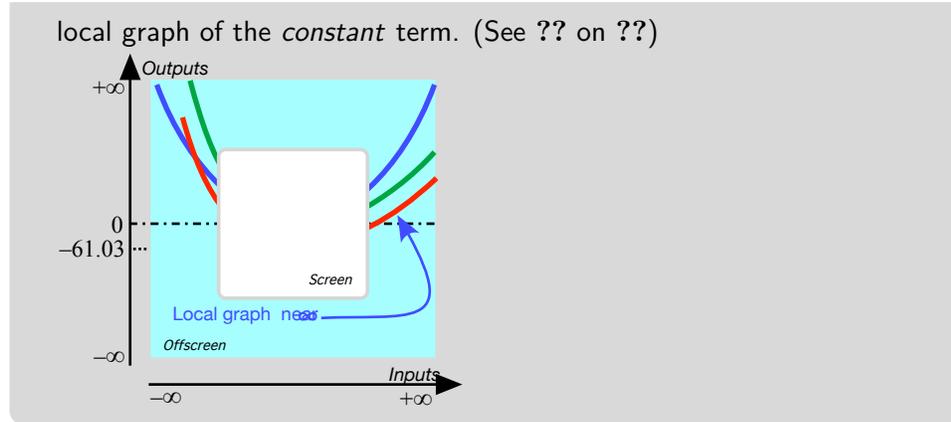
b. For the graph of the *linear* term, we graph the monomial function  $x \rightarrow [-82.47]x$  near  $\infty$  (See ?? on ??)



c. For the graph of the *constant* term, we graph the monomial function  $x \rightarrow [-61.03]$  near  $\infty$  (See ?? on ??)



3. We get the *local graph* near  $\infty$  of *KINA* by adding to the local graph of the *quadratic* term the local graph of the *linear* term and the

**PROCEDURE 8.5**

1. Get the *local input-output rule* near  $x_0$  using PROCEDURE 8.3 on page 345:

$$x_0 + h \xrightarrow{\text{QUADRATIC}} \text{QUADRATIC}(x_0 + h) = \underbrace{[ax_0^2 + bx_0 + c] \oplus [2ax_0 + b]h \oplus [a]h^2}_{\text{output jet near } x_0}$$

2. Get the *local graphs* near 0 of each term:

- a. For the *constant term*, graph near 0 the monomial function  $x \rightarrow [ax_0^2 + bx_0 + c]$ . (See ?? on ??.)

- b. For the *linear term*, graph near 0 the monomial function  $x \rightarrow [2ax_0 + b]x$ . (See ?? on ??.)

- c. For the *quadratic term*, graph near 0 the monomial function  $x \rightarrow [a]x^2$ . (See ?? on ??.)

3. Get the *local graph* near  $x_0$  of *QUADRATIC* by adding to the local graph of the *constant term* the local graph of the *linear term*, the local graph of the *quadratic term*.

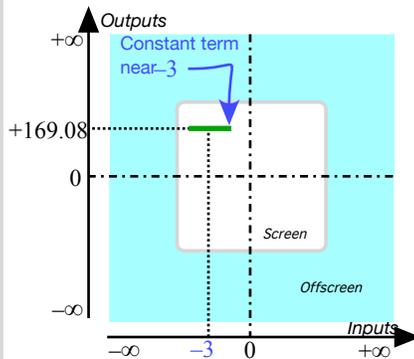
**DEMO 8.5**

1. We get the *local input-output rule* near  $-3$ . (See DEMO 8.3 on page 346):

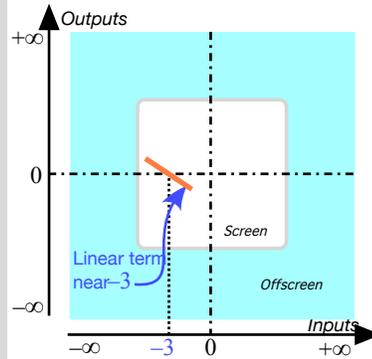
$$-3 + h \xrightarrow{\text{ARNA}} \text{ARNA}(-3 + h) = \underbrace{[+900.42] \oplus [-519.63]h \oplus [+81.26]h^2}_{\text{output jet near } -3}$$

2. We get the *local graph* near  $-3$  of each term:

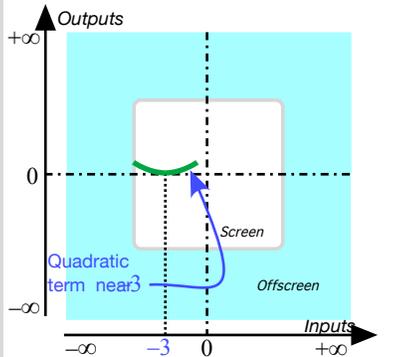
a. For the *constant* term, we graph near 0 the monomial function  $x \rightarrow [+900.428]$ . (See ?? on ??)



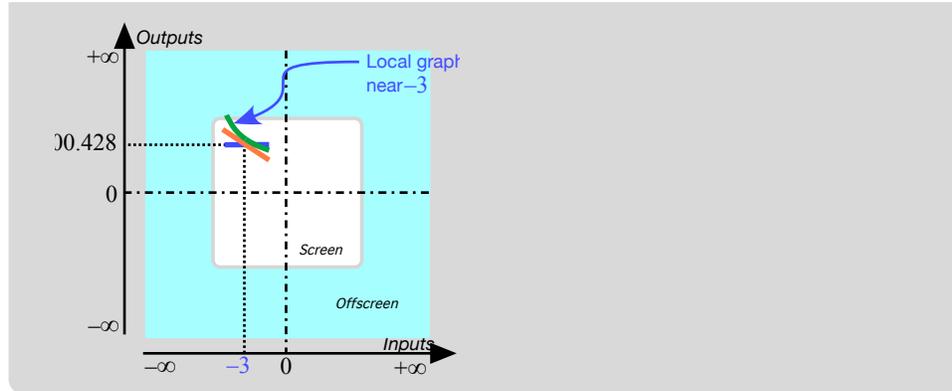
b. For the *linear* term, we graph near 0 the monomial function  $x \rightarrow [-519.63]x$  (See ?? on ??)



c. For the *quadratic* term, we graph near 0 the monomial function  $x \rightarrow [+81.26]x^2$ : (See ?? on ??)



3. We get the local graph near  $-3$  of *ARNA* by adding to the local graph of the *constant term* the local graph of the *linear term* and the local graph of the *quadratic term*. (See ?? on ??)



## 6 Local Feature-signs

As we saw in ?? ??, a feature-sign near a given input, be it near  $\infty$  or near  $x_0$ , can be read from the *local graph* and so we already know how to proceed:

i. Get the *local input-output rule* near the given input (See PROCEDURE 8.2 on page 343 when the given input is  $\infty$  or PROCEDURE 8.3 on page 345 when the given input is  $x_0$ .)

ii. Get the *local graph* from the local input-output rule (See PROCEDURE 8.4 on page 348.)

iii. Get the *feature-sign* from the *local graph*. (See ?? ??.)

However, things are in fact much simpler: Given an input, be it  $\infty$  or a bounded input  $x_0$ , to get a required feature-sign near that given input, we look for the term in the output jet near that input that

i. Has the required feature.

and

ii. Is the largest-in-size of all those terms with the required feature.

So, as we will now see, we usually need to get only *one* term in the output jet rather than the whole output jet.

1. Near *infinity* things are quite straightforward because, for a quadratic function, the first term in the output jet near  $\infty$  is both the *largest-in-size* and a *regular* monomial so that it has *all three features*:

### PROCEDURE 8.6

i. Get the *approximate* local input-output rule near  $\infty$ :

$$x \text{ near } \infty \xrightarrow{\text{QUADRATIC}} \text{QUADRATIC}(x) = \underbrace{[a]x^2 \oplus [b]x \oplus [c]}_{\text{output jet near } \infty}$$

$$= \underbrace{[a]x^2 \oplus [\dots]}_{\text{approximate output jet near } \infty}$$

ii. Then, in the *approximate output jet* near  $\infty$ :

- Get the *Height-sign*, the *Slope-sign* and the *Concavity-sign* all from the *quadratic term*  $[a]x^2$  because the next terms,  $[b]x$  and  $[c]$  are *too small to matter*. (Not to mention the fact that a linear term has no concavity and a constant term has neither concavity nor slope.)

**DEMO 8.6** et *CELIA* be the function specified by

$$x \xrightarrow{CELIA} CELIA(x) = -2x^2 + 63x - 155$$

Get Height-sign near  $\infty$ .

i. We get the local input-output rule near  $\infty$ :

$$\begin{aligned} x \text{ near } \infty \xrightarrow{CELIA} CELIA(x) &= -2x^2 + 63x - 155 \\ &= \underbrace{[-2]x^2 \oplus [+63]x \oplus [-155]}_{\text{output jet near } \infty} \end{aligned}$$

ii. We get *Height-sign* from the *quadratic term*  $[-2]x^2$  because the linear term  $[+63]x$  and the *constant term*  $[-155]$  are *too small to matter*.

iii. Since the *quadratic coefficient*  $[-2]$  is *negative*, we get that Height-sign *CELIA* near  $\infty = \langle -, - \rangle$ . (Seen from  $\infty$ .)

**DEMO 8.7** et *PETER* be the function specified by the global input-output rule

$$x \xrightarrow{DIETER} DIETER(x) = +3.03x^2 - 81.67x + 46.92$$

Get Slope-signs near  $\infty$ .

i. We get the local input-output rule near  $\infty$ :

$$\begin{aligned} x \text{ near } \infty \xrightarrow{DIETER} DIETER(x) &= +3.03x^2 - 81.67x + 46.92 \\ &= \underbrace{[+3.03]x^2 \oplus [-81.67]x \oplus [+46.92]}_{\text{output jet near } \infty} \end{aligned}$$

ii. We get *Slope-sign* from the *quadratic term*  $[+3.03]x^2$  because the *linear term*  $[-81.67]$  is *too small to matter* and the *constant term* has *no slope*.

critical for the Height  
critical for the Slope

Since the *linear coefficient* +3 is positive, we get that Slope-sign *DIETER* near  $\infty = \langle \swarrow, \nearrow \rangle$ . (Seen from  $\infty$ .)

2. Near a *bounded input* though, things are a bit more complicated:

- i. The *first* term in the output jet is *usually* the *largest-in-size* so that it gives the Height-sign. However, the first term *usually* has neither Slope nor Concavity because the first term is *usually* a constant term.
  - ii. The *second* term in the output jet is *usually* too small-in-size to change the Height-sign as given by the first term but it is *usually* the *largest-in-size* term that can give the Slope-sign. However, the second term has no Concavity because the second term is *usually* a linear term.
  - iii. The third *term* in the output jet is *usually* too small-in-size to change the Height-sign given by the first term and the Slope-sign given by the second term but it is *usually* the *only term* that can give the Concavity-sign.
- So we can *usually* read each feature-sign directly from the appropriate term in the output jet - keeping in mind that the exceptional monomial functions do not have all the features.

However, near a *bounded input*, the given bounded input may turn out to be *critical* for the local feature:

- i. If the *constant term* in the output jet is 0, then the term which gives the Height-sign can be either the *linear term* or even the *quadratic term* if the *linear term* is 0. The bounded input is then said to be **critical for the Height**.
- ii. If the *linear term* in the output jet is 0, then the term which gives the Slope-sign is the *quadratic term*. The bounded input is then said to be **critical for the Slope**.

So, we *usually* need to compute only one coefficient in the output jet. But if the given bounded input turns out to be *critical* for that feature, then we need to compute the next coefficient: So we use

#### PROCEDURE 8.7

- i. Get the local input-output rule near  $x_0$ :

$$\begin{aligned}
 x_0 + h &\xrightarrow{\text{QUADRATIC}} \text{QUADRATIC}(x_0 + h) = a(x_0 + h)^2 + b(x_0 + h) + c \\
 &= a(x_0^2 + 2x_0h + h^2) + b(x_0 + h) + c \\
 &= \underbrace{[ax_0^2 + bx_0 + c] \oplus [2ax_0 + b]h \oplus [a]h^2}_{\text{output jet near } x_0}
 \end{aligned}$$

- ii. Then, in the *output jet* near  $x_0$ :

- Get the *Height-sign* from the *constant term*  $[ax_0^2 + bx_0 + c]$  (The

*linear term and the quadratic term are too small to matter.)*

If the *constant coefficient* is 0, get the *Height-sign* from the *linear term*  $[2ax_0 + b]h$ . (The quadratic term is *too small to matter*.)

If the *linear coefficient* is 0, get the *Height-sign* from the *quadratic term*  $[a]h^2$ .

- Since the *constant term* has no slope, get the *Slope-sign* from the *linear term*  $[2ax_0 + b]h$ .

If the *linear coefficient* is 0, get the *Slope-sign* from the *quadratic term*  $[a]h^2$

- Since both the *constant term* and the *linear term* have no concavity, we get *Concavity-sign* from the *quadratic term*..

**DEMO 8.8** et  $ARNA$  be the function specified by the global input-output rule

$$x \xrightarrow{ARNA} ARNA(x) = -32.67x + 71.07 + 81.26x^2$$

Get the feature-signs near  $-3$ .

i. We get the local input-output rule near  $-3$  as in DEMO 8.3 on page 346:

$$\begin{aligned} -3 + h &\xrightarrow{ARNA} ARNA(-3 + h) = \underbrace{-32.67(-3 + h) + 71.07 + 81.26(-3 + h)^2}_{\text{output specifying code}} \\ &= \underbrace{[+900.428] \oplus [-519.63]h \oplus [+81.26]h^2}_{\text{output jet near } -3} \end{aligned}$$

ii. Then, from the *jet*:

- Since the *constant term*  $[+900.428]$  is *positive*, we get that *Height-sign*  $ARNA$  near  $-3 = \langle +, + \rangle$ .
- Since the *linear term*  $[-519.63]h$  is *negative*, we get that *Slope-sign*  $ARNA$  near  $-3 = \langle \searrow, \searrow \rangle$
- Since the *quadratic term*  $[+81.26]h^2$  is *positive*, we get that *Concavity-sign*  $ARNA$  near  $-3 = \langle \cup, \cup \rangle$

## 7 Quadratic Functions: Global Analysis

=====Begin WORK ZONE=====

The “style” of this chapter is going to be very different from the “style”

of the other chapters because we want to take the occasion to give the reader an idea of what happens when a research mathematician is facing a “new problem”, that is a problem that no one else has solved before so that s/he cannot just look somewhere or ask someone “how to do it”. So, in this chapter, instead of *showing* how to determine the global behavior of a quadratic function  $x \xrightarrow{q} q(x) = ax^2 + bx + c$ , we will pretend that this is a “research problem”.

The first thing we do is to think about the problem itself: What do we mean by “global behavior”? Exactly *what* are we after? The idea is to see what a *precise* statement of the problem might suggest.

One answer might be that “we want to know everything there is to know about a quadratic function”. But that is still much too vague to give us any hint as to what to do. Another answer might be “We want to see how the global graph of  $x \xrightarrow{q} q(x) = ax^2 + bx + c$  looks?” This is already much better because it specifies the function we want to know about—even if the coefficients  $a, b, c$  remain to be specified later. But we really should say what we mean by “global graph”, in particular what we want the global graph to show as opposed to what we don’t expect the global graph to show.

On the other hand, we care about the global graph only inasmuch as it makes information “graphic” and it is really the information itself that we are after. So, what might this information be that we want? Exactly as with power functions, we will want to know about 0-feature inputs, namely:

- 0-height inputs,
- 0-slope inputs,
- 0-concavity inputs

and about feature-sign change inputs, namely

- height-sign change inputs,
- slope-sign change inputs,
- concavity-sign change inputs.

There still remains a question about what we want to know about these inputs. Do we want to know about:

- The *existence* or *non-existence* of these inputs,

or

- The *location* of these inputs—assuming they exist.

Let us say we want to know everything (But now, as opposed to before, we know exactly what “everything” covers.).

So, now that we know exactly what we want, what do we do to get it? First, though, let us review the equipment we have available:

-

- 
- 

=====**End WORK ZONE**=====

In the case of quadratic functions, we will still be able to solve *some* global problems *exactly* but since everything begins to be computationally more complicated, we will deal with only a few types of global problems.

## 8 The Essential Question

As usual, the first thing we do is to find out if the *offscreen graph* of a *quadratic function* consists of just the *local graph near*  $\infty$  or if it also includes the *local graph near one or more*  $\infty$ -height inputs.

In other words, given the quadratic function  $QUADRATIC_{a,b,c}$ , that is the function specified by the global input-output rule

$$x \xrightarrow{QUADRATIC} QUADRATIC(x) = a^2x + bx + c$$

we ask the **Essential Question**:

- Do all *bounded inputs* have *bounded outputs*
- or
- Are there *bounded inputs* that have  $\infty$ -height, that is are there inputs whose nearby inputs have *large* outputs?

Now, given a *bounded* input  $x$ , we have that:

- since  $a$  is bounded,  $ax^2$  is also bounded
- since  $b$  is bounded,  $bx$  is also bounded
- $c$  is bounded

and so, altogether, we have that  $ax^2 + bx + c$  is bounded and that the answer to the **Essential Question** is:

**THEOREM 8.2 Bounded Height** Under a *quadratic* functions, all bounded inputs have *bounded outputs*.

and therefore that

**THEOREM 8.3 Offscreen Graph** The *offscreen graph* of a *quadratic* function consists of just the *local graph near*  $\infty$ .

## EXISTENCE THEOREMS

The notable inputs are those

- whose existence is forced by the *offscreen graph* which, by the **Bounded Height Theorem** for quadratic functions, consists of only the *local graph near  $\infty$* .
- whose number is limited by the interplay among the three features

Since polynomial functions have no *bounded  $\infty$ -height input*, the only way a feature can change sign is near an input where the feature is 0. Thus, with quadratic functions, the feature-change inputs will also be 0-feature inputs.

None of the theorems, though, will indicate *where* the notable inputs are. The **Location Theorems** will be dealt with in the last part of the chapter.

### 9 Concavity-sign

Given the quadratic function  $QUADRATIC_{a,b,c}$ , that is the function specified by the global input-output rule

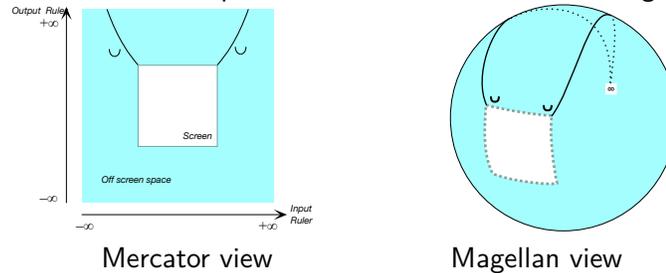
$$x \xrightarrow{QUADRATIC} QUADRATIC(x) = a^2x + bx + c$$

recall that when  $x$  is near  $\infty$  the **Concavity-sign Near  $\infty$  Theorem** for quadratic functions says that:

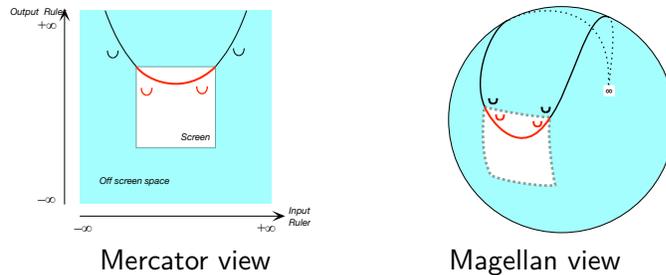
- When  $a$  is  $+$ ,  $Concavity-Sign|_{x \text{ near } \infty} = (\cup, \cup)$
- When  $a$  is  $-$ ,  $Concavity-Sign|_{x \text{ near } \infty} = (\cap, \cap)$

1. Since the concavity does *not* changes sign as  $x$  goes through  $\infty$  from the left side of  $\infty$  to the right side of  $\infty$ , the concavity does not have to change sign as  $x$  goes *across the screen* from the left side of  $\infty$  to the right side of  $\infty$  so there does not have to be a *bounded Concavity-sign change input*:

**EXAMPLE 8.8. G** given a quadratic function whose offscreen graph is



there is no need for a bounded concavity-sign change input,  $x_{Concavity-sign \text{ change}}$  and therefore we can have



global concavity

2. In fact, not only does there not have to be a bounded concavity-sign change input, there *cannot* be a bounded concavity-sign change input since the *local* square coefficient is equal to the *global* square coefficient  $a$  and the concavity must therefore be the same everywhere:

**THEOREM 8.4 Concavity-sign Change Non-Existence** A quadratic function has no bounded *Concavity-sign change* input.

3. Another consequence of the fact that the local concavity does not depend on  $x_0$ , and is thus the same everywhere, is that it is a feature of the function  $QUADRATIC_{a,b,c}$  itself and so that the function  $QUADRATIC_{a,b,c}$  has a **global concavity** specified by the global square coefficient  $a$ .

4. Moreover, the concavity cannot be equal to 0 somewhere because the concavity is equal to  $a$  everywhere. So, we also have:

**THEOREM 8.5 0-Concavity Input Non-Existence** A quadratic function has *no* bounded 0-concavity input.

## 10 Slope-sign

Given the quadratic function  $QUADRATIC_{a,b,c}$ , that is the function specified by the global input-output rule

$$x \xrightarrow{QUADRATIC} QUADRATIC(x) = a^2x + bx + c$$

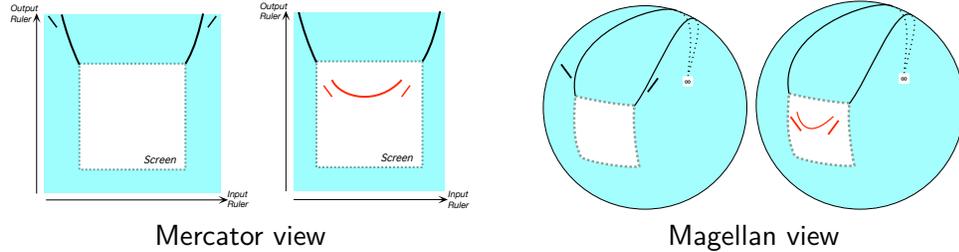
recall that when  $x$  is near  $\infty$  the **Slope-sign Near  $\infty$  Theorem** for quadratic functions says that:

- When  $a$  is  $+$ ,  $Slope-Sign|_{x \text{ near } \infty} = (\swarrow, \searrow)$
- When  $a$  is  $-$ ,  $Slope-Sign|_{x \text{ near } \infty} = (\searrow, \swarrow)$

1. Since the slope changes sign as  $x$  goes from the left side of  $\infty$  to the right side of  $\infty$  *across*  $\infty$ , the slope has also to change sign as  $x$  goes from

the left side of  $\infty$  to the right side of  $\infty$  *across the screen*. In other words, there has to be a *bounded* slope-sign change input.

**EXAMPLE 8.9.** Given a quadratic function whose offscreen graph is



there has to be a *bounded* slope-sign change input to make up.

So we have

**THEOREM 8.6 Slope-sign Change Existence** A quadratic function must have at least one bounded Slope-sign change input.

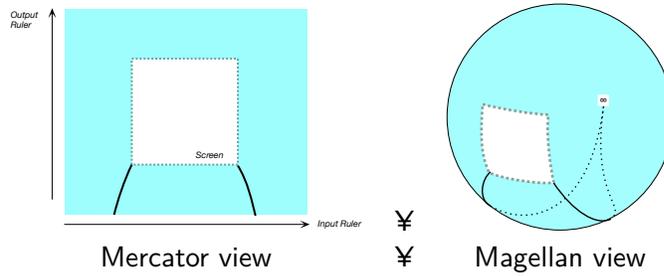
2. On the other hand, a quadratic function can have *at most one* 0-slope input because, if it had more, it would have to have 0-concavity inputs in-between the 0-slope inputs which a quadratic function cannot have. So we have

**THEOREM 8.7 0-Slope Existence** A quadratic function has exactly one slope-sign change input and it is a 0-slope input:  
 $x_{\text{Slope-sign change}} = x_{0\text{-slope}}$

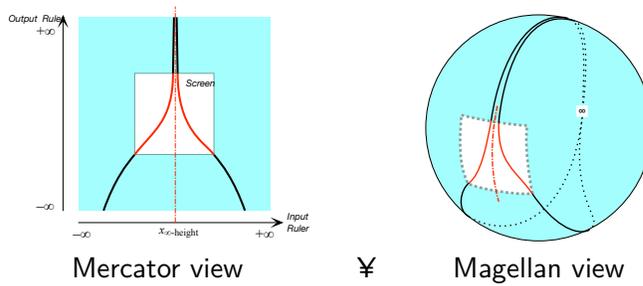
## 11 Extremum

From the *optimization* viewpoint, a quadratic function has an extreme input, that is an bounded input whose output is larger (or smaller) than the output of nearby inputs

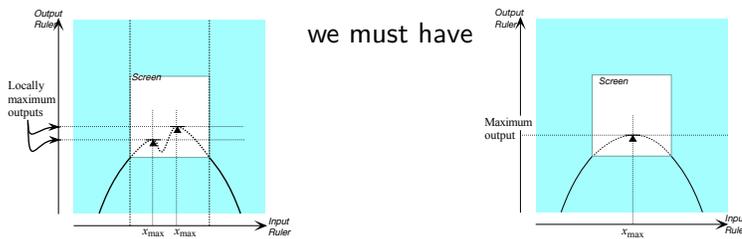
**EXAMPLE 8.10.** Given a quadratic function whose offscreen graph is



and since quadratic function cannot have an  $\infty$ -height input, we cannot have



and therefore there has to be at least one input,  $x_{\max}$ , whose output is maximum. But since we cannot have a Concavity-sign change input as in



**THEOREM 8.8 Extremum Existence** A quadratic function has a single extremum input

## 12 Height-sign

Given the quadratic function  $QUADRATIC_{a,b,c}$ , that is the function specified by the global input-output rule

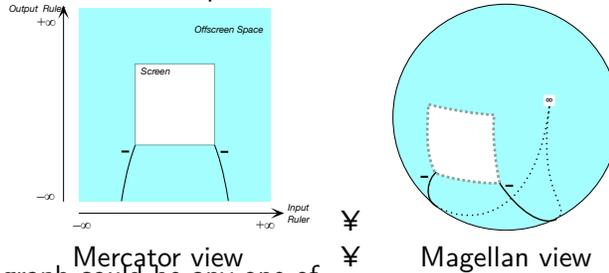
$$x \xrightarrow{QUADRATIC} QUADRATIC(x) = a^2x + bx + c$$

recall that when  $x$  is near  $\infty$  the **Height-sign Near  $\infty$  Theorem** for quadratic functions says that:

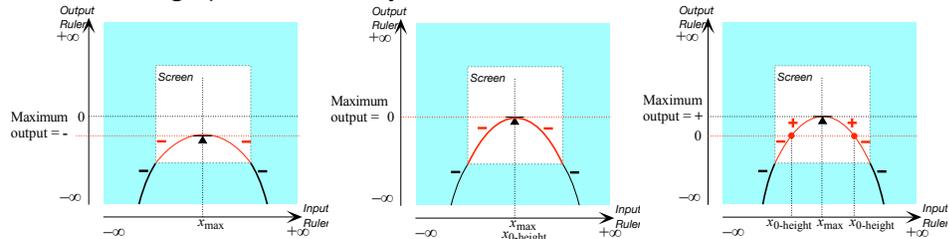
- When  $a$  is  $+$ ,  $\text{Height-Sign}|_{x \text{ near } \infty} = (+, +)$
- When  $a$  is  $-$ ,  $\text{Height-Sign}|_{x \text{ near } \infty} = (-, -)$

1. Since the height does *not* changes sign as  $x$  goes through  $\infty$  from the left side of  $\infty$  to the right side of  $\infty$ , the height need not change sign as  $x$  goes *across the screen* from the left side of  $\infty$  to the right side of  $\infty$  so there does not have to be at least one *bounded* Height-sign change input:

**EXAMPLE 8.11. G** iven a quadratic function whose offscreen graph is



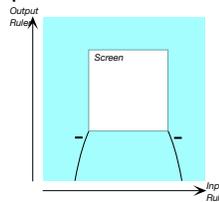
the bounded graph could be any one of



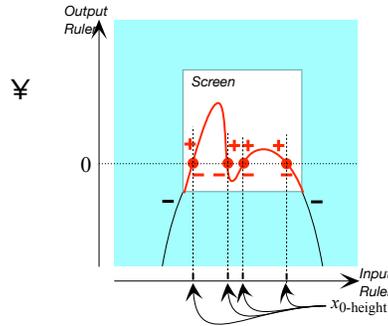
depending on where the *maximum-output* is in relation to the 0-output level line.

2. On the other hand, a quadratic function can have two 0-height inputs because there *can* be a 0-slope input in-between the two 0-height inputs. However, a quadratic function cannot have more than two 0-height inputs because a quadratic function has only one 0-slope input.

**EXAMPLE 8.12. G** iven a quadratic function whose offscreen graph is



the reason that we cannot have four 0-height inputs is that that would require three 0-slope inputs in-between (as well as two concavity-sign change inputs which quadratic functions do not have.):



We thus have

**THEOREM 8.9 0-Height Existence** A quadratic function may have zero, one, or two 0-Height Input(s):

If the *extremum output*

- has the same sign as the sign of the outputs near  $\infty$ , then there is *no* 0-height input
- is 0, then there is *one* 0-height input
- has the sign opposite from the sign of the outputs near  $\infty$ , then there are *two* 0-height input

### 13 Bounded Graph

Here too, there are two ways to look at the shape of the bounded graph.

1. As a consequence of the **Bounded Height Theorem** for *quadratic* functions, the offscreen graph consists only of the local graph near  $\infty$  and we can obtain the *forced bounded graph* by extrapolating smoothly the local graph near  $\infty$ .

**EXAMPLE 8.13.** Given the quadratic function  $LAON_{+34.54,-40.38,-94.21}$  whose input-output rule is

$$x \xrightarrow{LAON_{+34.54,-40.38,-94.21}} LAON_{+34.54,-40.38,-94.21}(x) = +34.54x^2 - 40.38x - 94.21$$

find its forced bounded graph:

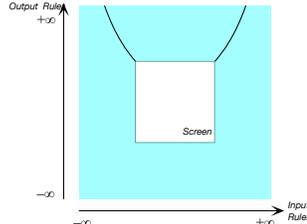
i. We normalize the global input-output rule

$$x \xrightarrow{LAON} LAON(x) = +x^2 - 40.38x - 94.21$$

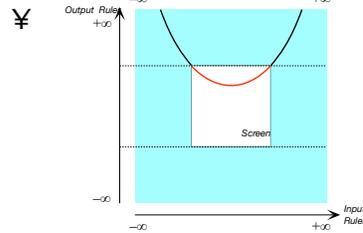
ii. We get the approximate local input-output rule near  $\infty$

$$x \xrightarrow{LAON} LAON(x) = +x^{+2} + [...]$$

iii. We get the local graph near  $\infty$  ☹

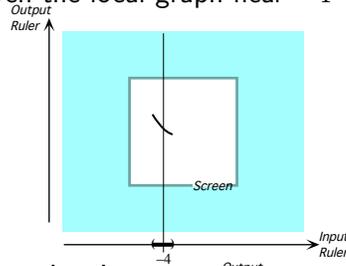


iv. We extrapolate smoothly ☹

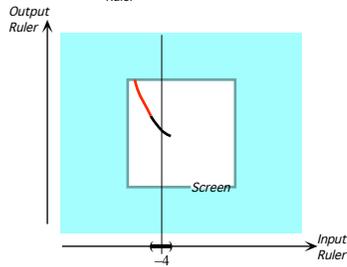


2. In the case of *quadratic functions*, it happens that we can also obtain the *bounded graph* by extrapolating the local graph near a bounded input:

**EXAMPLE 8.14. G** Given the local graph near  $-4$

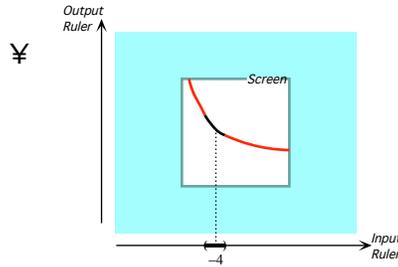


On the left, since the concavity-sign cannot change, we can extrapolate only one way: ☹

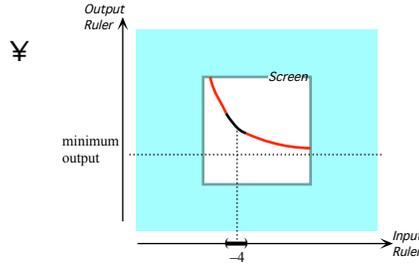


On the right, though, there are several possibilities up front but only one fits what we already know:

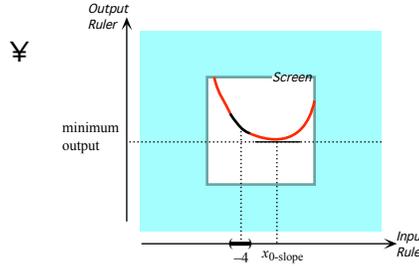
With this extrapolation, we don't have a 0-slope input :



With this extrapolation we do have a 0-slope input but it is at  $\infty$



But this extrapolation fits all that we already know



## LOCATION THEOREMS

Previously, we only established the *existence* of certain notable features of quadratic functions and this investigation was based on *graphic* considerations. Here we will investigate the *location* of the inputs where these notable features occur and this investigation will be based on *input-output rule* considerations.

### 14 0-Concavity Location

We saw earlier that quadratic functions cannot have a 0-concavity input. On the other hand, since the concavity is the same everywhere, it is a global feature of the function itself and we have:

**THEOREM 8.10 Global Concavity-sign** Given the quadratic function  $QUADRATIC_{a,b,c}$ ,

- When  $a$  is *positive*, Concavity-sign  $QUADRATIC = \cup$ .
- When  $a$  is *negative*, Concavity-sign  $QUADRATIC = \cap$

This is just like affine function having a *global slope*.

## 15 0-Slope Location

Given a quadratic function, the global problem of *locating* an input where the local slope is 0 is still fairly simple.

More precisely, given the quadratic function  $QUADRATIC_{a,b,c}$ , that is the function specified by the global input-output rule

$$x \xrightarrow{QUADRATIC} QUADRATIC(x) = ax^2 + bx + c$$

since the *slope* near  $x_0$  is the local linear coefficient  $2ax_0 + b$ , in order to find the input(s) where the local slope is 0, we just need to solve the equation

$$2ax + b = 0$$

which is an affine equation that we solve by reducing it to a basic equation:

$$\begin{aligned} 2ax + b - b &= 0 - b \\ 2ax &= -b \\ \frac{2ax}{2a} &= \frac{-b}{2a} \\ x &= \frac{-b}{2a} \end{aligned}$$

So, we have:

**THEOREM 8.11 0-slope Location** For any quadratic function  $QUADRATIC_{a,b,c}$ ,

$$x_{0-slope} = \frac{-b}{2a}$$

In fact, we also have:

**THEOREM 8.12 Global Slope-sign** Given a quadratic function  $QUADRATIC_{a,b,c}$ ,

- When  $a$  is positive,  
Slope-sign  $QUADRATIC|_{\text{Everywhere}} < \frac{-b}{2a} = (\searrow, \searrow)$

$$\text{Slope-sign } QUADRATIC \Big|_{\frac{-b}{2a}} = (\searrow, \swarrow)$$

$$\text{Slope-sign } QUADRATIC \Big|_{\text{Everywhere } > \frac{-b}{2a}} = (\swarrow, \swarrow)$$

- When  $a$  is negative,

$$\text{Slope-sign } QUADRATIC \Big|_{\text{Everywhere } < \frac{-b}{2a}} = (\swarrow, \swarrow)$$

$$\text{Slope-sign } QUADRATIC \Big|_{\frac{-b}{2a}} = (\swarrow, \searrow)$$

$$\text{Slope-sign } QUADRATIC \Big|_{\text{Everywhere } > \frac{-b}{2a}} = (\searrow, \searrow)$$

The case is easily made by testing the corresponding inequations near  $\infty$ .

## 16 Extremum Location

From the **Extremum Existence Theorem**, we know that

$$x_{\text{extremum}} = x_{0\text{-slope}}$$

and so we have that

$$x_{\text{extremum}} = \frac{-b}{2a}$$

We now want to compute the extremum *output* which is the output for  $x_{0\text{-slope}}$ :

$$\begin{aligned} QUADRATIC(x_{0\text{-slope}}) &= ax_{0\text{-slope}}^2 + bx_{0\text{-slope}} + c \\ &= a \left( \frac{-b}{2a} \right)^2 + b \left( \frac{-b}{2a} \right) + c \\ &= a \left( \frac{(-b)^2}{(2a)^2} \right) + b \left( \frac{-b}{2a} \right) + c \\ &= a \left( \frac{b^2}{4a^2} \right) + b \left( \frac{-b}{2a} \right) + c \\ &= \frac{ab^2}{4a^2} + b \left( \frac{-b}{2a} \right) + c \\ &= \frac{\cancel{a}b^2}{4\cancel{a}} + b \left( \frac{-b}{2a} \right) + c \\ &= \frac{b^2}{4a} + b \left( \frac{-b}{2a} \right) + c \\ &= \frac{b^2}{4a} + \frac{-2 \cdot b^2}{2 \cdot 2a} + c \end{aligned}$$

discriminant

$$\begin{aligned}
 &= \frac{b^2}{4a} + \frac{-2b^2}{4a} + c \\
 &= \frac{-b^2}{4a} + c \\
 &= \frac{-b^2}{4a} + \frac{4a \cdot c}{4a} \\
 &= \frac{-b^2 + 4ac}{4a}
 \end{aligned}$$

It is standard to call the quantity  $b^2 - 4ac$ , that is the opposite of the above numerator, the **discriminant** of the function  $QUADRATIC_{a,b,c}$  and we will write **Discriminant** $_{QUADRATIC}$

So we have that the extremum output

$$QUADRATIC(x_{\text{extremum}}) = QUADRATIC(x_{0\text{-slope}}) = \frac{-\text{Discriminant}_{QUADRATIC}}{4a}$$

Altogether then, we have

**THEOREM 8.13 G** Given a quadratic function  $QUADRATIC_{a,b,c}$ , the extremum input is

$$x_{\text{extremum}} = x_{0\text{-slope}} = \frac{-b}{2a}$$

and the extremum output is

$$QUADRATIC(x_{\text{extremum}}) = \frac{-b^2 + 4ac}{4a} = \frac{-\text{Discriminant}_{QUADRATIC}}{4a}$$

## 17 0-Height Location

Given a quadratic function, the global problem of *locating* a given local height is the problem of locating the input(s), if any, whose output is equal to the given height.

More precisely, given the quadratic function  $QUADRATIC_{a,b,c}$ , that is the function specified by the global input-output rule

$$x \xrightarrow{QUADRATIC} QUADRATIC(x) = ax^2 + bx + c$$

and given the local height  $H_0$ , what we are looking for are the input(s), if any, whose output is equal to  $H_0$ , that is:

$$x \xrightarrow{QUADRATIC} QUADRATIC(x) = H_0$$

In other words, we must solve the equation

$$ax^2 + bx + c = H_0$$

This is called a **quadratic equation**. Since we are looking for the 0-height quadratic equation inputs, we let  $H_0$  be 0 and we will want to solve the equation

$$ax^2 + bx + c = 0$$

Solving a quadratic equation is quite a bit more complicated than solving an affine equation because we cannot reduce a quadratic equation to a basic equation the way we reduce an affine equation to a basic equation.

The reason is that affine equations have *two* terms and the = sign has *two* sides so that we could *separate* the terms by having an  $x$ -term on the left side of the = sign and a constant term on the right side of the = sign which gave us a basic equation.

However, we cannot *separate* the terms in a quadratic equation because the output  $QUADRATIC(x)$  has *three* terms while the = sign has only *two* sides.

This, though, may have something to do with the fact that inputs are counted from the 0 on the ruler which can be anywhere in relation to the *global graph* of the function, rather than from an input which is meaningful for the global graph of that function.

What we will do then is to try to use, instead of the inputs themselves, the *location* of the inputs relative to an input that is meaningful for the function at hand and the obvious thing is to try is  $x_{0\text{-slope}}$  and so we will try to use:

$$u = x - x_{0\text{-slope}}$$

$$x = x_{0\text{-slope}} + u$$

and therefore, instead of using the global input-output rule

$$x \xrightarrow{QUADRATIC} QUADRATIC(x) = ax^2 + bx + c$$

we will use the global input-ouput rule

$$x|_{x \leftarrow x_{0\text{-slope}} + u} \xrightarrow{QUADRATIC} QUADRATIC(x)|_{x \leftarrow x_{0\text{-slope}} + u} = ax^2 + bx + c|_{x \leftarrow x_{0\text{-slope}} + u}$$

that is

$$\begin{aligned} u \xrightarrow{QUADRATIC(x_{0\text{-slope}})} QUADRATIC(x_{0\text{-slope}} + u) \\ = [a]u^2 + [2ax_{0\text{-slope}} + b]u + [ax_{0\text{-slope}}^2 + bx_{0\text{-slope}} + c] \end{aligned}$$

By the way, note that we will continue to count the *outputs* from the 0 on the output ruler. (Some people don't and prefer to count the outputs from  $QUADRATIC(x_{0\text{-slope}})$ .) But since  $x_{0\text{-slope}} = \frac{-b}{2a}$ , this reduces to

$$\begin{aligned} u \xrightarrow{QUADRATIC(x_{0\text{-slope}})} QUADRATIC(x_{0\text{-slope}} + u) \\ = [a]u^2 + [0]u + [ax_{0\text{-slope}}^2 + bx_{0\text{-slope}} + c] \end{aligned}$$

that is to only two terms

$$= [a]u^2 + [ax_{0\text{-slope}}^2 + bx_{0\text{-slope}} + c]$$

and the equation we want to solve, then, is

$$[a]u^2 + [ax_{0\text{-slope}}^2 + bx_{0\text{-slope}} + c] = H_0$$

that is

$$[a]u^2 = H_0 - [ax_{0\text{-slope}}^2 + bx_{0\text{-slope}} + c]$$

that is

$$u^2 = \frac{H_0 - [ax_{0\text{-slope}}^2 + bx_{0\text{-slope}} + c]}{a}$$

in which everything on the right-hand side is known so that we have *separated* the known from the unknown. Since we are trying to locate the 0-height inputs, we let  $H_0 = 0$ .

In that case, the equation reduces to

$$\begin{aligned} u^2 &= \frac{-[ax_{0\text{-slope}}^2 + bx_{0\text{-slope}} + c]}{a} \\ &= \frac{-\text{QUADRATIC}(x_{\text{extremum}})}{a} \end{aligned}$$

and, using the Extremum Location Theorem,

$$\begin{aligned} &= \frac{-\text{Discriminant}_{\text{QUADRATIC}}}{4a} \\ &= \frac{\text{Discriminant}_{\text{QUADRATIC}}}{4a^2} \end{aligned}$$

Altogether then, instead of the original equation

$$ax^2 + bx + c = 0$$

we have the rather nice (nicer?) equation

$$\boxed{u^2 = \frac{\text{Discriminant}_{\text{QUADRATIC}}}{4a^2}}$$

Now, of course, whether or not we can solve depends on whether or not the right hand side is positive and since the denominator is a square, and therefore always positive, whether or not we can solve depends only on the sign of  $\text{Disc}_{\text{QUADRATIC}}$  (hence the name “discriminant”):

- ▶ If  $\text{Disc}_{\text{QUADRATIC}}$  is *negative*, the equation has *no* solution,
- ▶ If  $\text{Disc}_{\text{QUADRATIC}}$  is 0, the equation has *one* solution, namely 0,
- ▶ If  $\text{Disc}_{\text{QUADRATIC}}$  is *positive*, the equation has *two* solutions, namely

- $u = -\frac{\sqrt{\text{Disc}_{\text{QUADRATIC}}}}{2a}$
- $u = +\frac{\sqrt{\text{Disc}_{\text{QUADRATIC}}}}{2a}$

de-locate

This, of course, is hardly surprising inasmuch as the discriminant is intimately tied with the extremum output and thus this theorem fits very well with the **0-height Existence Theorem**. It remains only to **de-locate**, that is to return to the input  $x$ . For that, we need only use the fact that

$$u = x - x_{0\text{-slope}}$$

to get

- $x - x_{0\text{-slope}} = -\frac{\sqrt{\text{Disc}_{\text{QUADRATIC}}}}{2a}$
- $x - x_{0\text{-slope}} = +\frac{\sqrt{\text{Disc}_{\text{QUADRATIC}}}}{2a}$

that is

- $x = x_{0\text{-slope}} - \frac{\sqrt{\text{Disc}_{\text{QUADRATIC}}}}{2a}$
- $x = x_{0\text{-slope}} + \frac{\sqrt{\text{Disc}_{\text{QUADRATIC}}}}{2a}$

and thus the celebrated “quadratic formula”:

- $x = x_{0\text{-slope}} - \frac{\sqrt{b^2 - 4ac}}{2a}$
- $x = x_{0\text{-slope}} + \frac{\sqrt{b^2 - 4ac}}{2a}$

which, by the way, shows that, when they exist, the two 0-height inputs are symmetrical with respect to  $x_{0\text{-slope}}$ . Altogether, then, we have

**THEOREM 8.14 0-height Location** For any quadratic function  $\text{QUADRATIC}_{a,b,d}$ ,

- ▶ If  $\text{Disc}_{\text{QUADRATIC}}$  is *negative*,  $\text{QUADRATIC}$  has *no* 0-height input,
- ▶ If  $\text{Disc}_{\text{QUADRATIC}}$  is 0,  $\text{QUADRATIC}$  has *one* 0-height input, namely  $\frac{-b}{2a}$ ,
- ▶ If  $\text{Disc}_{\text{QUADRATIC}}$  is *positive*,  $\text{QUADRATIC}$  has *two* solutions, namely
  - $\frac{-b}{2a} - \frac{\sqrt{b^2 - 4ac}}{2a}$
  - $\frac{-b}{2a} + \frac{\sqrt{b^2 - 4ac}}{2a}$

Finally, here are a couple of examples.

**EXAMPLE 8.15.** To find the 0-height inputs of the quadratic function specified by the global input-output rule

$$x \xrightarrow{\text{Rick}} \text{Rick}(x) = +4x^2 - 24x + 7$$

we can proceed as follows:

i. Either we remember that  $x_{0\text{-slope}} = \frac{-b}{2a}$  so that we get  $x_{0\text{-slope}} = \frac{+12}{2(+4)} = +3$ , or, if worse comes to worst, we look for the 0-slope input by localizing at an undisclosed input  $x_0$  and then setting the coefficient of  $u$  equal to 0 to get  $x_{0\text{-slope}}$ .

ii. Then, we get the  $u$ -equation by setting  $x = x_{0\text{-slope}} + u$ , that is, here, by setting  $x = +3 + u$ :

$$\begin{aligned} +3 + u \xrightarrow{\text{Rick}} \text{Rick}(x)|_{\text{when } x=+3+u} &= +4x^2 - 24x + 7|_{\text{when } x=+3+u} \\ &= +4[+3 + u]^2 - 24[+3 + u] + 7 \\ &= +4[+9 + 6u + u^2] - 24[+3 + u] + 7 \\ &= +36 + 24u + 4u^2 - 72 - 24u + 7 \\ &= -29 + 4u^2 \end{aligned}$$

iii. We now solve the  $u$ -equation

$$\begin{aligned} -29 + 4u^2 &= 0 \\ +4u^2 &= +29 \\ u^2 &= \frac{+29}{+4} \\ u^2 &= +7.25 \end{aligned}$$

and so we have:

$$\blacktriangleright u_{0\text{-output}} = +\sqrt{+7.25} = +2.69 + [\dots]$$

and

$$\blacktriangleright u_{0\text{-output}} = -\sqrt{+7.25} = -2.69 + [\dots]$$

and therefore

$$\blacktriangleright x_{0\text{-output}} = +3 + 2.693 + [\dots] = +5.693 + [\dots]$$

and

$$\blacktriangleright x_{0\text{-output}} = +3 - 2.693 + [\dots] = +0.307 + [\dots]$$

Alternatively, if we remember the **0-height Theorem**, then we can proceed by first computing the discriminant and

**EXAMPLE 8.16.** We look at the same equation but assume that we re-

member the **0-height Theorem**

$$x \xrightarrow{Rick} Rick(x) = +4x^2 - 24x + 7$$

that is:

$$\begin{aligned} \text{Discriminant } Rick &= (-24)^2 - 4(+4)(+7) \\ &= +576 - 112 \\ &= +464 \end{aligned}$$

And since the discriminant is positive, we have

$$\begin{aligned} x_{0\text{-output}} &= x_{0\text{-slope}} + \frac{\sqrt{\text{Discriminant}}}{2a} \\ &= \frac{+24}{2(+4)} + \frac{\sqrt{+464}}{2(+4)} \\ &= \frac{+24}{+8} + \frac{21.541 + [\dots]}{+8} \\ &= \frac{45.541 + [\dots]}{+8} \\ &= +5.693 + [\dots] \end{aligned}$$

and similarly

$$\begin{aligned} x_{0\text{-output}} &= x_{0\text{-slope}} - \frac{\sqrt{\text{Discriminant}}}{2a} \\ &= \frac{+24}{2(+4)} - \frac{\sqrt{+464}}{2(+4)} \\ &= \frac{+24}{+8} - \frac{21.541 + [\dots]}{+8} \\ &= \frac{2.460 + [\dots]}{+8} \\ &= +0.307 + [\dots] \end{aligned}$$

Either way, the reader should check that, indeed,

$$+5.693 \xrightarrow{Rick} 0 + [\dots]$$

and

$$+0.307 \xrightarrow{Rick} 0 + [\dots]$$

As a consequence of the **0-height Location Theorem**, we have:

**THEOREM 8.15 Global Height-sign** For any quadratic function  $QUADRATIC_{a,b,c}$ , *Height-sign*  $QUADRATIC = (Sign\ a, Sign\ a)$

everywhere except, when  $DiscQUADRATIC$  is *positive*, between the two  $x_{0\text{-height}}$  inputs where  $Height\text{-sign } QUADRATIC = (-Sign\ a, -Sign\ a)$

As a result, when looking for the inputs for which the output has a given sign, we have two approaches:

i. We can solve the associate equation, one way or the other, and then test each one of the sections determined by the 0-height input(s), if any.

**EXAMPLE 8.17.** To solve the *inequation*  $-3x^2 + tx - 11 < 0$ , we can begin by looking for its *boundary inputs* by solving the *associated equation*  $-3x^2 + tx - 11 = 0$  and then test the resulting intervals.

ii. We can use the **Global Height-sign Theorem**.

=====OK SO FAR=====

## Chapter 9

# Cubic Functions

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**Quadratic functions** are specified by global input-output rules like the generic global input-output rule:

$$x \xrightarrow{CUBIC} CUBIC(x) = \underbrace{ax^3 \oplus bx^2 \oplus cx^1 \oplus dx^0}_{\text{output-specifying code}}$$

which we usually write

$$= \underbrace{ax^3 + bx^2 + cx + d}_{\text{output-specifying code}}$$

where  $a$ , called the **cubic coefficient**,  $b$ , called the **quadratic coefficient**,  $c$ , called the **linear coefficient**, and  $d$ , called the **constant coefficient**, are the *bounded* numbers that specify the function  $CUBIC$ .

**EXAMPLE 9.1.** The cubic function  $TINA$  specified by the cubic coefficient  $+72.55$ , the quadratic coefficient  $-23.04$ , the linear coefficient  $-17.39$  and the constant coefficient  $+5.84$  is the function specified by the global input-output rule

$$x \xrightarrow{TINA} TINA(x) = \underbrace{-72.55}_{\text{cubic coeff.}} x^3 \underbrace{-23.04}_{\text{quadratic coeff.}} x^2 \underbrace{-17.39}_{\text{linear coeff.}} x \underbrace{+5.84}_{\text{constant coeff.}}$$

It is worth noting again that

term  
 cubic term  
 quadratic term  
 linear term  
 constant term  
 quadratic\_part

**CAUTIONARY NOTE 9.1** The terms in the global input output rule *need not* be written in order of *descending* exponent. This is just a habit we have.

**EXAMPLE 9.2.** The function specified by the global input-output rule

$$x \xrightarrow{DIDI} DIDI(x) = -12.06x^3 + 21.03x^2 - 31.39x + 5.34$$

could equally well be specified by the global input-output rule

$$x \xrightarrow{DIDI} DIDI(x) = +5.34 + 21.03x^2 - 31.39x - 12.06x^3$$

or by the global input-output rule

$$x \xrightarrow{DIDI} DIDI(x) = -31.39x + 5.34 - 12.06x^3 + 21.03x^2$$

We now introduce some standard terminology to help us describe very precisely what we will be doing. The output-specifying code of the affine function specified by

$$x \xrightarrow{AFFINE} CUBIC(x) = \underbrace{ax^3 + bx^2 + cx + d}_{\text{output-specifying code}}$$

consists of four **terms**:

- $ax^3$  which is called the **cubic term**.
- $bx^2$  which is called the **quadratic term**.
- $cx$  which is called the **linear term**.
- $d$  which is called the **constant term**,

and there is of course also

- $bx^2 + cx + d$  which is called the **quadratic part**

**EXAMPLE 9.3.** The output-specifying code of the function specified by the global input-output rule

$$x \xrightarrow{TINA} TINA(x) = \underbrace{-71.41}_{\text{cubic coeff.}} x^3 + \underbrace{-23.04}_{\text{quadratic coeff.}} x^2 + \underbrace{-31.39}_{\text{linear coeff.}} x + \underbrace{+5.84}_{\text{constant coeff.}}$$

consists of four terms:

$$= \underbrace{-71.41x^3}_{\text{cubic term}} + \underbrace{-23.04x^2}_{\text{quadratic term}} + \underbrace{-31.39x}_{\text{linear term}} + \underbrace{+5.34}_{\text{constant term}}$$

**LANGUAGE NOTE 9.1** Whether we look upon  $d$  as the constant *coefficient*, that is as the *coefficient* of  $x^0$  in the constant *term*  $dx^0$  or as the constant *term*  $dx^0$  itself with the power  $x^0$  “going without saying” will be clear from the context.

## 1 Output at $x_0$

Remember from section 1 that  $x_0$  is a *generic given input*, that is that  $x_0$  is a *bounded* input that has been *given* but whose identity remains *undisclosed* for the time being.

### PROCEDURE 9.1

i. Declare that  $x$  is to be replaced by  $x_0$

$$x \Big|_{x \leftarrow x_0} \xrightarrow{CUBIC} CUBIC(x) \Big|_{x \leftarrow x_0} = ax^3 + bx^2 + cx + d \Big|_{x \leftarrow x_0}$$

which gives:

$$x_0 \xrightarrow{CUBIC} CUBIC(x_0) = \underbrace{ax_0^3 + bx_0^2 + cx_0 + d}_{\text{output-specifying code}}$$

ii. Execute the output-specifying code into an output *number*:

$$= ax_0^3 + bx_0^2 + cx_0 + d$$

which gives the input-output pair

$$(x_0, ax_0^3 + bx_0^2 + cx_0 + d)$$

### DEMO 9.1

i. We declare that  $x$  is to be replaced by  $-3$

$$x \Big|_{x \leftarrow -3} \xrightarrow{ARIA} ARIA(x) \Big|_{x \leftarrow -3} = +17.52x^3 + 21.03x^2 - 32.67x + 71.07 \Big|_{x \leftarrow -3}$$

which gives

$$-3 \xrightarrow{ARIA} ARIA(-3) = \underbrace{+17.52(-3)^3 + 21.03(-3)^2 - 32.67(-3) + 71.07}_{\text{output specifying code}}$$

ii. We execute the output-specifying code into an output *number*:

$$= -473.04 \oplus +189.26 \oplus +98.01 \oplus +71.07$$

$$= -114.7$$

which gives the *input-output pair*

$$(-3, -114.7)$$

However, as already discussed in ?? ?? and as has already been the case with *monomial* functions, *affine* functions and *quadratic* functions, instead of getting the output *number* returned by a quadratic function *at* a given input, we will usually want *all* the outputs returned by the quadratic function for inputs *near* that given input. So, instead of getting the single *input-output*

pair at the given input, we will get the *local input-output rule* with which to get *all* the input-output pairs *near* the given input.

## 2 Output near $\infty$

As already discussed in subsection 8.2 Output near  $\infty$  and in section 3 Output near  $\infty$ , in order to input a neighborhood of  $\infty$ , we will *declare* that “ $x$  is near  $\infty$ ” but write only  $x$  after that. This, again, is extremely dangerous as it is easy to forget that what we write may be TRUE *only* because  $x$  has been declared to be near  $\infty$ .

1. We will *execute* the output-specifying code, namely  $ax^3 + bx^2 + cx + d$ , into an *output jet*, that is with the terms in *descending* order of sizes, which, since here  $x$  is *large*, means that here the powers of  $x$  must be in *descending* order of exponents. We will then have the *local input-output rule* near  $\infty$ :

$$x \text{ near } \infty \xrightarrow{\text{CUBIC}} \text{CUBIC}(x) = \underbrace{\text{Powers of } x \text{ in } \textit{descending order of exponents}}_{\text{output jet near } \infty}$$

**EXAMPLE 9.4.** Given the function specified by the global input-output rule

$$x \xrightarrow{\text{TIBA}} \text{TIBA}(x) = -61.03 + 37.81x^3 - 82.47x + 45.03x^2$$

To get the output jet near  $\infty$ , we first need to get the *order of sizes*.

- i.  $-61.03$  is *bounded*
- ii.  $-82.47$  is *bounded* and  $x$  is *large*. So, since *bounded*  $\cdot$  *large* = *large*,  $-82.47 \cdot x$  is *large*
- iii.  $+45.03$  is *bounded* and  $x$  is *large*. So, since *bounded*  $\cdot$  *large* = *large*,  $+45.03 \cdot x$  is *large* too. But *large*  $\cdot$  *large* is larger in size than *large* so  $+45.03 \cdot x^2$  is even larger than  $-82.47 \cdot x$
- iv.  $+37.81$  is *bounded* and  $x$  is *large*. So, since *bounded*  $\cdot$  *large* = *large*,  $+37.81 \cdot x$  is *large* too. But *large*  $\cdot$  *large*  $\cdot$  *large* is larger in size than *large*  $\cdot$  *large* so  $+37.81 \cdot x^3$  is even larger than  $+45.03 \cdot x^2$

So, in the output jet near  $\infty$ ,  $+37.81x^3$  must come first,  $+45.03x^2$  must come second,  $-82.47x$  comes third and  $-61.03$  comes fourth

Then, we get the local input-output rule near  $\infty$ :

$$x \text{ near } \infty \xrightarrow{\text{RIBA}} \text{TIBA}(x) = \underbrace{+37.81x^3 + 45.03x^2 - 82.47x - 61.03}_{\text{output jet near } \infty}$$

2. Altogether, then:

**PROCEDURE 9.2**

i. Declare that  $x$  is near  $\infty$

$$x \Big|_{x \text{ near } \infty} \xrightarrow{CUBIC} CUBIC(x) \Big|_{x \text{ near } \infty} = ax^3 + bx^2 + cx + d \Big|_{x \text{ near } \infty}$$

which gives:

$$x \text{ near } \infty \xrightarrow{CUBIC} CUBIC(x) = \underbrace{ax^3 + bx^2 + cx + d}_{\text{output-specifying code}}$$

ii. Execute the output-specifying code into a *jet* near  $\infty$

$$= \underbrace{[a]x^3 \oplus [a]x^2 \oplus [b]x \oplus [c]}_{\text{output jet near } \infty}$$

which gives the *local input-output rule* near  $\infty$ :

$$x \text{ near } \infty \xrightarrow{CUBIC} CUBIC(x) = \underbrace{[a]x^3 \oplus [a]x^2 \oplus [b]x \oplus [c]}_{\text{output jet near } \infty}$$

(Here the jet near  $\infty$  looks the same as the given global input-output rule but that is only because the output-specifying code *happened* to be written in *descending order of exponents*.)

**DEMO 9.2**

i. We declare that  $x$  is near  $\infty$

$$x \Big|_{x \text{ near } \infty} \xrightarrow{DINA} DINA(x) \Big|_{x \text{ near } \infty} = -61.03 + 37.81x^3 + 51.32x^2 - 82.47x \Big|_{x \text{ near } \infty}$$

which gives:

$$x \text{ near } \infty \xrightarrow{DINA} DINA(x) = \underbrace{-61.03 + 37.81x^3 + 51.32x^2 - 82.47x}_{\text{output-specifying code}}$$

ii. We execute the output-specifying code into a *jet* near  $\infty$ :

$$= [+37.81]x^3 \oplus [+51.32]x^2 \oplus [-82.47]x \oplus [-61.03]$$

which gives the *local input-output rule* near  $\infty$ :

$$x \text{ near } \infty \xrightarrow{DINA} DINA(x) = \underbrace{[+37.81]x^3 \oplus [+51.32]x^2 \oplus [-82.47]x \oplus [-61.03]}_{\text{output jet near } \infty}$$

(Here the jet near  $\infty$  does *not* look the same as the *global* input-output rule because the output-specifying code happened *not* to be in descending order of exponents.)

3. The reason we use *jets* here is that the term *largest in size* is the *first* term so that to *approximate* the output we need only write the *first*

term in the jet and just replace the remaining terms by [...] which stands for “something too small to matter here”. In other words,

**THEOREM 9.1 Approximate output near  $\infty$ .** For *cubic* functions, the term in the jet that contributes most to the output near  $\infty$  is the *highest degree term* in the output jet near  $\infty$ :

$$x \text{ near } \infty \xrightarrow{CUBIC} CUBIC(x) = [a]x^3 + [...]$$

**EXAMPLE 9.5.** Given the function specified by the global input-output rule

$$x \xrightarrow{DINA} DINA(x) = -61.03 + 37.81x^3 + 51.32x^2 - 82.47x$$

near  $\infty$  we will often just use the *approximation*

$$x \text{ near } \infty \xrightarrow{KINA} KINA(x) = [+37.81]x^3 \oplus [...]$$

### 3 Output near $x_0$

We now deal with the output of the neighborhood of some *given* bounded input  $x_0$ .

1. In order to input a neighborhood of a given input  $x_0$  we will declare that  $x \leftarrow x_0 \oplus h$  that is that  $x$  is to be replaced by  $x_0 \oplus h$ . As a result, we will have to compute  $(x_0 \oplus h)^2$  for which we will have to use an *addition formula* from ALGEBRA, namely ?? in ?? on page ??.

2. We can then *execute* the input-output specifying phrase into a *jet* that is with the terms in *descending order of sizes* which here, since  $h$  is *small*, means that the powers of  $h$  will have to be in *ascending order of exponents*. We will then have the local input-output rule near the given input:

$$x_0 \oplus h \xrightarrow{CUBIC} CUBIC(x_0 \oplus h) = \underbrace{\text{Powers of } h \text{ in ascending order of exponents}}_{\text{output jet near } \infty}$$

We will therefore use:

**PROCEDURE 9.3**

i. Declare that  $x$  is to be replaced by  $x_0 + h$

$$x \Big|_{x \leftarrow x_0 + h} \xrightarrow{CUBIC} CUBIC(x) \Big|_{x \leftarrow x_0 + h} = ax^3 + bx^2 + cx + d \Big|_{x \leftarrow x_0 + h}$$

which gives:

$$x_0 + h \xrightarrow{CUBIC} CUBIC(x_0 + h) = \underbrace{a(x_0 + h)^3 + b(x_0 + h)^2 + c(x_0 + h) + d}_{\text{output-specifying code}} \quad \text{jet near } x_0$$

ii. Execute the output-specifying code into a jet near  $x_0$ :

$$\begin{aligned} &= a(x_0^3 + 3x_0^2h + 3x_0h^2 + h^3) + b(x_0^2 + 2x_0h + h^2) + c(x_0 + h) + d \\ &= ax_0^3 \oplus 3ax_0^2h \oplus 3ax_0h^2 \oplus ah^3 \\ &\oplus bx_0^2 \oplus 2bx_0h \oplus bh^2 \\ &\oplus cx_0 \oplus ch \\ &\oplus d \\ &= \underbrace{\left[ ax_0^3 + bx_0^2 + cx_0 + d \right] \oplus \left[ 3ax_0^2 + 2bx_0 + c \right] h \oplus \left[ 3ax_0 + b \right] h^2 \oplus \left[ a \right] h^3}_{\text{output jet near } x_0} \end{aligned}$$

which gives the local input-output rule near  $x_0$ :

$$\begin{aligned} x_0 + h \xrightarrow{CUBIC} CUBIC(x_0 + h) \\ &= \underbrace{\left[ ax_0^3 + bx_0^2 + cx_0 + d \right] \oplus \left[ 3ax_0^2 + 2bx_0 + c \right] h \oplus \left[ 3ax_0 + b \right] h^2 \oplus \left[ a \right] h^3}_{\text{output jet near } x_0} \end{aligned}$$

### DEMO 9.3

i. We declare that  $x$  is to be replaced by  $-3 + h$

$$x \Big|_{x \leftarrow -3+h} \xrightarrow{ARBA} ARBA(x) \Big|_{x \leftarrow -3+h} = -32.67x - 31.18x^3 + 71.07 + 81.26x^2 \Big|_{x \leftarrow -3+h}$$

which gives

$$\begin{aligned} -3 + h \xrightarrow{ARBA} ARBA(-3 + h) &= \underbrace{-32.67(-3 + h) - 31.18(-3 + h)^3 + 71.07 + 81.26(-3 + h)^2}_{\text{output specifying code}} \end{aligned}$$

ii. We execute the output-specifying code into a jet near  $-3$ :

$$\begin{aligned} &= -32.67(-3 + h) - 31.18 \left( (-3)^3 + 3(-3)^2h + 3(-3)h^2 + h^3 \right) + 71.07 + 81.26 \left( (-3)^2 + 2(-3)h + h^2 \right) \\ &= -32.67(-3) - 32.67h \\ &\quad - 31.18(-3)^3 - 31.18 \cdot 3(-3)^2h - 31.18 \cdot 3(-3)h^2 - 31.18h^3 \\ &\quad + 71.07 \\ &\quad + 81.26(-3)^2 + 81.26 \cdot 2(-3)h + 81.26h^2 \\ &= +98.01 \oplus -32.67h \end{aligned}$$

$$\begin{aligned}
 & \oplus +841.86 \oplus -841.86 h \oplus +280.62 h^2 \oplus -31.18 h^3 \\
 & \oplus +71.07 \\
 & \oplus +731.34 \oplus -487.56 h \oplus +81.26 h^2 \\
 = & \left[ +98.01 + 841.86 + 71.07 + 731.34 \right] \\
 & \oplus \left[ -32.67 - 841.86 - 487.56 \right] h \\
 & \oplus \left[ +280.62 + 81.26 \right] h^2 \\
 & \oplus \left[ -31.18 \right] h^3 \\
 = & \underbrace{\left[ +1742.28 \right] \oplus \left[ -1362.09 \right] h \oplus \left[ +361.88 \right] h^2 \oplus \left[ +81.26 \right] h^3}_{\text{output jet near } -3}
 \end{aligned}$$

which gives the *local input-output rule* near  $-3$ :

$$-3 + h \xrightarrow{ARNA} ARBA(-3 + h) = \underbrace{\left[ +1742.28 \right] \oplus \left[ -1362.09 \right] h \oplus \left[ +361.88 \right] h^2 \oplus \left[ +81.26 \right] h^3}_{\text{output jet near } -3}$$

3. When all we want is a feature-sign, though, the above procedure is very inefficient and we will then use the following procedure based directly on the fact that a *cubic function* is the addition of:

- a *cube function*, (See DEFINITION 6.5 on page 264)
- a *square function*, (See DEFINITION 6.2 on page 262)
- a *linear function*, (See ?? on ??.)
- a *constant function*. (See ?? on ??.)

that is:

$$x \xrightarrow{CUBIC} CUBIC(x) = \underbrace{ax^3}_{\text{cube}} \oplus \underbrace{bx^2}_{\text{square}} \oplus \underbrace{cx}_{\text{linear}} \oplus \underbrace{d}_{\text{constant}}$$

We declare that  $x$  is near  $x_0$  that is that  $x$  must be replaced by  $x_0 + h$ :

$$x \xrightarrow{CUBIC} CUBIC(x) = \underbrace{a(x_0 + h)^3}_{\text{cube}} \oplus \underbrace{b(x_0 + h)^2}_{\text{square}} \oplus \underbrace{c(x_0 + h)}_{\text{linear}} \oplus \underbrace{d}_{\text{constant}}$$

The output of the local input-output rule near  $x_0$  will have to be a *jet*:

$$x_0 + h \xrightarrow{CUBIC} CUBIC(x_0 + h) = \left[ \quad \right] \oplus \left[ \quad \right] h \oplus \left[ \quad \right] h^2 \oplus \left[ \quad \right] h^3$$

and we want to be able to get any one of the coefficients of the output jet without having to compute any of the other coefficients. So, what we will do is to get the contribution of each monomial function to the term we want.

This requires us to have the *addition formulas* at our finger tips:

a.

$$(x_0 + h)^2 = x_0^2 + 2x_0h + h^2 \text{ (See ?? on page 519)}$$

b.

$$(x_0 + h)^3 = x_0^3 + 3x_0^2h + 3x_0h^2 + h^3 \text{ (See ?? on ??)}$$

More precisely,

i. If we want the *coefficient* of  $h^0$  in the output jet:

- The **cube function** contributes  $ax_0^3$
- The **square function** contributes  $bx_0^2$
- The **linear function** contributes  $cx_0$
- The **constant function** contributes  $d$

so we have:

$$x_0 + h \xrightarrow{CUBIC} CUBIC(x_0 + h) = [ax_0^3 + bx_0^2 + cx_0 + d] \oplus [ \ ]h \oplus [ \ ]h^2 \oplus [ \ ]h^3$$

ii. If we want the *coefficient* of  $h^1$  in the output jet:

- The **cube function** contributes  $3bx_0^2$
- The **square function** contributes  $2bx_0$
- The **linear function** contributes  $c$
- The **constant function** contributes nothing

so we have:

$$x_0 + h \xrightarrow{CUBIC} CUBIC(x_0 + h) = [ \ ] \oplus [3bx_0^2 + 2bx_0 + c]h \oplus [ \ ]h^2 \oplus [ \ ]h^3$$

iii. If we want the *coefficient* of  $h^2$  in the output jet:

- The **cube function** contributes  $3bx_0$
- The **square function** contributes  $c$
- The **linear function** contributes nothing
- The **constant function** contributes nothing

so we have:

$$x_0 + h \xrightarrow{CUBIC} CUBIC(x_0 + h) = [ \ ] \oplus [ \ ]h \oplus [3bx_0 + c]h^2 \oplus [ \ ]h^3$$

iv. If we want the *coefficient* of  $h^3$  in the output jet:

- The **cube function** contributes  $a$
- The **square function** contributes nothing
- The **linear function** contributes nothing
- The **constant function** contributes nothing

so we have:

$$x_0 + h \xrightarrow{CUBIC} CUBIC(x_0 + h) = [ \quad ] \oplus [ \quad ]h \oplus [ \quad ]h^2 \oplus [a]h^3$$

## 4 Local graphs

Just as we get a *plot point at a bounded input from the output at that input*, we get the *local graph near any input*, be it *bounded* or *infinity*, from the *jet near that input*.

### PROCEDURE 9.4

1. Get the *output jet near*  $\infty$ :

$$x \text{ near } \infty \xrightarrow{CUBIC} CUBIC(x) = \underbrace{[a]x^3 \oplus [b]x^2 \oplus [c]x \oplus [d]}_{\text{output jet near } \infty}$$

(See PROCEDURE 9.2 on page 379.)

2. Get the *local graphs*:

- a. Of the *cubic term* by graphing near  $\infty$  the monomial function  $x \rightarrow [a]x^3$  using ?? ?? on ??.
- b. Of the *quadratic term* by graphing near  $\infty$  the monomial function  $x \rightarrow [a]x^2$  using ?? ?? on ??.
- c. Of the *linear term* by graphing near  $\infty$  the monomial function  $x \rightarrow [b]x$  using ?? ?? on ??.
- d. Of the *constant term* by graphing near  $\infty$  the monomial function  $x \rightarrow [c]$  using ?? ?? on ??.

3. Get the local graph near  $\infty$  of *CUBIC* using ?? by adding-on to the local graph of the *cubic term* the local graph of the *quadratic term*, the local graph of the the local graph of, and the local graph of the *constant term*.

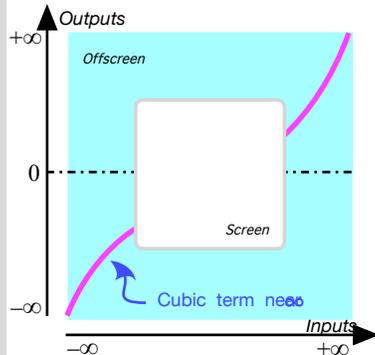
### DEMO 9.4

1. We get the output jet near  $\infty$ : (See DEMO 9.2 on page 379)

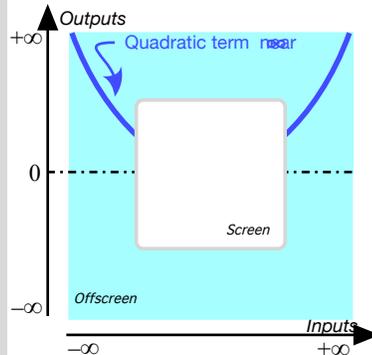
$$x \text{ near } \infty \xrightarrow{DINA} DINA(x) = \underbrace{[+37.81]x^3 \oplus [+51.32]x^2 \oplus [-82.47]x \oplus [-61.03]}_{\text{output jet near } \infty}$$

2. Get the local graph near  $\infty$  of each term:

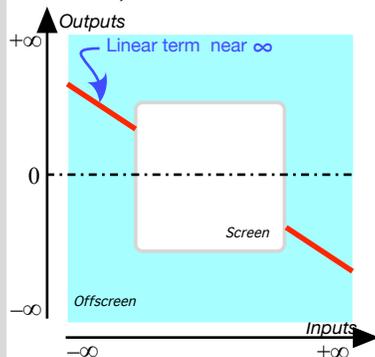
a. We get the graph of the *cubic* term by graphing the monomial function  $x \rightarrow [ +37.81 ] x^3$  near  $\infty$  (See ?? on ??)



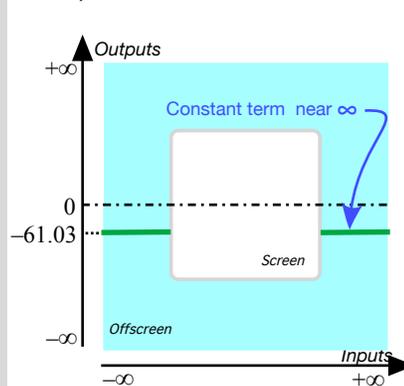
b. We get the graph of the *quadratic* term by graphing the monomial function  $x \rightarrow [ +51.32 ] x^2$  near  $\infty$  (See ?? on ??)



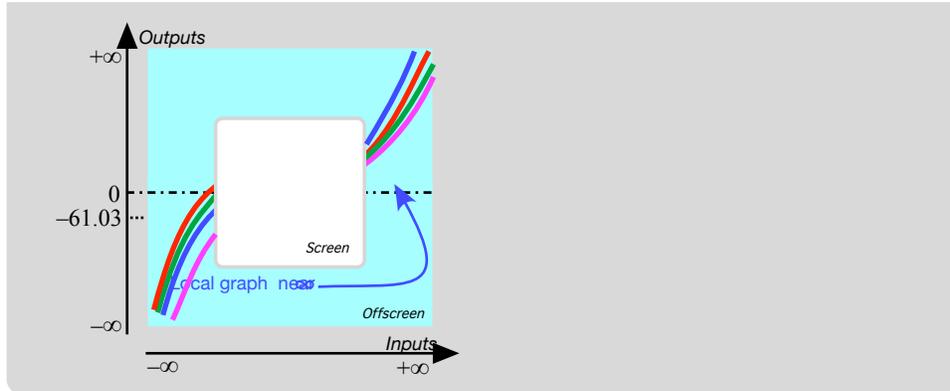
c. We get the graph of the *linear* term by graphing the monomial function  $x \rightarrow [ -82.47 ] x$  near  $\infty$  (See ?? on ??)



d. We get the graph of the *constant* term by graphing the monomial function  $x \rightarrow [ -61.03 ]$  near  $\infty$  (See ?? on ??)



3. We get the local graph near  $\infty$  of *DINA* by adding-on to the graph of the *quadratic* term the graph of the *linear* term and the graph of the *constant* term. (See ?? on ??)

**PROCEDURE 9.5**

1. Get the local *input-output rule* near  $x_0$  of *CUBIC* using PROCEDURE 9.3 To evaluate **near  $x_0$**  the function specified by  $x \xrightarrow{\text{CUBIC}}$   $\text{CUBIC}(x) = ax^3 + bx^2 + cx + d$  on page 380

$$\begin{aligned}
 x_0 + h &\xrightarrow{\text{CUBIC}} \text{CUBIC}(x_0 + h) \\
 &= \underbrace{\left[ ax_0^3 + bx_0^2 + cx_0 + d \right] \oplus \left[ 3ax_0^2 + 2bx_0 + c \right] h \oplus \left[ 3ax_0 + b \right] h^2 \oplus \left[ a \right] h^3}_{\text{output jet near } x_0}
 \end{aligned}$$

2. Get the *local graphs*:
  - a. Of the *constant term* by graphing near 0 the monomial function  $x \rightarrow \left[ ax_0^3 + bx_0^2 + cx_0 + d \right]$
  - b. Of the *linear term* by graphing near 0 the monomial function  $x \rightarrow \left[ 3ax_0^2 + 2bx_0 + c \right] x$
  - c. Of the *quadratic term* by graphing near 0 the monomial function  $x \rightarrow \left[ 3ax_0 + b \right] x^2$
  - d. Of the *cubic term* by graphing near 0 the monomial function  $x \rightarrow \left[ a \right] x^3$
3. Get the *local graph* of *CUBIC* near  $x_0$  by adding to the local graph of the *constant term*, the local graph of the *linear term*, the local graph of the *quadratic term*, the local graph of the *cubic term*.

**DEMO 9.5**

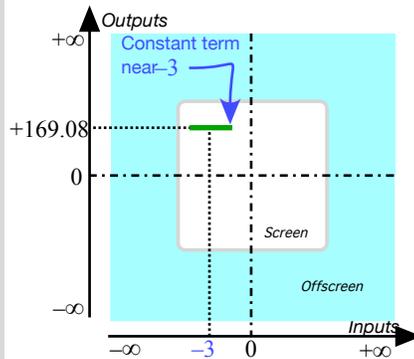
1. We get the *local input-output rule* near  $-3$  of *ARBA* (See DEMO 9.3

on page 381):

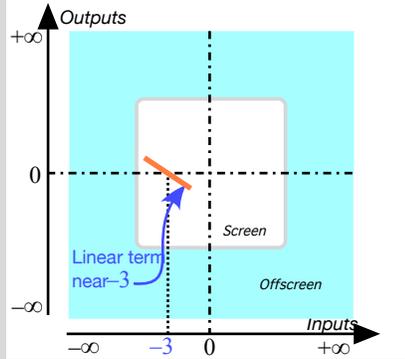
$$-3 + h \xrightarrow{ARNA} ARBA(-3 + h) = \underbrace{\left[ +1742.28 \right] \oplus \left[ -1362.09 \right] h \oplus \left[ +361.88 \right] h^2 \oplus \left[ +81.26 \right] h^3}_{\text{output jet near } -3}$$

2. We get the local graphs

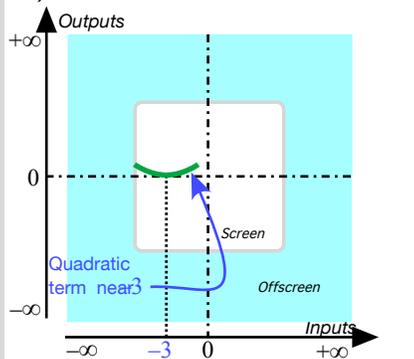
a. We get the graph of the *constant* term near  $-3$  by graphing the monomial function  $x \rightarrow \left[ +1742.28 \right]$ . : (See ?? on ??)



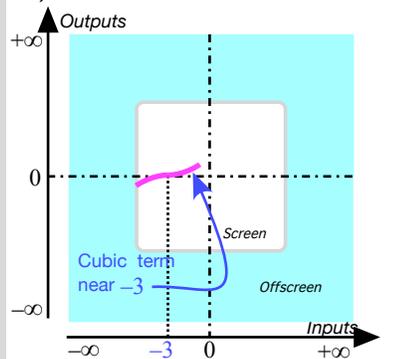
b. We get the graph of the *linear* term near  $-3$  by graphing the monomial function  $x \rightarrow \left[ -1362.09 \right] x$  (See ?? on ??)



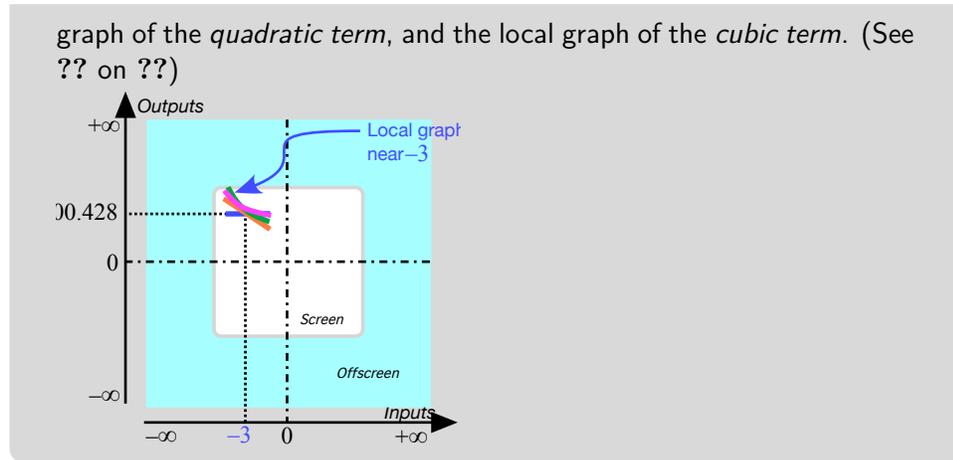
c. We get the graph of the *quadratic* term near  $-3$  by graphing the monomial function  $x \rightarrow \left[ +361.88 \right] x^2$ : (See ?? on ??)



d. We get the graph of the *cubic* term near  $-3$  by graphing the monomial function  $x \rightarrow \left[ +81.26 \right] x^3$ : (See ?? on ??)



3. We get the *local graph* near  $-3$  of *ARBA* by adding to the local graph of the *constant* term the local graph of the *linear* term, the local



## 5 Local Feature-signs

As we saw in ?? ??, a feature-sign near a given input, be it near  $\infty$  or near  $x_0$ , can be read from the *local graph* and so we could proceed as follows:

i. Get the *local input-output rule* near the given input (See PROCEDURE 9.2 on page 379 when the given input is  $\infty$  or PROCEDURE 9.3 on page 380 when the given input is  $x_0$ .)

ii. Get the *local graph* from the local input-output rule (See PROCEDURE 9.4 on page 384.)

iii. Get the *feature-sign* from the *local graph*. (See ?? ??.)

However, things are in fact much simpler: Given an input, be it  $\infty$  or a bounded input  $x_0$ , to get a required feature-sign near that given input, we look for the term in the output jet near that input that

i. Has the required feature.

and

ii. Is the largest-in-size of all those terms with the required feature.

So, as we will now see, we usually need to get only *one* term in the output jet rather than the whole output jet.

1. Near *infinity* things are quite straightforward because, for a cubic function, the first term in the output jet near  $\infty$  is both the *largest-in-size* and a *regular* monomial so that it has *all three features*:

### PROCEDURE 9.6

i. Get the *approximate* local input-output rule near  $\infty$ :

$$x \text{ near } \infty \xrightarrow{CUBIC} CUBIC(x) = \underbrace{[a]x^3 \oplus [b]x^2 \oplus [c]x \oplus [d]}_{\text{output jet near } \infty}$$

$$= \underbrace{[a]x^3 \oplus [\dots]}_{\text{approximate output jet near } \infty}$$

ii. Then, in the *approximate output jet* near  $\infty$ :

- Get the *Height-sign*, the *Slope-sign* and the *Concavity-sign* all from the *cubic term*  $[a]x^3$  because the next terms,  $[b]x^2$ ,  $[c]x$  and  $[d]$  are *too small to matter*. (Not to mention the fact that a linear term has no concavity and a constant term has neither concavity nor slope.)

**DEMO 9.6** To get the Height-sign **near  $\infty$**  of the function specified by

$$x \xrightarrow{DELIA} DELIA(x) = +12x^3 - 2x^2 + 63x - 155$$

i. We get the local input-output rule **near  $\infty$** :

$$x \text{ near } \infty \xrightarrow{DELIA} DELIA(x) = +12x^3 - 2x^2 + 63x - 155$$

$$= \underbrace{[+12]x^3 \oplus [-2]x^2 \oplus [+63]x \oplus [-155]}_{\text{output jet near } \infty}$$

ii. We get *Height-sign* from the *cubic term*  $[+12]x^3$ . (The *quadratic term*  $[-2]x^2$ , the *linear term*  $[+63]x$  and the *constant term*  $[-155]$  are *too small to matter*)

iii. Since the *cubic coefficient*  $[+12]$  is *positive*, we get that Height-sign  $DELIA \text{ near } \infty = \langle +, - \rangle$ . (Seen from  $\infty$ .)

**DEMO 9.7** Get the *slope-sign* **near  $\infty$**  of the function specified by the global input-output rule

$$x \xrightarrow{DETER} DETER(x) = -0.45x^3 + 3.03x^2 - 81.67x + 46.92$$

i. We get the local input-output rule **near  $\infty$** :

$$x \text{ near } \infty \xrightarrow{DETER} DETER(x) = -0.45x^3 + 3.03x^2 - 81.67x + 46.92$$

critical for the Concavity

$$= \underbrace{[-0.45]x^3 \oplus [+3.03]x^2 \oplus [-81.67]x \oplus [+46.92]}_{\text{output jet near } \infty}$$

ii. We get *Slope-sign* from the *cubic* term  $[-0.45]x^3$ . (The *quadratic* term  $[+3.03]x^2$ , the *linear* term  $[-81.67]x$  and the *constant* term  $[+46.92]$  are too small to matter.)

Since the *cubic coefficient*  $-0.45$  is negative, we get that *Slope-sign DETER* near  $\infty = \langle \setminus, \setminus \rangle$ . (Seen from  $\infty$ .)

2. Near a *bounded input* though, things are a bit more complicated:

i. The *first* term in the output jet is *usually* the *largest-in-size* so that it gives the *Height-sign*. However, the first term *usually* has neither *Slope* nor *Concavity* because the first term is *usually* a constant term.

ii. The *second* term in the output jet is *usually* too small-in-size to change the *Height-sign* as given by the first term but it is *usually* the *largest-in-size* term that can give the *Slope-sign*. However, the second term has no *Concavity* because the second term is *usually* a linear term.

iii. The third *term* in the output jet is *usually* too small-in-size to change the *Height-sign* given by the first term and the *Slope-sign* given by the second term but it is *usually* the *only term* that can give the *Concavity-sign*.

So we can *usually* read each feature-sign directly from the appropriate term in the output jet - keeping in mind that the exceptional monomial functions do not have all the features.

However, near a *bounded input*, the given bounded input may turn out to be *critical* for the local feature:

i. If the *constant term* in the output jet is 0, then the term which gives the *Height-sign* can be either the linear term or the quadratic term if the linear term is 0 or even the cubic term if the quadratic term turns out to be 0 too. The bounded input is then again said to be *critical for the Height*.

ii. If the *linear term* in the output jet is 0, then the term which gives the *Slope-sign* is the *quadratic term* or the *cubic term* if the *quadratic term* turns out to be 0 too. The bounded input is then said to be *critical for the Slope*.

iii. If the *quadratic term* in the output jet is 0, then the term which gives the *Concavity-sign* is the *cubic term*. The bounded input is then said to be **critical for the Concavity**.

So, we *usually* need to compute only one coefficient in the output jet. But if the given bounded input turns out to be *critical* for that feature, then we need to compute the next coefficient: So we use

**PROCEDURE 9.7**

i. Get the local input-output rule near  $x_0$ :

$$\begin{aligned} x_0 + h &\xrightarrow{\text{CUBIC}} \text{CUBIC}(x_0 + h) = a(x_0 + h)^3 + b(x_0 + h)^2 + c(x_0 + h) + d \\ &= a(x_0^3 + 3x_0^2h + 3x_0h^2 + h^3) + b(x_0^2 + 2x_0h + h^2) + c(x_0 + h) + d \\ &= \underbrace{[ax_0^3 + bx_0^2 + cx_0 + d] \oplus [3ax_0^2 + 2bx_0 + c]h \oplus [3ax_0 + b]h^2 \oplus [a]h^3}_{\text{output jet near } x_0} \end{aligned}$$

ii. Then, in the *output jet* near  $x_0$ :

- Get the *Height-sign* from the *constant term*  $[ax_0^3 + bx_0^2 + cx_0 + d]$ . (The *linear term*, the *quadratic term* and the *cubic term* are too small to matter.)

If the *constant coefficient* is 0, get the *Height-sign* from the *linear term*  $[3ax_0^2 + 2bx_0 + c]h$ . (The *quadratic term* and the *cubic term* are too small to matter.)

If the *linear coefficient* is 0 too, get the *Height-sign* from the *quadratic term*  $[3ax_0 + b]h^2$ . (The *quadratic term* and the *cubic term* are too small to matter.)

If the *quadratic coefficient* is 0 too, get the *Height-sign* from the *cubic term*  $[a]h^3$ . (The *quadratic term* and the *cubic term* are too small to matter.)

- Since the *constant term* has no slope, get the *Slope-sign* from the *linear term*  $[3ax_0^2 + 2bx_0 + c]h$ . (The *quadratic term* and the *cubic term* are too small to matter.)

If the *linear coefficient* is 0, get the *Slope-sign* from the *quadratic term*  $[3ax_0 + b]h^2$ . (The *cubic term* is too small to matter.)

If the *quadratic coefficient* is 0 too, get the *Slope-sign* from the *cubic term*  $[a]h^3$ .

- Since both the *constant term* and the *linear term* have no concavity, get *Concavity-sign* from the *quadratic term*  $[3ax_0 + b]h^2$ . (The *cubic term* is too small to matter.)

If the *quadratic coefficient* is 0, get the *Slope-sign* from the *cubic term*  $[a]h^3$ .

**DEMO 9.8** To get the feature signs **near  $-3$**  of the function specified by the global input-output rule

$$x \xrightarrow{\text{ARBA}} \text{ARBA}(x) = -32.67x + 71.07 + 81.26x^2$$

i. We get the local input-output rule near  $-3$  (See DEMO 9.3 on page 381):

$$\begin{aligned}
 -3 + h &\xrightarrow{ARBA} ARBA(-2 + h) = \underbrace{-32.67(-3 + h) + 71.07 + 81.26(-3 + h)^2}_{\text{output specifying code}} \\
 &= \underbrace{\left[ +900.428 \right] \oplus \left[ -519.63 \right] h \oplus \left[ +81.26 \right] h^2}_{\text{output jet near } -3}
 \end{aligned}$$

ii. Then, from the *output jet*:

- Since the *constant coefficient*  $\left[ +900.428 \right]$  is *positive*, we get that Height-sign *ARBA* near  $-3 = \langle +, + \rangle$ .
- Since the *linear coefficient*  $\left[ -519.63 \right] h$  is *negative*, we get that Slope-sign *ARBA* near  $-3 = \langle \setminus, \setminus \rangle$
- Since the *quadratic coefficient*  $\left[ +81.26 \right] h^2$  is *positive*, we get that Concavity-sign *ARBA* near  $-3 = \langle \cup, \cup \rangle$

## 6 Cubic Functions: Global Analysis

In the case of cubic functions, we will be able to solve *exactly* only a very few global problems because everything begins to be truly computationally complicated.

## 7 Global Graph

As always, we use

### PROCEDURE 9.8

i. Graph the function near  $\infty$ , (See PROCEDURE 9.4 on page 384.)

ii. Ask the ESSENTIAL QUESTION:

- Do all *bounded inputs* have *bounded outputs*
- or
- Are there *bounded inputs* whose nearby inputs have unbounded outputs? ( $\infty$ -height inputs.)

iii. Use the local input-output rule near  $x_0$  to get further information.

(See PROCEDURE 9.3 on page 380.)

essential -feature input

But, given a *bounded* input  $x_0$ , we have that:

- $a$  being bounded,  $ax_0^3$  is also bounded
- $b$  being bounded,  $bx_0^2$  is also bounded
- $c$  being bounded,  $cx_0$  is also bounded
- and  $d$  being bounded

altogether, we have that  $ax_0^3 + bx_0^2 + cx_0 + d$  is bounded and that the answer to the ESSENTIAL QUESTION is:

**EXAMPLE 9.6. Bounded Height** Under a *cubic* functions, all bounded inputs have *bounded outputs*.

and therefore

**EXAMPLE 9.7. Offscreen Graph** The *offscreen graph* of a *cubic* function consists of just the *local graph near*  $\infty$ .

We now deal in detail with the third step.

## EXISTENCE THEOREMS

Since cubic functions have no *bounded*  $\infty$ -height input, the only way a feature can change sign near a bounded input is when the feature is 0 near the bounded input. In particular, **essential 0-feature inputs** are bounded inputs

- with a 0 feature,
- whose existence is forced by the *offscreen graph*—which, in the case of cubic functions consists, by EXAMPLE 9.7, of only the *local graph near*  $\infty$ .

None of the following tEXAMPLEs, though, will indicate *where* the 0-feature inputs are *located*. The **Location TEXAMPLEs** will be dealt with in the last part of the chapter.

## 8 Concavity-sign

Given the function specified by the global input-output rule

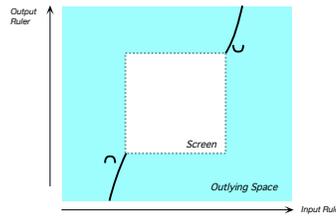
$$x \xrightarrow{CUBIC} CUBIC(x) = ax^3 + b^2x + cx + d$$

recall that when  $x$  is near  $\infty$  the **Concavity-sign Near  $\infty$  TEXAMPLE** for cubic functions says that:

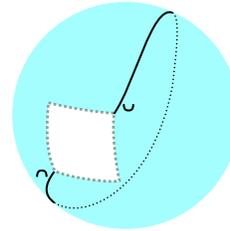
- When  $a$  is  $+$ ,  $\text{Concavity-Sign}|_{x \text{ near } \infty} = (\cup, \cap)$
- When  $a$  is  $-$ ,  $\text{Concavity-Sign}|_{x \text{ near } \infty} = (\cap, \cup)$

1. Since the concavity changes sign as  $x$  goes from the left side of  $\infty$  to the right side of  $\infty$  *across*  $\infty$ , the concavity also has to change sign as  $x$  goes from the left side of  $\infty$  to the right side of  $\infty$  *across the screen*. In other words, there has to be a *bounded* concavity-sign change input.

**EXAMPLE 9.8. G** Given a cubic function whose offscreen graph is

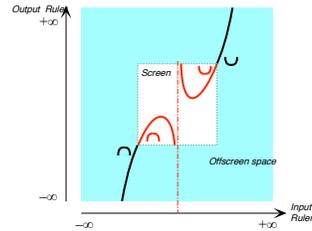


Mercator view

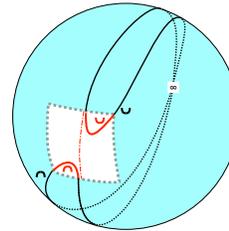


Magellan view

there has therefore to be a bounded concavity-sign change input,  $x_{\text{concavity sign-change}}$ . But since there cannot be a bounded  $\infty$ -height input, we cannot have

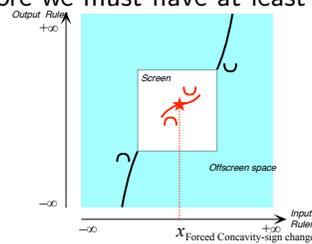


Mercator view

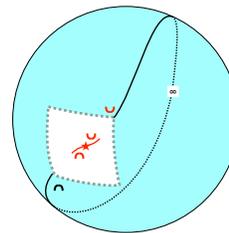


Magellan view

and therefore we must have at least



Mercator view



Magellan view

So, based on the off-screen graph, we have

**EXAMPLE 9.9. Concavity sign-change** A cubic function must have *at least one* bounded concavity sign-change input.

2. On the other hand, based on the off-screen graph, a cubic function

could have any *odd* number of 0-concavity inputs. Based on the *general local input-output rule*, we will see that a cubic function can have *at most one* 0-concavity input. But, at this point, all we know for sure is

**EXAMPLE 9.10. 0-Concavity Existence** A cubic functions must have *at least one* concavity-sign change input:

$$x_{\text{concavity sign-change}} = x_{0\text{-concavity}}$$

## 9 Slope-sign

Given the cubic function  $CUBIC_{a,b,c,d}$ , that is the function specified by the global input-output rule

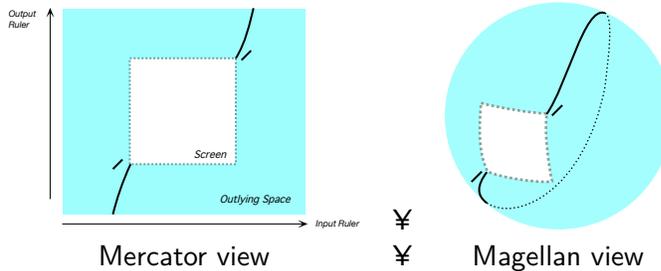
$$x \xrightarrow{CUBIC} CUBIC(x) = ax^3 + b^2x + cx + d$$

recall that when  $x$  is near  $\infty$  the **Slope-sign Near  $\infty$  TEXAMPLE** for cubic functions says that:

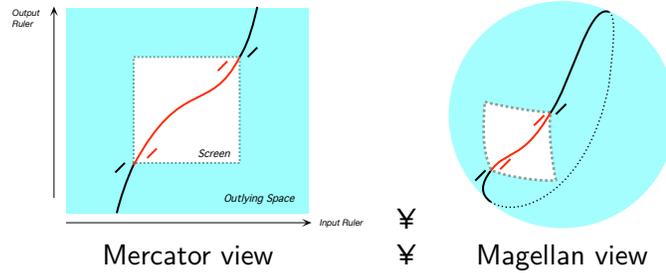
- When  $a$  is  $+$ ,  $\text{Slope-Sign}|_{x \text{ near } \infty} = (\swarrow, \swarrow)$
- When  $a$  is  $-$ ,  $\text{Slope-Sign}|_{x \text{ near } \infty} = (\searrow, \searrow)$

1. Since the slope does *not* changes sign as  $x$  goes through  $\infty$  from the left side of  $\infty$  to the right side of  $\infty$ , the slope does not have to change sign as  $x$  goes *across the screen* from the left side of  $\infty$  to the right side of  $\infty$  so there does not have to be a *bounded* slope-sign change input:

**EXAMPLE 9.11. G** iven a cubic function whose offscreen graph is



there is no need for a bounded slope-sign change input,  $x_{\text{Slope-sign change}}$  and therefore we can have



2. On the other hand, based on just *graphic* considerations, a cubic function could have any number of 0-slope inputs. Based on *input-output rule* considerations, we will see that a cubic function can have only zero, one or two 0-slope inputs. But, at this point, all we know for sure is

**EXAMPLE 9.12. Slope-Sign Change Existence** A cubic function need not have a *Slope-sign change* input.

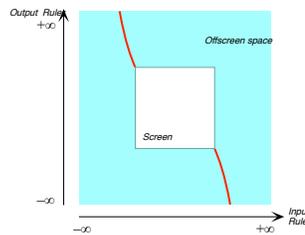
And thus also

**EXAMPLE 9.13. 0-Slope Existence** A cubic function need not have a *0-Slope* input.

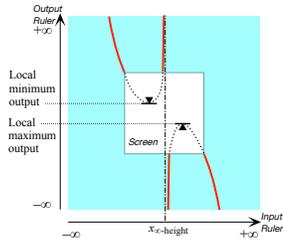
## 10 Extremum

From the *optimization* viewpoint, the most immediately striking feature of an affine function is the absence of a forced extreme input, that is of a bounded input whose output is either larger than the output of nearby inputs or smaller than the output of nearby inputs. On the other hand, at this point we cannot prove that there is no extreme input.

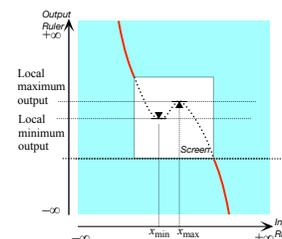
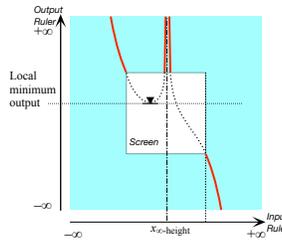
**EXAMPLE 9.14. G** iven a cubic function with the offscreen graph:



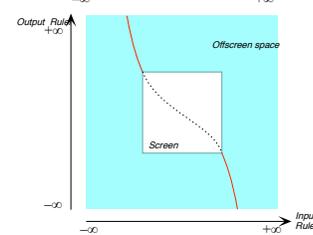
Since there can be no  $\infty$ -height input, we cannot have, for instance, either one of the following



On the other hand, there is nothing  $\nexists$  to prevent a fluctuation such as:



But no extremum input is forced:  $\nexists$



So, we have

**EXAMPLE 9.15. Extremum Existence** A cubic function has no forced extremum input

## 11 Height-sign

Given the cubic function  $CUBIC_{a,b,c,d}$ , that is the function specified by the global input-output rule

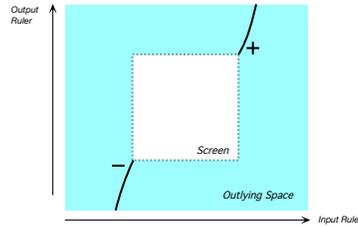
$$x \xrightarrow{CUBIC} CUBIC(x) = ax^3 + b^2x + cx + d$$

recall that when  $x$  is near  $\infty$  the **Height-sign Near  $\infty$  TEXAMPLE** for cubic functions says that:

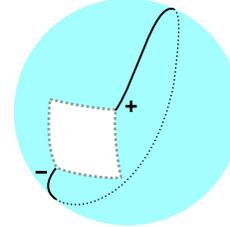
- When  $a$  is  $+$ ,  $Height-Sign|_{x \text{ near } \infty} = (+, -)$
- When  $a$  is  $-$ ,  $Height-Sign|_{x \text{ near } \infty} = (-, +)$

1. Since the height changes sign as  $x$  goes from the left side of  $\infty$  to the right side of  $\infty$  across  $\infty$ , the height has also to change sign as  $x$  goes from the left side of  $\infty$  to the right side of  $\infty$  across the screen. In other words, there has to be a bounded height-sign change input.

**EXAMPLE 9.16.** Given a cubic function whose offscreen graph is

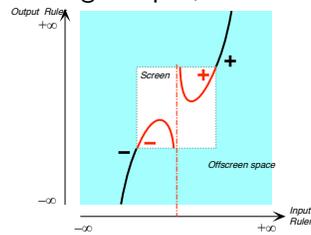


Mercator view

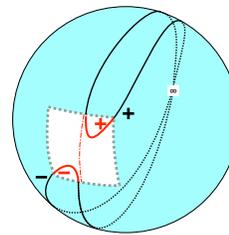


Magellan view

there has therefore to be a height-sign change input. But since there cannot be a bounded  $\infty$ -height input, we cannot have

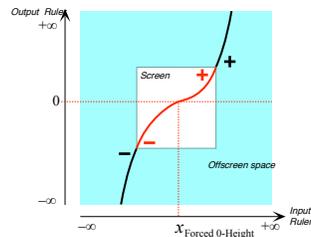


Mercator view

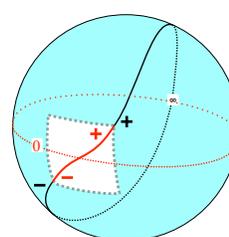


Magellan view

and therefore we must have



Mercator view



Magellan view

- Moreover, because there is no *bounded*  $\infty$ -height input where the height could change sign,  $x_{\text{height-sign change}}$  has to be a bounded input where the height is 0. As a result, we have that

**EXAMPLE 9.17. Height-Sign Change Existence** A cubic function must have a *Height-sign change* input and

$$x_{\text{Height-sign change}} = x_{0\text{-height}}$$

## LOCATION THEOREMS

Previously, we only established the *existence* of certain essential bounded

inputs of cubic functions and this investigation was based on *graphic* considerations. Here we will investigate the *location* of the essential bounded inputs and this investigation will be based on the *generic local input-output rule*.

## 12 0-Concavity Location

Given a cubic function, the global problem of *locating* an input where the local concavity is 0 is still fairly simple.

More precisely, given a cubic function  $CUBIC_{a,b,c,d}$ , that is the cubic function specified by the global input-output rule

$$x \xrightarrow{CUBIC} CUBIC(x) = ax^3 + b^2x + cx + d$$

since the *concavity* near  $x_0$  is the local square coefficient  $3ax_0 + b$ , in order to find the input(s) where the local concavity is 0, we need to solve the affine equation

$$3ax + b = 0$$

by reducing it to a basic equation:

$$\begin{aligned} 3ax + b - b &= 0 - b \\ 3ax &= -b \\ \frac{3ax}{3a} &= \frac{-b}{3a} \\ x &= \frac{-b}{3a} \end{aligned}$$

So, we have:

**EXAMPLE 9.18. 0-slope Location** For any cubic function  $CUBIC_{a,b,c,d}$ ,

$$x_{0\text{-concavity}} = \frac{-b}{3a}$$

In fact, we also have:

**EXAMPLE 9.19. Global Concavity-sign** Given a cubic function  $CUBIC_{a,b,c,d}$ ,

- When  $a$  is positive,

$$\text{Concavity-sign } CUBIC|_{\text{Everywhere} < \frac{-b}{3a}} = (\cap, \cap)$$

$$\text{Concavity-sign } CUBIC|_{\frac{-b}{3a}} = (\cap, \cup)$$

$$\text{Concavity-sign } CUBIC|_{\text{Everywhere} > \frac{-b}{3a}} = (\cup, \cup)$$

- When  $a$  is negative,

$$\begin{aligned} \text{Concavity-sign } CUBIC|_{\text{Everywhere } < \frac{-b}{3a}} &= (\cup, \cup) \\ \text{Concavity-sign } CUBIC|_{\frac{-b}{3a}} &= (\cup, \cap) \\ \text{Concavity-sign } CUBIC|_{\text{Everywhere } > \frac{-b}{3a}} &= (\cap, \cap) \end{aligned}$$

The case is easily made by testing near  $\infty$  the intervals for the corresponding inequations.

### 13 0-Slope Location

In the case of affine functions and of quadratic functions, we were able to prove that there was no shape difference with the principal term near  $\infty$  by showing that there could be no *fluctuation*:

- In the case of *affine functions* we were able to prove that there was no shape difference with *dilation functions*
- In the case of *quadratic functions* we were able to prove that there was no shape difference with *square functions*.

More precisely, given the cubic function  $CUBIC_{a,b,c,d}$ , that is the function specified by the global input-output rule

$$x \xrightarrow{CUBIC} CUBIC(x) = ax^3 + b^2x + cx + d$$

since the slope near  $x_0$  is the local linear coefficient  $3ax^2 + 2bx + c$ , in order to find the input(s) where the local slope is 0, we need to solve the *quadratic equation*

$$3ax^2 + 2bx + c$$

which we have seen we cannot solve by reduction to a basic equation and for which we will have to use the **0-Height EXAMPLE** for quadratic functions, keeping in mind, though, that

- For  $a$  as it appears in **0-Height EXAMPLE** for quadratic functions, we have to substitute the *squaring* coefficient of  $3ax^2 + 2bx + c$ , namely  $3a$ ,
- For  $b$  as it appears in **0-Height EXAMPLE** for quadratic functions, we have to substitute the *linear coefficient* of  $3ax^2 + 2bx + c$  namely  $2b$ ,
- For  $c$  as it appears in **0-Height EXAMPLE** for quadratic functions, we have to substitute the *constant* coefficient of  $3ax^2 + 2bx + c$  namely  $c$ .

1. It will be convenient, keeping in mind the above substitutions, first to compute

$$x_{0\text{-slope for } [3ax^2+2bx+c]} = -\frac{2b}{2 \cdot 3a}$$

$$\begin{aligned}
&= -\frac{2b}{6a} \\
&= -\frac{b}{3a}
\end{aligned}$$

Shape type O

$$= x_{0\text{-concavity}} \text{ for } CUBIC$$

2. Then, still keeping in mind the above substitutions, we compute the discriminant of  $3ax^2 + 2bx + c$ :

$$\begin{aligned}
\text{Discriminant}[3ax^2 + 2bx + c] &= (2b)^2 - 4(3a)(c) \\
&= 4b^2 - 12ac
\end{aligned}$$

3. Then we have:

- When Discriminant  $[3ax^2 + 2bx + c] = 4b^2 - 12ac < 0$ , the local linear coefficient of *CUBIC*,  $[3ax^2 + 2bx + c]$ , has *no* 0-height input and therefore *CUBIC* has *no* 0-slope input.
- When Discriminant  $[3ax^2 + 2bx + c] = 4b^2 - 12ac = 0$ , the local linear coefficient of *CUBIC*,  $[3ax^2 + 2bx + c]$ , has *one* 0-height input and therefore *CUBIC* has *one* 0-slope input, namely

$$\blacktriangleright x_{0\text{-slope}} \text{ for } CUBIC = x_{0\text{-height}} \text{ for } [3ax^2 + 2bx + c] = -\frac{b}{3a},$$

- When Discriminant  $[3ax^2 + 2bx + c] = 4b^2 - 12ac > 0$ , the local linear coefficient of *CUBIC*,  $[3ax^2 + 2bx + c]$ , has *two* 0-height inputs and therefore *CUBIC* has *two* 0-slope inputs., namely:

$$\blacktriangleright x_{0\text{-slope}} \text{ for } CUBIC = x_{0\text{-height}} \text{ for } [3ax^2 + 2bx + c] = -\frac{b}{3a} \pm \frac{\sqrt{4b^2 - 12ac}}{2a}$$

and

$$\blacktriangleright x_{0\text{-slope}} \text{ for } CUBIC = x_{0\text{-height}} \text{ for } [3ax^2 + 2bx + c] = -\frac{b}{3a} - \frac{\sqrt{4b^2 - 12ac}}{2a}$$

In terms of the function *CUBIC*, this gives us:

**EXAMPLE 9.20.** 0-slope Location Given the cubic function  $CUBIC_{a,b,c,d}$ , when

- Disc.  $[3ax^2 + 2bx + c] = 4b^2 - 12ac < 0$ , *CUBIC* has *no* 0-Slope input
- Disc.  $[3ax^2 + 2bx + c] = 4b^2 - 12ac = 0$ , *CUBIC* has *one* 0-Slope input
- Disc.  $[3ax^2 + 2bx + c] = 4b^2 - 12ac > 0$ , *CUBIC* has *two* 0-Slope inputs

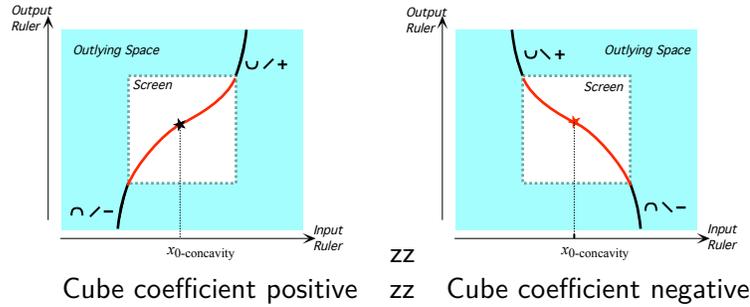
## 14 Extremum Location

The 0-slope inputs are the only ones which can be extremum inputs. So, there will therefore be three types of cubic functions according to the number of 0-slopes inputs:

1. When Discriminant  $[3ax^2 + 2bx + c] = 4b^2 - 12ac < 0$  so that *CUBIC* has *no* 0-Slope input, there can be no extremum input and we will say that this type of cubic is of **Shape type 0**.

Shape type I  
Shape type II

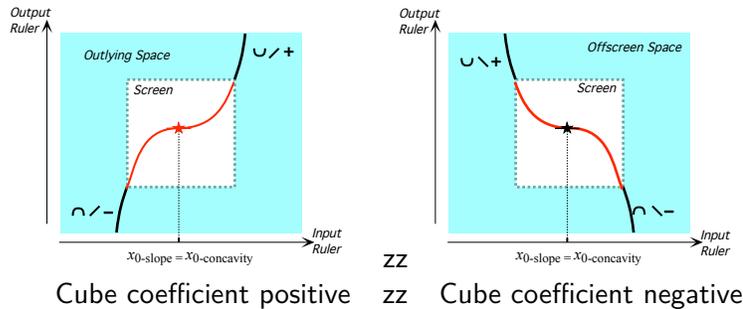
**EXAMPLE 9.21.**



Since cubic function of Shape type *O* have no 0-Slope input, their shape is *not* like that of *cubing functions*.

2. When Discriminant  $[3ax^2 + 2bx + c] = 4b^2 - 12ac = 0$  so that *CUBIC* has *one* 0-Slope input, there will still be no extremum input and we will say that this type of cubic is of **Shape type I**.

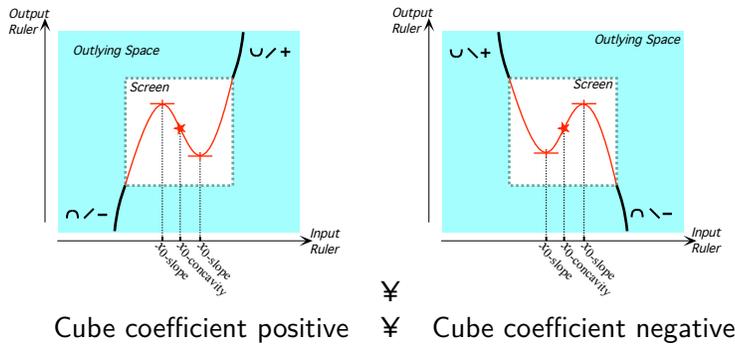
**EXAMPLE 9.22.**



Since cubic function of *Shape type I* do have one 0-Slope input, their shape is very much like that of *cubing functions*.

3. When Discriminant  $[3ax^2 + 2bx + c] = 4b^2 - 12ac > 0$  so that *CUBIC* has *two* 0-Slope input, there will be one minimum input and one maximum input and we will say that this type of cubic is of **Shape type II**.

**EXAMPLE 9.23.**



We can thus state:

**EXAMPLE 9.24. Extremum Location** Given the cubic function  $CUBIC_{a,b,c,d}$ , when

- Discriminant  $[3ax^2 + 2bx + c] = 4b^2 - 12ac < 0$ ,  $CUBIC$  has no locally extremum input.
- Discriminant  $[3ax^2 + 2bx + c] = 4b^2 - 12ac = 0$ ,  $CUBIC$  has one locally minimum-maximum input or one locally maximum-minimum input.
- Discriminant  $[3ax^2 + 2bx + c] = 4b^2 - 12ac > 0$ ,  $CUBIC$  has both
  - ▶  $x_{locally\ minimum-output}$ ,
  - ▶  $x_{locally\ maximum-output}$ ,

## 15 0-Height Location

The location of 0-height inputs in the case of a cubic function is usually not easy.

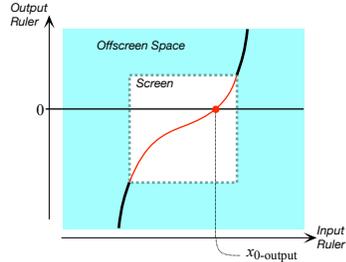
1. So far, the situation has been as follows:

- i. The number of 0-height inputs for *affine functions* is always *one*,
- ii. The number of 0-height inputs for *quadratic functions* is already more complicated in that, depending on the sign of the extreme-output compared with the sign of the outputs for inputs near  $\infty$ , it can be *none*, *one* or *two*.

It follows from the **Extremum Location TEXAMPLE** that

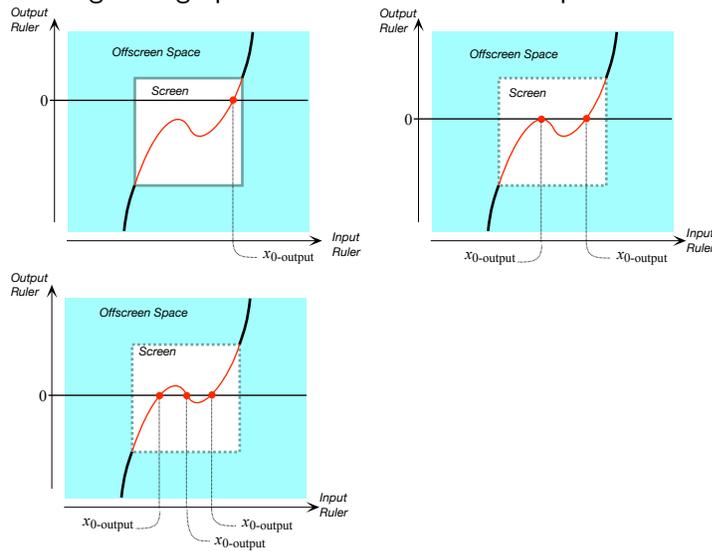
- iii. The number of 0-height inputs for *cubic functions* depends
  - a. On the Shape type of the cubic function,
  - b. In the case of Shape type II, on the sign of the extremum outputs relative to the sign of the cubing coefficient

**EXAMPLE 9.25.** The cubic function specified by the global graph



is of Shape Type O (No 0-slope input) and always has a single 0-height input.

**EXAMPLE 9.26.** The cubic function specified by the global graphs are all of the same shape of Type II and the number of 0-height inputs depends on how high the graph is in relation to the 0-output level line.



2. The *obstruction* to computing the solutions that we encountered when trying to solve *quadratic equations*, namely that there was one more term than an equation has sides is even worse here since we have four terms and an equation still has only two sides. See ?? on ??

## Chapter 10

# Quartic Functions

nb,nb,mnb,mbm



# Chapter 11

## Quintic Functions

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nb,nb,mnb,mbm	

Part IV

Rational Functions

*zzzzzzzz*



## Chapter 12

# Rational Degree & Algebra Reviews

Rational Degree, 411 • Graphic Difficulties, 413 .

**Rational functions** are functions whose *global input-output rule* is of the form

$$x \xrightarrow{RAT} RAT(x) = \frac{POLY_{Num}(x)}{POLY_{Den}(x)}$$

where  $POLY_{Num}(x)$  and  $POLY_{Den}(x)$  stand for two *positive-exponent* polynomial expressions.

**EXAMPLE 12.1.** The function whose global input-output rule is

$$x \xrightarrow{TAB} TAB(x) = \frac{-3x^2 + 4x - 7}{-5x^4 - 8}$$

is a rational function in which:

- $POLY_{Num}(x)$  is  $-3x^2 + 4x - 7$
- $POLY_{Den}(x)$  is  $-5x^4 - 8$

## 1 Rational Degree

Because the *upper degree* of polynomial functions is what we used to sort polynomial functions into different *types*, we now try to extend the idea of *upper degree* to the case of rational functions in the hope that this will also help us sort rational functions into different *types*.

rational degree  
 regular rational function  
 exceptional rational  
 function

Given a rational function whose global input-output rule is

$$x \xrightarrow{RAT} RAT(x) = \frac{POLY_{Num}(x)}{POLY_{Den}(x)}$$

the **rational degree** of this rational function is the upper degree of  $POLY_{Num}(x)$  minus the upper degree of  $POLY_{Den}(x)$ :

$$\text{Rat.Deg. of } \frac{POLY_{Num}(x)}{POLY_{Den}(x)} = \text{UppDeg. of } POLY_{Num}(x) - \text{UppDeg. of } POLY_{Den}(x)$$

Thus, the *rational degree* of a rational function can well be *negative*.

**CAUTIONARY NOTE 12.1** The *rational degree* is to rational function very much what the *size* is to arithmetic fractions in “school arithmetic” which distinguishes fractions according to the *size* of the numerator compared to the *size* of the denominator even though, by now, the distinctions are only an inconsequential remnant of history.. What happened is that, historically, the earliest arithmetic fractions were “unit fractions”, that is reciprocals of whole numbers such as one half, one third, one quarter, etc. Later came “Egyptian fractions”, that is combinations of (distinct) unit fractions, such as one third and one fifth and one eleventh, etc. A much later development were the “proper fractions”, also called “vulgar fractions”, such as two thirds, three fifths etc. Later still, came “improper fractions” such as five thirds, seven halves, etc. And finally “mixed numbers”, such as three and two sevenths. Today, none of these distinctions matters inasmuch as we treat all fractions in the same manner.

However, while these “school arithmetic” distinctions are based on the *size* of the numerator versus the *size* of the denominator and make no real differences in the way we handle arithmetic fractions, in the case of rational functions, the above distinction based on the *upper degree* of the numerator versus the *upper degree* of the denominator will make a difference—even though no major one—in the way we will handle rational functions of different types.

In fact, by analogy with what we did with *power functions*, we will say that

- Rational functions whose rational degree is either  $> 1$  or  $< 0$ , are **regular rational functions**,
- Rational functions whose rational degree is either  $= 0$  or  $= 1$ , are **exceptional rational functions**.

**EXAMPLE 12.2.** Find the rational degree of the function *DOUGH* whose global input-output rule is

$$x \xrightarrow{DOUGH} DOUGH(x) = \frac{+1x^4 - 6x^3 + 8x^2 + 6x - 9}{x^2 - 5x + 6}$$

Since the rational degree is given by

$$\text{Rat.Deg. of } \frac{POLY_{Num}(x)}{POLY_{Den}(x)} = \text{UppDeg. of } POLY_{Num}(x) - \text{UppDeg. of } POLY_{Den}(x)$$

and since, here,

- $POLY_{Num}(x) = +1x^4 - 6x^3 + 8x^2 + 6x - 9$
- $POLY_{Den}(x) = +1x^2 - 5x + 6$

we get from the definition of the upper degree of a polynomial that:

$$\begin{aligned} \text{UppDeg. of } +1x^4 - 6x^3 + 8x^2 + 6x - 9 &= \text{Exponent of Highest Term} \\ &= \text{Exponent of } +1x^4 \\ &= 4 \end{aligned}$$

$$\begin{aligned} \text{UppDeg. of } +1x^2 - 5x + 6 &= \text{Exponent of Highest Term} \\ &= \text{Exponent of } +1x^2 \\ &= 2 \end{aligned}$$

so that the rational degree of the rational function *DOUGH* is:

$$\begin{aligned} \text{Rat.Deg. of } \frac{+1x^4 - 6x^3 + 8x^2 + 6x - 9}{+1x^2 - 5x + 6} &= \text{Exponent of } +1x^4 - \text{Exponent of } +1x^2 \\ &= 4 - 2 \\ &= 2 \end{aligned}$$

so that *DOUGH* is an example of a rational function of degree  $> 1$  and therefore of a *regular* rational function.

## 2 Graphic Difficulties

Finally, when there is one or more  $\infty$ -height bounded input(s), beginners often encounter difficulties when trying to interpolate smoothly the outlying graph of a rational function.

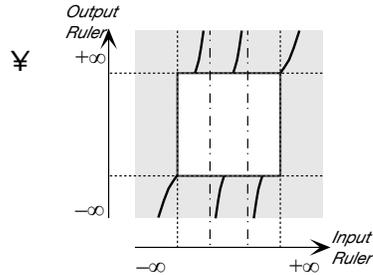
The difficulties are caused by the fact that, when we draw the local graph near  $\infty$  and the local graphs near the  $\infty$ -height inputs from the local input-output rules, we are only concerned with drawing the local graphs themselves from the local input-output rules. In particular, when we draw the local graph near  $\infty$  and the local graphs near the  $\infty$ -height inputs, we want to bend them enough to show the concavity but we often end up

bending them *too much* to interpolate them.

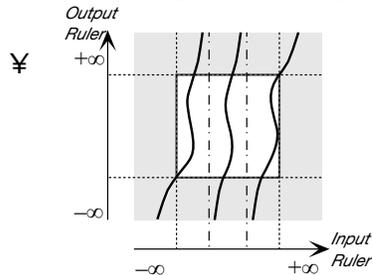
But then, what often happens as a result is that, when we want to interpolate, the local graphs may not line up well enough for us to interpolate them (smoothly).

**EXAMPLE 12.3.**

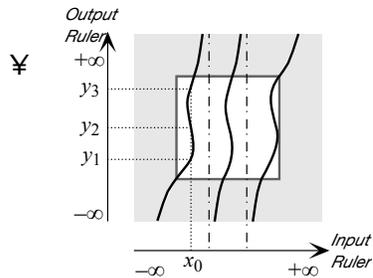
Given the rational function whose offscreen graph was drawn so as to show the concavity.



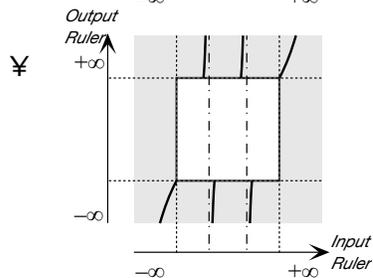
Here is what can happen when we attempt to interpolate



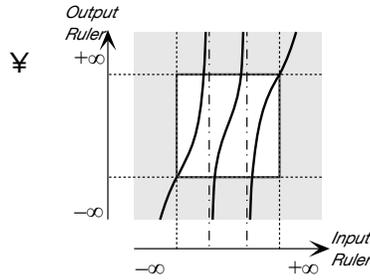
Of course, this is absolutely impossible since, according to this global graph, there would be inputs, such as  $x_0$ , with more than one output,  $y_1, y_2, \dots$ :



But if we unbend the local graphs just a bit as in



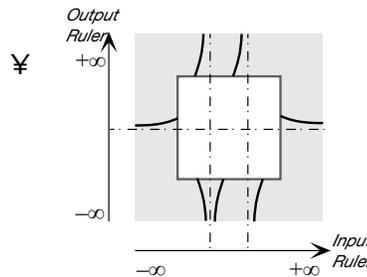
we have no trouble interpolating:



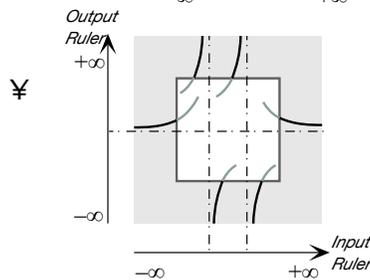
The way to avoid this difficulty is not to wait until we have to interpolate but to catch any problem as we draw the local graphs by mentally extending the local graphs slightly into the transitions.

**EXAMPLE 12.4.**

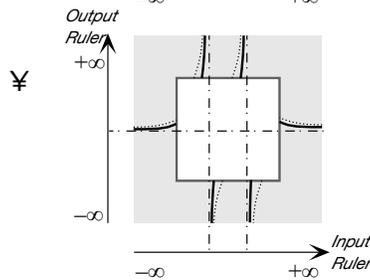
Given the rational function whose offscreen graph was drawn do as to show the concavity



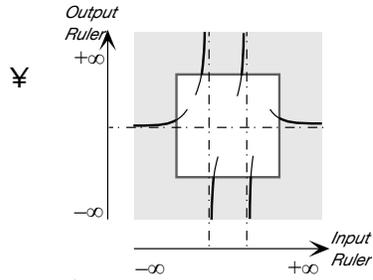
we can already see by extending the local graph just a little bit into the transitions that this will cause a lot of trouble when we try to interpolate the local graph:



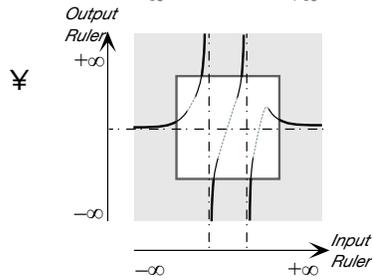
So, here, we bend the local graph near  $\infty$  a little bit more and we unbend the local graphs near the  $\infty$ -height inputs a little bit:



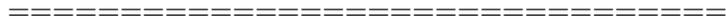
We check again by extending the local graphs just a little bit into the transitions:



and indeed now we have no trouble interpolating:



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## Chapter 13

# Rational Functions: Local Analysis Near $\infty$

Local I-O Rule Near  $\infty$ , 419 • Height-sign Near  $\infty$ , 422 • Slope-sign Near  $\infty$ , 424 • Concavity-sign Near  $\infty$ , 426 • Local Graph Near  $\infty$ , 431 .

To do local analysis we work in a neighborhood of some given input and thus count inputs from the given input since it is the center of the neighborhood. When the given input is  $\infty$ , counting from  $\infty$  means setting  $x \leftarrow$  *large* and computing with powers of *large* in descending order of sizes.

Recall that the *principal term* near  $\infty$  of a given polynomial function *POLY* is simply its highest power term which is therefore easy to **extract** from the global input-output rule. The approximate input-output rule near  $\infty$  of *POLY* is then of the form

$$x|_{x \text{ near } \infty} \xrightarrow{POLY} POLY(x)|_{x \text{ near } \infty} = \textit{Highest Term POLY} + [...]$$

However, the complication here is that to get the principal part near  $\infty$  of a rational function we must approximate the two polynomial and divide—or the other way round—and the result need not be a polynomial but can also be a negative-exponent power function and the main issue will be whether to do the approximation before or after the division.

### 1 Local Input-Output Rule Near $\infty$

Given a rational function *RAT*, we look for the function whose input-output rule will be simpler than the input-output rule of *RAT* but whose local graph near  $\infty$  will be qualitatively the same as the local graph near  $\infty$  of *RAT*.

More precisely, given a rational function  $RAT$  specified by the global input-output rule

$$x \xrightarrow{RAT} RAT(x) = \frac{POLY_{Num}(x)}{POLY_{Den}(x)}$$

what we will want then is an *approximation* for the output of the local input-output rule near  $\infty$

$$x|_{x \text{ near } \infty} \xrightarrow{RAT} RAT(x)|_{x \text{ near } \infty} = \frac{POLY_{Num}(x)|_{x \text{ near } \infty}}{POLY_{Den}(x)|_{x \text{ near } \infty}}$$

from which to *extract* whatever controls the wanted feature.

1. Since the center of the neighborhood is  $\infty$ , we *localize* both

- $POLY_{Num}(x)$

and

- $POLY_{Den}(x)$

by writing them in *descending* order of exponents.

$$\frac{POLY_{Num}(x)}{POLY_{Den}(x)} \begin{array}{c} \xrightarrow{\text{Localize near } \infty} \\ \xrightarrow{\text{Localize near } \infty} \end{array} \frac{POLY_{Num}(x)|_{x \text{ near } \infty}}{POLY_{Den}(x)|_{x \text{ near } \infty}}$$

2. Depending on the circumstances, we will take one of the following two routes to *extract* what controls the wanted feature:

■ The *short route* to *Princ. TERM*  $RAT(x)|_{x \text{ near } \infty}$ , that is:

- i. We approximate both  $POLY_{Num}(x)|_{x \text{ near } \infty}$  and  $POLY_{Den}(x)|_{x \text{ near } \infty}$  to their *principal term*—that is to just their *highest size term*—which, since  $x$  is near  $\infty$ , is their *highest exponent term*:

$$\frac{POLY_{Num}(x)}{POLY_{Den}(x)} \begin{array}{c} \xrightarrow{\text{Localize near } \infty} \\ \xrightarrow{\text{Localize near } \infty} \end{array} \frac{POLY_{Num}(x)|_{x \text{ near } \infty}}{POLY_{Den}(x)|_{x \text{ near } \infty}} \begin{array}{c} \xrightarrow{\text{i. Approximate}} \\ \xrightarrow{\text{i. Approximate}} \end{array} \frac{\text{Princ. TERM}_{Num}(x)|_{x \text{ near } \infty} + [\dots]}{\text{Princ. TERM}_{Den}(x)|_{x \text{ near } \infty} + [\dots]}$$

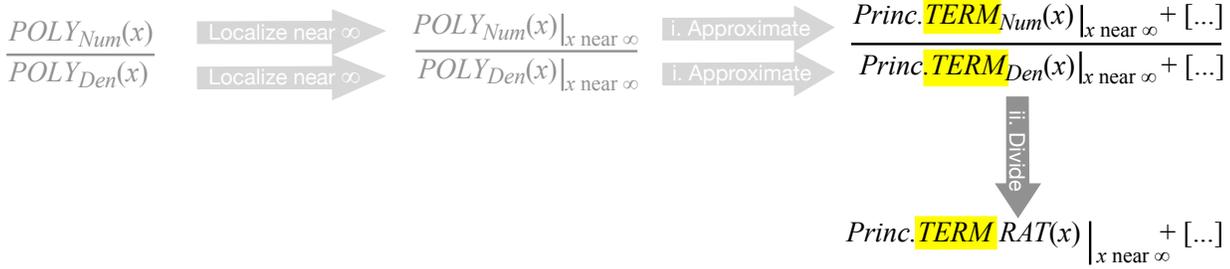
- ii. In order to divide  $\text{Princ. TERM}_{Num}(x)|_{x \text{ near } \infty}$ , that is the principal term near  $\infty$  of the *numerator* of  $RAT$  by  $\text{Princ. TERM}_{Den}(x)|_{x \text{ near } \infty}$ , that is the principal term near  $\infty$  of the *denominator* of  $RAT$  we use monomial division

$$\boxed{\frac{ax^{+m}}{bx^{+n}} = \frac{a}{b}x^{+m \ominus +n}} \text{ where } +m \ominus +n \text{ can turn out positive, negative or } 0$$

$$\begin{aligned} \text{Princ. TERM } RAT(x)|_{x \text{ near } \infty} &= \frac{\text{Princ. TERM}_{Num}(x)|_{x \text{ near } \infty}}{\text{Princ. TERM}_{Den}(x)|_{x \text{ near } \infty}} \\ &= \frac{\text{coef. Princ. TERM}_{Num}(x)|_{x \text{ near } \infty}}{\text{coef. Princ. TERM}_{Den}(x)|_{x \text{ near } \infty}} \cdot x^{\text{UppDeg. } POLY_{Num}(x) - \text{UppDeg. } POLY_{Den}(x)} \end{aligned}$$

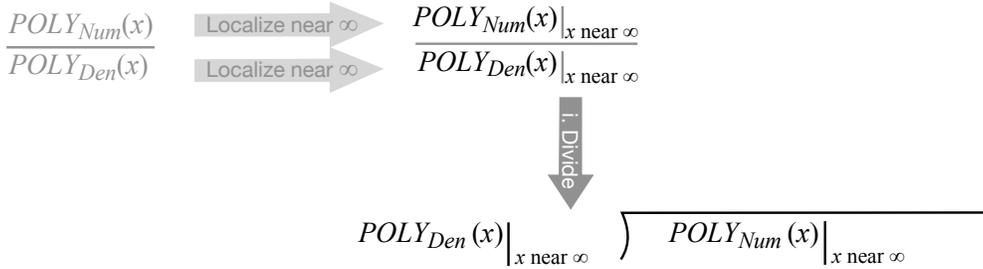
$$= \frac{\text{coef. } \text{Princ.} \text{TERM}_{Num}(x) \Big|_{x \text{ near } \infty}}{\text{coef. } \text{Princ.} \text{TERM}_{Den}(x) \Big|_{x \text{ near } \infty}} \cdot x^{\text{RatDeg.} RAT(x)}$$

The resulting monomial is  $\text{Princ.} \text{TERM} RAT(x) \Big|_{x \text{ near } \infty}$ , that is the *principal term* of the rational function  $RAT$  near  $\infty$ :

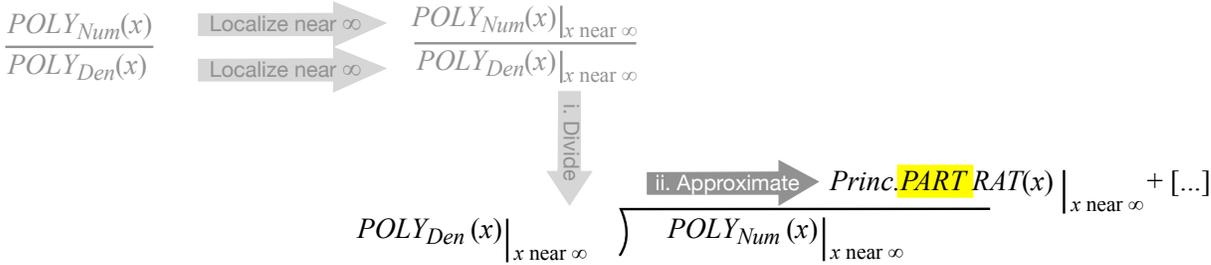


■ The long route to  $\text{Princ.} \text{PART} RAT(x) \Big|_{x \text{ near } \infty}$ :

- i. In order to divide  $POLY_{Num}(x) \Big|_{x \text{ near } \infty}$  by  $POLY_{Den}(x) \Big|_{x \text{ near } \infty}$ , we set up the division as a *long division*, that is  $POLY_{Den}(x) \Big|_{x \text{ near } \infty}$  dividing into  $POLY_{Num}(x) \Big|_{x \text{ near } \infty}$ :



- ii. We approximate by stopping the long division as soon as we have the *principal part* that has the feature(s) we want:



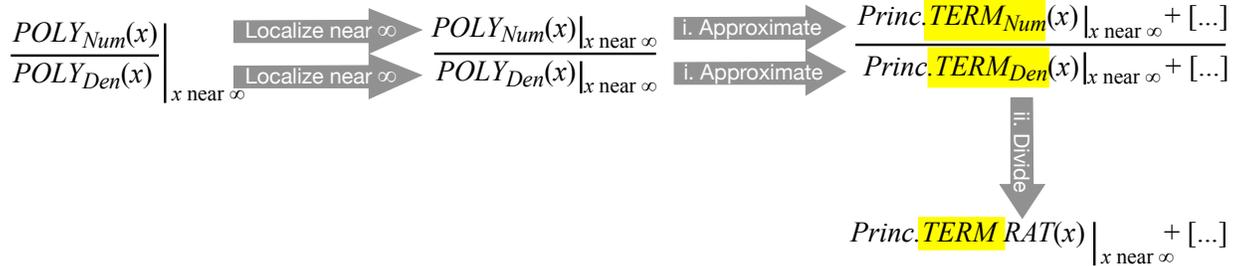
3. Which route we will take in each particular case will depend both on the *wanted feature(s)* near  $\infty$  and on the *rational degree* of  $RAT$  and so we will now look separately at how we get  $\text{Height-sign} \Big|_{x \text{ near } \infty}$ ,  $\text{Slope-sign} \Big|_{x \text{ near } \infty}$  and  $\text{Concavity-sign} \Big|_{x \text{ near } \infty}$

## LOCAL ANALYSIS NEAR $\infty$

When the wanted features are to be found near  $\infty$ , the *rational degree* of the rational function tells us up front whether or not the *short route* will allow us to extract the term that controls the wanted feature.

### 2 Height-sign Near $\infty$

No matter what the *rational degree* of the given rational function  $RAT$ ,  $Princ.\mathbf{TERM} RAT(x) \Big|_{x \text{ near } \infty}$  will give us  $Height\text{-}sign \Big|_{x \text{ near } \infty}$  because, no matter what its exponent, *any* power function has  $Height\text{-}sign \Big|_{x \text{ near } \infty}$ . So, no matter what the *rational degree* of  $RAT$ , to *extract* the term responsible for  $Height\text{-}sign \Big|_{x \text{ near } \infty}$  we can take the *short route* to  $Princ.\mathbf{TERM} RAT(x) \Big|_{x \text{ near } \infty}$ :



**EXAMPLE 13.1.** Given the rational function  $DOUGH$  specified by the global input-output rule

$$x \xrightarrow{DOUGH} DOUGH(x) = \frac{+12x^5 - 6x^3 + 8x^2 + 6x - 9}{-3x^2 - 5x + 6}$$

find  $Height\text{-}sign DOUGH \Big|_{x \text{ near } \infty}$ .

a. We localize both the numerator and the denominator near  $\infty$ —which amounts only to making sure that the terms are in *descending order of exponents*.

$$\frac{+12x^5 - 6x^3 + 8x^2 + 6x - 9}{-3x^2 - 5x + 6} \xrightarrow{\text{Localize near } \infty} \frac{+12x^5 - 6x^3 + 8x^2 + 6x - 9}{-3x^2 - 5x + 6}$$

b. Inasmuch as  $Princ.\mathbf{TERM} DOUGH(x) \Big|_{x \text{ near } \infty}$  has *Height* no matter what the degree, in order to *extract* the term that controls  $Height\text{-}sign \Big|_{x \text{ near } \infty}$  we take the short route to  $Princ.\mathbf{TERM} DOUGH(x) \Big|_{x \text{ near } \infty}$ :

i. We approximate

$$\frac{+12x^5-6x^3+8x^2+6x-9}{-3x^2-5x+6} \xrightarrow{\text{Localize near } \infty} \frac{+12x^5-6x^3+8x^2+6x-9}{-3x^2-5x+6} \xrightarrow{\text{i. Approximate}} \frac{+12x^5 + [\dots]}{-3x^2 + [\dots]}$$

that is we approximate

- the numerator  $+12x^5 - 6x^3 + 8x^2 + 6x - 9$  to its *principal term*,  $-12x^5$
- the denominator  $-3x^2 - 5x + 6$  to its *principal term*,  $-3x^2$

ii. And then we *divide*:

$$\frac{+12x^5-6x^3+8x^2+6x-9}{-3x^2-5x+6} \xrightarrow{\text{Localize near } \infty} \frac{+12x^5-6x^3+8x^2+6x-9}{-3x^2-5x+6} \xrightarrow{\text{i. Approximate}} \frac{+12x^5 + [\dots]}{-3x^2 + [\dots]} \xrightarrow{\text{ii. Divide}} -\frac{12}{3}x^3 + [\dots]$$

where

$$\begin{aligned} \frac{+12x^5}{-3x^2} &= \frac{+12 \cdot x \cdot x \cdot x \cdot x \cdot x}{-3 \cdot x \cdot x} \\ &= -\frac{12}{3}x^{5-2} \end{aligned}$$

The more usual way to write all this is something as follows:

$$\begin{aligned} x|_{x \text{ near } \infty} \xrightarrow{\text{DOUGH}} \text{DOUGH}(x)|_{x \text{ near } \infty} &= \frac{+12x^5 - 6x^3 + 8x^2 + 6x - 9}{-3x^2 - 5x + 6} \Big|_{x \text{ near } \infty} \\ &= \frac{+12x^5 - 6x^3 + 8x^2 + 6x - 9}{-3x^2 - 5x + 6} \Big|_{x \text{ near } \infty} \\ &= \frac{+12x^5 - 6x^3 + 8x^2 + 6x - 9}{-3x^2 - 5x + 6} \\ &= \frac{+12x^5 + [\dots]}{-3x^2 + [\dots]} \\ &= -\frac{12}{3}x^{5-2} + [\dots] \end{aligned}$$

Whatever we write, the *principal term* of *DOUGH* near  $\infty$  is  $-\frac{12}{3}x^3$  and it gives

$$\text{Height-sign DOUGH}|_{x \text{ near } \infty} = (-, +)$$

**EXAMPLE 13.2. G** Given the function  $PAC$  specified by the global input-output rule

$$x \xrightarrow{PAC} PAC(x) = \frac{-12x^3 + 7x + 4}{+4x^5 - 6x^4 - 17x^2 - 2x + 10}$$

find Height-sign  $PAC|_{x \text{ near } \infty}$ .

Inasmuch as  $Princ. \text{TERM } PAC(x)|_{x \text{ near } \infty}$  has *Height* no matter what the degree, in order to *extract* the term that controls  $Height\text{-sign}|_{x \text{ near } \infty}$  we take the short route to  $Princ. \text{TERM } DOUGH(x)|_{x \text{ near } \infty}$ :

$$\begin{aligned} x|_{x \text{ near } \infty} \xrightarrow{PAC} PAC(x)|_{x \text{ near } \infty} &= \frac{-12x^3 + 7x + 4}{+4x^5 - 6x^4 - 17x^2 - 2x + 10} \Big|_{x \text{ near } \infty} \\ &= \frac{-12x^3 + 7x + 4|_{x \text{ near } \infty}}{+4x^5 - 6x^4 - 17x^2 - 2x + 10|_{x \text{ near } \infty}} \\ &= \frac{-12x^3 + [\dots]}{+4x^5 + [\dots]} \\ &= \frac{-12}{+4} x^{+3 \ominus +5} + [\dots] \\ &= -3x^{-2} + [\dots] \end{aligned}$$

and we get that

$$\text{Height-sign } PAC|_{x \text{ near } \infty} = (-, -)$$

### 3 Slope-sign Near $\infty$

In the case of  $Slope\text{-sign } RAT|_{x \text{ near } \infty}$ , there are two cases depending on the *rational degree* of the given rational function:

■ If the rational function  $RAT$  is either:

– A *regular* rational function, that is of rational degree  $> 1$  or  $< 0$

or

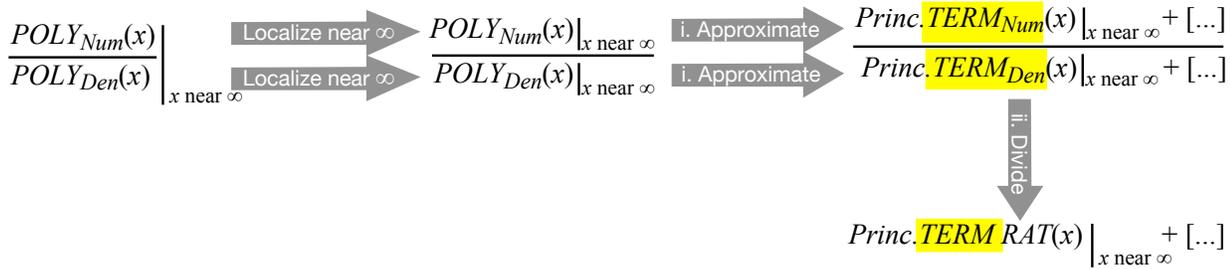
– An *exceptional* rational function of rational degree  $= 1$ ,

that is *not* an exceptional rational function of rational degree  $= 0$ , then

$Princ. \text{TERM } RAT(x)|_{x \text{ near } \infty}$  will be a *power function* that will have

$Slope$  near  $\infty$  and so in order to *extract* the term that controls  $Slope\text{-sign}|_{x \text{ near } \infty}$

we take the short route to  $Princ. \text{TERM } RAT(x)|_{x \text{ near } \infty}$ :



**EXAMPLE 13.3. G**iven the rational function *SOUTH* specified by the global input-output rule

$$x \xrightarrow{SOUTH} SOUTH(x) = \frac{-3x^2 - 5x + 6}{+12x^4 - 6x^3 + 8x^2 + 6x - 9}$$

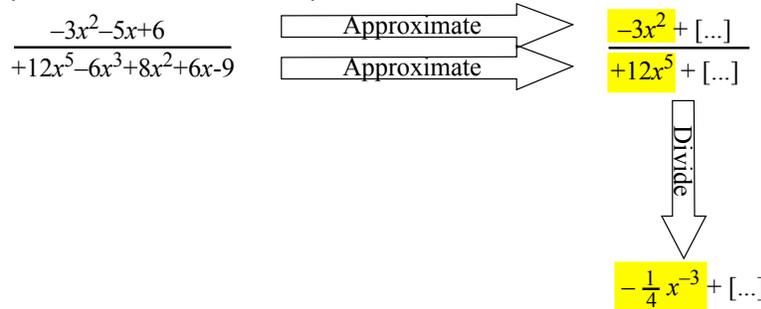
find Slope-sign of *SOUTH* near  $\infty$

**i.** We get the local graph near  $\infty$  of *SOUTH*

**a.** We have

$$\begin{aligned} x|_{x \text{ near } \infty} \xrightarrow{SOUTH} SOUTH(x)|_{x \text{ near } \infty} &= \frac{-3x^2 - 5x + 6}{+12x^5 - 6x^3 + 8x^2 + 6x - 9} \Big|_{x \text{ near } \infty} \\ &= \frac{-3x^2 - 5x + 6|_{x \text{ near } \infty}}{+12x^5 - 6x^3 + 8x^2 + 6x - 9|_{x \text{ near } \infty}} \end{aligned}$$

We now proceed with the two steps:



**b.** The more usual presentation is:

$$\begin{aligned} x|_{x \text{ near } \infty} \xrightarrow{SOUTH} SOUTH(x)|_{x \text{ near } \infty} &= \frac{-3x^2 - 5x + 6}{+12x^5 - 6x^3 + 8x^2 + 6x - 9} \Big|_{x \text{ near } \infty} \\ &= \frac{-3x^2 - 5x + 6|_{x \text{ near } \infty}}{+12x^5 - 6x^3 + 8x^2 + 6x - 9|_{x \text{ near } \infty}} \end{aligned}$$

We *approximate*  $\frac{-3x^2 - 5x + 6|_{x \text{ near } \infty}}{+12x^5 - 6x^3 + 8x^2 + 6x - 9|_{x \text{ near } \infty}}$  and

$$= \frac{-3x^2 + [...]}{+12x^5 + [...]}$$

and then we *divide*:

$$\begin{aligned} &= \frac{-3}{+12}x^{2-5} + [\dots] \\ &= -\frac{1}{4}x^{-3} + [\dots] \end{aligned}$$

c. Since the degree of the power function

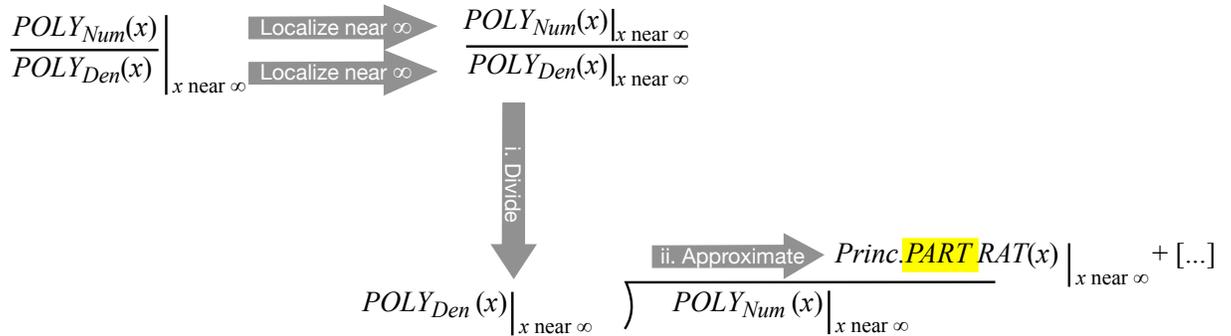
$$x \xrightarrow{POWER} POWER(x) = -\frac{1}{4}x^{-3}$$

which approximates *SOUTH* near  $\infty$  is  $< 0$ , the power function *POWER* has all three features, *concavity*, *slope* and *height*. (This was of course to be expected from the fact that the *rational degree* of *SOUTH* is  $< 0$ .)

ii. We get

$$\text{Slope-sign of } SOUTH \text{ near } \infty = (\swarrow, \searrow)$$

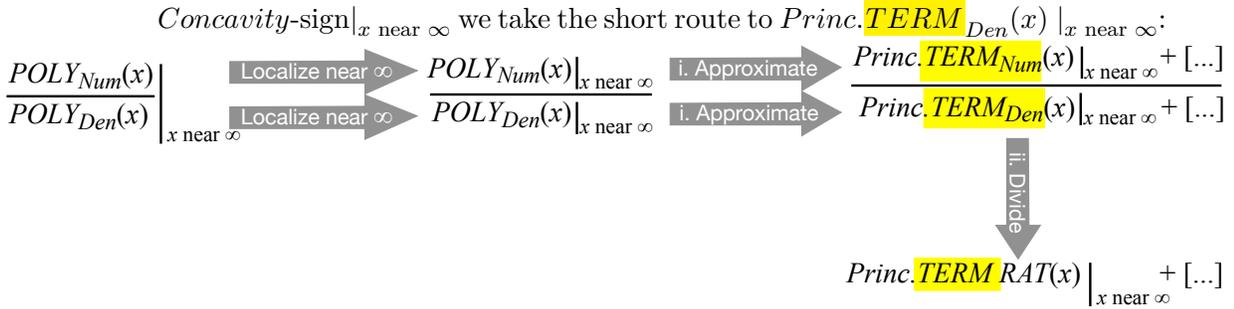
- If the rational function *RAT* is an *exceptional rational function* whose rational degree = 0, then *Princ. TERM*  $RAT(x)|_{x \text{ near } \infty}$  will be an *exceptional power function* with exponent = 0 and *Princ. TERM*  $RAT(x)|_{x \text{ near } \infty}$  will *not* have *Slope* and so in order to *extract* the term that controls *Slope-sign* $|_{x \text{ near } \infty}$  we will have to take the long route to a *Princ. PART*  $RAT(x)|_{x \text{ near } \infty}$  that *has Slope*:



## 4 Concavity-sign Near $\infty$

In the case of *Concavity-sign*  $RAT|_{x \text{ near } \infty}$ , there are *two* cases depending on the rational degree of the given rational function.

- If the rational function *RAT* is a *regular rational function*, that is if the rational degree of *RAT* is either  $> 1$  or  $< 0$ , then *Princ. TERM*  $RAT(x)|_{x \text{ near } \infty}$  will be a *regular power function*, that is a power function whose exponent is either  $> 1$  or  $< 0$  and then, in either case, *Princ. TERM*  $RAT(x)|_{x \text{ near } \infty}$  will have *Concavity* and so in order to *extract* the term that controls



**EXAMPLE 13.4. G** Given the rational function *SOUTH* specified by the global input-output rule

$$x \xrightarrow{SOUTH} SOUTH(x) = \frac{-3x^2 - 5x + 6}{+12x^4 - 6x^3 + 8x^2 + 6x - 9}$$

find Concavity-sign of *SOUTH* near  $\infty$

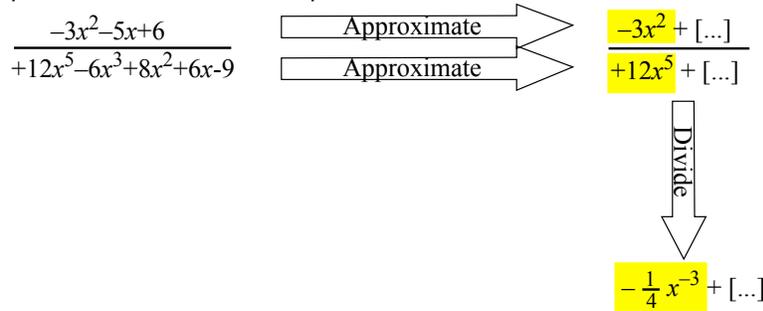
i. We get the local graph near  $\infty$  of *SOUTH*

a. We have

$$x \Big|_{x \text{ near } \infty} \xrightarrow{SOUTH} SOUTH(x) \Big|_{x \text{ near } \infty} = \frac{-3x^2 - 5x + 6}{+12x^5 - 6x^3 + 8x^2 + 6x - 9} \Big|_{x \text{ near } \infty}$$

$$= \frac{-3x^2 - 5x + 6 \Big|_{x \text{ near } \infty}}{+12x^5 - 6x^3 + 8x^2 + 6x - 9 \Big|_{x \text{ near } \infty}}$$

We now proceed with the two steps:



b. The more usual presentation is:

$$x \Big|_{x \text{ near } \infty} \xrightarrow{SOUTH} SOUTH(x) \Big|_{x \text{ near } \infty} = \frac{-3x^2 - 5x + 6}{+12x^5 - 6x^3 + 8x^2 + 6x - 9} \Big|_{x \text{ near } \infty}$$

$$= \frac{-3x^2 - 5x + 6 \Big|_{x \text{ near } \infty}}{+12x^5 - 6x^3 + 8x^2 + 6x - 9 \Big|_{x \text{ near } \infty}}$$

We approximate  $-3x^2 - 5x + 6|_{x \text{ near } \infty}$  and  $+12x^5 - 6x^3 + 8x^2 + 6x - 9|_{x \text{ near } \infty}$

$$= \frac{-3x^2 + [\dots]}{+12x^5 + [\dots]}$$

and then we divide:

$$= \frac{-3}{+12} x^{2-5} + [\dots]$$

$$= -\frac{1}{4} x^{-3} + [\dots]$$

c. Since the degree of the power function

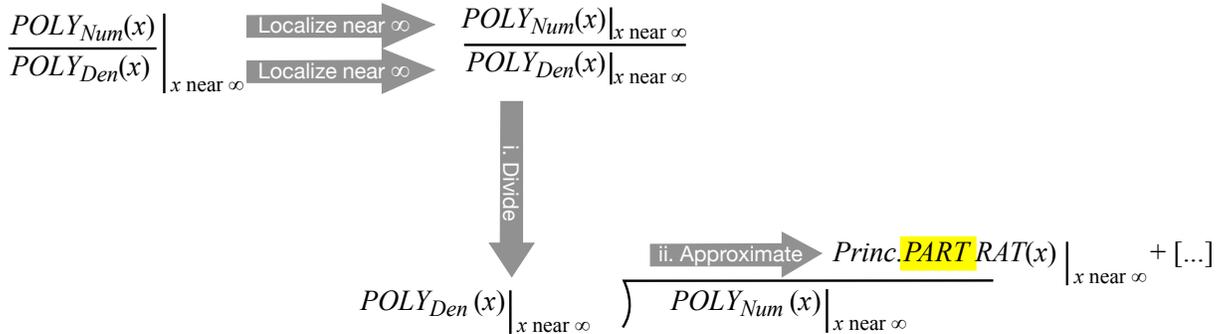
$$x \xrightarrow{POWER} POWER(x) = -\frac{1}{4} x^{-3}$$

which approximates *SOUTH* near  $\infty$  is  $< 0$ , the power function *POWER* has all three features, *concavity*, *slope* and *height*. (This was of course to be expected from the fact that the *rational degree* of *SOUTH* is  $< 0$ .)

ii. We get

$$\text{Concavity-sign of } SOUTH \text{ near } \infty = (\cap, \cap)$$

- If the rational function *RAT* is an *exceptional* rational function that is if the rational degree of *RAT* is either  $= 1$  or  $= 0$  then *Princ. TERM RAT(x) |<sub>x near ∞</sub>* will be an *exceptional power function* with exponent either  $= 1$  or  $= 0$  (**Chapter 7**) and in both cases *Princ. TERM RAT(x) |<sub>x near ∞</sub>* will *not* have *Concavity* and in order *extract* the term that controls *Concavity-sign* |<sub>x near ∞</sub> we will have to take the long route to a *Princ. PART RAT(x) |<sub>x near ∞</sub>* that does have *Concavity*.



**EXAMPLE 13.5. G** Given the rational function *BATH* specified by the global input-output rule

$$x \xrightarrow{BATH} BATH(x) = \frac{+x^3 - 5x^2 + x + 6}{+x^2 - 4x + 3}$$

find *Concavity-sign BATH* $|_{x \text{ near } \infty}$ .

a. The *localization step* is to *localize* both the numerator and the denominator near  $\infty$ —which amounts only to making sure that the terms are in *descending order of exponents*.

$$\frac{+x^3-5x^2+x+9}{+x^2-4x+3} \xrightarrow{\text{Localize near } \infty} \frac{+x^3-5x^2+x+9|_{x \text{ near } \infty}}{+x^2-4x+3|_{x \text{ near } \infty}}$$

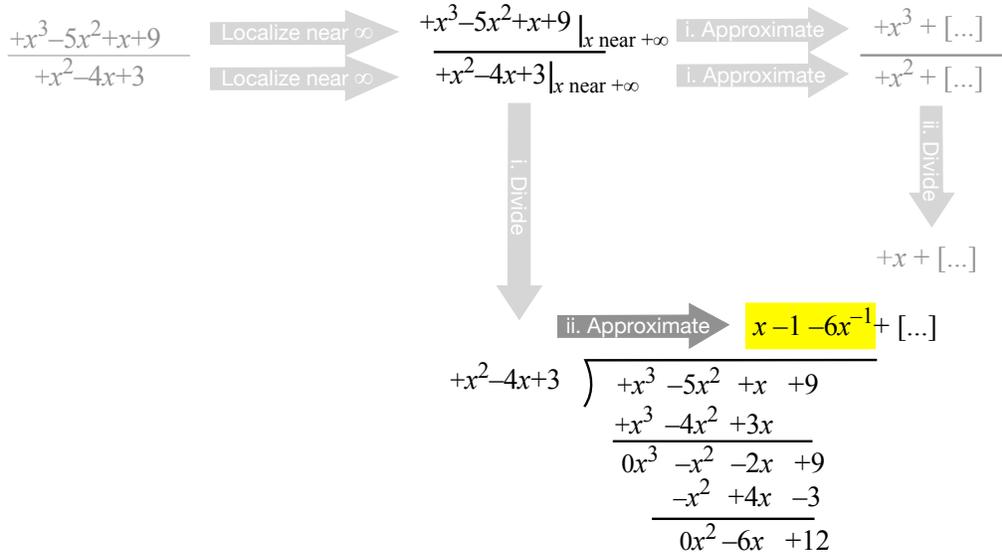
b. Since *Princ. TERM BATH* $(x)|_{x \text{ near } \infty}$  has no *Concavity*, the *extraction step* to get *Concavity-sign BATH* $|_{x \text{ near } \infty}$  must take the long route to a *Princ. PART BATH* $(x)|_{x \text{ near } \infty}$  that has *Concavity*:

i. We set up the division as a *long division*:

$$\begin{array}{ccc} \frac{+x^3-5x^2+x+9}{+x^2-4x+3} & \xrightarrow{\text{Localize near } \infty} & \frac{+x^3-5x^2+x+9|_{x \text{ near } \infty}}{+x^2-4x+3|_{x \text{ near } \infty}} \xrightarrow{\text{i. Approximate}} \frac{+x^3 + [\dots]}{+x^2 + [\dots]} \\ & \xrightarrow{\text{Localize near } \infty} & \xrightarrow{\text{i. Approximate}} \\ & \downarrow \text{i. Divide} & \downarrow \text{i. Divide} \\ & & +x + [\dots] \end{array}$$

$$+x^2-4x+3 \overline{) +x^3 -5x^2 +x +9}$$

ii. We *approximate* by stopping the long division as soon as we have the *principal part* of the quotient that has *Concavity*:



that is we stop with  $-6x^{-1}$  since it is the term responsible for *Concavity*.  
The more usual way to write all this is:

$$\begin{aligned} x \Big|_{x \text{ near } \infty} \xrightarrow{\text{BATH}} \text{BATH}(x) \Big|_{x \text{ near } \infty} &= \frac{+x^3 - 5x^2 + x + 9}{+x^2 - 4x + 3} \Big|_{x \text{ near } \infty} \\ &= \frac{+x^3 - 5x^2 + x + 9}{+x^2 - 4x + 3} \Big|_{x \text{ near } \infty} \\ &= \frac{+x^3 - 5x^2 + x + 9}{+x^2 - 4x + 3} \end{aligned}$$

and then we *divide* (in the *latin* manner):

$$\begin{array}{r} \begin{array}{cccc} +x & -1 & -6x^{-1} & +[\dots] \end{array} \\ +x^2 - 4x + 3 \overline{) \begin{array}{r} +x^3 & -5x^2 & +x & +9 \\ +x^3 & -4x^2 & +3x & \\ \hline 0x^3 & -x^2 & -2x & +9 \\ & -x^2 & +4x & -3 \\ \hline & 0x^2 & -6x & +12 \end{array}} \end{array}$$

Whichever way we write it, *Princ. PART*  $\text{BATH}(x) \Big|_{x \text{ near } \infty} = +x - 1 - 6x^{-1}$  and its third term,  $-6x^{-1}$ , gives

$$\text{Concavity-sign BATH} \Big|_{x \text{ near } \infty} = (\cap, \cup)$$

## 5 Local Graph Near $\infty$

In order to get the local graph near  $\infty$ , we need a local input-output rule that gives us the *concavity-sign* and therefore the *slope-sign* and the *height-sign*.

So, the route we must take in order to get the local graph near  $\infty$  is the route that will get us the concavity-sign near  $\infty$ .

**EXAMPLE 13.6. G** Given the rational function *SOUTH* whose global input-output rule is

$$x \xrightarrow{SOUTH} SOUTH(x) = \frac{-3x^2 - 5x + 6}{+12x^4 - 6x^3 + 8x^2 + 6x - 9}$$

find its local graph near  $\infty$ .

**i.** We get the *local input-output rule* near  $\infty$  as in EXAMPLE 1.

We have:

$$\begin{aligned} x|_{x \text{ near } \infty} \xrightarrow{SOUTH} SOUTH(x)|_{x \text{ near } \infty} &= \frac{-3x^2 - 5x + 6}{+12x^5 - 6x^3 + 8x^2 + 6x - 9} \Big|_{x \text{ near } \infty} \\ &= \frac{-3x^2 - 5x + 6|_{x \text{ near } \infty}}{+12x^5 - 6x^3 + 8x^2 + 6x - 9|_{x \text{ near } \infty}} \end{aligned}$$

We *approximate* separately the *numerator* and the *denominator*:

$$= \frac{-3x^2 + [\dots]}{+12x^5 + [\dots]}$$

We *divide* the approximations:

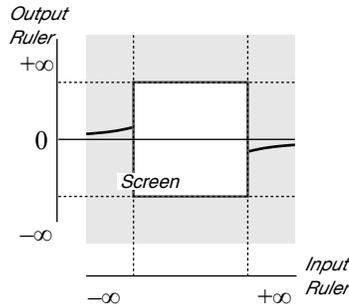
$$\begin{aligned} &= \frac{-3}{+12} x^{2-5} + [\dots] \\ &= -\frac{1}{4} x^{-3} + [\dots] \end{aligned}$$

**ii.** Since the degree of the power function

$$x \xrightarrow{POWER} POWER(x) = -\frac{1}{4} x^{-3}$$

is  $< 0$ , the power function *POWER* is *regular* and has both *concavity* and *slope*. So, the local graph of the power function *POWER* near  $\infty$  will be approximately the graph near  $\infty$  of the rational function *SOUTH*.

The local graph near  $\infty$  of the rational function *SOUTH* is therefore:



**EXAMPLE 13.7. G** Given the rational function *DOUGH* whose global input-output rule is

$$x \xrightarrow{\text{DOUGH}} \text{DOUGH}(x) = \frac{+12x^4 - 6x^3 + 8x^2 + 6x - 9}{-3x^2 - 5x + 6}$$

find its local graph near  $\infty$ .

i. We get the *local input-output rule* near  $\infty$ .

We have:

$$\begin{aligned} x|_{x \text{ near } \infty} \xrightarrow{\text{DOUGH}} \text{DOUGH}(x)|_{x \text{ near } \infty} &= \frac{+12x^5 - 6x^3 + 8x^2 + 6x - 9}{-3x^2 - 5x + 6} \Big|_{x \text{ near } \infty} \\ &= \frac{+12x^5 - 6x^3 + 8x^2 + 6x - 9}{-3x^2 - 5x + 6} \Big|_{x \text{ near } \infty} \end{aligned}$$

We *approximate* separately the *numerator* and the *denominator*:

$$= \frac{+12x^5 + [\dots]}{-3x^4 + [\dots]}$$

We *divide* the approximations:

$$\begin{aligned} &= -\frac{+12}{-3}x^{5-2} + [\dots] \\ &= -4x^{+3} + [\dots] \end{aligned}$$

ii. Since the degree of the power function

$$x \xrightarrow{\text{POWER}} \text{POWER}(x) = -4x^{+3}$$

is  $> 1$ , the power function *POWER* is *regular* and has both *concavity* and *slope*. So, the local graph of the power function *POWER* near  $\infty$  will be approximately the graph near  $\infty$  of the rational function *DOUGH*.

The local graph near  $\infty$  of the rational function *DOUGH* is therefore:

**EXAMPLE 13.8. G** Given the rational function  $BATH$  specified by the global input-output rule

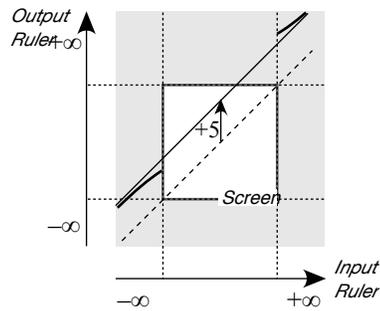
$$x \xrightarrow{BATH} BATH(x) = \frac{+x^3 + x^2 - 5x + 6}{+x^2 - 4x + +3}$$

as in EXAMPLE 1, find the local graph near  $\infty$ .

i. We get the *local input-output rule* near  $\infty$  that gives all three features as we did in EXAMPLE 1:

$$x|_{x \text{ near } \infty} \xrightarrow{BATH} BATH(x)|_{x \text{ near } \infty} = +x + 5 + 27x^{-1} + [...]$$

ii. So the local graph near  $\infty$  of the function  $BATH$  is



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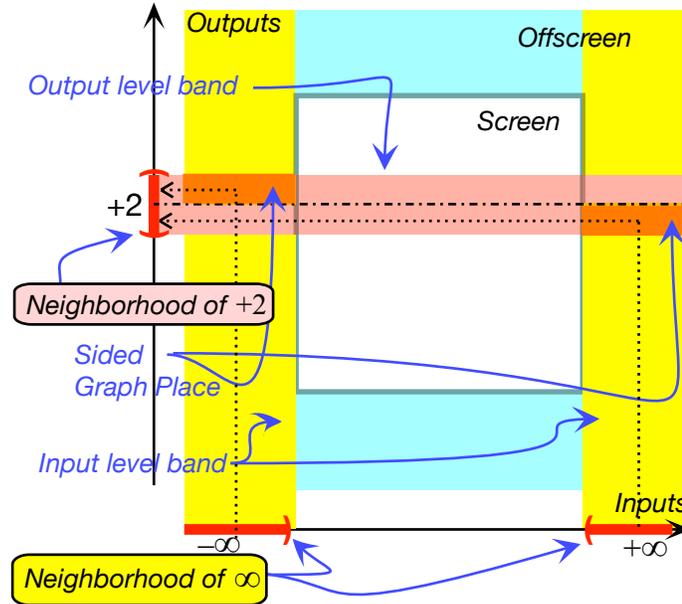
## Chapter 14

# Rational Functions: Local Analysis Near $x_0$

Local I-O Rule Near  $x_0$ , 438 • Height-sign Near  $x_0$ , 440 • Slope-sign Near  $x_0$ , 443 • Concavity-sign Near  $x_0$ , 444 • Local Graph Near  $x_0$ , 445 .

Doing local analysis means working in a neighborhood of some given input and thus counting inputs from the given input since it is the *center* of the neighborhood. When the given input is  $x_0$ , we *localize* at  $x_0$ , that is we set  $x = x_0 + h$  where  $h$  is *small* and we compute with powers of  $h$  in descending order of sizes.

**EXAMPLE 14.1.** **G**iven the input +2, then the location of the number +2.3 relative to +2 is +0.3:



Recall that the *principal part* near  $x_0$  of a given polynomial function  $POLY$  is the local quadratic part

$$x|_{x \text{ near } x_0} \xrightarrow{POLY} POLY(x)|_{x \text{ near } x_0} = \begin{bmatrix} \phantom{0} \\ \phantom{0} \\ \phantom{0} \end{bmatrix} + \begin{bmatrix} \phantom{0} \\ \phantom{0} \\ \phantom{0} \end{bmatrix} h + \begin{bmatrix} \phantom{0} \\ \phantom{0} \\ \phantom{0} \end{bmatrix} h^2 + [\dots]$$

However, the complication here is that to get the principal part near  $x_0$  of a rational function we must approximate the two polynomial and divide—or the other way round—and the result need not be a polynomial but can also be a negative-exponent power function and the main issue will be whether to do the approximation before or after the division.

## 1 Local Input-Output Rule Near $x_0$

Given a rational function  $RAT$ , we look for the function whose input-output rule will be simpler than the input-output rule of  $RAT$  but whose local graph near  $x_0$  will be qualitatively the same as the local graph near  $x_0$  of  $RAT$ .

More precisely, given a rational function  $RAT$  specified by the global input-output rule

$$x \xrightarrow{RAT} RAT(x) = \frac{POLY_{Num}(x)}{POLY_{Den}(x)}$$

what we will want then is an *approximation* for the output of the local

input-output rule near  $x_0$

$$x|_{x \text{ near } x_0} \xrightarrow{RAT} RAT(x)|_{x \text{ near } x_0} = \frac{POLY_{Num}(x)}{POLY_{Den}(x)} \Big|_{x \text{ near } x_0}$$

from which to *extract* whatever controls the wanted feature.

1. Since the center of the neighborhood is  $x_0$ , we *localize* both

- $POLY_{Num}(x)$

and

- $POLY_{Den}(x)$

by letting  $x \leftarrow x_0 + h$  and writing the terms in *ascending* order of exponents.

$$\frac{POLY_{Num}(x)}{POLY_{Den}(x)} \begin{array}{c} \xrightarrow{\text{Localize near } x_0} \\ \xrightarrow{\text{Localize near } x_0} \end{array} \frac{POLY_{Num}(x)|_{x \text{ near } x_0}}{POLY_{Den}(x)|_{x \text{ near } x_0}}$$

2. Depending on the circumstances, we will take one of the following two routes to *extract* what controls the wanted feature:

■ The *short route* to  $Princ. \mathbf{TERM} RAT(x)|_{x \text{ near } x_0}$ , that is:

- i. We approximate both  $POLY_{Num}(x)|_{x \text{ near } x_0}$  and  $POLY_{Den}(x)|_{x \text{ near } x_0}$  to their *principal term*—that is to just their *lowest size term*—which, since  $x$  is near  $\infty$ , is their *lowest exponent term*:

$$\frac{POLY_{Num}(x)}{POLY_{Den}(x)} \begin{array}{c} \xrightarrow{\text{Localize near } \infty} \\ \xrightarrow{\text{Localize near } \infty} \end{array} \frac{POLY_{Num}(x)|_{x \text{ near } x_0}}{POLY_{Den}(x)|_{x \text{ near } x_0}} \begin{array}{c} \xrightarrow{\text{i. Approximate}} \\ \xrightarrow{\text{i. Approximate}} \end{array} \frac{Princ. \mathbf{TERM}_{Num}(x)|_{x \text{ near } x_0} + [\dots]}{Princ. \mathbf{TERM}_{Den}(x)|_{x \text{ near } x_0} + [\dots]}$$

- ii. In order to divide  $Princ. \mathbf{TERM}_{Num}(x)|_{x \text{ near } x_0}$ , that is the principal term near  $x_0$  of the *numerator* of  $RAT$  by  $Princ. \mathbf{TERM}_{Den}(x)|_{x \text{ near } x_0}$ , that is the principal term near  $x_0$  of the *denominator* of  $RAT$  we use monomial division

$$\boxed{\frac{ah^{+m}}{bh^{+n}} = \frac{a}{b}h^{+m \ominus +n}} \text{ where } +m \ominus +n \text{ can turn out positive, negative or } 0$$

The resulting monomial is  $Princ. \mathbf{TERM} RAT(x)|_{x \text{ near } x_0}$ , that is the *principal term* of the rational function  $RAT$  near  $x_0$ .

$$\frac{POLY_{Num}(x)}{POLY_{Den}(x)} \begin{array}{c} \xrightarrow{\text{Localize near } \infty} \\ \xrightarrow{\text{Localize near } \infty} \end{array} \frac{POLY_{Num}(x)|_{x \text{ near } x_0}}{POLY_{Den}(x)|_{x \text{ near } x_0}} \begin{array}{c} \xrightarrow{\text{i. Approximate}} \\ \xrightarrow{\text{i. Approximate}} \end{array} \frac{Princ. \mathbf{TERM}_{Num}(x)|_{x \text{ near } x_0} + [\dots]}{Princ. \mathbf{TERM}_{Den}(x)|_{x \text{ near } x_0} + [\dots]} \begin{array}{c} \Downarrow \text{ii. Divide} \\ Princ. \mathbf{TERM} RAT(x)|_{x \text{ near } x_0} + [\dots] \end{array}$$

However, *Princ.* **TERM**  $RAT(x)|_{x \text{ near } x_0}$  is useful only in four cases:

- When it is a constant term *and* what we want is the Height-sign,
- When it is a linear term *and* what we want is the Height-sign or the Slope-sign,
- When it is a square term,
- When it is a negative-exponent term.

■ The *long route* to *Princ.* **PART**  $RAT(x)|_{x \text{ near } x_0}$ :

i. In order to divide  $POLY_{Num}(x)|_{x \text{ near } x_0}$  by  $POLY_{Den}(x)|_{x \text{ near } x_0}$ , we set up the division as a *long division*, that is  $POLY_{Den}(x)|_{x \text{ near } x_0}$  dividing *into*  $POLY_{Num}(x)|_{x \text{ near } x_0}$  and since these are polynomials in  $h$ , in order to be in order of descending sizes, they must be in order of ascending exponents.

ii. We approximate by stopping the long division as soon as we have the *principal part* that has the feature(s) we want:

iii. The difficulty will be that we will have to approximate at two different stages:

- While we localize both the numerator and the denominator,
- When we divide the approximate localization of the numerator by the approximate localization of the denominator

So, we will have to make sure that the approximations in the localizations of the numerator and the denominator do not interfere with the approximation in the division, that is that, as we divide, we do not want to bump into a [...] coming from having approximated the numerator and the denominator too much, that is before we can extract from the division the term that controls the wanted feature.

3. Which route we will take in each particular case will depend both on the *wanted feature(s)* near  $x_0$  and so we will now look separately at how we get *Height-sign* $|_{x \text{ near } \infty}$ , *Slope-sign* $|_{x \text{ near } x_0}$  and *Concavity-sign* $|_{x \text{ near } x_0}$

## LOCAL ANALYSIS NEAR $x_0$

When the wanted features are to be found near  $x_0$ , the *rational degree* of the rational function does not tell us which of the *short route* or the *long route* will allow us to extract the term that controls the wanted feature.

### 2 Height-sign Near $x_0$

If all we want is the Height-sign, then we can always go the short route.

**EXAMPLE 14.2.** Let  $SOUTH$  be the function specified by the global input-output rule

$$x \xrightarrow{SOUTH} SOUTH(x) = \frac{x^2 + 5x + 6}{x^4 - x^3 - 10x^2 + x - 15}$$

Find the height-sign of  $SOUTH$  near  $+2$

i. We localize both the numerator of  $SOUTH$  and the denominator of  $SOUTH$  near  $+2$

$$\begin{aligned} h \xrightarrow{SOUTH_{+2}} SOUTH(+2+h) &= \frac{x^2 + 5x + 6}{x^4 - x^3 - 10x^2 + x - 15} \Big|_{x \leftarrow +2+h} \\ &= \frac{x^2 + 5x + 6|_{x \leftarrow +2+h}}{x^4 - x^3 - 10x^2 + x - 15|_{x \leftarrow +2+h}} \\ &= \frac{(+2+h)^2 + 5(+2+h) + 6}{(+2+h)^4 - (+2+h)^3 - 10(+2+h)^2 + (+2+h) - 15} \end{aligned}$$

ii. Since we want the local input-output rule that will give us the height-sign, we try to approximate *before* we divide:

$$\begin{aligned} &= \frac{\mathbf{[(+2)^2 + 5 \cdot (+2) + 6]} + [\dots]}{\mathbf{[(+2)^4 - (+2)^3 - 10(+2)^2 + 2 - 15]} + [\dots]} \\ &= \frac{\mathbf{[+4 + 10 + 6]} + [\dots]}{\mathbf{[+16 - 8 - 40 + 2 - 15]} + [\dots]} \\ &= \frac{+20 + [\dots]}{-45 + [\dots]} \\ &= -\frac{20}{45} + [\dots] \end{aligned}$$

and since the approximate local input-output rule near  $+2$  is

$$h \xrightarrow{SOUTH_{+2}} SOUTH(+2+h) = -\frac{20}{45} + [\dots]$$

and the local input-output rule includes the term that gives the Height-sign near  $+2$

$$-\frac{20}{45}$$

we have:

$$\text{Height-sign } SOUTH \text{ near } +2 = (-.-)$$

**EXAMPLE 14.3.** Let  $SOUTH$  be the function specified by the global input-output rule

$$x \xrightarrow{SOUTH} SOUTH(x) = \frac{x^2 + 5x + 6}{x^4 - x^3 - 10x^2 + x - 15}$$

Find the height-sign of *SOUTH* near  $-3$

i. We localize both the numerator of *SOUTH* and the denominator of *SOUTH* near  $-3$

$$\begin{aligned} h \xrightarrow{SOUTH_{-3}} SOUTH(-3+h) &= \frac{x^2 + 5x + 6}{x^4 - x^3 - 10x^2 + x - 15} \Big|_{x \leftarrow -3+h} \\ &= \frac{x^2 + 5x + 6 \Big|_{x \leftarrow -3+h}}{x^4 - x^3 - 10x^2 + x - 15 \Big|_{x \leftarrow -3+h}} \\ &= \frac{(-3+h)^2 + 5(-3+h) + 6}{(-3+h)^4 - (-3+h)^3 - 10(-3+h)^2 + (-3+h) - 15} \end{aligned}$$

ii. Since we want the local input-output rule that will give us the height-sign, we try to approximate to the constant terms:

$$\begin{aligned} &= \frac{\mathbf{[(-3)^2 + 5 \cdot (-3) + 6]} + [\dots]}{\mathbf{[(-3)^4 - (-3)^3 - 10(-3)^2 - 3 - 15]} + [\dots]} \\ &= \frac{\mathbf{[+9 - 15 + 6]} + [\dots]}{\mathbf{[+81 + 27 - 90 - 3 - 15]} + [\dots]} \\ &= \frac{\mathbf{[0]} + [\dots]}{\mathbf{[0]} + [\dots]} \end{aligned}$$

We cannot divide as we could get

$$= \text{any size}$$

iii. We therefore must approximate the localizations at least to  $h$

$$\begin{aligned} &= \frac{\mathbf{[0]} + \mathbf{[2 \cdot (-3) + 5]}h + [\dots]}{\mathbf{[0]} + \mathbf{[+4(-3)^3 - 3(-3)^2 - 10 \cdot 2(-3) + 1]}h + [\dots]} \\ &= \frac{\mathbf{[-6 + 5]}h + [\dots]}{\mathbf{[-108 - 27 + 60 + 1]}h + [\dots]} \\ &= \frac{\mathbf{[-1]}h + [\dots]}{\mathbf{[-74]}h + [\dots]} \\ &= \frac{-h + [\dots]}{-74h + [\dots]} \end{aligned}$$

We divide

$$= +\frac{1}{74} + [\dots]$$

and since the approximate local input-output rule near  $-3$  is

$$h \xrightarrow{SOUTH_{-3}} SOUTH(-3+h) = +\frac{1}{74} + [...]$$

and the local input-output rule includes the term that gives the Height-sign near  $-3$

$$+\frac{1}{74}$$

we have:

$$\text{Height-sign } SOUTH \text{ near } -3 = (+, +)$$

### 3 Slope-sign Near $x_0$

**EXAMPLE 14.4.** Let  $SOUTH$  be the function specified by the global input-output rule

$$x \xrightarrow{SOUTH} SOUTH(x) = \frac{x^2 + 5x + 6}{x^4 - x^3 - 10x^2 + x - 15}$$

find the slope-sign of  $SOUTH$  near  $+2$

i. We localize both the numerator of  $SOUTH$  and the denominator of  $SOUTH$  near  $+2$  and since we want the approximate local input-output rule for the slope-sign, we will approximate to  $h$ :

$$\begin{aligned} +2+h \xrightarrow{SOUTH} SOUTH(+2+h) &= \frac{x^2 + 5x + 6}{x^4 - x^3 - 10x^2 + x - 15} \Big|_{x \leftarrow +2+h} \\ &= \frac{x^2 + 5x + 6 \Big|_{x \leftarrow +2+h}}{x^4 - x^3 - 10x^2 + x - 15 \Big|_{x \leftarrow +2+h}} \\ &= \frac{(+2+h)^2 + 5(+2+h) + 6}{(+2+h)^4 - (+2+h)^3 - 10(+2+h)^2 + (+2+h) - 15} \\ &= \frac{\mathbf{[(+2)^2 + 5 \cdot (+2) + 6]} + \mathbf{[2(+2) + 5]h} + [...]}{\mathbf{[(+2)^4 - (+2)^3 - 10 \cdot (+2)^2 + (+2) - 15]} + \mathbf{[4(+2)^3 - 3(+2)^2 - 10 \cdot 2(+2) + 1]h} + [...]} \\ &= \frac{\mathbf{[+20]} + \mathbf{[+9]h} + [...]}{\mathbf{[-45]} + \mathbf{[-19]h} + [...]} \end{aligned}$$

ii. We set up the division with

$$[-45] + [-19]h + [...] \quad \text{dividing into} \quad [+20] + [+9]h + [...]$$

that is:

$$\begin{array}{r}
 -\frac{20}{45} \quad -\frac{[9 \cdot 45] - [19 \cdot 20]}{45^2} h \quad +[\dots] \\
 -45 \quad -19h \quad +[\dots] \quad \left. \vphantom{\begin{array}{l} -\frac{20}{45} \\ -\frac{[9 \cdot 45] - [19 \cdot 20]}{45^2} h \end{array}} \right) \frac{\begin{array}{l} +20 \quad +9h \quad +[\dots] \\ +20 \quad +\frac{19 \cdot 20}{45} h \quad +[\dots] \end{array}}{\begin{array}{l} 0 \quad +\frac{[9 \cdot 45] - [19 \cdot 20]}{45} h \quad +[\dots] \end{array}}
 \end{array}$$

And since  $[9 \cdot 45] - [19 \cdot 20] = 405 - 380 = +25$ , the approximate local input-output rule near  $+2$  is:

$$h \xrightarrow{SOUTH_{+2}} SOUTH(+2+h) = -\frac{20}{45} - \frac{25}{45^2} h + [\dots]$$

and the term that gives the slope-sign near  $+2$  is

$$-\frac{25}{45^2} h$$

so that

$$\text{Slope-sign } SOUTH \text{ near } +2 = (\searrow, \searrow)$$

## 4 Concavity-sign Near $x_0$

**EXAMPLE 14.5.** Let  $SOUTH$  be the function specified by the global input-output rule

$$x \xrightarrow{SOUTH} SOUTH(x) = \frac{x^2 + 5x + 6}{x^4 - x^3 - 10x^2 + x - 15}$$

find the concavity-sign of  $SOUTH$  near  $+2$

i. We localize both the numerator of  $SOUTH$  and the denominator of  $SOUTH$  near  $+2$  and since we want the approximate local input-output rule for the slope-sign, we will approximate to  $h^2$ :

$$\begin{aligned}
 +2+h \xrightarrow{SOUTH} SOUTH(+2+h) &= \frac{x^2 + 5x + 6}{x^4 - x^3 - 10x^2 + x - 15} \Big|_{x \leftarrow +2+h} \\
 &= \frac{x^2 + 5x + 6 \Big|_{x \leftarrow +2+h}}{x^4 - x^3 - 10x^2 + x - 15 \Big|_{x \leftarrow +2+h}} \\
 &= \frac{(+2+h)^2 + 5(+2+h) + 6}{(+2+h)^4 - (+2+h)^3 - 10(+2+h)^2 + (+2+h) - 15} \\
 &= \frac{\left[ (+2)^2 + 5 \cdot (+2) + 6 \right] + \left[ 2(+2) + 5 \right] h + \left[ 1 \right] h^2}{\left[ (+2)^4 - (+2)^3 - 10 \cdot (+2)^2 + (+2) - 15 \right] + \left[ 4(+2)^3 - 3(+2)^2 - 10 \cdot 2(+2) + 1 \right] h + \left[ 6(+2)^2 - 3(+2) - 10 \right] h^2 + \dots} \\
 &= \frac{\left[ +20 \right] + \left[ +9 \right] h + \left[ 1 \right] h^2}{\left[ -45 \right] + \left[ -19 \right] h + \left[ 8 \right] h^2 + [\dots]}
 \end{aligned}$$

ii. We set up the division with

$$-45 + -19h + 8h^2 + [\dots] \quad \text{dividing into} \quad +20 + 9h + h^2$$

but carry it out *latin style* (that is, we write the *result* of the multiplication as it comes out instead of the *opposite of the result*.)

$$\begin{array}{r}
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 -\frac{20}{45} \quad -\frac{[9 \cdot 45] - [19 \cdot 20]}{45^2} h \quad -\left[\frac{+45[45 - 8 \cdot 20] - 19[[9 \cdot 45] - [19 \cdot 20]]}{45^3}\right] h^2 \quad +[\dots] \\
 \hline
 -45 - 19h + 8h^2 + [\dots] \quad +20 \quad +9h \quad +h^2 \\
 \quad +20 \quad +\frac{19 \cdot 20}{45} h \quad -\frac{8 \cdot 20}{45} h^2 \quad +[\dots] \\
 \hline
 0 \quad +\frac{[9 \cdot 45] - [19 \cdot 20]}{45} h \quad +\frac{45 - 8 \cdot 20}{45} h^2 \quad +[\dots] \\
 \quad +\frac{[9 \cdot 45] - [19 \cdot 20]}{45^2} h \quad +19\frac{[9 \cdot 45] - [19 \cdot 20]}{45^2} h^2 \quad +[\dots] \\
 \hline
 \quad +0h \quad +\left[\frac{+45[45 - 8 \cdot 20] - 19[[9 \cdot 45] - [19 \cdot 20]]}{45^2}\right] h^2 \quad +[\dots]
 \end{array}
 \end{array}$$

And since  $\frac{+45[45 - 8 \cdot 20] - 19[[9 \cdot 45] - [19 \cdot 20]]}{45^2} = -\frac{2401}{45^2}$ , the local input-output rule near +2 is:

$$h \xrightarrow{SOUTH_{+2}} SOUTH(+2 + h) = -\frac{20}{45} - \frac{25}{45^2} h - \frac{2401}{45^2} h^2 + [\dots]$$

and the term that gives the concavity-sign near +2 is

$$-\frac{2401}{45^2} h^2$$

so that

$$\text{Concavity-sign } SOUTH \text{ near } +2 = (\cap, \cap)$$

## 5 Local Graph Near $x_0$

**EXAMPLE 14.6.** Let  $SOUTH$  be the function specified by the global input-output rule

$$x \xrightarrow{SOUTH} SOUTH(x) = \frac{x^2 + 5x + 6}{x^4 - x^3 - 10x^2 + x - 15}$$

find the local graph of  $SOUTH$  near +2

Since, in order to get the local graph near +2 we need all three features near +2, height-sign, slope-sign and concavity-sign, we need to get the approximate local input-output rule as we did in the previous example:

$$h \xrightarrow{SOUTH_{+2}} SOUTH(+2 + h) = -\frac{20}{45} - \frac{25}{45^2} h - \frac{2401}{45^2} h^2 + [\dots]$$

from which we get:



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## Chapter 15

# Rational Functions: Global Analysis

The Essential Question, 449 • Locating Infinite Height Inputs, 450 • Offscreen Graph, 455 • Feature-sign Change Inputs, 456 • Global Graph, 457 • Locating 0-Height Inputs, 459 .

Contrary to what we were able to do with polynomial functions, with rational functions we will *not* be able to establish global tEXAMPLEs. Of course, we did not really establish global tEXAMPLEs for *all* polynomial functions either but only for polynomial functions *of a given degree*, 0, 1, 2 and 3. But, in the case of rational functions, even the *rational degree* will not separate rational functions into kinds that we can establish global tEXAMPLEs for inasmuch as even rational functions with a given rational degree can be very diverse.

So, what we will do here is to focus on how to get global information about *any given rational function*.

### 1 The Essential Question

Given a *rational function*, as with any function, the *offscreen graph* will consist:

- certainly of the local graph near  $\infty$ . This is because, as soon as the *input* is *large*, the graph point is going to be left or right of the screen and therefore *offscreen* regardless of the size of the *output*,
- possibly of the local graph(s) near certain *bounded input(s)*. This is because, in case the outputs for inputs near certain bounded inputs are

*large*, the graph points will then be above or below the screen and therefore *offscreen* even though the inputs are *bounded*.

So, as always, we will need to ascertain whether

- There might be *bounded inputs* for which nearby inputs will have a *large* output ,

or, as was the case with all polynomial functions,

- The outputs for any *bounded input* are themselves necessarily *bounded*

In other words, in order to get the *offscreen graph*, we must begin by asking the **Essential Question**:

- Do all *bounded inputs* have *bounded outputs*
- or
- Is there one (or more) *bounded input* which is an  $\infty$ -height input, that is, a *bounded input* whose nearby inputs have *unbounded outputs*?

And, indeed, we will find that there are two kinds of rational functions:

- rational functions that *do* have  $\infty$ -height input(s)
- rational function that *do not* have any  $\infty$ -height input as was the case with power functions and polynomial functions.

## 2 Locating Infinite Height Inputs

However, given a rational function, not only will we need to know whether or not there *exists*  $\infty$ -height input(s), if there are any, we will also have to *locate* the  $\infty$ -height inputs, if any, because we will need to get the local graph near these  $\infty$ -height input(s). More precisely:

1. Given a rational function *RAT* specified by a global input-output rule

$$x \xrightarrow{RAT} RAT(x) = \frac{NUMERATOR_{RAT}(x)}{DENOMINATOR_{RAT}(x)}$$

we want to find whether or not there can be a *bounded input*  $x_0$  such that the outputs for *nearby* inputs,  $x_0 + h$ , are *large*. In other words, we want to know if there can be  $x_0$  such that

$$h \xrightarrow{RAT} RAT(x)|_{x \leftarrow x_0 + h} = \textit{large}$$

But we have

$$RAT(x)|_{x \leftarrow x_0 + h} = \frac{NUMERATOR_{RAT}(x)}{DENOMINATOR_{RAT}(x)} \Big|_{x \leftarrow x_0 + h}$$

$$\begin{aligned}
 &= \frac{\text{NUMERATOR}_{\text{RAT}}(x)|_{x \leftarrow x_0+h}}{\text{DENOMINATOR}_{\text{RAT}}(x)|_{x \leftarrow x_0+h}} \\
 &= \frac{\text{NUMERATOR}_{\text{RAT}}(x_0+h)}{\text{DENOMINATOR}_{\text{RAT}}(x_0+h)}
 \end{aligned}$$

So, what we want to know is if there can be an  $x_0$  for which

$$\frac{\text{NUMERATOR}_{\text{RAT}}(x_0+h)}{\text{DENOMINATOR}_{\text{RAT}}(x_0+h)} = \textit{large}$$

2. Since it is a *fraction* that we want to be *large*, we will use the **Division Size EXAMPLE** from **Chapter 2**:

**THEOREM 2 (Division Size)**

$\frac{\textit{large}}{\textit{large}} = \textit{any size}$	$\frac{\textit{large}}{\textit{medium}} = \textit{large}$	$\frac{\textit{large}}{\textit{small}} = \textit{large}$
$\frac{\textit{medium}}{\textit{large}} = \textit{small}$	$\frac{\textit{medium}}{\textit{medium}} = \textit{medium}$	$\frac{\textit{medium}}{\textit{small}} = \textit{large}$
$\frac{\textit{small}}{\textit{large}} = \textit{small}$	$\frac{\textit{small}}{\textit{medium}} = \textit{small}$	$\frac{\textit{small}}{\textit{small}} = \textit{any size}$

There are thus two ways that a fraction can be *large*:

- When the numerator is *large*
- When the denominator is *small*

In each case, though, we need to make sure of the other side of the fraction. So, rather than look at the size of both the numerator and the denominator at the same time, we will look separately at: there are two cases where a fraction *could* (but need not) be *large* but in each case we will need to look at the other side of the fraction bar in order to know what the size of the fraction is:

- The first *row*, that is when the *numerator* of the fraction is *large*

$\frac{\textit{large}}{\textit{large}} = \textit{any size}$	$\frac{\textit{large}}{\textit{medium}} = \textit{large}$	$\frac{\textit{large}}{\textit{small}} = \textit{large}$
$\frac{\textit{medium}}{\textit{large}} = \textit{small}$	$\frac{\textit{medium}}{\textit{medium}} = \textit{medium}$	$\frac{\textit{medium}}{\textit{small}} = \textit{large}$
$\frac{\textit{small}}{\textit{large}} = \textit{small}$	$\frac{\textit{small}}{\textit{medium}} = \textit{small}$	$\frac{\textit{small}}{\textit{small}} = \textit{any size}$

because in that case all we will then have to do is to make sure that the *denominator* is *not large* too.

- The last *column*, that is when the *denominator* of the fraction is *small*.

$$\begin{array}{lll}
 \frac{\textit{large}}{\textit{large}} = \textit{any size} & \frac{\textit{large}}{\textit{medium}} = \textit{large} & \frac{\textit{large}}{\textit{small}} = \textit{large} \\
 \frac{\textit{medium}}{\textit{large}} = \textit{small} & \frac{\textit{medium}}{\textit{medium}} = \textit{medium} & \frac{\textit{medium}}{\textit{small}} = \textit{large} \\
 \frac{\textit{small}}{\textit{large}} = \textit{small} & \frac{\textit{small}}{\textit{medium}} = \textit{small} & \frac{\textit{small}}{\textit{small}} = \textit{any size}
 \end{array}$$

because in that case all we will then have to do is to make sure that the numerator is *not small* too.

3. We now deal with  $\frac{NUMERATOR_{RAT}(x_0+h)}{DENOMINATOR_{RAT}(x_0+h)}$ , looking separately at the numerator and the denominator:

- Since the *numerator*,  $NUMERATOR_{RAT}(x_0 + h)$ , is the output of a *polynomial function*, namely

$$x \xrightarrow{NUMERATOR_{RAT}} NUMERATOR_{RAT}(x)$$

and since we have seen that *the only way* the outputs of a *polynomial function* can be *large* is when the inputs are themselves *large*, *there is no way* that  $NUMERATOR_{RAT}(x_0 + h)$  could be *large* for inputs that are *bounded*. So there is no way that the output of  $RAT$  could be large for *bounded* inputs that make the *numerator* large and we need not look any further.

- Since the *denominator*,  $DENOMINATOR_{RAT}(x_0 + h)$ , is the output of the *polynomial function*

$$x \xrightarrow{DENOMINATOR_{RAT}} DENOMINATOR_{RAT}(x)$$

and since we have seen that polynomial functions *can* have *small* outputs if they have 0-height inputs and the inputs are near the 0-height inputs,  $DENOMINATOR_{RAT}(x_0+h)$  *can* be *small* for certain bounded inputs and thus so can  $\frac{NUMERATOR_{RAT}(x_0+h)}{DENOMINATOR_{RAT}(x_0+h)}$ . However, we will then have to make sure that  $NUMERATOR_{RAT}(x_0 + h)$ , is *not small* too near these bounded inputs, that is we will have to make sure that  $x_0$  does *not* turn out to be a 0-height input for  $NUMERATOR_{RAT}$  as well as for  $DENOMINATOR_{RAT}$  so as not to be in the case:

$$\frac{\textit{large}}{\textit{small}} = \textit{large}$$

$$\frac{\textit{medium}}{\textit{small}} = \textit{large}$$

$$\frac{\textit{small}}{\textit{small}} = \textit{any size}$$

We will thus refer to a 0-height input for  $DENOMINATOR_{RAT}$  as only a **possible  $\infty$ -height input**

Altogether, then, we have:

**EXAMPLE 15.1. Possible  $\infty$ -height Input** The 0-height inputs of the *denominator* of a rational function, if any, are the only *possible  $\infty$ -height inputs* for the rational function.

4. However, this happens to be one of these very rare situations in which there *is* “an easier way”: After we have located the 0-height inputs for  $DENOMINATOR_{RAT}$ , instead of first making sure that they are not also 0-height inputs for  $NUMERATOR_{RAT}$ , we will gamble and just get the local input-output rule near each one of the 0-height inputs for  $DENOMINATOR_{RAT}$ . Then,

- If the local input-output rule turns out to start with a *negative-exponent power function*, then we will have determined that  $x_0$  is an  $\infty$ -height input for  $RAT$  and the payoff will be that we will now get the local graph near  $x_0$  for free.
- If the local input-output rule turns out *not* to start with a *negative-exponent power function*, then we will have determined that  $x_0$  is *not* a  $\infty$ -height input for  $RAT$  after all and our loss will be that we will probably have no further use for the local input-output rule.

Overall, then, we will use the following two steps:

**Step i.** *Locate* the 0-height inputs for the *denominator*,  $DENOMINATOR_{RAT}(x)$ , by solving the equation

$$DENOMINATOR_{RAT}(x) = 0$$

**Step ii.** Compute the *local input-output rule* near each one of the 0-height inputs for the *denominator*, if any.

The advantage is that we need not even refer to the **Division Size TEX-AMPLE**: once we have a possible  $\infty$ -height input, we just get the local input-output rule near that possible  $\infty$ -height input, “for the better or for the worse”.

**EXAMPLE 15.2.** Let  $COUGH$  be the function specified by the global input-output rule

$$x \xrightarrow{COUGH} COUGH(x) = \frac{x^4 - x^3 - 10x^2 + x - 15}{x^2 + 5x + 6}$$

locate the  $\infty$ -height input(s) of  $COUGH$ , if any.

**Step i.** The possible  $\infty$ -height input(s) of  $COUGH$  are the 0-height input(s)

of  $DENOMINATOR_{COUGH}(x)$ , that is the solution(s), if any, of the equation

$$x^2 + 5x + 6 = 0$$

In general, solving an equation may or may not be possible but in this case, the equation is a *quadratic* one and we have learned how to do this in **Chapter 12**. One way or the other, we find that there are two solutions:

$$-3, -2$$

which are the *possible*  $\infty$ -height inputs of the rational function  $COUGH$ .

**Step ii.** We compute the local input-output rules near  $-3$  and near  $-2$ :

- Near  $-3$ :

$$\begin{aligned} h \xrightarrow{COUGH_{\text{near } -3}} COUGH(-3+h) &= \frac{x^4 - x^3 - 10x^2 + x - 15}{x^2 + 5x + 6} \Big|_{x \leftarrow -3+h} \\ &= \frac{x^4 - x^3 - 10x^2 + x - 15}{x^2 + 5x + 6} \Big|_{x \leftarrow -3+h} \\ &= \frac{(-3+h)^4 - (-3+h)^3 - 10(-3+h)^2 + (-3+h) - 15}{(-3+h)^2 + 5(-3+h) + 6} \end{aligned}$$

We try to approximate to the constant terms:

$$\begin{aligned} &= \frac{(-3)^4 + [\dots] - (-3)^3 + [\dots] - 10(-3)^2 + [\dots] - 3 + [\dots] - 15}{(-3)^2 + [\dots] + 5(-3) + [\dots] + 6} \\ &= \frac{+81 + 27 - 90 - 3 - 15 + [\dots]}{+9 - 15 + 6 + [\dots]} \\ &= \frac{0 + [\dots]}{0 + [\dots]} \\ &= \frac{[\dots]}{[\dots]} \\ &= \text{any size} \end{aligned}$$

So we must go back and try to approximate to the linear terms, ignoring the constant terms since we just saw that they add up to 0 both in the numerator and the denominator:

$$\begin{aligned} &= \frac{4(-3)^3h + [\dots] - 3(-3)^2h + [\dots] - 10 \cdot 2(-3)h + [\dots] + h}{2 \cdot (-3)h + [\dots] + 5h} \\ &= \frac{-108h + [\dots] - 27h + [\dots] + 60h + [\dots] + h}{-6h + [\dots] + 5h} \\ &= \frac{-74h + [\dots]}{-h + [\dots]} \\ &= +74 + [\dots] \end{aligned}$$

so that  $-3$  is *not* an  $\infty$ -height input

- Near  $-2$ :

$$\begin{aligned}
 h \xrightarrow{COUGH_{\text{near } -2}} COUGH(-2 + h) &= \frac{x^4 - x^3 - 10x^2 + x - 15}{x^2 + 5x + 6} \Big|_{x \leftarrow -2+h} \\
 &= \frac{x^4 - x^3 - 10x^2 + x - 15}{x^2 + 5x + 6} \Big|_{x \leftarrow -2+h} \\
 &= \frac{(-2 + h)^4 - (-2 + h)^3 - 10(-2 + h)^2 + (-2 + h) - 15}{(-2 + h)^2 + 5(-2 + h) + 6}
 \end{aligned}$$

We try to approximate to the constant terms:

$$\begin{aligned}
 &= \frac{(-2)^4 + [\dots] - (-2)^3 + [\dots] - 10(-2)^2 + [\dots] - 2 + [\dots] - 15}{(-2)^2 + [\dots] + 5(-2) + [\dots] + 6} \\
 &= \frac{+16 + 8 - 40 - 2 - 15 + [\dots]}{+4 - 10 + 6 + [\dots]} \\
 &= \frac{-33 + [\dots]}{0 + [\dots]} \\
 &= \frac{-33}{[\dots]} \\
 &= \textit{large}
 \end{aligned}$$

So  $-2$  is an  $\infty$ -height input for *COUGH* and we need only find exactly how small  $[\dots]$  is to get the local input-output rule near  $-2$

$$\begin{aligned}
 &= \frac{-33 + [\dots]}{2 \cdot (-2)h + [\dots] + 5h} \\
 &= \frac{-33 + [\dots]}{h + [\dots]} \\
 &= -33h^{-1} + [\dots]
 \end{aligned}$$

### 3 Offscreen Graph

Once the Essential Question has been answered, and if we do not already have the local input-output rule near each one of the  $\infty$ -height inputs, we need to get them and the corresponding local graphs so that we can then join them smoothly to get the offscreen graph.

Altogether, given a rational function *RAT* the procedure to obtain the *offscreen graph* is therefore:

- i. Get the approximate input-output rule near  $\infty$  and the local graph near  $\infty$
- ii. Answer the **Essential Question** and locate the  $\infty$  input(s), if any,

iii. Find the local input-output rule and then the local graphs near each  $\infty$ -height inputs

**EXAMPLE 15.3.** Let  $MARA$  be the function specified by the global input-output rule

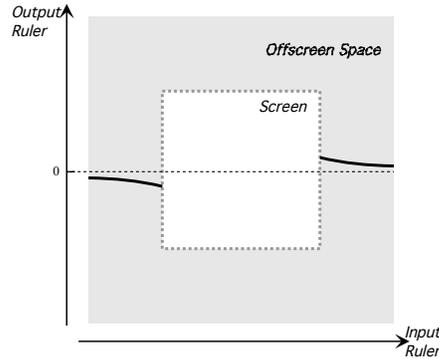
$$x \xrightarrow{MARA} MARA(x) = \frac{x - 15}{x^2 + 5x + 7}$$

Find the offscreen graph.

i. We get the local approximation near  $\infty$ :

$$\begin{aligned} \text{Near } \infty, x \xrightarrow{MARA} MARA(x) &= \frac{x + [\dots]}{x^2 + [\dots]} \\ &= +x^{-1} + [\dots] \end{aligned}$$

and the local graph near  $\infty$  of  $MARA$  is



ii. We locate the  $\infty$ -height inputs, if any. The possible  $\infty$ -height input(s) of  $MARA$  are the 0-height input(s) of  $DENOMINATOR_{MARA}(x)$ , that is the solution(s), if any, of the equation

$$x^2 + 5x + 7 = 0$$

In general, solving an equation may or may not be possible but in this case, the equation is a *quadratic* one and we have learned how to do this in **Chapter 12**. One way or the other, we find that there are no solutions. So, the function  $MARA$  has no  $\infty$ -height input.

iii. The *offscreen graph* therefore consists of only the local graph near  $\infty$ .

## 4 Feature-sign Change Inputs

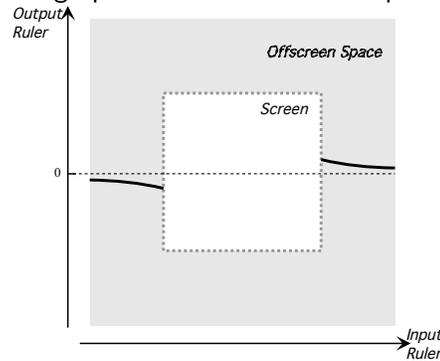
Given a rational function, in order to get the feature-sign change input(s), if any, we need only get the outlying graph and then we proceed as in **Chapter 3** so we need only give an example.

**EXAMPLE 15.4.** Let  $MARA$  be the function specified by the global input-output rule

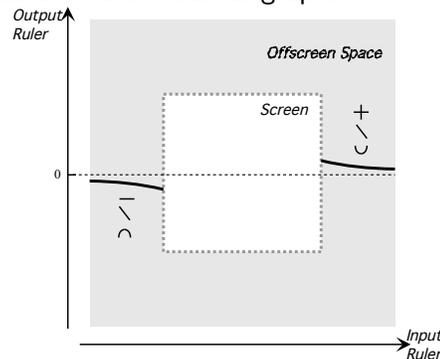
$$x \xrightarrow{MARA} MARA(x) = \frac{x - 15}{x^2 + 5x + 7}$$

Find the feature-sign change inputs of  $MARA$ , if any.

i. We find the offscreen graph of  $MARA$  as in the preceding example:



ii. We mark the features of the offscreen graph:



iii. Therefore:

- there must be at least one height-sign change input,
- there does not have to be a slope-sign change input
- there must be at least one concavity-sign change input,

## 5 Global Graph

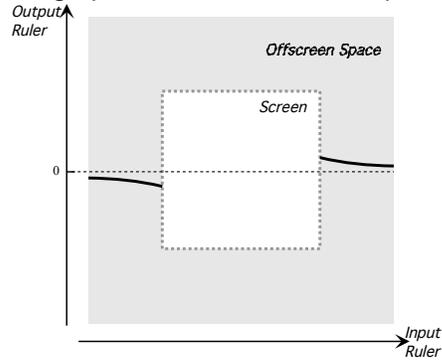
Given a rational function, in order to get the essential global graph, we need only get the outlying graph and then we join smoothly so we need only give an example.

**EXAMPLE 15.5.** Let  $MARA$  be the function specified by the global input-output rule

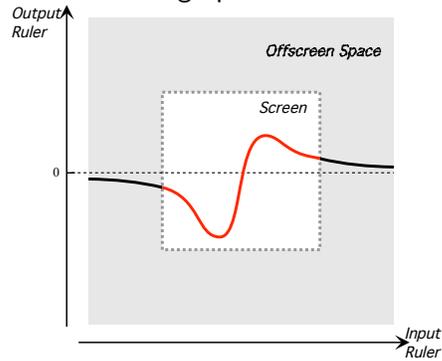
$$x \xrightarrow{MARA} MARA(x) = \frac{x - 15}{x^2 + 5x + 7}$$

Find the feature-sign change inputs of  $MARA$ , if any.

i. We find the offscreen graph of  $MARA$  as in the preceding example:

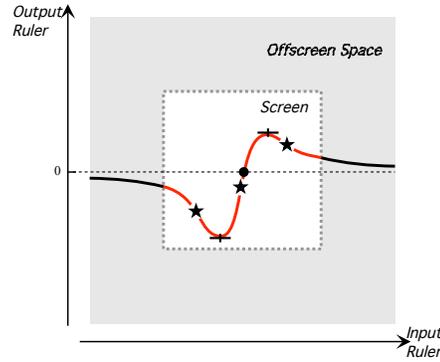


ii. We join smoothly the offscreen graph:



iii. Observe that, in fact,

- there must be at least one height-sign change input,
- there must be at least *two* slope-sign change inputs
- there must be at least *three* concavity-sign change input,



## 6 Locating 0-Height Inputs

Locating the 0-height inputs of a given rational function is pretty much the mirror image of what we did to locate its  $\infty$ -height inputs. More precisely:

1. Given a rational function  $RAT$  specified by a global input-output rule

$$x \xrightarrow{RAT} RAT(x) = \frac{NUMERATOR_{RAT}(x)}{DENOMINATOR_{RAT}(x)}$$

we want to find whether or not there can be a *bounded input*  $x_0$  such that the outputs for *nearby* inputs,  $x_0 + h$ , are *small*. In other words, we want to know if there can be  $x_0$  such that

$$h \xrightarrow{RAT} RAT(x)|_{x \leftarrow x_0 + h} = \textit{small}$$

But we have

$$\begin{aligned} RAT(x)|_{x \leftarrow x_0 + h} &= \frac{NUMERATOR_{RAT}(x)}{DENOMINATOR_{RAT}(x)} \Big|_{x \leftarrow x_0 + h} \\ &= \frac{NUMERATOR_{RAT}(x)|_{x \leftarrow x_0 + h}}{DENOMINATOR_{RAT}(x)|_{x \leftarrow x_0 + h}} \\ &= \frac{NUMERATOR_{RAT}(x_0 + h)}{DENOMINATOR_{RAT}(x_0 + h)} \end{aligned}$$

So, what we want to know is if there can be an  $x_0$  for which

$$\frac{NUMERATOR_{RAT}(x_0 + h)}{DENOMINATOR_{RAT}(x_0 + h)} = \textit{small}$$

2. Since it is a *fraction* that we want to be *small*, we will use the **Division Size TEXAMPLE** from **Chapter 2**:

**THEOREM 2 (Division Size)**

$$\begin{array}{lll}
 \frac{\textit{large}}{\textit{large}} = \textit{any size} & \frac{\textit{large}}{\textit{medium}} = \textit{large} & \frac{\textit{large}}{\textit{small}} = \textit{large} \\
 \frac{\textit{medium}}{\textit{large}} = \textit{small} & \frac{\textit{medium}}{\textit{medium}} = \textit{medium} & \frac{\textit{medium}}{\textit{small}} = \textit{large} \\
 \frac{\textit{small}}{\textit{large}} = \textit{small} & \frac{\textit{small}}{\textit{medium}} = \textit{small} & \frac{\textit{small}}{\textit{small}} = \textit{any size}
 \end{array}$$

There are thus two ways that a fraction can be *small*:

- When the numerator is *small*
- When the denominator is *large*

In each case, though, we need to make sure of the other side of the fraction. So, rather than look at the size of both the numerator and the denominator at the same time, we will look separately at:

- The third *row*, that is when the *numerator* of the fraction is *small*

$$\begin{array}{lll}
 \frac{\textit{large}}{\textit{large}} = \textit{any size} & \frac{\textit{large}}{\textit{medium}} = \textit{large} & \frac{\textit{large}}{\textit{small}} = \textit{large} \\
 \frac{\textit{medium}}{\textit{large}} = \textit{small} & \frac{\textit{medium}}{\textit{medium}} = \textit{medium} & \frac{\textit{medium}}{\textit{small}} = \textit{large} \\
 \frac{\textit{small}}{\textit{large}} = \textit{small} & \frac{\textit{small}}{\textit{medium}} = \textit{small} & \frac{\textit{small}}{\textit{small}} = \textit{any size}
 \end{array}$$

because in that case all we will then have to do is to make sure that the *denominator* is *not small* too.

- The first *column*, that is when the *denominator* of the fraction is *large*.

$$\begin{array}{lll}
 \frac{\textit{large}}{\textit{large}} = \textit{any size} & \frac{\textit{large}}{\textit{medium}} = \textit{large} & \frac{\textit{large}}{\textit{small}} = \textit{large} \\
 \frac{\textit{medium}}{\textit{large}} = \textit{small} & \frac{\textit{medium}}{\textit{medium}} = \textit{medium} & \frac{\textit{medium}}{\textit{small}} = \textit{large} \\
 \frac{\textit{small}}{\textit{large}} = \textit{small} & \frac{\textit{small}}{\textit{medium}} = \textit{small} & \frac{\textit{small}}{\textit{small}} = \textit{any size}
 \end{array}$$

because in that case all we will then have to do is to make sure that the *numerator* is *not large* too.

**3.** We now deal with  $\frac{\textit{NUMERATOR}_{\textit{RAT}}(x_0+h)}{\textit{DENOMINATOR}_{\textit{RAT}}(x_0+h)}$ , looking separately at the numerator and the denominator:

- Since the *numerator*,  $\textit{NUMERATOR}_{\textit{RAT}}(x_0 + h)$ , is the output of a *polynomial function*, namely

$$x \xrightarrow{\textit{NUMERATOR}_{\textit{RAT}}} \textit{NUMERATOR}_{\textit{RAT}}(x)$$

and since we have seen that polynomial functions *can* have *small* outputs if they have 0-height inputs and the inputs are near the 0-height inputs,  $NUMERATOR_{RAT}(x_0 + h)$  *can* be *small* for certain bounded inputs and thus so can  $\frac{NUMERATOR_{RAT}(x_0+h)}{DENOMINATOR_{RAT}(x_0+h)}$ . However, we will then have to make sure that  $DENOMINATOR_{RAT}(x_0+h)$ , is *not small* too near these bounded inputs, that is we will have to make sure that  $x_0$  does *not* turn out to be a 0-height input for  $DENOMINATOR_{RAT}$  as well as for  $NUMERATOR_{RAT}$  so as not to be in the case:

$$\frac{\text{small}}{\text{small}} = \text{any size}$$

We will thus refer to a 0-height input for  $NUMERATOR_{RAT}$  as only a possible **0-height input** for  $RAT$ .

- Since the *denominator*,  $DENOMINATOR_{RAT}(x_0 + h)$ , is the output of a *polynomial function*, namely

$$x \xrightarrow{DENOMINATOR_{RAT}} DENOMINATOR_{RAT}(x)$$

and since we have seen that *the only way* the outputs of a *polynomial function* can be *large* is when the inputs are themselves *large*, *there is no way* that  $DENOMINATOR_{RAT}(x_0 + h)$  could be *large* for inputs that are *bounded*. So there is no way that the output of  $RAT$  could be *small* for *bounded* inputs that make the *denominator* large and we need not look any further.

Altogether, then, we have:

**EXAMPLE 15.6. Possible 0-height Input** The 0-height inputs of the *numerator* of a rational function, if any, are the only *possible 0-height inputs* for the rational function.

4. However, this happens to be one of these very rare situations in which there *is* “an easier way”: After we have located the 0-height inputs for  $NUMERATOR_{RAT}$ , instead of first making sure that they are not also 0-height inputs for  $DENOMINATOR_{RAT}$ , we will gamble and just get the local input-output rule near each one of the 0-height inputs for  $NUMERATOR_{RAT}$ . Then,

- If the local input-output rule turns out to start with a *positive-exponent power function*, then we will have determined that  $x_0$  is a 0-height input for  $RAT$  and the payoff will be that we will now get the local graph near  $x_0$  for free.
- If the local input-output rule turns out to start with a *0-exponent power function* or a *negative-exponent power function*, then we will have deter-

mined that  $x_0$  is *not* a 0-height input for  $RAT$  after all and our loss will be that we will probably have no further use for the local input-output rule.

Overall, then, we will use the following two steps:

**Step i.** *Locate* the 0-height inputs for the *numerator*,  $NUMERATOR_{RAT}(x)$ , by solving the equation

$$NUMERATOR_{RAT}(x) = 0$$

**Step ii.** Compute the *local input-output rule* near each one of the 0-height inputs for the *numerator*, if any.

The advantage is that we need not even refer to the **Division Size TEX-AMPLE**: once we have a possible 0-height input, we just get the local input-output rule near that possible 0-height input, “for the better or for the worse”.

**EXAMPLE 15.7.** Let  $TARA$  be the function specified by the global input-output rule

$$x \xrightarrow{TARA} TARA(x) = \frac{x^3 - 8}{x^2 + 3x - 10}$$

locate the 0-height input(s) if any.

**Step i.** The possible 0-height input(s) of  $TARA$  are the 0-height input(s) of  $NUMERATOR_{TARA}(x)$ , that is the solution(s), if any, of the equation

$$x^3 - 8 = 0$$

In general, solving an equation may or may not be possible and in this case, the equation is a *cubic* one. Still, here it is a very incomplete one and we can see that the solution is +2 which is the possible 0-height input of the rational function  $TARA$ .

**Step ii.** We compute the local input-output rule near +2.

$$\begin{aligned} h \xrightarrow{TARA \text{ near } -3} TARA(+2 + h) &= \frac{x^3 - 8}{x^2 + 3x - 10} \Big|_{x \leftarrow +2+h} \\ &= \frac{x^3 - 8 \Big|_{x \leftarrow +2+h}}{x^2 + 3x - 10 \Big|_{x \leftarrow +2+h}} \\ &= \frac{(+2 + h)^3 - 8}{(+2 + h)^2 + 3(+2 + h) - 10} \end{aligned}$$

We try to approximate to the constant terms:

$$\begin{aligned} &= \frac{(+2)^3 + [\dots] - 8}{(+2)^2 + [\dots] + 3(+2) + [\dots] - 10} \end{aligned}$$

$$\begin{aligned}
 &= \frac{+8 - 8 + [\dots]}{+4 + 6 - 10 + [\dots]} \\
 &= \frac{0 + [\dots]}{0 + [\dots]} = \frac{[\dots]}{[\dots]} = \text{any size}
 \end{aligned}$$

So we must go back and approximate to the linear terms, ignoring the constant terms since we just saw that they add up to 0 both in the numerator and the denominator:

$$\begin{aligned}
 &= \frac{3(+2)^2h + [\dots]}{2(+2)h + [\dots] + 3h} \\
 &= \frac{+12h + [\dots]}{+4h + [\dots] + 3h} = \frac{+12h + [\dots]}{+7h + [\dots]} \\
 &= +\frac{12}{7} + [\dots]
 \end{aligned}$$

and, since  $+\frac{12}{7} \neq 0$ ,  $+2$  is *not* an 0-height input for *TARA*.



## Chapter 16

# Homographic Functions



## Part V

# Transcendental Functions

pppppp



Part VI

Epilogue



Looking Back, 471 • Looking Ahead, 472 • Reciprocity Between 0 and  $\infty$ , 474 • The Family of Power Functions, 486 • The bigger the size of the exponent the boxier the graph, 488 • Local Quantitative Comparisons, 491 • Global Quantitative Comparisons, 494 .

- Derived functions
  - Functions defined equationally
  - Matters of *size* e.g. the bigger the size of the exponent, the boxier the graph
- Check that reciprocity has been moved correctly to **Chapter 7**

## 1 Looking Back

Until now, the global graph of each new kind of function was qualitatively very different as we moved from one kind of functions to the next.

**1.** In the case of the *power functions*, we found that the *qualitative features* of the global graphs of

- i. regular positive-exponent power functions,
- ii. negative-exponent power functions,
- iii. exceptional power functions, that is
  - 0-exponent power functions
  - 1-exponent power functions

were very different but the differences among power functions of any particular type were not really that great in that, from the point of view of the *shape* of the global graph, there were really only four types of regular power functions (depending on the *sign* and the *parity* of the *exponent*) and only two types of exceptional power functions (depending on the *parity* of the *exponent*).

**2.** In the case of the *polynomial functions*, we found that the *qualitative features* of the global graphs changed a lot when we moved from one degree to the next:

- i. The global graph of a *constant function* (Degree 0)
  - has no *height*-sign change input, (same *height* everywhere)
  - has no *slope*,
  - has no *concavity*,
- ii. The global graph of an *affine function* (Degree 1)
  - always has exactly one *height*-sign change input,
  - has no *slope*-sign change input, (same *slope* everywhere)

- has no *concavity*,
- iii. The global graph of a *quadratic function* (Degree 2)
  - may or may not have *height*-sign change input(s),
  - always has exactly one *slope*-change input,
  - has no *concavity*-sign change input, (same *concavity* everywhere)
- iv. The global graph of a *cubic function* (Degree 3)
  - has at least one *height*-sign change inputs,
  - may or may not have *slope*-change input(s),
  - has exactly one *concavity*-sign change input,

As for the qualitative differences among the global graphs of polynomial functions of a *same* degree, they are not great—but growing along with the *degree*.

- i. The difference among *constant functions* is the *height* of the global graph.
- ii. The differences among *affine functions* are the *height* and the slope of the global graph.
- iii. The differences among *quadratic functions* are the *height*, the slope and the concavity of the global graph.
- iv. The differences among *cubic functions* are not only the *height*, the slope and the *concavity* of the global graph but also whether or not there is a *bounded fluctuation*.

Thus, in terms of content organization, the *degree* of polynomial functions was a very powerful organizer if only because this allowed us introduce the features, *height*, *slope*, *concavity*, one at a time.

The emphasis throughout will be to convince ourselves of the need to proceed very systematically while keeping our eyes open so as to take advantage of whatever might make our life easier and not to do anything that we do not absolutely have to do.

## 2 Looking Ahead

We will now say a few words about the way rational functions will be dealt with in the rest of this text.

1. While, so far, we have had a very transparent content organization, in contrast, in the case of *rational functions*, the *rational degree* will *not* be such a powerful organizer because the four different types of rational functions will not be markedly different.

Still, in each one of the next four chapters, we will investigate a given type of rational function but this will be mostly in order not to upset the reader with too much variety from the get go. However, we will not be able to

develop much of a theory for each type and we will mostly go about gathering experience investigating rational functions without paying too much attention to the type of rational function being dealt with, taking things as they come.

On the other hand, the differences among rational functions of any given type of rational degree, will be quite significant because of the possible  $\infty$ -height inputs.

Thus, the other side of the coin will be that, while, until now, once we had a theory of a kind of function, the investigation of this kind of functions quickly became a bit boring in that we knew what the overall global graph was going to look like, in the case of rational functions, there will be a much more interesting *diversity*.

**2.** Before anything else, it should be stressed that in the investigations of any given *rational function* we will follow essentially the exact same approaches that we used in the investigation of any given *power function* and of any given *polynomial function*: We will thus

- i.** get its local graph near  $\infty$ ,
- ii.** get the answer to the ESSENTIAL QUESTION and find the  $\infty$ -height input(s), if any. (This will involve solving an equation.)
- iii.** get the local graph near the  $\infty$ -height inputs, if any.
- iv.** get the global graph by interpolating the local graph near  $\infty$  and the local graphs near the  $\infty$ -height inputs, if any.

**3.** As happened each time we investigated a new kind of function, finding the local rule near bounded inputs—and therefore near  $\infty$ -height input(s)—will require a new algebra tool.

**4.** As with any function, rational or otherwise, what we will actually do will depend of course on what information we need to find and there are going to be two main kinds of questions:

**a.** *Local questions*, that is, for instance:

- Find the local concavity-sign near a given input,
- Find the local slope-sign near a given input,
- Find the local height-sign near a given input,
- Find the local graph near a given input,

The given input can of course be *any* input, that is  $\infty$  or any given *bounded* input, for instance an  $\infty$ -height input, a concavity-sign change input, a slope-sign change input, a height-sign change input or any *ordinary* input whatsoever.

**b.** *Global questions*, that is, for instance

reciprocal function  
reciprocal

- Find the concavity-sign change input(s), if any
- Find the slope-sign change input(s), if any
- Find the height-sign change input(s), if any
- Find where the output has a given concavity-sign
- Find where the output has a given slope-sign
- Find where the output has a given height-sign
- Find the global graph

In the case of global questions, it will usually be better to start by getting the *bounded graph* and then to get the required information from the bounded graph. But then of course, since the bounded graph is really only the *essential* bounded graph, that is the graph that is interpolated from the *outlying graph*, the global information that we will get will only be about the *essential* features that is the features forced onto the bounded graph by the *outlying graph*.

The curious reader will obviously have at least three questions:

- How do the various power functions compare among each other?
- What of polynomial functions of degree higher than 3?
- What of Laurent polynomial functions?

In the “overview”, we will discuss the several manners in which *regular positive-power* functions, *negative-power* functions and *exceptional-power* functions all fit together. This will require discussing the *size* of slope.

### 3 Reciprocity Between 0 and $\infty$

We will now investigate the relationship between 0 and  $\infty$

**1. Reciprocal Function** The **reciprocal function** is the power function with exponent  $-1$  and coefficient  $+1$ , that is the function whose global input-output rule is

$$x \xrightarrow{RECIPROCAL} RECIPROCAL(x) = (+1)x^{-1} \\ = +\frac{1}{x}$$

so that the output is the **reciprocal** of the input (hence the name).

**1.** The first thing about the reciprocal function is that it is typical of negative-exponent power functions in terms of what it does to the *size* of the output:

$$+large \xrightarrow{RECIPROCAL} RECIPROCAL(+large) = +small$$

$$-large \xrightarrow{RECIPROCAL} RECIPROCAL(large) = -small$$

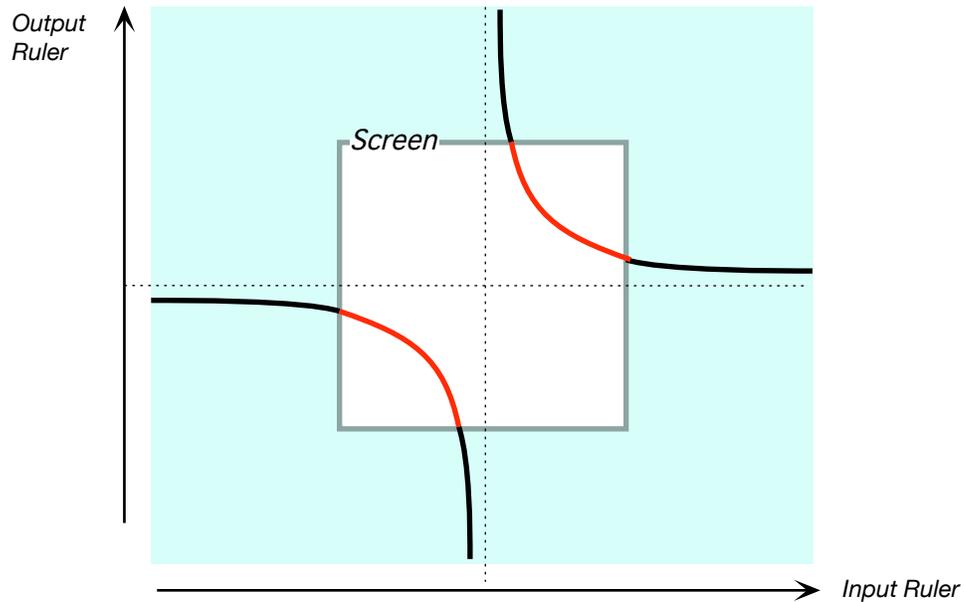
and

$$+small \xrightarrow{RECIPROCAL} RECIPROCAL(small) = +large$$

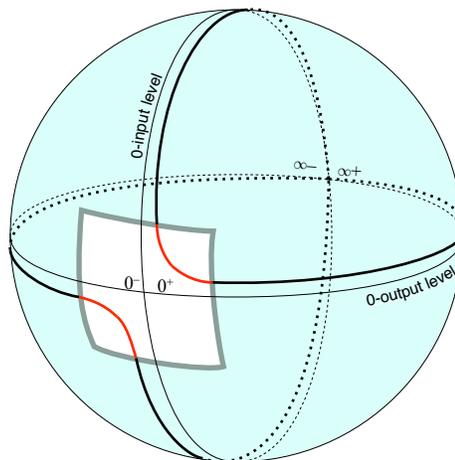
$$-small \xrightarrow{RECIPROCAL} RECIPROCAL(small) = -large$$

2. More generally, the global graph of the reciprocal function is:

- Mercator picture:



- Magellan picture:



3. Although quite different from the *identity function*, the *reciprocal*

family  
prototypical

*functions* does play a role in the **family** of all power functions that is quite similar in some respects to the role played by the *identity function*

For instance, because the size of the exponent in both cases is 1, they are both the “first” of their kind.

However, that is not very important because:

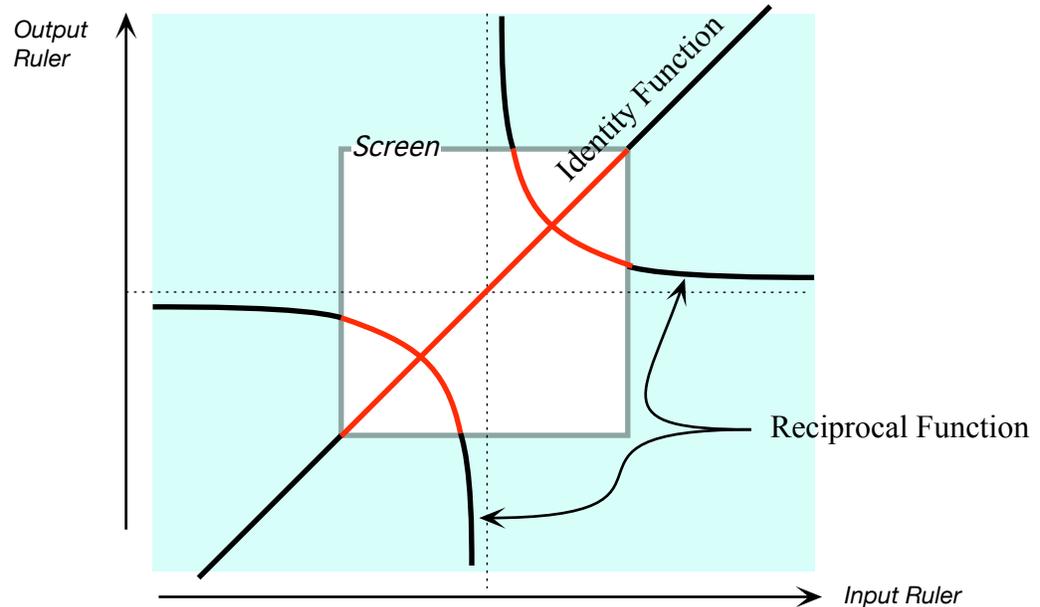
- The *identity function* is **not prototypical** of the other power functions because the identity function is a linear function and has no concavity.

**EXAMPLE 16.1.** The identity function lack concavity while all regular power function have concavity.

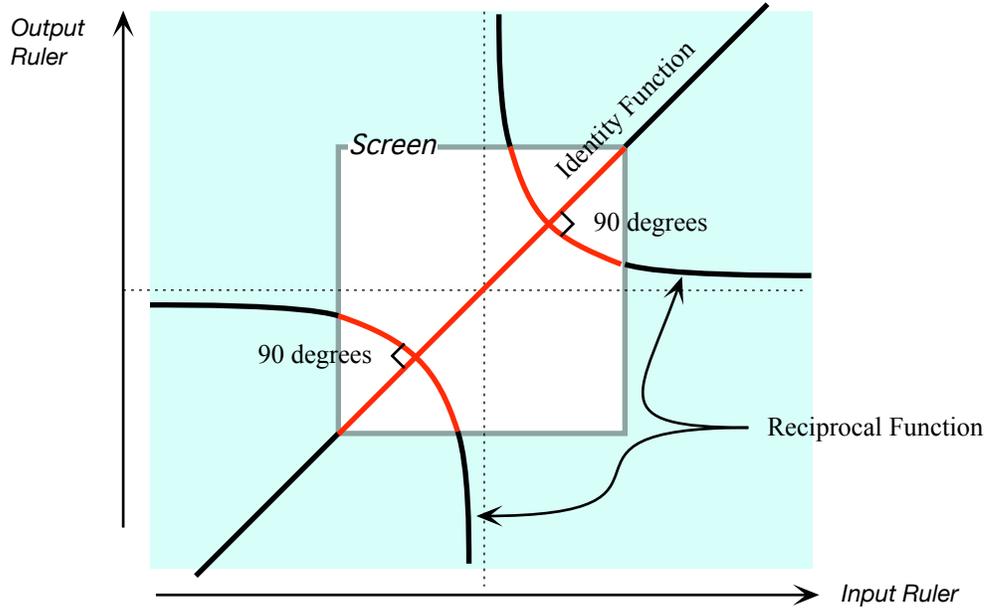
- The *reciprocal function* is *prototypical* of the other *negative power functions* in many ways.

**EXAMPLE 16.2.** The shape of the reciprocal function is essentially the same as the shape of all (negative-exponent) power functions of type NOP

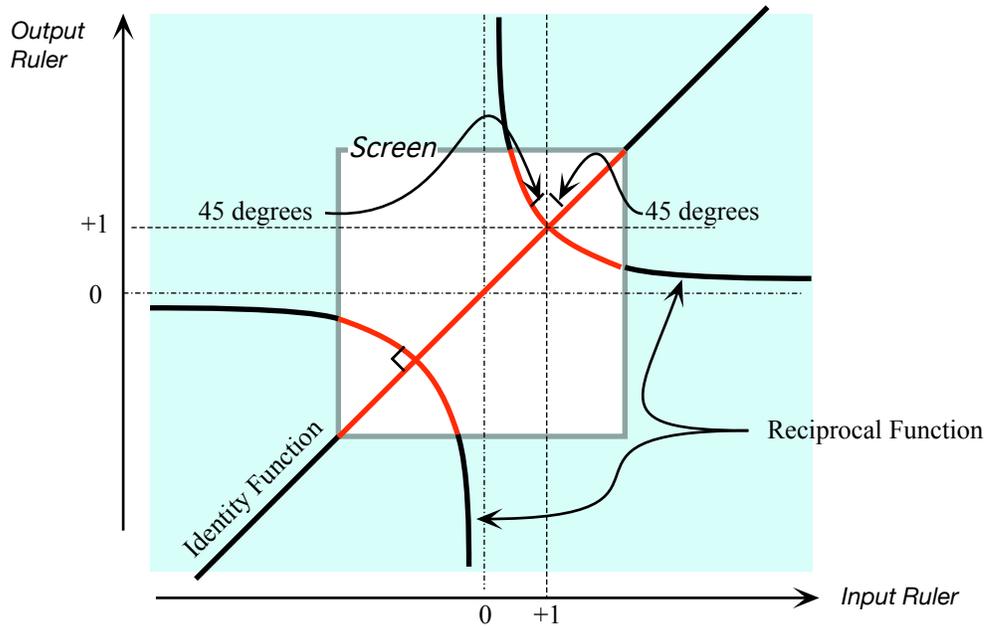
One thing the identity function and the reciprocal function have in common, though and for what it’s worth at this time, is that the reciprocal function is the mirror image of itself when the mirror is the identity function.



In particular, they intersect at a 90 degree angle.



Another way way to look at it is that the local graphs near +1 are locally mirror images of each other when the mirror is the input level line for +1:



2. Reciprocity

far  
reciprocal of each other

1. It will be convenient to introduce two new terms:
  - We introduced the word “*near*” almost from the beginning and, with Magellan graphs in mind, we will now introduce the word “**far**”. Thus,
    - When an input is *large*, it is *near*  $\infty$  and therefore *far* from 0,
    - When an input is *small*, it is *near* 0 and therefore *far* from  $\infty$ .
  - More generally, we will say that *two* power functions are **reciprocal of each other** when:
    - their coefficient are *the same*,
    - the *size* of their exponents are *the same*,
    - the *sign* of their exponents are *the opposite*.

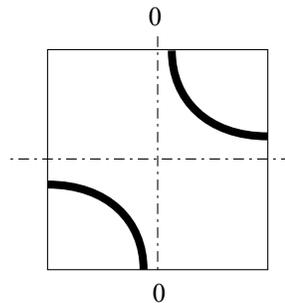
In other words, two power functions are *reciprocal of each other* whenever they differ only by the *sign* of their *exponents*.

**EXAMPLE 16.3.** The identity function and the reciprocal function are reciprocal.

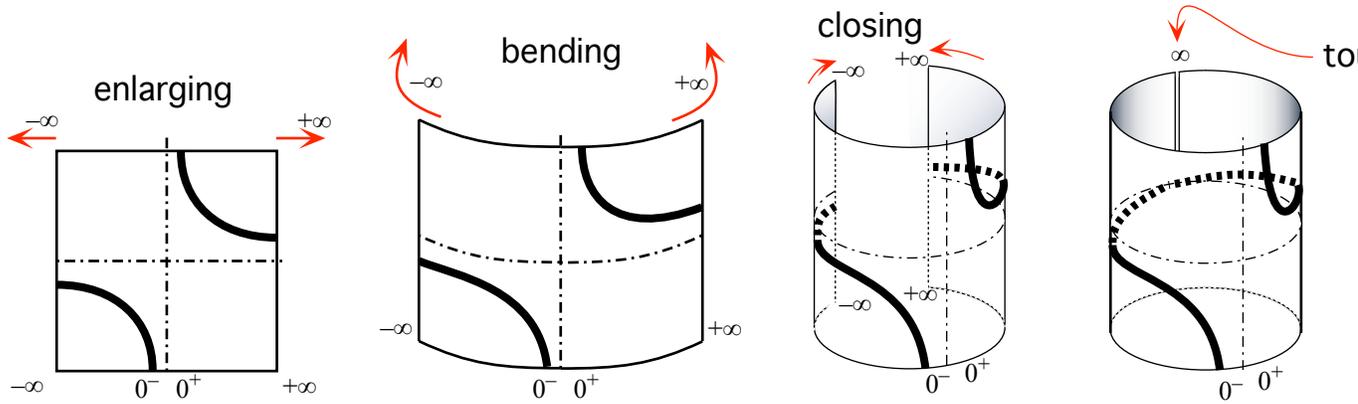
We will see that, when the mirror is the input level line for  $+1$ , the local graphs near  $+1$  of two power functions that are *reciprocal of each other* are approximately mirror images of each other. But the angles will not be 45 degrees anymore.

2. The point of all this is that the local graph near  $\infty$  of a regular power function is the same as the local graph near 0 of the power function that it is reciprocal of and, vice versa, the local graph near 0 of a regular power function is the same as the local graph near  $\infty$  of the power function that it is reciprocal of.

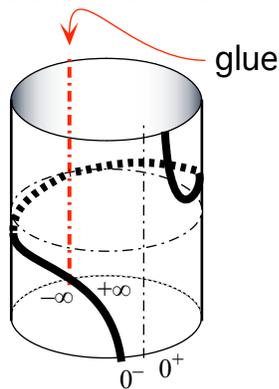
**EXAMPLE 16.4.** Given the local graph near 0 of *JACK*, an odd *positive* power function with positive coefficient :



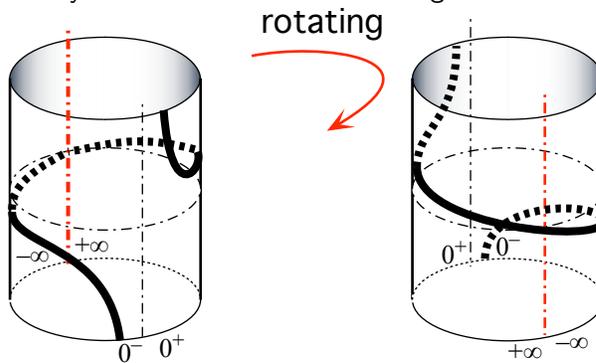
We enlarge the *extent* of the input ruler more and more while shrinking the *scale* by the edges more and more and, as we do so, we bend the screen backward more and more closing down the gap until the edges touch.



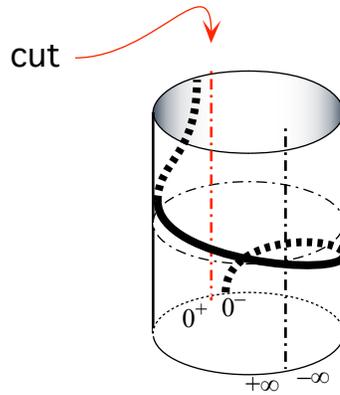
We then glue shut the edges of the screen at  $\infty$  to get a cylinder.



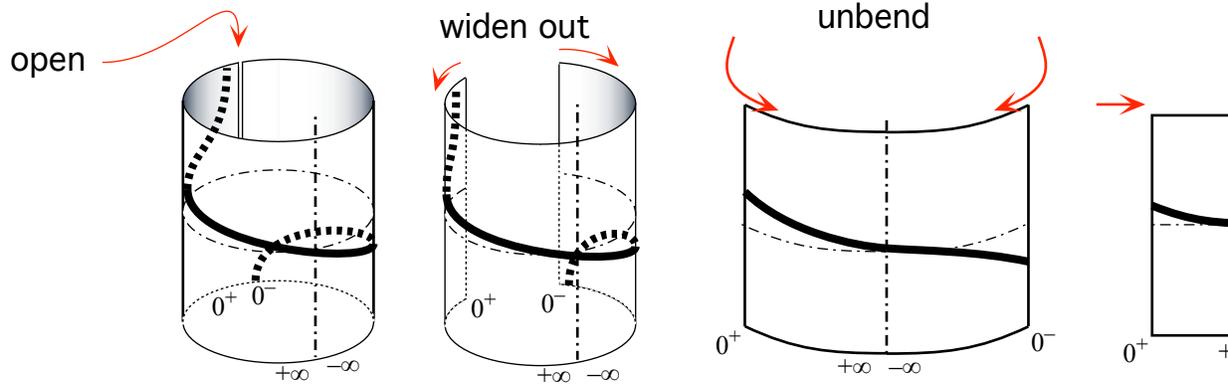
Then we turn the cylinder half a turn so that  $\infty$  gets to be in front of us:



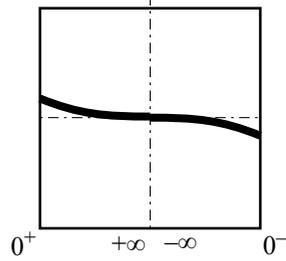
Now we cut open the cylinder along the input level line for 0



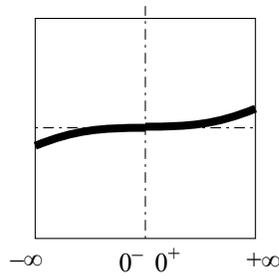
We widen out and unbend the screen forward more and more until it becomes flat.



The local graph near  $\infty$  that we end up with:

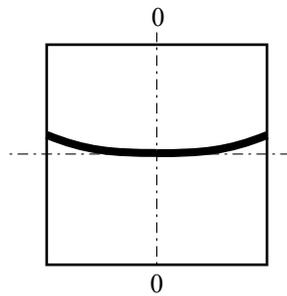


is exactly like the local graph near 0 of *JACK's reciprocal power function* which is an odd *positive* power function with positive coefficient:

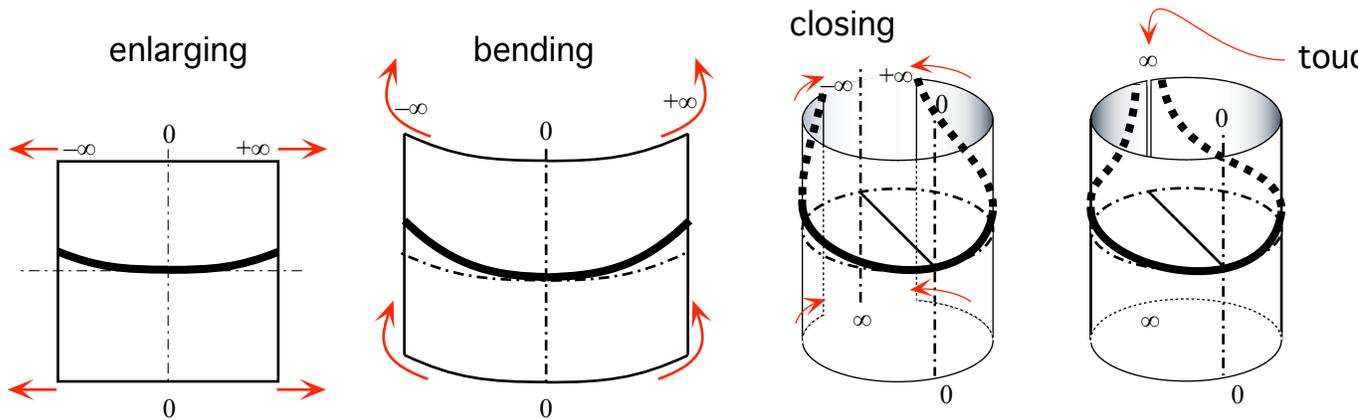


(On both graphs, outputs for negative inputs are negative and outputs for positive inputs are positive.)

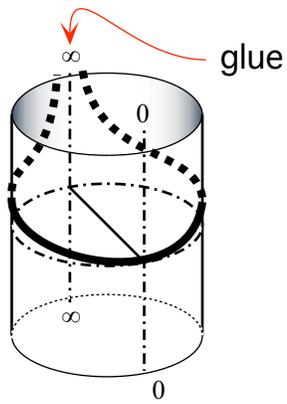
**EXAMPLE 16.5.** Given the local graph near 0 of the even *positive* power function *JILL*:



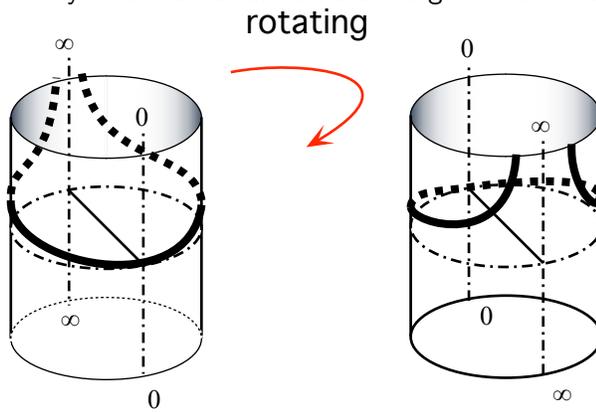
We enlarge the *extent* of the input ruler more and more while shrinking the *scale* by the edges more and more and, as we do so, we bend the screen backward more and more until the edges touch.



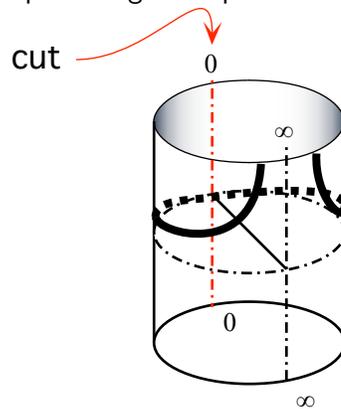
We then glue the edges of the screen at  $\infty$  to get a cylinder.



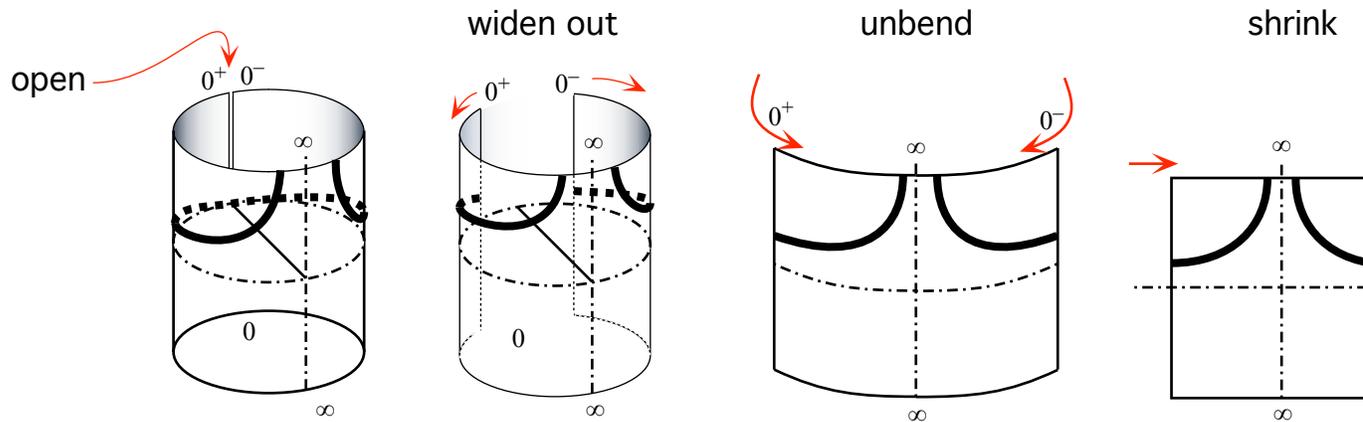
Then we turn the cylinder half a turn so that  $\infty$  gets to be in front of us:



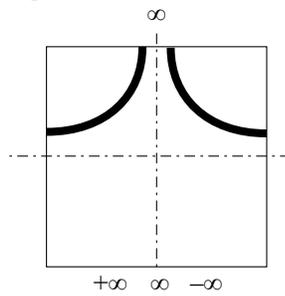
Now we cut the cylinder open along the input level line for 0



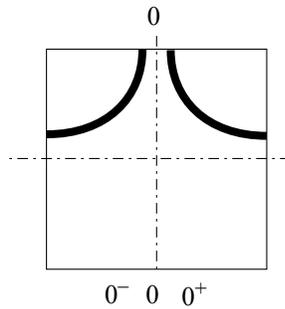
We unbend the screen forward more and more until it becomes flat.



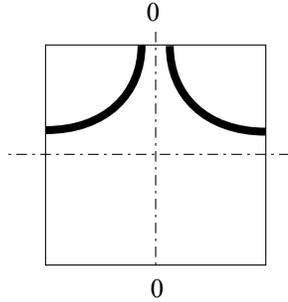
The local graph near  $\infty$  that we get (Remember that the left side of  $\infty$  is the positive side of  $\infty$  and the right side of  $\infty$  is the negative side of  $\infty$ ):



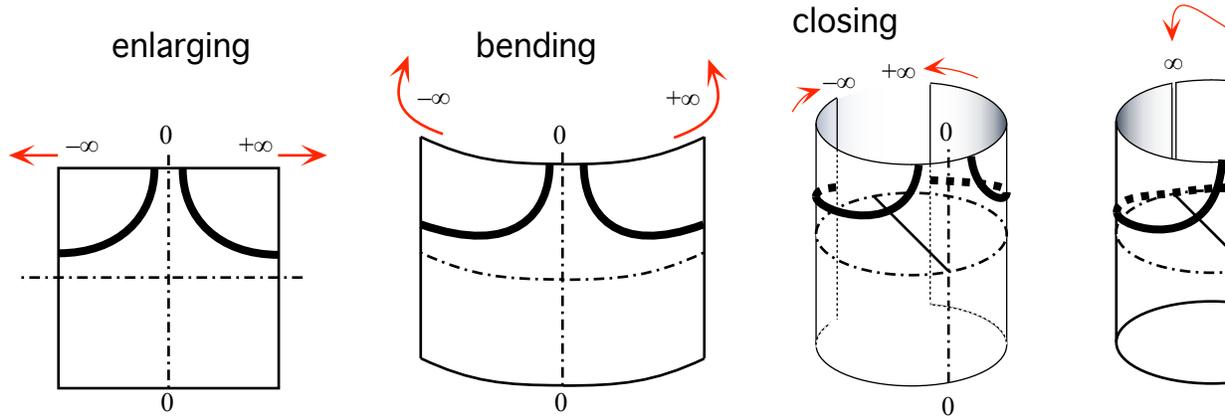
is just like the local graph near 0 of *JILL's reciprocal* power function which is a *negative, even-exponent* power function:



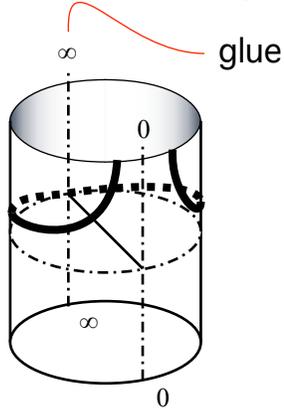
**EXAMPLE 16.6.** Given the local graph near 0 of the even *positive* power function *JACK*:



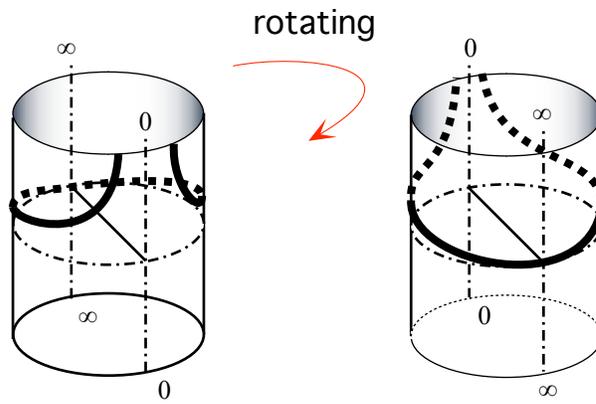
We enlarge the *extent* of the input ruler more and more while shrinking the *scale* by the edges more and more and, as we do so, we bend the screen backward more and more until the edges touch.



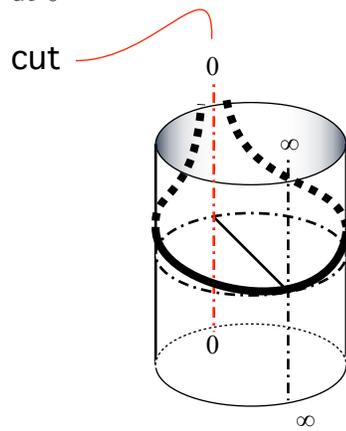
We then glue the edges of the screen at  $\infty$  to get a cylinder.



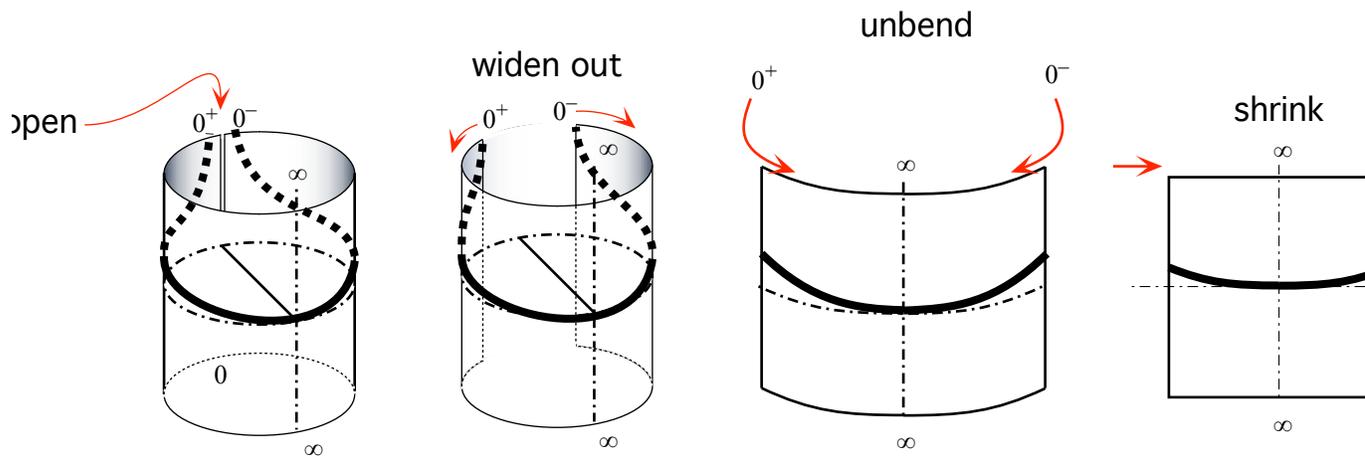
Then we turn the cylinder half a turn so that  $\infty$  gets to be in front of us:



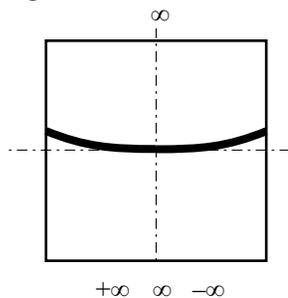
Now we cut the cylinder at 0



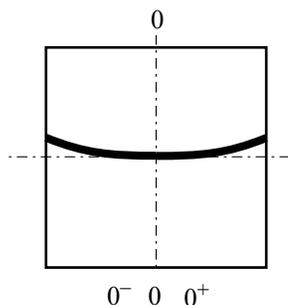
and we unbend the screen forward more and more until it becomes flat.



The local graph near  $\infty$  that we get (Remember that the left side of  $\infty$  is the positive side of  $\infty$  and the right side of  $\infty$  is the negative side of  $\infty$ ):



is just like the local graph near 0 of *JACK's reciprocal* power function which is an even *positive* power function:



## 4 The Family of Power Functions

The following is more of an informative nature at this stage than something that we will be building on in this text. The purpose here is mostly to give some coherence to all the power functions by showing various ways in which they fit together. It should help the reader organize her/his vision of power functions.

**1. Types of Regular Functions** This is just a recapitulation of stuff we saw in the preceding two chapters:

Sign exponent	Parity exponent	Sign coefficient	TYPE
+	<i>Even</i>	+	<i>PEP</i>
		-	<i>PEN</i>
	<i>Odd</i>	+	<i>POP</i>
		-	<i>PON</i>
-	<i>Even</i>	+	<i>NEP</i>
		-	<i>NEN</i>
	<i>Odd</i>	+	<i>NOP</i>
		-	<i>NON</i>

size-preserving  
size-inverting  
fixed point

**2. What Power Functions Do To Size** We will say that a function is **size-preserving** when the size of the output is the same as the size of the input, that is “small gives small” and “large gives large”.

**EXAMPLE 16.7.** Regular positive-exponent power functions are *size-preserving*:

Correspondingly, we will say that a function is **size-inverting** when the size of the output is the *reciprocal* of the size of the input, that is “small gives large” and “large gives small”.

**EXAMPLE 16.8.** Negative-exponent power functions are *size-inverting*:

By contrast, with *exponent-zero* power functions, the output for *small* inputs has size 1 and so is neither *small* nor *large* and so *exponent-zero* power functions are neither *size-preserving* nor *size-inverting*. You might say that they are “size-squashing”.

Thus, in a way, constant functions separate *regular positive-exponent* power functions from *negative-exponent* power functions.

On the other hand, even though linear functions are exceptional, they are nevertheless *size-preserving*.

**3. Fixed point** A **fixed point** for a function is an input whose output is equal to the input.

template

**EXAMPLE 16.9.** Given the identity function, every input is a *fixed point*. In particular, both 0 and +1 are *fixed points*.

**EXAMPLE 16.10.** 0 is a fixed point for all regular power functions.

**EXAMPLE 16.11.** +1 is a fixed point for all regular power functions.

**EXAMPLE 16.12.** −1 is a fixed point for all regular even-exponent power functions.

## 5 The bigger the size of the exponent the boxier the graph

We will call **template** something that looks like it could be the graph of a regular power function except that it is not a function because the inputs −1 and +1 both have an unbounded number of outputs. Each type of regular power function has its own template.

1. We begin by comparing power functions with their template two at a time.

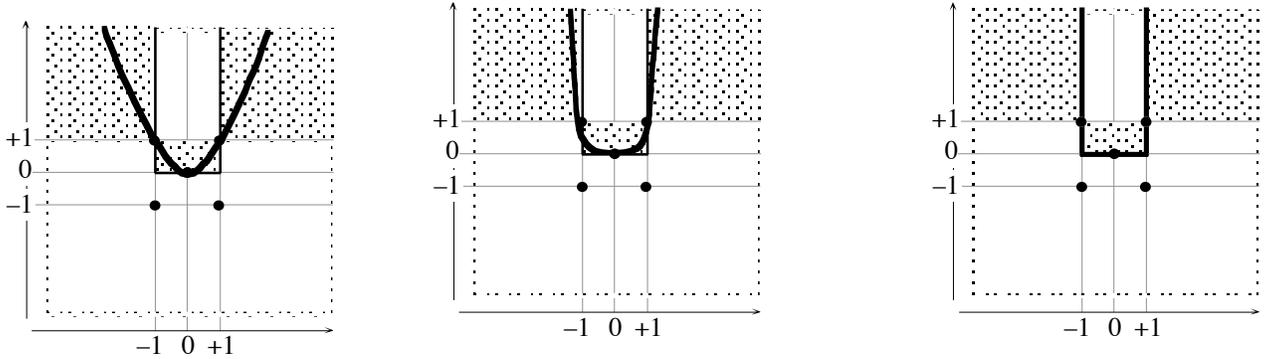
**EXAMPLE 16.13.** The positive-even-exponent power function whose global input-output rule is

$$x \xrightarrow{POWER_{+4}} POWER_{+4}(x) = +x^{+4}$$

is much closer to its template than the positive-even-exponent power function whose global input-output rule is

$$x \xrightarrow{POWER_{+2}} POWER_{+2}(x) = +x^{+2}$$

5. THE BIGGER THE SIZE OF THE EXPONENT THE BOXIER THE GRAPH 489

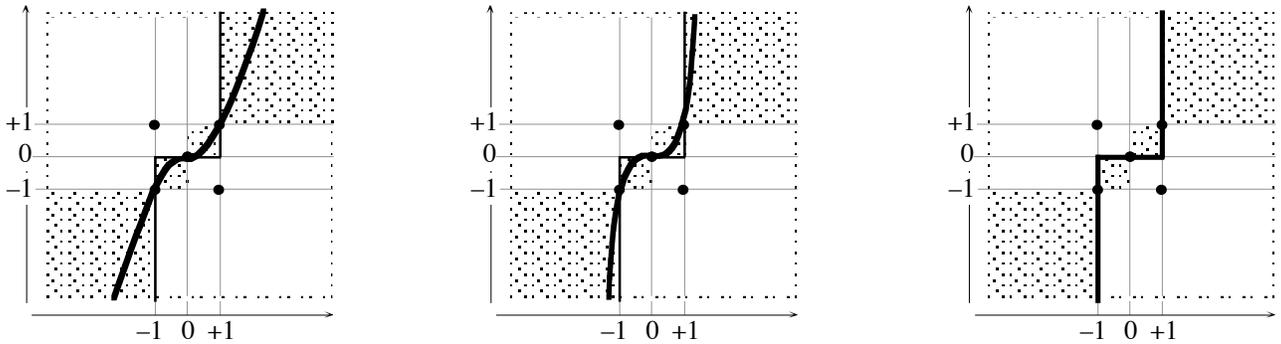


**EXAMPLE 16.14.** The positive-odd-exponent power function whose global input-output rule is

$$x \xrightarrow{POWER_{+5}} POWER_{+5}(x) = +x^{+5}$$

is much closer to its template than the positive-odd-exponent power function whose global input-output rule is

$$x \xrightarrow{POWER_{+3}} POWER_{+3}(x) = +x^{+3}$$

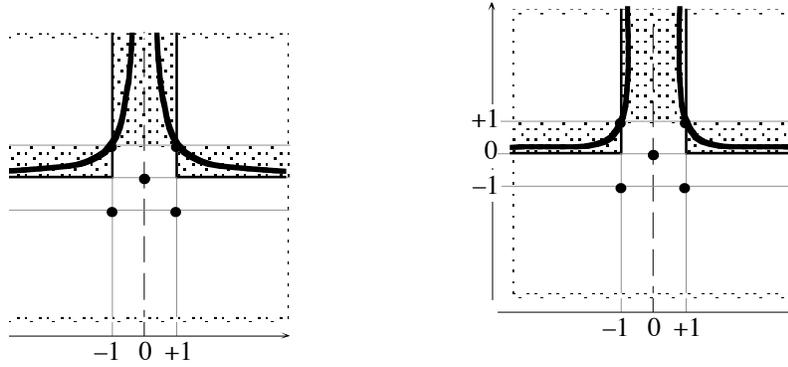


**EXAMPLE 16.15.** The negative-even-exponent power function whose global input-output rule is

$$x \xrightarrow{POWER_{-4}} POWER_{-4}(x) = +x^{-4}$$

is much closer to its template than the negative-even-exponent power function whose global input-output rule is

$$x \xrightarrow{POWER_{-2}} POWER_{-2}(x) = +x^{-2}$$

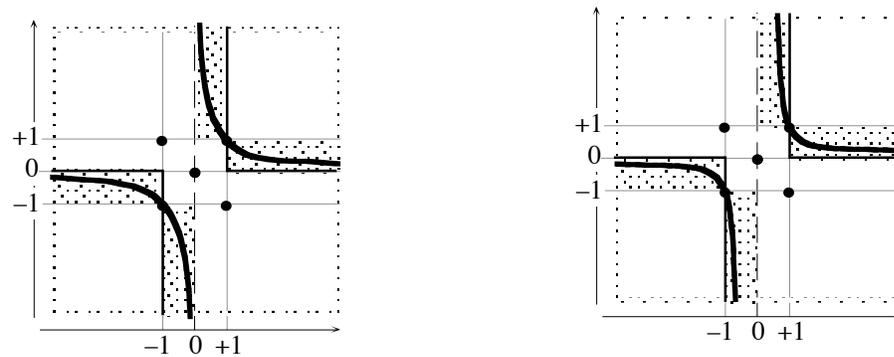


**EXAMPLE 16.16.** The negative-odd-exponent power function whose global input-output rule is

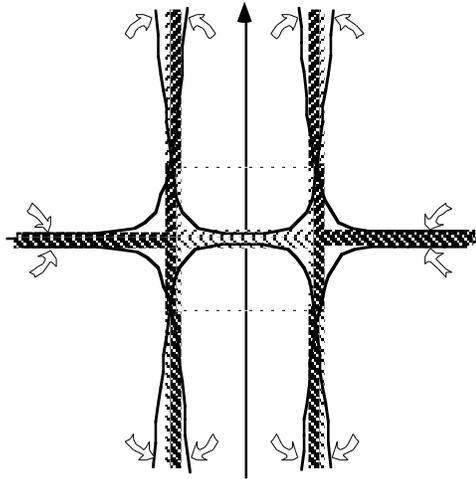
$$x \xrightarrow{POWER_{-3}} POWER_{-3}(x) = +x^{-3}$$

is much closer to its template than the negative-odd-exponent power function whose global input-output rule is

$$x \xrightarrow{POWER_{-1}} POWER_{-1}(x) = +x^{-1}$$

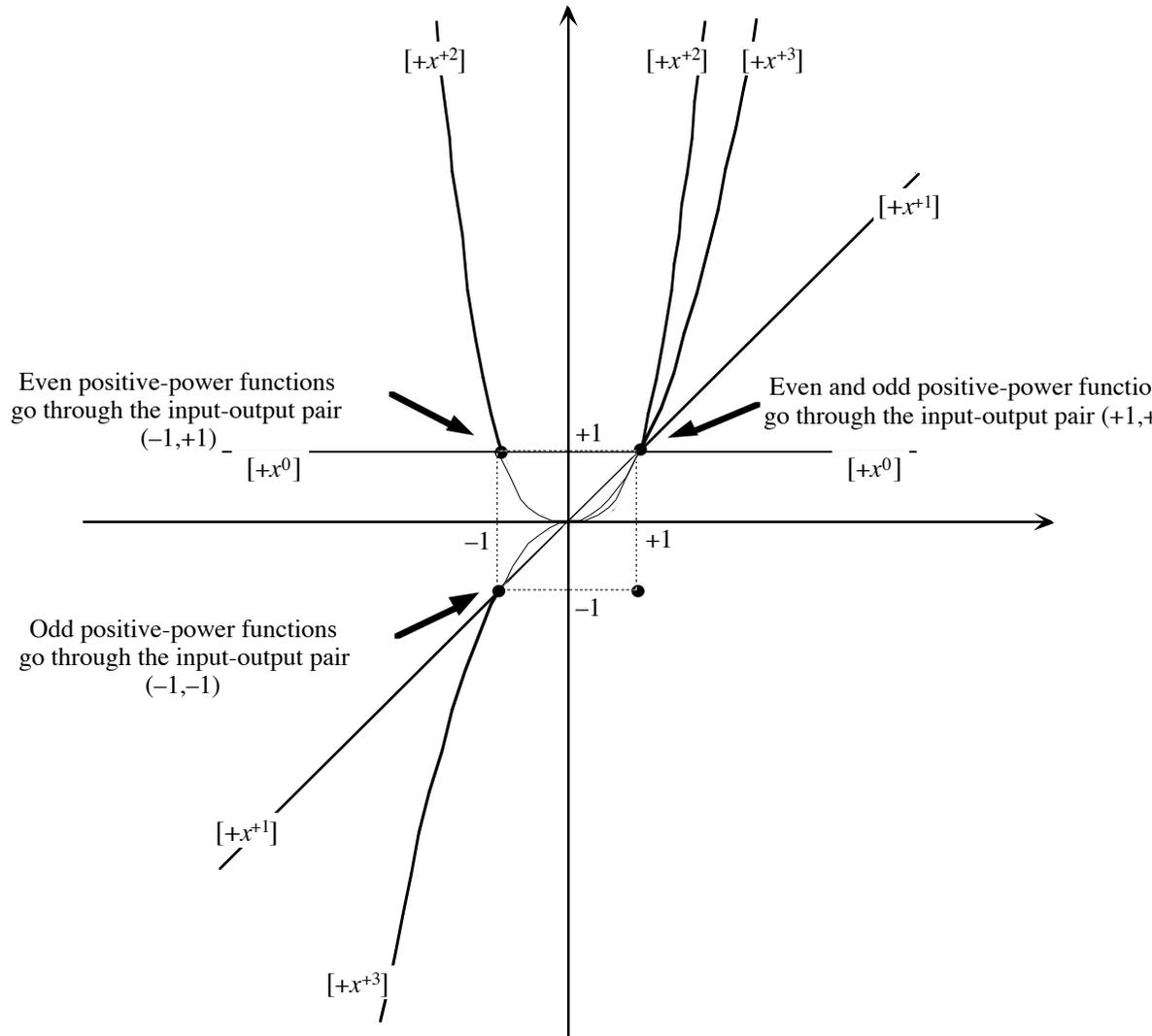


2. Together, power functions make an interesting pattern:

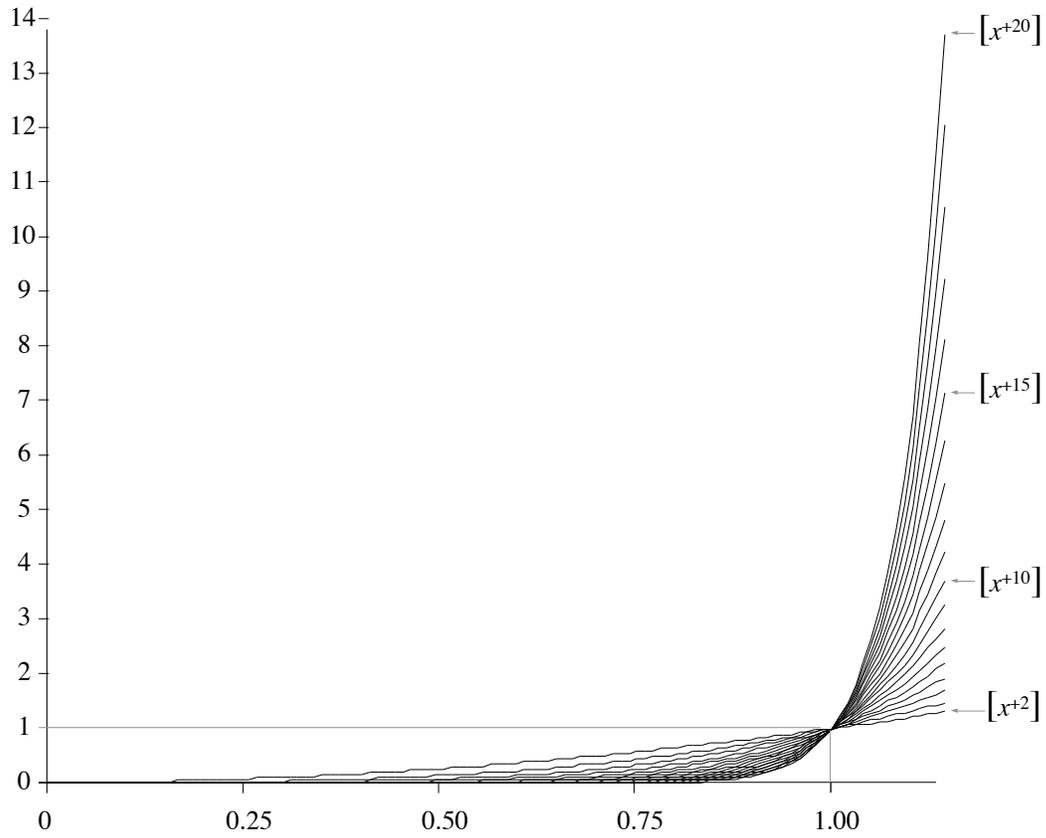


## 6 Local Quantitative Comparisons

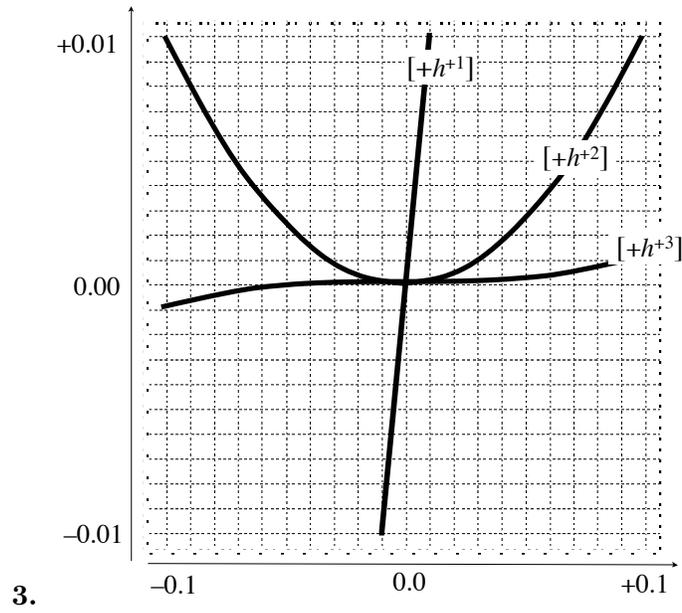
1. Local quantitative comparison near  $\infty$



## 2. Local quantitative comparison near +1

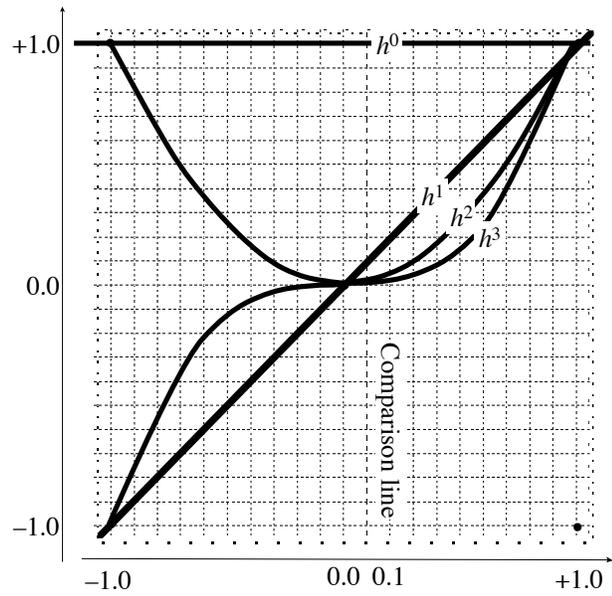


Local quantitative comparison near 0, between  $-0.1$  and  $+0.1$

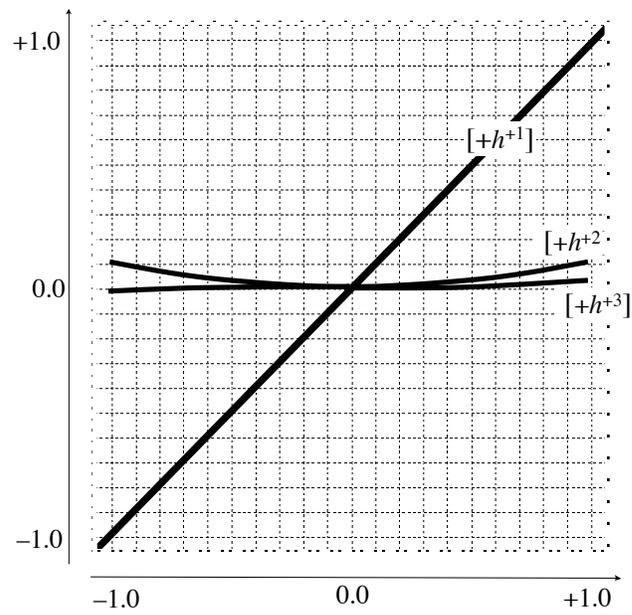


## 7 Global Quantitative Comparisons

1. Global quantitative comparison between  $-1$  and  $+1$

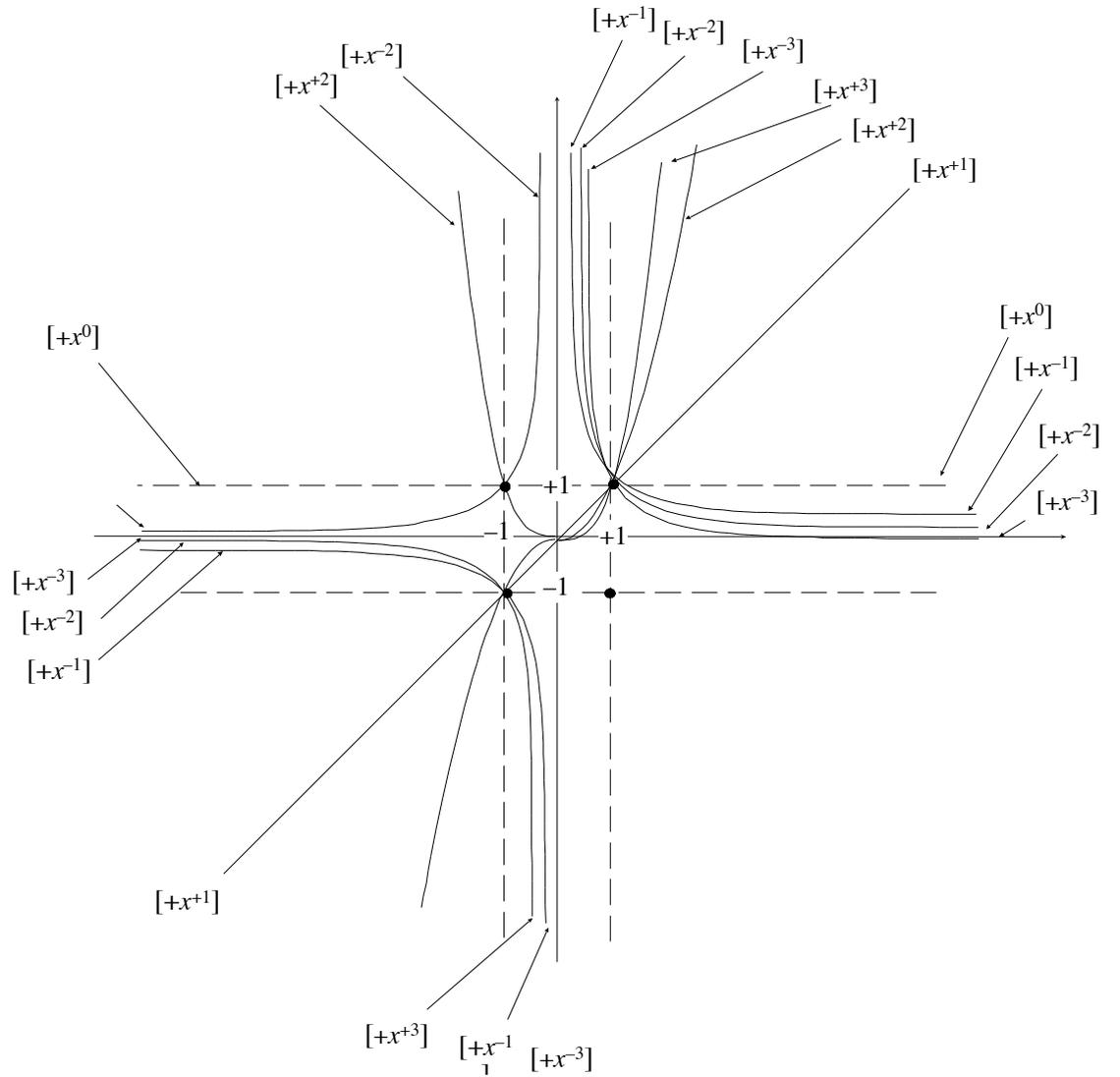


2. Global quantitative comparison between  $-1$  and  $+1$

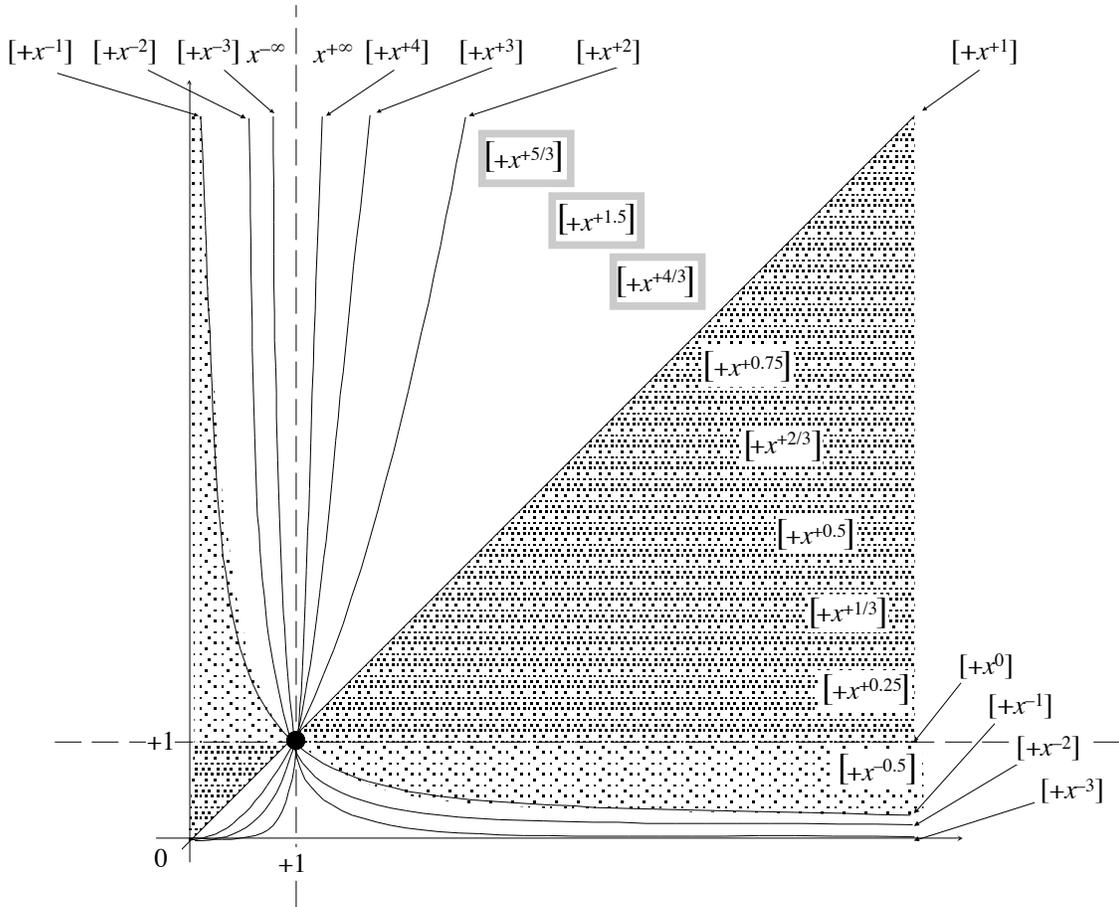


### 1. Symmetries Of Power Functions

3.



**2. Coverage By Power Functions**



Observe that there are graphs of power functions whose exponent is a fraction or a decimal number and that these graphs are exactly where we would expect them to be based on the way the fractional or decimal exponent fits with the whole number exponents. This, though, is something that will be investigated in the next volume: REASONABLE TRANSCENDENTAL FUNCTIONS.



**Part VII**  
**Appendices**



# Appendix A

## Dealing With Numbers

list  
paper world  
entity  
numeral phrase  
numerator  
numeral  
magnitude  
quantitative information  
denominator  
essence  
qualitative information

Real World *Numbers* - Paper World *Numerals*, 501 • Things To Keep In Mind, 505 • Zero And Infinity, 507 • Plain Whole Numbers, 508 • Comparing., 510 • Adding and Subtracting, 512 • Multiplying and Dividing, 512.

=====Begin LOOK UP=====

Collection of objects can be **listed**.

### 1 Real World *Numbers* - Paper World *Numerals*

Separating what is happening in the **real world** from what is happening in the **paper world** of a text is not easy so this section will use the terminology used in MODEL THEORY and LINGUISTICS. And since it is impossible to exhibit in the **paper world** the **real world entities** we will want to calculate about, we will use **paper world drawings** as *stand-ins* for **real world entities**:

*With heavy reminders of to which world each word belongs!*

There are two kinds of **real world entities** which we will both denote with **paper world numeral phrases** consisting of:

- ▶ A **numerator** using **numerals** ([https://en.wikipedia.org/wiki/Numerals\\_\(linguistics\)](https://en.wikipedia.org/wiki/Numerals_(linguistics))) to provide the **magnitude** of the **entity**. (**Quantitative information**.)

and

- ▶ A **denominator** using **words** to provide the **essence** of the **entity**. (**Qualitative information**.)

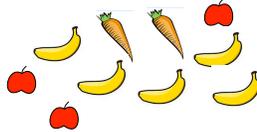
However, the two kinds of **real world entities** are different enough that we will have to use two different kinds of **paper world numerals** in the **numerators**.

collection  
 item  
 whole number  
 count  
 plain whole numeral

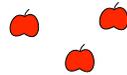
### 1. Magnitude of collections of items.

i. **Real world.** Since we get a **real world collection** of *identical real world items* just by gathering the **real world items**, determining **how many real world items** there are in a **collection** is simple: we get the **whole number** of **real world items** in the **collection** just by **counting** the **real world items** in the **collection**.

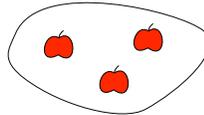
**EXAMPLE A.1.** The real world items



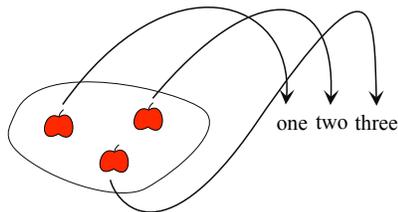
are *not* all the same and so *cannot* be gathered into a real world collection but the real world items



are all the same and so *can* be gathered into a real world collection:



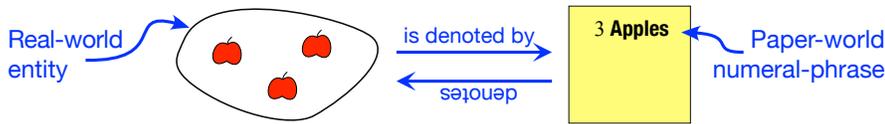
and we get the whole number by *counting* the items:



ii. **Paper world.** Collections of items are then denoted by **paper world numeral phrases** in which:

- ▶ The **paper world numerator** is the **paper world plain whole numeral** which says *how many* items there are in the **collection**, that is which denotes the **real world whole number** of items in the **real world collection**,
- ▶ The **paper world denominator** is the **paper world word** which says *what kind* of items are in the **collection**, that is which denotes the kind of **real world items** in the **real world collection**.

**EXAMPLE A.2.**



unit  
 decimal number  
 plain decimal numeral  
 decimal pointer  
 digit

where:

- The numeral 3 says how many items in the collection, and where
- The word Apple says what kind items in the collection.

**2. Magnitude of amounts of stuff.**

i. **Real world.** Since *stuff* comes in bulk, determining how much stuff there is in an amount of stuff is much more complicated than deciding how many items there are in a collection of items because, in order to determine how much stuff there is in a real world amount of stuff, we first need a real world unit of that stuff. Only then can we determine the decimal number of units in the amount of stuff.

Which is why “The Weights and Measures Division promotes uniformity in U.S. weights and measures laws, regulations, and standards to achieve equity between buyers and sellers in the marketplace.”  
 (https://www.usa.gov/federal-agencies/weights-and-measures-division)

**EXAMPLE A.3.** Milk is *stuff* we drink and before we can say how much milk we have or want, we must have a real world unit of milk, say liter of milk or pint of milk.

ii. **Paper world.** Amounts of stuff are then denoted by paper world numeral phrases in which:

- ▶ The paper world numerator is the paper world plain decimal numeral which says how much stuff there is in the amount of stuff, that is, more precisely, the plain decimal numeral in which the decimal pointer indicates which digit corresponds to the unit of stuff in the denominator, which denotes the real world decimal number of units of stuff in the amount of stuff.
- ▶ The paper world denominator is the paper world word which says what kind of stuff in the amount of stuff and what unit of stuff.

Which points to its left.

**EXAMPLE A.3. (Continued)** Then we may say we have or want, say, 6.4 liters of milk or, say, 3 pints of milk.

It should be noted that decimal numerals work hand in hand with the METRIC SYSTEM of units while US Customary units usually require fractions,  $\frac{1}{2}$ ,  $\frac{1}{4}$ ,  $\frac{1}{8}$ ,  $\frac{1}{16}$ , etc and mixed fractions.

Although panels on interstate roads have begun to show such things as 3.7 Miles.

**3. Orientation of entities.** Numerators can provide more information than just the magnitude of the entity, that is about the whole number of

orientation  
signed whole numeral  
signed decimal numeral  
thing  
give  
qualifier

items or the **decimal number** of **units** of **stuff**, and can also provide **information** about the **orientation** of the **entity** by using **signed whole numerals** and **signed decimal numerals** instead of plain whole numerals and **plain decimal numeral**

#### 4. Concluding remarks.

- i. Since **decimal numeral** denote **amounts** of **stuff** while **whole numerals** denote **collections** of items, we absolutely need to distinguish **decimal numerals** from **whole numerals**.

**EXAMPLE A.4.** We need to distinguish the *decimal numeral* 27, which we would denote an amount of *stuff* from the *whole numeral* 27 which would denote a collection of *items*.

*Told him it wouldn't! Didn't believe me! Wasted a lot of time trying anyway.*

So, it would be tempting to agree that “The decimal point will *never* go without saying in this text.” but, unfortunately, this is not really sustainable.

So, like everybody, we will have to agree that

**AGREEMENT A.1** will often go without saying and we will often leave it to the reader to decide which kind of **numeral** is intended.

**EXAMPLE A.5.** When using money, pennies may or may not be beside the point:

- ▶ We are more likely to write \$12.00 than \$12
- but
- ▶ We are more likely to write \$7 000 000 than \$7 000 000.00.

*Of course, sales people would write \$11.99!*

- ii. Altogether then, since the kind of numeral used in the **numerator** depends on:

**A.** Whether the **real world entity** we want to denote is:

- ▶ A **collection** of items

or

- ▶ An **amount** of **stuff**

and also on:

**B.** Whether the **information** we want about the **real world entity** is:

- ▶ The **magnitude** of the **entity** *alone*,

or

- ▶ The **magnitude** *and* the **orientation** of the **entity**,

the word **numeral** should always be used with one of the following **qualifiers**

	Collections	Amounts
Magnitude <i>only</i>	plain whole	plain decimal
Magnitude <i>and orientation</i>	signed whole	signed decimal

*In fact, mathematicians, scientists, and engineers also use many other kinds of 'numbers' for many other kinds of entities. (<https://en.wikipedia.org/wiki/Number>)*

**EXAMPLE A.6.**

- ▶ 783 043 is a plain whole numeral which may denote a collection of *people*,
- ▶ 648.07 is a plain decimal numeral which may denote an amount of *money*,
- ▶ -547 048 308 and +956 481 are signed whole numerals,
- ▶ -137.048 8 and +0.048 178 are signed decimal numerals.

And, since, as mentioned almost from the outset of ?? - Preface You Don't Need To Read (Page xv), this text assumes that the reader knows how to "compare, add/subtract, multiply/divide" signed decimal 'numbers', we will take the qualifiers plain/signed and whole/decimal to have been defined.

*But you can always click on Appendix B - Localization (Page 515)*

iii. However,

**CAUTIONARY NOTE A.1** While DISCRETE MATHEMATICS deals with **collections** of items, CALCULUS deals only with **amounts** of **stuff** and we will use whole numerals only occasionally and then mostly as an explanatory backdrop for **decimal numbers**.

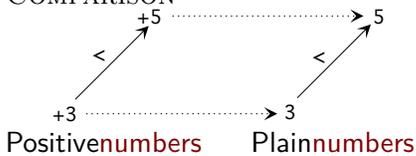
## 2 Things To Keep In Mind

1. **Positive numbers vs. plain numbers.** Except for *subtraction*, computing with *positive numbers* goes exactly the same way as computing with the *plain numbers* that are their sizes..

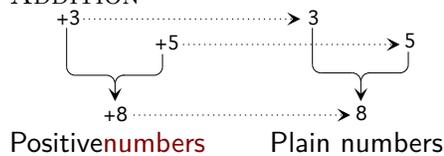
*And in only half the cases at that.*

**EXAMPLE A.7.**

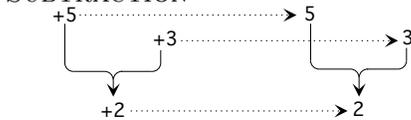
COMPARISON



ADDITION



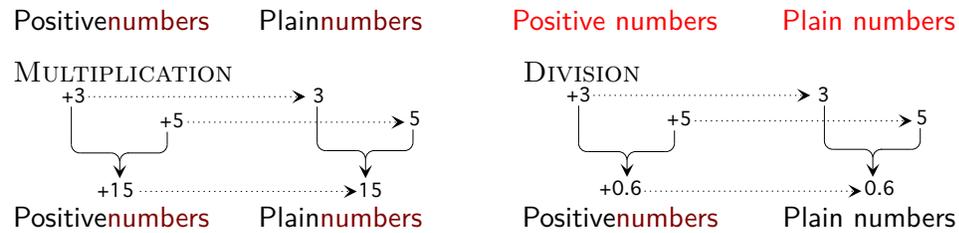
SUBTRACTION



SUBTRACTION



absurd



So it is tempting to skip the + sign in front of *positive* numbers as "going without saying". But then sentences lose their symmetry.

**EXAMPLE A.8.** The sentences

- ▶ "The opposite of +5 is -5" and "The opposite of -3 is +3"
- are both nicely symmetric while the sentences
- ▶ "The opposite of 5 is -5" and "The opposite of -3 is 3"
- both lack symmetry.

In fact, negative numbers were called **absurd numbers** for a long time until "Calculus made negative numbers necessary." ([https://en.wikipedia.org/wiki/Negative\\_number#History](https://en.wikipedia.org/wiki/Negative_number#History)) words, you get exactly what you see, no more, no less.

But then experience shows that skipping the + sign in front of *positive* numbers can lead to *ignoring* the difference between *positive numbers* and *plain numbers* and that leads to misunderstanding and mistakes because

- ▶ while working with *plain numbers* we can just focus on the *numbers* we are working with,
- ▶ when working with *positive numbers* we have to keep constantly in mind that the *numbers* we are working with have a *sign*, namely +, and therefore have opposites, namely *negative numbers*.

And so, in order to help distinguishing *signed numbers* from *plain numbers* and more individually *positive numbers* from their *sizes*, in this text:

**AGREEMENT A.2** will never go without saying.

**EXAMPLE A.9.** We will always distinguish, for instance,

- ▶ The *positive* number **+51.73** from the *plain* number **51.73** which is the *size of +51.73*. (As well as the *size of -51.73*)
- ▶ The *positive* number **+64 300** from the *plain* number **64 300** which is the *size of +64 300*. (As well as the *size of -64 300*)

**2. Symbols vs. words.** Another issue is that, in everyday *language*, instead of using *signed numbers* we still tend to use *plain numbers* with *everyday words* instead of *symbols* to denote the *orientation*.

Yet, even banks, which used to use *plain numbers* in two columns, one for debits, one for credits, now use *signed numbers* in a single column.

**EXAMPLE A.10.** We often use *words* like credit and debit, left and right, up and down, income and expense, gain and loss, incoming and outgoing, etc instead of the *symbols*  $+$  and  $-$  to denote the *orientation* and using *plain numbers* to denote the *size*. zero  
0

### 3 Zero And Infinity

As simple as **numbers** may appear, **numbers** actually present several conceptual difficulties that we need to acknowledge. We also need to make sure that the **words** we will be using concerning **numbers**, if only in the PROCEDURES, will be perfectly clear.

*Whose existence most CALCULUS texts blissfully omit even to mention.*

**1. Zero.** There are two difficulties with zero that set **0** apart from other **numbers** and in fact already “*the ancient Greeks [...] seemed unsure about the status of zero as a number.*” ([https://en.wikipedia.org/wiki/0#Classical\\_antiquity](https://en.wikipedia.org/wiki/0#Classical_antiquity)).

- i. In the **real world**, there is no such thing as **zero amount** of **stuff**.

**EXAMPLE A.11.**

- ▶ There is no such thing as a perfect vacuum. (<https://en.wikipedia.org/wiki/Vacuum>).
- ▶ There is no such thing as an absolute zero temperature. ([https://en.wikipedia.org/wiki/Absolute\\_zero](https://en.wikipedia.org/wiki/Absolute_zero))

And when we try to get **0 unit** of *any stuff* *all* we get is the **error!** ?? ?? - ?? (??)

*And that's no joke!*

**EXAMPLE A.12.** **0** quart of milk denotes the amount of milk that appears to be in an empty bottle—but it might just be that the amount of milk in the bottle is too small for us to see.

*Just how clean is clean?*

So the difficulty is that since **0** does not **symbolize** *any amount* in the **real world** **0** cannot have a *size* to begin with.

- ii. Even though mathematicians do distinguish  $\mathbb{N}$ , whole **numbers** *including* **0**, and  $\mathbb{N}^*$ , the whole **numbers** *excluding* **0**, AKA **counting numbers**, ([https://en.wikipedia.org/wiki/Natural\\_number](https://en.wikipedia.org/wiki/Natural_number))

**LANGUAGE NOTE A.1** Mathematicians accept **0** as a *signed number* even though ... **0** has *no sign!*

non-zero  
After months of waffling!  
collection  
item  
Of course, to say the size  
is 0 merely moves the issue  
plain signed numbers to plain  
numbers.  
natural  
positive integer  
how many  
Isn't that convenient?  
how much  
decimal  
amount  
stuff  
orientation  
magnitude  
Remember that words be-  
tween single quotes will be  
explained when their time  
comes.

Since it is standard practice, we will have to accept that

**CAUTIONARY NOTE A.2** even if a number with *size* 0—and no sign.

So, what we will do is to distinguish **non-zero numbers**, that is all numbers except 0, from just **numbers** which include 0. So, all *non-zero numbers* have both a *size* and a *sign*.

## 4 Plain Whole Numbers

Because we can deal with **collection of items** *one by one*, describing **how many items** there are in a **collection** is easy: just **count** the **items** in the **collection**. Then, **how many items** there are in the **collection** will be given by a **plain** (as opposed to ‘signed’) **whole** (as opposed to ‘decimal’) **number**.

**EXAMPLE A.13.** Apples are *items*. (We can eat apples one by one.) To say how many 🍏 are in the collection 🍏🍏🍏 we *count* them that is we point successively at each 🍏 while singsonging “one, two, three”.

**LANGUAGE NOTE A.2** **Plain whole numbers** are also called **counting numbers** or **natural numbers** ([https://en.wikipedia.org/wiki/Natural\\_number](https://en.wikipedia.org/wiki/Natural_number))—and, *incorrectly*, ‘positive integers’.

=====  
**decimal** (as opposed to **whole**

An **amount** of **stuff** we can deal with only *in bulk*

**orientation**

**magnitude** that is **how many items** in the **collection** or **how much stuff** in the **amount**

**LANGUAGE NOTE A.3** The **word orientation** is *not* too good but the **words** “*direction*” and “*way*” aren’t either.

=====  
A lot of times, describing **how many items** we have or want in a **collection** or **how much stuff** we have or want in an **amount** of **stuff** is not enough and we also need to describe the **orientation** of the **collection of items** or of the **amount of stuff**: up/down, left/right, in/out, etc.

*But not in this text.*

**EXAMPLE A.14.** How many people are *going into* or *coming out* of a building usually depends on the time of the day.

At least for the rest of us, how much money is *coming into* or *going out* of our bank account usually depends on the day of the month.

**1. Size and sign.** So, both **signed** (as opposed to **plain**) *whole* numbers and **signed** (as opposed to **plain**) *decimal* numbers carry *two* kinds of information:

- The **size** of a **signed number** (*whole* or *decimal*) is the **quantitative information** which is given by the **plain whole number** that describes *how many* items there are in the **collection** or the **plain decimal number** that describes *how much* stuff there is in the amount.

**LANGUAGE NOTE A.4** **Size** is called **absolute value** in most textbooks but some use **numerical value** or **modulus** or **norm**.

The standard **symbol** for **size** is  $| \quad |$  but we will not use it and just write size of.

**EXAMPLE A.15.** Instead of  $|-3| = 3$  we will write: size  $-3 = 3$ .

- The **sign** of a signed-number (*whole* or *decimal*) is the **qualitative information** which is given by  $+$  or  $-$ , the **symbols** that describe the *orientation* of the **collection** or of the **amount**, up/down, left/right, in/out, after a decision has been made as to which **orientation** is to be **symbolized** by  $+$  and therefore which by  $-$ . Then,

**Positive** (*whole* or *decimal*) numbers are the **signed numbers** whose sign is  $+$ ,

**Negative** (*whole* or *decimal*) numbers are the **signed numbers** whose sign is  $-$ .

**EXAMPLE A.16.**  $+17.43$  Dollars specifies a real world transaction:

- ▶ The **size** of  $+17.43$ ,  $17.43$ , describes the *magnitude* of the transaction,
- ▶ The **sign** of  $+17.43$ ,  $+$ , describes the *orientation* of the transaction.

**LANGUAGE NOTE A.5** **Signed whole** numbers are usually called **integers**.

Two **signed numbers** are:

- ▶ **the same** whenever they have the *same size and the same signs*. (So, when one is **positive**, the other has to be **positive** and vice versa.)

signed  
size  
quantitative  
absolute value  
numerical value  
modulus  
norm  
 $| \quad |$   
sign  
qualitative  
 $+$   
 $-$   
positive  
negative  
integers  
the same

*But how could a plain whole number ever be called a positive integer?*

the opposite

opp

<

>

=

≤

≥

comparison (plain)

comparison (signed)

larger-than (plain)

smaller-than (plain)

equal-to (plain)

not-equal-to (plain)

larger-than-or-equal-to

(plain)

smaller-than-or-equal-to

(plain)

larger-than (signed)

smaller-than (signed)

- ▶ **the opposite** whenever they have the *same size but different signs*. (So, when one is **positive**, the other has to be **negative** and vice versa.)

We will use **opp** as shorthand for opposite of.

**EXAMPLE A.17.**

$$\text{opp } (+32.048) = (-32.048)$$

$$\text{opp } (-32.048) = (+32.048)$$

**====End LOOK UP====**

As implied by the title, operating on *plain* numbers, whole and decimal, is assumed to be known and this Appendix deals only with the complications brought about by the signs.

- ?? ?? - ?? (??)
- ?? ?? - ?? (??)
- ?? ?? - ?? (??)

## 5 Comparing.

The symbols, <, >, =, ≤, ≥, are used for *both (plain) comparisons and (signed) comparisons*

**DEFINITION A.1** Given the signed numbers  $x_1$  and  $x_2$ ,

- ▶ When  $x_1$  and  $x_2$  are *both positive*,

$$x_1 > x_2 \text{ iff Size } x_1 > \text{Size } x_2$$

$$x_1 < x_2 \text{ iff Size } x_1 < \text{Size } x_2$$

$$x_1 = x_2 \text{ iff Size } x_1 = \text{Size } x_2$$

- ▶ When  $x_1$  and  $x_2$  are *both negative*,

$$x_1 > x_2 \text{ iff Size } x_1 < \text{Size } x_2$$

$$x_1 < x_2 \text{ iff Size } x_1 > \text{Size } x_2$$

$$x_1 = x_2 \text{ iff Size } x_1 = \text{Size } x_2$$

- ▶ When  $x_1$  and  $x_2$  have opposite signs,

$$x_1 < x_2 \text{ iff } x_1 \text{ is negative (and therefore } x_2 \text{ is positive)}$$

$$x_1 > x_2 \text{ iff } x_1 \text{ is positive (and therefore } x_2 \text{ is negative)}$$

larger-than

smaller-than

equal-to

not-equal-to

larger-than-or-equal-to

smaller-than-or-equal-to

larger-than

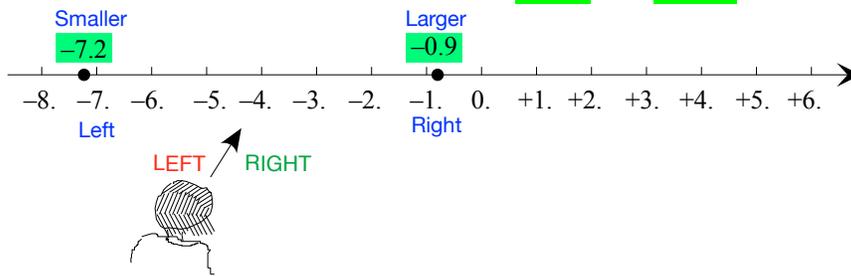
smaller-than

equal-to  
 not-equal-to  
 larger-than-or-equal-to  
 smaller-than-or-equal-to

equal-to (signed)  
 not-equal-to (signed)  
 larger-than-or-equal-to (signed)  
 smaller-than-or-equal-to (signed)  
 smaller than  
 larger than

The easiest way is to picture the two numbers on a quantitative ruler and then, because of *?? ?? - ?? (??)*, the number to our left will be **smaller than** the number to our right and the number to our right will be **larger than** the number to our left.

**EXAMPLE A.18.** Given the numbers  $-7.2$  and  $-0.9$  we have

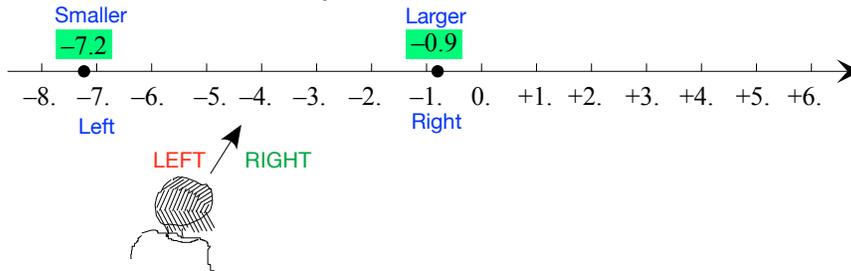


so  $-7.2$  is smaller than  $-0.9$  and  $-0.9$  is larger than  $-7.2$

The *standard* symbols for sign-size-comparisons of *all four kinds* of numbers are:

Sign-size-comparisons	Symbols
equal to	=
not equal to	≠
smaller than	<
smaller than or equal to	≤
larger than	>
larger than or equal to	≥

**EXAMPLE A.19.** In symbols, EXAMPLE A.18 becomes



add  
 subtract  
 multiply  
 divide  
 reciprocal (plain)

so  $-7.2 < -0.9$  as well as  $-0.9 > -7.2$

## 6 Adding and Subtracting

### . To add

In this text, for reasons explained in Subsection 6.2 - Mercator view (Page 108), when dealing with signed numbers, we will use the word *oplus* instead of the word *add* which we will reserve for plain numbers.

we will use the symbol  $\oplus$

addition

To **subtract** a number we oplus its opposite instead.

subtraction

## 7 Multiplying and Dividing

### . To multiply

#### MEMORY A.1 Multiplication and Division of Signs

	+	-
+	+	-
-	-	+

To divide

#### 1. Reciprocal of a number.

i. The **reciprocal** of a *plain number* is 1. divided by that *number*. (<https://www.mathsisfun.com/reciprocal.html>). So:

i. Reciprocal 1. = 1.

ii. The **reciprocal** of 1 followed or preceded by 0s is easy to get: read the *number* you want the **reciprocal** of and insert/remove “th” accordingly,

#### EXAMPLE A.20.

$$\text{Reciprocal } 1\,000. = 1\text{ thousand th} = 0.001$$

$$\text{Reciprocal } 0.000\,001 = 1\text{ million th} = 1\,000\,000.$$

iii. The **reciprocal** of other numbers needs to be **calculated** and, for most, we may as well use a **calculator**.

**EXAMPLE A.21.**

reciprocal (signed)

$$\text{Reciprocal } 4.00 = \frac{1.00}{4.00} = +0.25 \text{ (Hopefully by hand.)}$$

$$\text{Reciprocal } 0.89 = \frac{1.00}{0.89} = 1.13 \text{ (Use a calculator.)}$$

$$\text{Reciprocal } 2.374 = \frac{1.00}{2.374} = 0.421 \text{ (Use a calculator.)}$$

An important property of **reciprocals** is that:

**MEMORY A.2 Sizes of plain reciprocal numbers**

The larger a *plain* number is, the smaller its reciprocal will be,  
The smaller a *plain* number is, the larger its reciprocal will be.

*Proof.* □

**EXAMPLE A.22.**

- ii. The **reciprocal** of a *signed* number is +1. divided by that number. So, getting the reciprocal of a *signed* number involves Memory A.1 - Multiplication and Division of Signs (Page 512) which complicates matters:

**EXAMPLE A.23.**

$$\text{Reciprocal } +1000. = \frac{+1 \text{ thousand}}{1000} = +0.001$$

$$\text{Reciprocal } -0.000001 = \frac{-1 \text{ millionth}}{0.000001} = -1000000.$$

$$\text{Reciprocal } +4.00 = \frac{+1.00}{+4.00} = +0.25 \text{ (Hopefully by hand.)}$$

$$\text{Reciprocal } -0.89 = \frac{+1.00}{-0.89} = -1.13 \text{ (Use a calculator.)}$$

$$\text{Reciprocal } -2.374 = \frac{+1.00}{-2.374} = -0.421 \text{ (Use a calculator.)}$$

In particular, even just *stating* the extension of Memory A.2 - Sizes of plain reciprocal numbers (Page 513) to signed numbers is a bit complicated and is much easier done in Subsection 8.1 - Input level band (Page 119).

*To be specific: ?? ?? - ?? (??).*



relative

## Appendix B

# Localization

Inputs are counted from the origin that comes with the ruler. However, rather than counting inputs **relative** to the origin of the ruler, it is often desirable to use some other origin to count inputs from.



## Appendix C

# Equations - Inequations

The following is essentially lifted from REASONABLE BASIC ALGEBRA, by *A. Schremmer*, freely downloadable as PDF from (Links live as of 2020-12-31):

- ▶ Lulu.com (<https://www.lulu.com/en/us/shop/alain-schremmer/reasonable-basic-algebra/ebook/product-1m48r4p5.html?page=1&pageSize=4>)

and/or

- ▶ ResearchGate.net ([https://www.researchgate.net/publication/346084126\\_Reasonable\\_Basic\\_Algebra\\_Lulu\\_2009](https://www.researchgate.net/publication/346084126_Reasonable_Basic_Algebra_Lulu_2009))



## Appendix D

# Addition Formulas

Dimension  $n = 2$ :  $(x_0 + h)^2$  (Squares), 519.

### 1 Dimension $n = 2$ : $(x_0 + h)^2$ (Squares)

In order to get



## Appendix E

# Polynomial Divisions

Division in Descending Exponents, 521.

### 1 Division in Descending Exponents

Since *decimal numbers* are combinations of powers of TEN, it should not be surprising that the procedure for dividing decimal numbers should also work for *polynomials* which are combinations of powers of  $x$ .



## Appendix F

# Systems of Two First Degree Equations in Two Unknowns

General case, 523.

### 1 General case

XXXX XXXXX XXXXX



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